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# Structured Movement Representations of a Phantom Limb Associated with Phantom Limb Pain

32

# 33 Abstract

34The relation between phantom limb pain (PLP) and the movement representation of a 35 phantom limb remains controversial in several areas of neurorehabilitation, although there are a few studies in which the representation of phantom limb movement was 36 precisely evaluated. We evaluated the structured movement representation of a phantom 37limb objectively using a bimanual circle-line coordination task. We then investigated the 38 39 relation between PLP and the structured movement representation. Nine patients with a brachial plexus avulsion injury were enrolled who perceived a phantom limb and had 40 neuropathic pain. While blindfolded, the participants repeatedly drew vertical lines using 41 42the intact hand and intended to draw circles using the phantom limb simultaneously. "Drawing of circles" by the phantom limb resulted in an oval transfiguration of the 43vertical lines ("bimanual coupling" effect). We used an arbitrary ovalization index (OI) 44 to quantify the oval transfiguration. When the OI neared 100%, the trajectory changed 45toward becoming more circular. A significant negative correlation was observed between 46 the intensity of PLP and the OI (r=-0.66, p<0.05). Our findings directly suggest that 47structured movement representations of the phantom limb are necessary for alleviating 48 PLP. 49

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## 51 Highlights

- 52 We investigated the relationship between the phantom limb pain (PLP) and its 53 movement representation.
- 54 We used a bimanual coordination task to evaluate the movement representation.
- 55 Negative correlation was observed between PLP and the bimanual coupling effect.
- 56 Structured movement representations of a phantom limb is related with PLP.
- 57 58

- Key word: phantom limb pain, movement representation, bimanual coordination
- 60 **Abbreviations:** PLP: phantom limb pain, BCT: bimanual circle–line coordination task

# 61 Introduction

62 Movement representations of our body are systemically structured through the cognitive process of sensorimotor integration interacting with the surrounding 63 environment [1]. Deafferentation of a limb frequently leads to phantom limb awareness, 64 65and patients perceive vivid kinesthesia [2]. The majority of patients perceiving a phantom limb tend to experience decreased awareness of its kinesthesia, but the phantom limb is 66 "recognized" as fixed in one or more peculiar positions [2]. Accompanying phantom limb 67 68 awareness, patients with a deafferented limb frequently suffer from phantom limb pain 69 (PLP) with maladaptation of central nervous system plasticity [3]. PLP is often resistant to pharmacotherapy, but it responds to some kinds of neurorehabilitation techniques such 7071as mirror visual feedback in association with plastic change of brain [4,5,6,7]. Previous studies demonstrated that PLP patients who restored voluntary movement representation 7273of their phantom limb described PLP alleviation after neurorehabilitation or use of a functional prosthesis [4,5,8,9]. One line of thinking about PLP neurorehabilitation that 7475uses precise visual feedback of phantom limb movements is based on a working 76 hypothesis that incoordination of movement representation of a limb causes pathological 77pain. However, few reports exist where the representation of a phantom limb's precision of movement was evaluated in behavioral analysis. In the present study, we assessed 7879structured movement representation of a phantom limb objectively using the bimanual circle-line coordination task (BCT) and validated the relation between phantom limb pain 80 and structured movement representation. 81

## 83 Methods

#### 84 **Participants**

Nine patients, who suffered from a brachial plexus avulsion injury and perceived a phantom limb and its pathological pain, participated in this study (Table 1). All participants were outpatients at our institute with a chief complaint of phantom limb pain. The Ethical Review Board of the Faculty of Medicine, The University of Tokyo approved this study. We explained the content of this study and the purpose to all subjects and obtained their written informed consent.

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## 92 Quantitative evaluation of the movement representation

93 The bimanual circles-lines coordination task (BCT) used in the present study to 94assess movement representation of their phantom limb quantitatively has been used in previous studies of various neurological conditions [10-12]. In the BCT, spatial error 95 96 occurs when drawing the vertical lines repeatedly by the intact hand with intending to 97 draw circles by the affected side (termed the "bimanual coupling effect"). Take the case of phantom limb patients: a coupling effect on the intact hand (drawing straight lines) can 98 be evaluated objectively and quantitatively during "non-visualized" but structured 99 100 movement representations of the affected hand (drawing circles), even though the affected limb is missing. In a previous case report, Franz and Ramachandran 101 102 demonstrated that such a bimanual coupling effect during the BCT was observed in an 103 amputee patient with a vivid subjective experience of moving their phantom limb, but was not observed in another patient without the experience [12]. Conversely, straight lines 104105drawn vertically by the intact hand can remain straight when drawing circles using the 106 affected hand by patients with the motor neglect or chronic hemiplegia who have lost

107 movement representations of their affected hand [10]. Based on these observations, we 108 considered the BCT is a promising assessment tool for quantifying movement 109 representations with high validity. The oval-shaped transfiguration when drawing straight 110 lines using one limb indicates that the intermanual interference is induced by the movement representation of the other hand when drawing circles [13]. In addition, 111 112converging neuroimaging evidence has revealed that increased activity is observed in 113motor-related areas, such as the premotor cortex and supplementary motor area, during the BCT [14-16]. On the basis of this neuroimaging evidence, the internal movement 114representation itself should be sufficient to physically produce the bimanual coupling 115116 effect.

117 The patients sat comfortably in a chair and put their intact index finger on a tablet personal computer (PC) that was on a table in front of the patients. The patients were 118 asked to draw the vertical lines back and forth with the intact hand not intentionally but 119 spontaneously. The intact-hand line trajectories were automatically recorded by the tablet 120121PC. While blindfolded, the patients were asked to perform repeatedly unimanual line 122drawing movements (drawing vertical lines back and forth on a tablet PC monitor using their intact index finger: unimanual condition: Unimanual Condition) or bimanual 123124 drawing movements (drawing the lines using the intact index finger and simultaneously intending to draw circles with the phantom index finger: bimanual condition: Bimanual 125126Condition) at a comfortable speed for 20 s during each trial [Figure 1]. An oval-shaped transfiguration of the repeatedly drawn vertical lines by the intact hand when 127simultaneously intending to draw circles with the phantom limb indicated that voluntary 128129movement representations of the phantom limb influenced the intact hand (termed the 130 "bimanual coupling" effect [11]). There were two trials for each condition, resulting in a131 total of four trials.

132To quantify the extent of the distortion of the intact-hand line trajectories, we 133 obtained an ovalization index (OI, %) of the lines drawn with the intact hand, according to previous studies [10,11]. From the recorded trajectories in each trial, respective circular 134 figures were extracted by identifying two apical endpoints of respective back-and-forth 135136 cycle trajectories. Long and short axes were established for the respective circular figures. 137An arbitrary variable was calculated from each cycle trajectory according to the following 138formula: variable = [standard deviation of long-axis data / standard deviation of short-139axis data]  $\times$  100. Then, for each patient, the OI was defined as the mean value of the 140 variables computed on all recorded cycle trajectories under the respective conditions. If 141 the OI value was near 0, the trajectory did not become distorted toward a circular 142transfiguration. If the OI value was 100, the trajectory became a precise circle.

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#### 144 Subjective evaluation of the movement representation

145Phantom limb patients generally describe movement representation of their 146 phantom limb, but their perceptual contents are varied. For example, some describe 147movement representation as perception of phantom limb to be telescoped, while others describe involuntary motor imagery, or the others describe movement representation only 148149when they perceive a vivid reality of voluntary motor imagery. We designed this study to 150reveal the intimate relationship between phantom limb pain and subjectively-described movement representation of it. We employed a virtual reality (VR) system to measure 151152specifically the perceptual content of voluntary movement of the phantom limb as homogeneously as possible. The patients wore a head-mounted display (Oculus Rift; 153

Oculus VR, Menlo Park, CA USA) and a three-dimensional computer graphic (3D-CG) 154of an upper forearm and hand with five fingers presented on the display. The virtual 155156forearm and hand appeared in the patients' correct orientation with respect to their body, and the patients perceived it as occupying the phantom limb. Motion of their intact arm 157158and hand, which was detected by an infrared camera (Kinect; Microsoft Corp., Edmond, WA, USA) and a motion capture data glove (CyberGlove 2; CyberGlove Systems, San 159160 Jose, CA, USA), was horizontally flipped like a mirror-reversed image to create virtual limb motion. With this VR system, the patients were asked to exercise both the intact and 161162phantom limbs symmetrically at their discretion (e.g., flexion-extension cycles, rotation 163of the limbs) for at least 5 min. Subsequently, using a 7-point Likert scale from 0 (none) 164 to 6 (extremely strong), the following two statements were rated and summed: "I felt as 165if I could exercise my phantom limb voluntarily" and "The phantom limb was brought 166 under control of my will and I could make the limb go where I wanted it to go." The 167patients conducted this test twice, and the mean score from two sessions constituted the 168 subjective data regarding movement representation of their phantom limb.

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#### 170 Statistical analysis

To determine whether hand dominance affects the bimanual coupling effect, the coupling effects (bimanual OI scores minus unimanual OI scores) between patients with an impaired dominant hand (dominance group) and those with an impaired non-dominant hand (non-dominance group) were compared using the Mann–Whitney U test. The OI values under the unimanual and bimanual conditions were compared using the Wilcoxon signed-rank test. To determine the relation between structured movement representations of the affected hand and PLP, correlations were determined between the relative OI (i.e., 178bimanual OI scores minus unimanual OI scores) and pain intensity on an 11-point 179numerical rating scale (NRS) using Spearman's rank correlation analysis. Also, relations 180 between subjective data on the movement representation of the phantom limb and PLP 181 intensity and the OI were analyzed. In addition to these main analyses, to check the test-182retest reliability of the subjective evaluation of movement representation, we compared 183the score of the first VR session and the second session using the Wilcoxon signed-rank 184 test. Correlations were determined between the variability of participants' subjective phantom movement (the score of the second session minus that of the first session) and 185186 their OI or pain intensity (NRS) using the Spearman's rank correlation analysis to 187investigate the variability of their subjective phantom movement and whether it correlated 188 with the OI or PLP. Statistical analysis was performed using SPSS version 17.0 (SPSS, Chicago, IL, USA). The level of significance was set at <5%. 189

190

## 191 **Results**

Comparing the bimanual coupling effect between the dominance group and non-192193 dominance group, there were no significant differences [p = 0.64: dominance group, 2.23]194  $\pm$  1.41 (mean  $\pm$  SD); non-dominance group, 1.89  $\pm$  1.88]; hand dominance did not seem to influence the bimanual coupling effect. There NRS of pain intensity were  $4.78 \pm 1.92$ 195196  $(mean \pm SD)$  and the OI scores in each condition were as follows: Unimanual condition, 197  $6.01 \pm 1.92$ ; Bimanual condition,  $8.05 \pm 1.85$ . The bimanual circle-lines coupling showed 198a significant oval-shaped transfiguration (i.e., high OI scores) compared with unimanual coupling (p < 0.01). The oval-shaped transfiguration elicited by bimanual coupling 199 200negatively correlated with pain intensity (r=-0.66, p<0.05) (Fig. 1B). Examples of 201trajectories under unimanual and bimanual conditions are shown in Figure 1C,D. The 202subjective data for movement representation were not associated with the oval transfiguration elicited by bimanual coupling (r=0.11, p=0.38) or pain intensity (r=0.11, 203204p=0.39) (Fig. 2A,B). Comparing the score of participants' subjective movement representation in the first session and the second session, there were no differences 205206between sessions (p = 0.26: first session,  $6.44 \pm 2.92$ ; second session,  $7.22 \pm 2.59$ ). Further, 207 there were no correlations between the variability of their subjective phantom movement and the OI (r=-0.39, p=0.15) and pain intensity (r=-0.06, p=0.44). 208

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## 210 **Discussion**

The present study was the first attempt to verify the relationship between PLP 211212and its structured movement representation, which is quantitatively evaluated with the 213BCT. In the present study, the ovalization index in the bimanual condition was higher 214than that in the unimanual condition [Unimanual:  $6.01 \pm 1.92$ , Bimanual:  $8.05 \pm 1.85$ ]. This result suggests that the movement representation of a phantom limb remains to some 215216degree, despite patients' long-term deafferentation. Further, it was revealed that the 217higher ovalization index the PLP patients show, the more decreased pain intensity they 218feel (Fig. 1B). Thus, structured movement representations, evaluated here in a 219quantitative way, have an intimate relationship with PLP intensity. Previous studies have demonstrated that the movement representation of a phantom limb induced by mirror 220 visual feedback or virtual reality treatments alleviate PLP [4,5]. Considering these 221222previous [4,5,6,7] and our present findings, we can conclude that the underlying mechanism of PLP is directly connected to its movement representation. Observing the 223clinical features of the two outlier patients (Patients A and B), who both demonstrated a 224

higher OI, would indicate the characteristics of patients with a structured movement representation of their phantom limb. From these observations, both patients frequently used their affected limb as much as possible on a daily basis despite it being paralyzed (for example, pressing on the paper using the affected arm during writing with the intact hand). From these patients' characteristics, using the affected limb as a functionallyuseful limb in a limited way might be an important way to maintain the structured movement representation of their phantom limb.

232In upper-limb ampute patients who perceive a phantom limb and its neuropathic 233pain, the primary motor cortex contralateral to the phantom limb is not activated when 234they intend to move their phantom limb [6]. In amputee patients who restored the 235structured movement representation of their phantom limb and whose PLP decreased, the primary motor cortex becomes activated more strongly compared with the activation 236before amelioration of PLP [17]. Further, reorganization of the somatotopy in the 237238sensorimotor cortex is observed in amputee patients, and a greater reorganization of the 239cortex reportedly correlates with greater pain intensity of the phantom limb [18,19]. 240However, a succession of functional brain imaging studies does not support the 241relationship between reorganization of the sensorimotor cortex and PLP intensity [20,21]. 242Considering these, the relationship between PLP and its movement representation and the 243sensorimotor cortex might be plausible, but is still controversial. Not only the primary 244motor cortex but also other motor-related cortices such as supplementary motor area, 245premotor area and the cerebellum also become activated when moving a phantom limb. The entirety of the motor system in the central nervous system (CNS) might be involved 246247in the relationship between PLP intensity and its movement representation.

248Conversely, there was no relationship between PLP and subjectively-reported 249movement representation of a phantom limb in the present study. In addition, there were 250no significant correlations among the variabilities of subjectively-reported phantom limb 251movement, PLP intensity and their OI. Our methodological reliability can be confirmed because the subjective evaluations of movement representations of participants' phantom 252253limbs were consistent through multiple sessions with the VR system. As a previous report 254demonstrated, subjective introspections about phantom limbs are sometimes gigantic confabulations [22]. There were individual differences in introspection in the situation of 255256sensorimotor incongruence, which is one of the underlying mechanisms of PLP [23,24]. 257Particularly, among patients with chronic pain, their body perception of the affected limb, 258which is explained to clinicians by the patients themselves, generally does not match objective signs of the affected limb because it is affected by pain and their strong negative 259260emotion with regard to the affected limb [25]. There was a mismatch between subjective 261and objective evaluations of movement representations of patients' phantom limbs. 262Considering this finding, evaluating the phantom limb in a quantitative way is important. 263Clinicians and researchers have tried to develop methods of quantitative evaluation for 264phantom limb, for example, the template matching task [26] and the pointing task [27], 265and have succeeded in evaluating the body schema of a phantom limb quantitatively [26,27]. However, there are few studies in which movement representations of a phantom 266 267limb are evaluated in a quantitative way. For example, the hand laterality task is 268commonly used to quantitatively evaluate the movement representations of some kinds 269of neurological patients [28,29]. The hand laterality task, as already reported, shows 270difficulties in its application to patients with deafferentation of one limb, because of their incorrect cognitive processes during the task [30,31]. In the present study, dissociation 271

272between the subjective descriptions of moving a phantom limb and objective movement 273representations, which are measured as bimanual interference, was certainly observed. 274Consequently, there was an intimate correlation only between structured movement 275representations and PLP, but not between its subjective description and PLP, suggesting that structured movement representations of a phantom limb are essential for 276 277disentangling PLP. The structured movement representations of a phantom limb should 278be focused on, even in the absence of introspection regarding their origins. Evaluating the 279movement representation in a quantitative manner might reveal the analgesic mechanisms 280of neurorehabilitation for PLP.

281The following future perspectives of our study should be considered. We did not 282compare between the data of patients with and without PLP, because of the difficulty of 283recruiting patients who perceive a phantom limb but do not feel pain. To more strongly 284demonstrate the intimate relationship between PLP and its structured movement 285representation more clearly, we need to compare data between the two sets of phantom 286limb patients. Also, in the present study, we could not directly connect the CNS with the 287structured movement representation of a phantom limb and PLP. Measurements of the 288CNS function using fMRI or EEG might disentangle the underlying mechanism(s) of phantom limb pain more clearly. 289

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## 291 Conclusions

In conclusion, we found an intimate relationship only between the structured movement representations of a phantom limb and its pain. We suggest the importance of evaluating the movement representations in a quantitative way, and that structured movement representations of the phantom limb are necessary for alleviating PLP. 296

297	Conflict	of interest
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298	The authors report and confirm that there are no conflicts of interest. We
299	alone are responsible for the contents and writing up of our study.

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301	Ac	know	led	lgements	
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## **Table 1**: Patient data

Subject	Sex	Age	Affected	Handedness	Disease Duration (year)	Type of BPI	Intercostal nerve transfer	Part of PLP
Patient A	Male	53	Left	Right	36	incomplete	-	Hand
Patient B	Male	54	Right	Right	20	complete	+	Hand
Patient C	Male	46	Right	Right	21	complete	+	Hand
Patient D	Male	56	Right	Right	6	complete	-	Arm
Patient E	Male	47	Right	Right	14	complete	+	Hand
Patient F	Female	64	Left	Right	8	complete	+	Arm, Hand
Patient G	Male	51	Right	Right	13	complete	+	Shoulder, Arm, Hand
Patient H	Male	49	Left	Right	26	complete	+	Hand
Patient I	Male	42	Left	Right	8	incomplete	+	Forearm, Hand



Figure 1: The relationship between the result of bimanual circle-line coordination task and phantom limb pain.

Figure 1A: The experiment image of bimanual circle-line coordination task. The patients sat comfortably in a chair and put their intact index finger on a tablet PC that was on a table in front of the patients. Patients intend to draw circles with their phantom limb while drawing vertical lines with intact hand in the bimanual condition.

Figure1B: Relationship between PLP and movement representation of phantom limb. A significant negative correlation was observed between pain intensity and OI (r = -0.66, p < 0.05).

Figure 1C,D: Examples of trajectories in the bimanual circle-lines coupling task. In a patient, the OI value comparable between unimanual and bimanual conditions. This result indicated low bimanual coupling effect (Figure 1C). In contrast, a patient demonstrated more circular transfiguration (i.e., high OI value) under the bimanual condition, compared with trajectories under the unimanual condition, indicating a high coupling effect (Figure 1D).



Figure 2: Relationship between subjective evaluation of the movement representation of the phantom limb, structured movement representations of the phantom limb, and phantom limb pain intensity.

Figure 2A, 2B: There were no significant correlations between the subjective evaluation of the movement representation and the ovalization index (r = 0.11, p = 0.38) or pain intensity (r = 0.11, p = 0.39).