

Actions of loop groups  
on  $H$ -surfaces  
(  $H$ 曲面へのループ群の作用 )

Atsushi Fujioka

ACTIONS OF LOOP GROUPS  
ON  $H$ -SURFACES

ATSUSHI FUJIOKA

Graduate School of Mathematical Sciences  
University of Tokyo

ABSTRACT. In this paper we shall define certain loop groups which act on simply connected  $H$ -surfaces\* in space forms preserving conformality, and obtain a criterion for these group actions to be equivariant.

Introduction

In recent years, there has been much progress in the theory of harmonic maps from Riemann surfaces into Lie groups or symmetric spaces. For example, the discovery of actions of loop groups on harmonic maps has played an important role [BG], [BP], [GO], [U]. As a special case of the results in [BP], it is shown that a certain loop group of  $SO(4)$  acts on harmonic maps from a simply connected Riemann surface into the standard 3-sphere  $S^3$ .

Let  $\mathfrak{M}^3(c)$  denote the simply connected 3-dimensional space form of curvature  $c$  and  $M$  a Riemann surface. Since harmonic maps from  $M$  to  $S^3$  are  $H$ -surfaces in  $\mathfrak{M}^3(c)$  for  $H = 0$ ,  $c = 1$ , it is natural to conjecture judging from the results in [BP] above mentioned, that a certain loop group of the isometry group of  $\mathfrak{M}^3(c)$  acts on simply connected  $H$ -surfaces in  $\mathfrak{M}^3(c)$  naturally, preserving conformality. In this paper, we shall show that this conjecture is true.

On the other hand, in the previous paper [F], the author obtained a criterion for the existence of a natural bijective correspondence between simply connected  $H$ -surfaces in  $\mathfrak{M}^3(c)$  and simply connected  $H'$ -surfaces in  $\mathfrak{M}^3(c')$ . In this paper, we shall also obtain a criterion for the above

---

This research was partially supported by Research Fellowships of the Japan Society for the Promotion of Science for Young Scientists.

1991 Mathematics Subject Classification. Primary 53A10 Secondary 58E20

\*Here an  $H$ -surface means a map which satisfies  $H$ -surface equations. Hence  $H$ -surfaces in the classical sense are conformal  $H$ -surfaces.

bijective correspondence to be equivariant with respect to the loop group actions.

### Acknowledgement.

The author would like to thank Professor Takushiro Ochiai for his useful suggestions and constant encouragement, and also to Dr. Sakagawa and Mr. Higaki for valuable discussions.

### §1 $H$ -surfaces

In the following, we shall describe  $\mathfrak{M}^3(c)$  as a Riemannian symmetric space. For  $c \in \mathbb{R}$ , we set  $L(c)$  and  $\text{sign}(c)$  as follows.

$$L(c) = \begin{cases} 1 & \text{if } c = 0, \\ \frac{1}{\sqrt{|c|}} & \text{if } c \neq 0 \end{cases}$$

and

$$\text{sign}(c) = \begin{cases} 1 & \text{if } c > 0, \\ 0 & \text{if } c = 0, \\ -1 & \text{if } c < 0. \end{cases}$$

Also for  $c \in \mathbb{R}$ , we define  $G_c, K_c, \sigma_c$  as follows.

$$G_c = SO(3) \ltimes \mathbb{R}^3 = \left\{ \begin{pmatrix} T & s \\ 0 & 1 \end{pmatrix} \in GL(4, \mathbb{R}); T \in SO(3), s \in \mathbb{R}^3 \right\},$$

$$G_c = SO(4)$$

for  $c > 0$ , and

$$\begin{aligned} G_c &= SO^+(3, 1) \\ &= \{X = (x_{ij}) \in GL(4, \mathbb{R}); {}^t X J X = J, \det X = 1, x_{44} > 0\} \end{aligned}$$

for  $c < 0$ , where  $J = \text{diag}(1, 1, 1, -1)$ .

Set

$$K_c = \left\{ \begin{pmatrix} T & 0 \\ 0 & 1 \end{pmatrix} \in GL(4, \mathbb{R}); T \in SO(3) \right\}.$$

An involutive automorphism of  $G_c$  is defined by

$$\sigma_c(X) = J X J,$$

where  $X \in G_c$ . Then  $K_c$  is the fixed point set of  $\sigma_c$ . So  $(G_c, K_c, \sigma_c)$  is a symmetric pair and its corresponding Cartan decomposition  $\mathfrak{g}_c = \mathfrak{k}_c \oplus \mathfrak{m}_c$  is given by

$$\mathfrak{g}_c = \left\{ \begin{pmatrix} A & b \\ -\text{sign}(c)^t b & 0 \end{pmatrix} \in M(4, \mathbb{R}); A \in \mathfrak{so}(3), b \in \mathbb{R}^3 \right\},$$

$$\mathfrak{k}_c = \left\{ \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix} \in M(4, \mathbb{R}); A \in \mathfrak{so}(3) \right\}$$

and

$$\mathfrak{m}_c = \left\{ \begin{pmatrix} 0 & b \\ -\text{sign}(c)^t b & 0 \end{pmatrix} \in M(4, \mathbb{R}); b \in \mathbb{R}^3 \right\}.$$

An  $\text{Ad}_{G_c} K_c$ -invariant metric on  $\mathfrak{m}_c$  is defined by

$$g_c \left( \begin{pmatrix} 0 & b \\ -\text{sign}(c)^t b & 0 \end{pmatrix}, \begin{pmatrix} 0 & b' \\ -\text{sign}(c)^t b' & 0 \end{pmatrix} \right) = L^2(c) \langle b, b' \rangle,$$

where  $b, b' \in \mathbb{R}^3$ .

Let  $M$  be a simply connected Riemann surface and  $\pi : G_c \rightarrow \mathfrak{M}^3(c) = G_c/K_c$  the natural projection. For any map  $f : M \rightarrow \mathfrak{M}^3(c)$ , there always exists a map  $F : M \rightarrow G_c$  such that  $\pi \circ F = f$ . Such a map  $F$  is called a framing of  $f$ . Then we have a decomposition  $F^{-1}dF =: \alpha = \alpha_{\mathfrak{k}_c} + \alpha_{\mathfrak{m}_c}$ . Since  $M$  is a Riemann surface, we have a type decomposition  $\alpha_{\mathfrak{m}_c} = \alpha'_{\mathfrak{m}_c} + \alpha''_{\mathfrak{m}_c}$ , where  $\alpha'_{\mathfrak{m}_c}$  is an  $\mathfrak{m}_c^{\mathbb{C}}$ -valued  $(1,0)$ -form with complex conjugate  $\alpha''_{\mathfrak{m}_c}$ . We denote the decomposition  $\alpha = \alpha_{\mathfrak{k}_c} + \alpha'_{\mathfrak{m}_c} + \alpha''_{\mathfrak{m}_c}$  simply as  $\alpha = \alpha_{\mathfrak{k}} + \alpha'_{\mathfrak{m}} + \alpha''_{\mathfrak{m}}$ . If we write  $\alpha_{\mathfrak{m}}$  as

$$\alpha_{\mathfrak{m}} = \begin{pmatrix} 0 & b \\ -\text{sign}(c)^t b & 0 \end{pmatrix}$$

for  $b \in \Omega^1(M, \mathbb{R}^3)$ , we shall define an  $\mathfrak{m}_c$ -valued 2-form  $\alpha_{\mathfrak{m}} \times \alpha_{\mathfrak{m}}$  by

$$\alpha_{\mathfrak{m}} \times \alpha_{\mathfrak{m}} = \begin{pmatrix} 0 & b \times b \\ -\text{sign}(c)^t(b \times b) & 0 \end{pmatrix},$$

where  $\times$  is the exterior product on  $\mathbb{R}^3$ . Then we set

$$n_f = \frac{L}{2} d\pi (\text{Ad}F * (\alpha_{\mathfrak{m}} \times \alpha_{\mathfrak{m}})),$$

where  $*$  is the Hodge star operator on  $M$ . It is easy to see that  $n_f$  is independent of the choice of  $F$ .

We now fix  $p_0 \in M$  and set  $o = \{K_c\}$ . For  $H, c \in \mathbb{R}$ , we set

$$\mathcal{H}_{H,c} = \left\{ f : M \rightarrow \mathfrak{M}^3(c); \frac{1}{2} \text{trace} \nabla df = H n_f, f(p_0) = o \right\},$$

$$\mathcal{C}_{H,c} = \{f \in \mathcal{H}_{H,c}; f \text{ is weakly conformal}\}.$$

Then  $\mathcal{C}_{H,c}$  is the set of based branched conformal immersion with constant mean curvature =  $H$ .

### §2 Definition of loop groups

We fix  $0 < \varepsilon < 1$  and partition the Riemann sphere  $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$  as follows. Let  $C_\varepsilon$  and  $C_{1/\varepsilon}$  denote the circles of radius  $\varepsilon$  and  $1/\varepsilon$  about  $0 \in \mathbb{C}$  and define open sets by

$$I_\varepsilon = \{\lambda \in \mathbb{P}^1; |\lambda| < \varepsilon\}, I_{1/\varepsilon} = \{\lambda \in \mathbb{P}^1; |\lambda| > 1/\varepsilon\},$$

$$E^{(\varepsilon)} = \{\lambda \in \mathbb{P}^1; \varepsilon < |\lambda| < 1/\varepsilon\}.$$

Now put  $I^{(\varepsilon)} = I_\varepsilon \cup I_{1/\varepsilon}$  and  $C^{(\varepsilon)} = C_\varepsilon \cup C_{1/\varepsilon}$  so that  $\mathbb{P}^1 = I^{(\varepsilon)} \cup C^{(\varepsilon)} \cup E^{(\varepsilon)}$ . For a map  $\xi : C^{(\varepsilon)} \rightarrow \mathfrak{g}_c^\mathbb{C}$ , define  $A_\xi : C^{(\varepsilon)} \rightarrow \mathfrak{so}(3)^\mathbb{C}$  and  $b_\xi : C^{(\varepsilon)} \rightarrow \mathbb{C}^3$  by

$$\xi(\lambda) = \begin{pmatrix} A_\xi(\lambda) & b_\xi(\lambda) \\ -\text{sign}(c)^t b_\xi(\lambda) & 0 \end{pmatrix},$$

where  $\lambda \in C^{(\varepsilon)}$ . We define a bijective map  $\iota : \mathbb{C}^3 \rightarrow \mathfrak{so}(3)^\mathbb{C}$  by

$$\iota(p) = \begin{pmatrix} 0 & -p^3 & p^2 \\ p^3 & 0 & -p^1 \\ -p^2 & p^1 & 0 \end{pmatrix} \text{ for } p = \begin{pmatrix} p^1 \\ p^2 \\ p^3 \end{pmatrix} \in \mathbb{C}^3.$$

First we define a loop group  $\Lambda^\varepsilon G_c$  by

$$\Lambda^\varepsilon G_c = \left\{ g \in C^\infty \left( C^{(\varepsilon)}, G_c^\mathbb{C} \right); \overline{g(\lambda)} = g(1/\bar{\lambda}) \text{ for } \lambda \in C^{(\varepsilon)} \right\},$$

where conjugation is the Cartan involution of  $G_c^\mathbb{C}$  fixing  $G_c$ . Then the Lie algebra  $\Lambda^\varepsilon \mathfrak{g}_c$  of the Lie group  $\Lambda^\varepsilon G_c$  is defined by

$$\Lambda^\varepsilon \mathfrak{g}_c = \left\{ \xi \in C^\infty \left( C^{(\varepsilon)}, \mathfrak{g}_c^\mathbb{C} \right); \overline{\xi(\lambda)} = \xi(1/\bar{\lambda}) \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

(For the definition of the manifold structure, we refer to [M], [OMYK], [PS].)

Then the following loop algebras are important for us to define loop groups.

For  $c = 0, \rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}$ , we set

$$\Lambda^{\varepsilon, \rho} \mathfrak{g}_c = \left\{ \xi \in \Lambda^\varepsilon \mathfrak{g}_c; \lambda \frac{dA_\xi}{d\lambda} = \rho \iota(b_\xi) \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

For  $c = 0, \rho = 0$ , we set

$$\Lambda^{\varepsilon, \rho} \mathfrak{g}_c = \left\{ \xi \in \Lambda^\varepsilon \mathfrak{g}_c; b_\xi(\lambda) = 0 \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

For  $c \neq 0, \rho \in \sqrt{-1}\mathbb{R}$ , we set

$$\alpha_n = \begin{cases} \frac{1}{2} \{(\rho + 1)^n + (\rho - 1)^n\} & \text{if } c > 0, \\ \frac{1}{2} \{(\rho - \sqrt{-1})^n + (\rho + \sqrt{-1})^n\} & \text{if } c < 0, \end{cases}$$

$$\beta_n = \begin{cases} \frac{1}{2} \{(\rho + 1)^n - (\rho - 1)^n\} & \text{if } c > 0, \\ \frac{\sqrt{-1}}{2} \{(\rho - \sqrt{-1})^n - (\rho + \sqrt{-1})^n\} & \text{if } c < 0 \end{cases}$$

for  $n \in \mathbb{Z}$ . Here we put  $0^0 = 1$ . Then we set

$$\Lambda^{\varepsilon, \rho} \mathfrak{g}_c = \left\{ \xi \in \Lambda^\varepsilon \mathfrak{g}_c; A_\xi \text{ and } b_\xi \text{ have Fourier series } (*) \right\},$$

where

$$(*) \quad A_\xi(\lambda) = \sum_{n \in \mathbb{Z}} \alpha_n \iota(a_n) \lambda^n, \quad b_\xi(\lambda) = \sum_{n \in \mathbb{Z}} \beta_n a_n \lambda^n \text{ for } a_n \in \mathbb{C}^3, \lambda \in C_\varepsilon.$$

It is easy to see that  $\Lambda^{\varepsilon, \rho} \mathfrak{g}_c$  is a Lie subalgebra of  $\Lambda^\varepsilon \mathfrak{g}_c$ . Then we have the following:

**Lemma 2.1.** Suppose one of the following conditions are satisfied:

(i)  $c = 0$  (ii)  $c > 0, (1 - \rho^2)\varepsilon^2 < 1$  (iii)  $c < 0, (1 + |\rho|)\varepsilon < 1$ .

Then we have a Lie subgroup  $\Lambda^{\varepsilon, \rho} G_c \subset \Lambda^\varepsilon G_c$  such that its Lie algebra is  $\Lambda^{\varepsilon, \rho} \mathfrak{g}_c$ .

*proof.*

Case I:  $c = 0, \rho = 0$ .

For  $g \in \Lambda^\varepsilon G_c$ , set  $T_g \in C^\infty(C^{(\varepsilon)}, SO(3)^\mathbb{C})$  and  $s_g \in C^\infty(C^{(\varepsilon)}, \mathbb{C}^3)$  by

$$g(\lambda) = \begin{pmatrix} T_g(\lambda) & s_g(\lambda) \\ 0 & 1 \end{pmatrix},$$

where  $\lambda \in C^{(\varepsilon)}$ . Then it is obvious to see that

$$\Lambda^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda^\varepsilon G_c; s_g(\lambda) = 0 \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

Case II:  $c = 0, \rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}$ .

By direct computation, it is easy to see that

$$\Lambda^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda^\varepsilon G_c; \lambda \frac{dT_g}{d\lambda} = \rho \iota(s_g) T_g \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

Case III:  $c > 0, \rho = 0$ .

In this case, we have

$$\Lambda^{\varepsilon, \rho} \mathfrak{g}_c = \left\{ \xi \in \Lambda^\varepsilon \mathfrak{g}_c; \xi(-\lambda) = \sigma_c \xi(\lambda), \overline{\xi(\lambda)} = \xi(1/\bar{\lambda}) \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

Hence we have

$$\Lambda^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda^\varepsilon G_c; g(-\lambda) = \sigma_c g(\lambda), \overline{g(\lambda)} = g(1/\bar{\lambda}) \text{ for } \lambda \in C^{(\varepsilon)} \right\}.$$

Case IV:  $c > 0, \rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}, (1 - \rho^2)\varepsilon^2 < 1$ .

It is well-known that the condition  $\xi \in C^\infty(C^{(\varepsilon)}, \mathfrak{g}_c^\mathbb{C})$  is equivalent to

$$(2.1) \quad \sum_{n \in \mathbb{Z}} (1 + n^2)^l (|\alpha_n|^2 + |\beta_n|^2) \varepsilon^{2n} |a_n|^2 < \infty$$

for any  $l > 0$ . Since  $|\alpha_n|^2 + |\beta_n|^2 = (1 - \alpha^2)^n$ , (2.1) is equivalent to

$$(2.2) \quad \sum_{n \in \mathbb{Z}} (1 + n^2)^l \left( \varepsilon \sqrt{1 - \rho^2} \right)^{2n} |a_n|^2 < \infty$$

for any  $l > 0$ . We set

$$(2.3) \quad \psi(\xi)(\lambda) = \begin{pmatrix} \sum_{n \in \mathbb{Z}} t(a_{2n}) \lambda^{2n} & \sum_{n \in \mathbb{Z}} a_{2n+1} \lambda^{2n+1} \\ -t \left( \sum_{n \in \mathbb{Z}} a_{2n+1} \lambda^{2n+1} \right) & 0 \end{pmatrix}$$

for  $\lambda \in C_\varepsilon$ . Note that from (2.2),  $\psi$  defines a well-defined Lie algebra isomorphism:

$$\psi : \Lambda^{\varepsilon, \rho} \mathfrak{g}_c \longrightarrow \Lambda^{\varepsilon \sqrt{1 - \rho^2}, 0} \mathfrak{g}_1.$$

Then by [OMYK, Theorem 3.2], we have a homomorphism:

$$\varphi : \widetilde{\Lambda^{\varepsilon \sqrt{1 - \rho^2}, 0} G_1} \longrightarrow \Lambda^\varepsilon G_c$$

such that  $d\varphi = \psi^{-1}$ , where we denote the universal covering group of a Lie group  $G$  as  $\tilde{G}$ . Set  $\Lambda^{\varepsilon, \rho} G_c = \text{Im} \varphi$ . Then  $\Lambda^{\varepsilon, \rho} G_c$  is the desired Lie subgroup of  $\Lambda^\varepsilon G_c$ .

Case V:  $c < 0, (1 + |\rho|)\varepsilon < 1$ .

Since  $|\alpha_n|^2 + |\beta_n|^2 = \frac{1}{2} \{(1 + |\rho|)^{2n} + (1 - |\rho|)^{2n}\}$ ,  $\xi \in C^\infty(C^{(\varepsilon)}, \mathfrak{g}_c^\mathbb{C})$  is equivalent to

$$(2.4) \quad \sum_{n \in \mathbb{Z}} (1 + n^2)^l \{(1 \pm |\rho|)\varepsilon\}^{2n} |a_n|^2 < \infty$$

for any  $l > 0$ . If we define  $\psi(\xi)(\lambda)$  by (2.3), from (2.4),  $\psi$  defines a well-defined Lie algebra isomorphism:

$$\psi : \Lambda^{\varepsilon, \rho} \mathfrak{g}_c \longrightarrow \begin{cases} \Lambda^{(1+|\rho|)\varepsilon, 0} \mathfrak{g}_1 \cap \Lambda^{[1-|\rho|]\varepsilon, 0} \mathfrak{g}_1 & \text{if } |\rho| \neq 1, \\ \Lambda^{(1+|\rho|)\varepsilon, 0} \mathfrak{g}_1 & \text{if } |\rho| = 1. \end{cases}$$

Similar to the case IV, we have the desired Lie subgroup  $\Lambda^{\varepsilon, \rho} G_c$ .  $\square$

We identify  $K_c$  with  $SO(3)$  and fix an Iwasawa decomposition of  $SO(3)^\mathbb{C}$ :  $SO(3)^\mathbb{C} = SO(3)B$ , where  $B$  is a Borel subgroup of  $SO(3)^\mathbb{C}$ . We define subgroups of  $\Lambda^{\varepsilon, \rho} G_c$  as follows.

$$\Lambda_E^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda^{\varepsilon, \rho} G_c; g \text{ extends holomorphically to } g : E^{(\varepsilon)} \rightarrow G_c^\mathbb{C} \right\},$$

$$\Lambda_I^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda^{\varepsilon, \rho} G_c; g \text{ extends holomorphically to } g : I^{(\varepsilon)} \rightarrow G_c^\mathbb{C} \right\}.$$

It is easy to see that  $g \in \Lambda_I^{\varepsilon, \rho} G_c$  satisfies  $g(0) \in K_c^\mathbb{C}$ . We define a subgroup of  $\Lambda_I^{\varepsilon, \rho} G_c$  by

$$\Lambda_{I,B}^{\varepsilon, \rho} G_c = \left\{ g \in \Lambda_I^{\varepsilon, \rho} G_c; g(0) \in B \right\}.$$

Then we obtain the following Iwasawa type decomposition for  $\Lambda^{\varepsilon, \rho} G_c$ .

**Lemma 2.2.** Suppose one of the following conditions are satisfied:

- (i)  $c = 0$  (ii)  $c > 0$ ,  $(1 - \rho^2)\varepsilon^2 < 1$  (iii)  $c < 0$ ,  $(1 + |\rho|)\varepsilon < 1$ .

Then a map defined by multiplication

$$\Lambda_E^{\varepsilon, \rho} G_c \times \Lambda_{I,B}^{\varepsilon, \rho} G_c \longrightarrow \Lambda^{\varepsilon, \rho} G_c$$

is bijective.

*proof.*

Case I:  $c = 0, \rho = 0$  or  $c > 0, \rho = 0$ .

This is a special case of the result due to McIntosh [M, Proposition 6.2].

Case II:  $c = 0, \rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}$ .

Similar to the case I, for  $g \in \Lambda^{\varepsilon, \rho} G_c$ , since  $T_g$  satisfies  $\overline{T_g(\lambda)} = T_g(1/\bar{\lambda})$  for  $\lambda \in C^{(\varepsilon)}$ , there exist  $T_1, T_2 \in C^\infty(C^{(\varepsilon)}, SO(3)^\mathbb{C})$  such that (i)  $T_g = T_1 T_2$ , (ii)  $\overline{T_i(\lambda)} = T_i(1/\bar{\lambda})$  for  $\lambda \in C^{(\varepsilon)}$ ,  $i = 1, 2$ , (iii)  $T_1$  extends holomorphically to  $T_1 : E^{(\varepsilon)} \longrightarrow SO(3)^\mathbb{C}$ , (iv)  $T_2$  extends holomorphically to  $T_2 : I^{(\varepsilon)} \longrightarrow SO(3)^\mathbb{C}$ , (v)  $T_2(0) \in B$ . We define  $s_1, s_2 \in C^\infty(C^{(\varepsilon)}, \mathbb{C}^3)$  by

$$\lambda \frac{dT_i}{d\lambda} = \rho \iota(s_i) T_i \quad \text{for} \quad i = 1, 2.$$

By definition, we have (i)  $\overline{s_i(\lambda)} = s_i(1/\bar{\lambda})$  for  $\lambda \in C^{(\varepsilon)}$ ,  $i = 1, 2$ . (ii)  $s_1$  extends holomorphically to  $s_1 : E^{(\varepsilon)} \rightarrow \mathbb{C}^3$ . (iii)  $s_2$  extends holomorphically to  $s_2 : I^{(\varepsilon)} \rightarrow \mathbb{C}^3$ , (iv)  $s_2(0) = 0$ . On  $C^{(\varepsilon)}$  we have

$$\begin{pmatrix} T_1 & s_1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} T_2 & s_2 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} T_1 T_2 & T_1 s_2 + s_1 \\ 0 & 1 \end{pmatrix},$$

Direct computation shows that

$$\lambda \frac{d(T_1 T_2)}{d\lambda} = \rho t(T_1 s_2 + s_1) T_1 T_2.$$

Hence we have the desired decomposition.

Case III:  $c > 0$ ,  $\rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}$ ,  $(1 - \rho^2)\varepsilon^2 < 1$ .

Using the decomposition of  $\Lambda^{\varepsilon}\sqrt{1-\rho^2,0}G_1$  and the covering map

$$\varphi : \widetilde{\Lambda^{\varepsilon}\sqrt{1-\rho^2,0}G_1} \longrightarrow \Lambda^{\varepsilon}G_c,$$

we have the following decomposition:

$$\widetilde{\Lambda^{\varepsilon,\rho}G_c} = \widetilde{\Lambda_E^{\varepsilon,\rho}G_c} \widetilde{\Lambda_{I,B}^{\varepsilon,\rho}G_c}.$$

Since any  $g \in \Lambda_{I,B}^{\varepsilon,\rho}G_c$  is homotopic to a constant loop by definition of  $\Lambda_{I,B}^{\varepsilon,\rho}G_c$ ,  $\Lambda_{I,B}^{\varepsilon,\rho}G$  is simply connected. Hence we have the desired decomposition.

Case IV:  $c < 0$ ,  $(1 + |\rho|)\varepsilon < 1$ .

Similar to the case III, we have the desired decomposition.  $\square$

### §3 Extended framings

Let  $f \in \mathcal{H}_{H,c}$  and  $F$  be a framing of  $f$ . Then direct computation shows that  $\frac{1}{2}\text{trace}\nabla df = Hnf$  is equivalent to

$$(3.1) \quad (d\alpha'_{\mathfrak{m}} + [\alpha_{\mathfrak{k}} \wedge \alpha'_{\mathfrak{m}}]) - (d\alpha''_{\mathfrak{m}} + [\alpha_{\mathfrak{k}} \wedge \alpha''_{\mathfrak{m}}]) = 2\sqrt{-1}HL(c)\alpha'_{\mathfrak{m}} \times \alpha''_{\mathfrak{m}}.$$

Taking the  $\mathfrak{m}_c$ - and  $\mathfrak{k}_c$ -parts of the Maurer-Cartan equations for  $\alpha$ , we have

$$(3.2) \quad (d\alpha'_{\mathfrak{m}} + [\alpha_{\mathfrak{k}} \wedge \alpha'_{\mathfrak{m}}]) + (d\alpha''_{\mathfrak{m}} + [\alpha_{\mathfrak{k}} \wedge \alpha''_{\mathfrak{m}}]) = 0$$

and

$$(3.3) \quad d\alpha_{\mathfrak{k}} + \frac{1}{2}[\alpha_{\mathfrak{k}} \wedge \alpha_{\mathfrak{k}}] + [\alpha'_{\mathfrak{m}} \wedge \alpha''_{\mathfrak{m}}] = 0.$$

Equations (3.1) and (3.2) are equivalent to

$$(3.4) \quad d\alpha'_{\mathfrak{m}} + [\alpha_{\mathfrak{k}} \wedge \alpha'_{\mathfrak{m}}] = \sqrt{-1}HL(c)\alpha'_{\mathfrak{m}} \times \alpha''_{\mathfrak{m}}.$$

If we write  $\alpha'_{\mathfrak{m}}$  as

$$(3.5) \quad \alpha'_{\mathfrak{m}} = \begin{pmatrix} 0 & b' \\ -\text{sign}(c)^t b' & 0 \end{pmatrix}$$

for  $b' \in \Omega^{1,0}(M, \mathbb{C}^3)$ , we shall define  $\iota(\alpha'_{\mathfrak{m}}) \in \Omega^{1,0}(M, \mathfrak{f}_c^{\mathbb{C}})$  by

$$\iota(\alpha'_{\mathfrak{m}}) = \begin{pmatrix} \iota(b') & 0 \\ 0 & 0 \end{pmatrix}.$$

We define  $\iota(\alpha''_{\mathfrak{m}}) \in \Omega^{0,1}(M, \mathfrak{f}_c^{\mathbb{C}})$  similarly. For  $\lambda \in \mathbb{C} \setminus \{0\}$ , define a  $\mathfrak{g}_c^{\mathbb{C}}$ -valued 1-form by

$$\begin{aligned} \alpha_{\lambda} &= \lambda^{-1}\alpha'_{\mathfrak{m}} + \lambda\alpha''_{\mathfrak{m}} \\ &+ \alpha_{\mathfrak{k}} - \sqrt{-1}HL(c)(\lambda^{-1} - 1)\iota(\alpha'_{\mathfrak{m}}) + \sqrt{-1}HL(c)(\lambda - 1)\iota(\alpha''_{\mathfrak{m}}). \end{aligned}$$

It is computed in [F] that  $\alpha$  satisfies equations (3.3) and (3.4) if and only if

$$d\alpha_{\lambda} + \frac{1}{2}[\alpha_{\lambda} \wedge \alpha_{\lambda}] = 0$$

for all  $\lambda \in \mathbb{C} \setminus \{0\}$ . Then from the standard fact, there exists a map  $F_{\lambda} : M \rightarrow G_c^{\mathbb{C}}$ , unique up to left translation by a constant element of  $G_c^{\mathbb{C}}$ , satisfying  $F_{\lambda}^{-1}dF_{\lambda} = \alpha_{\lambda}$ . Furthermore, we set

$$\Lambda_{\text{hol}}^{\rho}G_c = \bigcap_{0 < \varepsilon < 1} \Lambda_E^{\varepsilon, \rho}G_c.$$

These observations lead us to the following definition.

**Definition 3.1.** A map  $F_{\lambda} : M \rightarrow \Lambda_{\text{hol}}^{\rho}G_c$  is an extended framing if  $\lambda F_{\lambda}^{-1}\partial F_{\lambda}$  is holomorphic at  $\lambda = 0$ , where  $F_{\lambda}^{-1}\partial F_{\lambda}$  is the (1,0)-part of  $F_{\lambda}^{-1}dF_{\lambda}$ .

Then it is easy to see that  $f \in \mathcal{H}_{H,c}$  admits an extended framing  $F_{\lambda} : M \rightarrow \Lambda_{\text{hol}}^{\sqrt{-1}HL(c)}G_c$  such that  $F_1$  is a framing of  $f$ . Conversely, if  $F_{\lambda} : M \rightarrow \Lambda_{\text{hol}}^{\sqrt{-1}HL(c)}G_c$  is an extended framing with  $F_{\lambda}(p_0) \in K_c$ , then  $\pi \circ F_1 \in \mathcal{H}_{H,c}$ . We set  $\mathcal{E}_{H,c} =$

$$\left\{ F_{\lambda} : M \rightarrow \Lambda_{\text{hol}}^{\sqrt{-1}HL(c)}G_c ; F_{\lambda} \text{ is an extended framing}, F_{\lambda}(p_0) \in K_c \right\},$$

$$\mathcal{K}_c = C^\infty(M, K_c).$$

Then we have a bijective correspondence

$$\mathcal{H}_{H,c} \cong \mathcal{E}_{H,c}/\mathcal{K}_c$$

which maps  $f \in \mathcal{H}_{H,c}$  to  $\{F_\lambda\} \in \mathcal{E}_{H,c}/\mathcal{K}_c$ , where  $\mathcal{K}_c$  acts by point-wise multiplication on the right.

#### §4 Action of $\Lambda_I^{\varepsilon,\rho}G_c$ on extended framings

For any  $g \in \Lambda^{\varepsilon,\rho}G_c$  such that (i)  $c = 0$ , or (ii)  $c > 0$ ,  $(1 - \rho^2)\varepsilon^2 < 1$  or (iii)  $c < 0$ ,  $(1 + |\rho|)\varepsilon < 1$ , we have a unique factorization by Lemma 2.2

$$g = g_E g_I,$$

where  $g_E \in \Lambda_E^{\varepsilon,\rho}G_c$ ,  $g_I \in \Lambda_{I,B}^{\varepsilon,\rho}G_c$ . Then we define an action of  $\Lambda_I^{\varepsilon,\rho}G_c$  on  $\Lambda_E^{\varepsilon,\rho}G_c$  by

$$g \# h = (gh)_E,$$

where  $g \in \Lambda_I^{\varepsilon,\rho}G_c$ ,  $h \in \Lambda_E^{\varepsilon,\rho}G_c$ .

**Proposition 4.1.** Let  $\rho = \sqrt{-1}HL(c)$ ,  $g \in \Lambda_I^{\varepsilon,\rho}G_c$  and  $F_\lambda : M \rightarrow \Lambda_{\text{hol}}^\rho G_c$  be an extended framing. Define  $g \# F_\lambda : M \rightarrow \Lambda_{\text{hol}}^\rho G_c$  by

$$(g \# F_\lambda)(p) = g \# (F_\lambda(p)),$$

for  $p \in M$ . Then

- (i)  $g \# F_\lambda$  is also an extended framing.
- (ii) If  $F_\lambda$  is based (that is,  $F_\lambda \in \mathcal{E}_{H,c}$ ) then so is  $g \# F_\lambda$ .
- (iii) If  $k \in \mathcal{K}_c$  then

$$g \# (F_\lambda k) = (g \# F_\lambda) \bar{k}$$

with  $\bar{k} \in \mathcal{K}_c$ .

Thus  $\Lambda_I^{\varepsilon,\rho}G_c$  acts on  $\mathcal{H}_{H,c} = \mathcal{E}_{H,c}/\mathcal{K}_c$ .

*proof.* We just follow directly [BP, Proposition 2.9].  $\square$

Furthermore, we have

**Lemma 4.2.** Let  $g \in \Lambda_I^{\varepsilon,\sqrt{-1}HL(c)}G_c$  and  $F_\lambda \in \mathcal{E}_{H,c}$ . If  $\pi \circ F_\lambda$  is weakly conformal, so is  $\pi \circ (g \# F_\lambda)$ .

*proof.* Write

$$gF_\lambda = pq,$$

where  $p = g \# F_\lambda$ ,  $q : M \longrightarrow \Lambda_{I,B}^{\varepsilon, \sqrt{-1}HL(c)} G_c$ . Then

$$p^{-1} dp = \text{Ad}q(F_\lambda^{-1} dF_\lambda - q^{-1} dq).$$

Hence if we write  $\alpha'_m$  as (3.5), the  $(1,0)$ -part of the  $m_c$ -part of  $p^{-1} dp$  is

$$\begin{pmatrix} 0 & Qb' \\ -\text{sign}(c)^t(Qb') & 0 \end{pmatrix},$$

where

$$q(0) = \begin{pmatrix} Q & 0 \\ 0 & 1 \end{pmatrix}$$

with  $Q \in B$ . This completes the proof.  $\square$

**Lemma 4.3.** *There exist Lie group isomorphisms*

- (i)  $\Lambda_I^{\varepsilon, \rho} G_c \cong \Lambda_I^{\varepsilon, 0} G_1$ , if  $c = 0$ ,
- (ii)  $\Lambda_I^{\varepsilon, \rho} G_c \cong \Lambda_I^{\varepsilon \sqrt{1-\rho^2}, 0} G_1$  if  $c > 0$ ,  $\varepsilon \sqrt{1-\rho^2} < 1$ ,
- (iii)  $\Lambda_I^{\varepsilon, \rho} G_c \cong \Lambda_I^{\varepsilon(1+|\rho|), 0} G_1$  if  $c < 0$ ,  $\varepsilon(1+|\rho|) < 1$ .

*proof.* By the proof of Lemma 2.1 and Lemma 2.2, we have (ii) and (iii). We have only to show (i).

Case I:  $\rho = 0$ .

For  $\xi \in \Lambda^{\varepsilon, 0} \mathfrak{g}_c$ , we have a Fourier series of  $A_\xi$ :

$$A_\xi(\lambda) = \sum_{n \in \mathbb{Z}} \iota(a_n) \lambda^n,$$

where  $a_n \in \mathbb{C}^3$ ,  $\lambda \in C_\varepsilon$ . We set  $\psi(\xi)(\lambda)$  by (2.3). Then  $\psi$  defines a well-defined Lie algebra isomorphism:

$$\psi : \Lambda^{\varepsilon, 0} \mathfrak{g}_c \longrightarrow \Lambda^{\varepsilon, 0} \mathfrak{g}_1.$$

Hence we have the desired isomorphism.

Case II:  $\rho \in \sqrt{-1}\mathbb{R} \setminus \{0\}$ .

For  $\xi \in \Lambda^{\varepsilon, \rho} \mathfrak{g}_c$ , it is straightforward to see that we have Fourier series:

$$A_\xi(\lambda) = \iota(a_0) + \sum_{n \neq 0} \frac{\rho}{n} \iota(a_n) \lambda^n, b_\xi(\lambda) = \sum_{n \in \mathbb{Z}} a_n \lambda^n,$$

where  $a_n \in \mathbb{C}^3$ ,  $\lambda \in C_\varepsilon$ . We set  $\psi(\xi)(\lambda)$  by

$$\psi(\xi)(\lambda) = \begin{pmatrix} \iota(a_0) + \sum_{n \neq 0} \frac{\rho}{2n} \iota(a_{2n}) \lambda^{2n} & \sum_{n \in \mathbb{Z}} \frac{a_{2n+1}}{2n+1} \lambda^{2n+1} \\ -\iota \sum_{n \in \mathbb{Z}} \frac{a_{2n+1}}{2n+1} \lambda^{2n+1} & 0 \end{pmatrix},$$

for  $\lambda \in C_\varepsilon$ . Then  $\psi$  defines a well-defined Lie algebra isomorphism:

$$\psi : \Lambda^{\varepsilon, \rho} \mathfrak{g}_c \longrightarrow \Lambda^{\varepsilon, 0} \mathfrak{g}_1.$$

Hence we have the desired isomorphism.  $\square$

On the other hand, we have

**Theorem 4.4** [F]. Let  $H, H', c, c' \in \mathbb{R}$ . If  $\text{sign}(H^2 + c) = \text{sign}(H'^2 + c')$ , then there exists a bijective map

$$\Psi : \mathcal{H}_{H,c} \longrightarrow \mathcal{H}_{H',c'}$$

such that  $\Psi(\mathcal{C}_{H,c}) = \mathcal{C}_{H',c'}$ .

By Lemma 4.2, Lemma 4.3 and Theorem 4.4, we have the following:

**Theorem 4.5.** Let  $H, H', c, c' \in \mathbb{R}$ . If  $\text{sign}(H^2 + c) = \text{sign}(H'^2 + c')$ , then there exists a loop group  $\mathcal{G}_{H,c}$  (respectively  $\mathcal{G}_{H',c'}$ ) acting on  $\mathcal{H}_{H,c}$  (respectively  $\mathcal{H}_{H',c'}$ ) such that

(i)  $\mathcal{G}_{H,c}$  and  $\mathcal{G}_{H',c'}$  are defined by  $\mathcal{G}_{H,c} = \Lambda_I^{\varepsilon, \sqrt{-1}HL(e)} G_c$ ,  $\mathcal{G}_{H',c'} = \Lambda_I^{\varepsilon', \sqrt{-1}H'L(e')} G_{c'}$  for suitable  $\varepsilon, \varepsilon'$  with  $0 < \varepsilon, \varepsilon' < 1$ ,

(ii) there exists a Lie group isomorphism

$$\Phi : \mathcal{G}_{H,c} \longrightarrow \mathcal{G}_{H',c'},$$

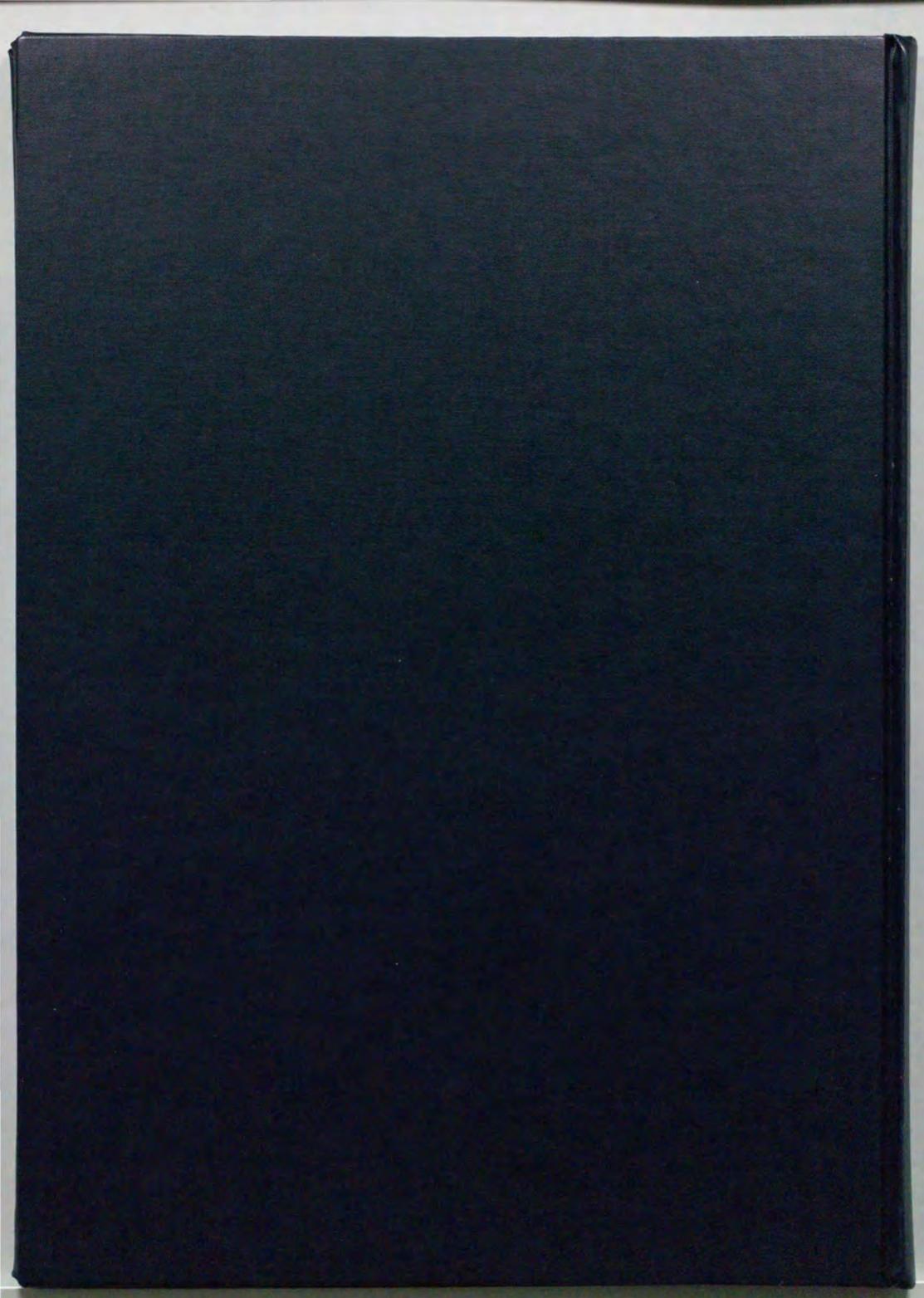
(iii) the bijective map  $\Psi : \mathcal{H}_{H,c} \longrightarrow \mathcal{H}_{H',c'}$  is  $\Phi$ -equivariant,

(iv)  $\mathcal{G}_{H,c}$  (respectively  $\mathcal{G}_{H',c'}$ ) acts on  $\mathcal{C}_{H,c}$  (respectively  $\mathcal{C}_{H',c'}$ ) and the bijective map  $\Psi|_{\mathcal{C}_{H,c}} : \mathcal{C}_{H,c} \longrightarrow \mathcal{C}_{H',c'}$  is  $\Phi$ -equivariant.

#### REFERENCES

- [BG] M. J. Bergvelt and M. A. Guest, *Actions of loop groups on harmonic maps*, Trans. Amer. Math. Soc. **326** (1991), 861–886.
- [BP] F. E. Burstall and F. Pedit, *Dressing orbits of harmonic maps*, preprint (1994).
- [F] A. Fujioka, *Harmonic maps and associated maps from simply connected Riemann surfaces into the 3-dimensional space forms*, Tôhoku Math. J. **47** (1995), 431–439.
- [GO] M. A. Guest and Y. Ohnita, *Group actions and deformations for harmonic maps*, J. Math. Soc. Japan **45** (1993), 671–704.
- [Mc] I. McIntosh, *Global solutions of the elliptic 2D periodic Toda lattice*, Nonlinearity **7** (1994), 85–108.
- [M] J. W. Milnor, *Remarks on infinite dimensional Lie groups*, in : Relativity, Groups and Topology II, B.S. de Witt, R. Stora (editors) (1984), North-Holland, Amsterdam.
- [OMYK] H. Omori, Y. Maeda, A. Yoshioka and O. Kobayashi, *On Regular Fréchet-Lie Groups V*, Tokyo J. Math. **6** (1983), 39–64.
- [PS] A. N. Pressley and G. B. Segal, *Loop groups*, Oxford University Press, 1986.
- [U] K. Uhlenbeck, *Harmonic maps into Lie groups (Classical solutions of the chiral model)*, J. Differential Geom. **30** (1989), 1–50.

3-8-1 KOMABA, MEGURO-KU, TOKYO 153, JAPAN



inches  
cm

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19

## Kodak Color Control Patches

© Kodak 2007 TM Kodak

Blue Cyan Green Yellow Red Magenta White 3/Color Black



© Kodak 2007 TM Kodak

## Kodak Gray Scale

A 1 2 3 4 5 6 M 8 9 10 11 12 13 14 15 B 17 18 19

