博士論文（要約）

# Toward complete reconstruction of repetitive sequences in metagenome and centromere 

 （メタゲ）ムおよびセントロメアにおける反復配列の完全な再構成に向けて）
# Toward complete reconstruction of repetitive sequences in metagenome and centromere 

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## Yoshihiko Suzuki

鈴木慶彦
（Supervisor：Professor Shinichi Morishita） （指導教員：森下真一 教授）

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## Abstract

Sequence assembly, i.e., reconstruction of the original DNA sequences from their subsequences observed, has been one of the most principal challenges in bioinformatics since the dawn of its history. Repetitive sequences (repeats) are a major intrinsic source of the difficulty in assembling sequence fragments because they cause a serious ambiguity. Repetitiveness in a genome sequence takes several forms. The most typical repeats are interspersed repeats mainly caused by mobile genetic elements. Tandem repeats are abundant especially in eukaryotic genomes and known to be associated with many diseases and also as major components of centromeres in a variety of organisms. In a broad sense, ploidy of a genome is regarded as chromosome-scale repeats, and similar strains in a metagenome would be deemed as real-valued ploidy. The continuous evolution of sequencing technologies and algorithms for overcoming repeats has made it possible to achieve near-complete assembly of large genomes. Still now, however, there remain genomes and genomic regions difficult to perfectly reconstruct.

Various instances of the above-mentioned repeat types exist in real genomes. In this thesis, we especially focus on the following two relevant, unresolved research topics in genome science:

1) complete extrachromosomal mobile genetic elements (eMGEs), specifically plasmids and bacteriophages, in 12 human gut metagenomes; and
2) tandem repeats of $\sim 360 \mathrm{bp}$ units of the $1.688 \mathrm{gm} / \mathrm{cm}^{3}$ satellite family in centromeres of Drosophila melanogaster F1 (A4 $\times$ ISO strains) females.

In both cases, we assume no prior (incomplete) sequence databases and thus assemble the reads de novo. The types of the reads assembled are continuous long reads of the PacBio RS II sequencer with an error rate $\sim 15 \%$ for metagenomes, and public circular consensus reads of the PacBio Sequel II sequencer with an error rate up to $\sim 1 \%$ for centromeres.

A common strategy in genome assembly is the overlap-layout-consensus paradigm that first detects true overlaps between reads from all possible read pairs. We remark why the traditional pairwise method of overlapping reads
cannot resolve（approximate）repeats to rebuild the original sequences properly．
One can simply resolve approximate／exact repeats by spanning each repeat by reads．Finding a true overlap can be achieved with a high probability if the overlap is not contained in maximal approximate repeats of the genome sequence．One approach for locating unsettled repeats is to utilize some additional guidance on true read pairs such as optical mapping and Hi－C contact information．On the other hand，read overlap with a model on the background genome sequence is promising in finding more true overlaps by integrating out the sequencing errors on the conserved regions among repeats in the genome model．This provides a rationale for variant－detection－based methods employed in some recent works． There is，however，an inevitable limit due to sequencing errors where some of the pairwise read overlaps could fail even if we employ an appropriate genome model． Therefore，simultaneous estimation of the genome model and read overlaps is required ultimately．

## Chapter 2：Long－read metagenomic exploration of extrachromoso－ mal mobile genetic elements in the human gut

For the reconstruction of complete eMGEs from metagenomes，we took the approach based on external information．We first generated conservative unitigs and then mapped the labels of unitig binning onto the string graph to untangle edges on chimeric nodes by concatenating edges consistently labeled with the same bin ID．

De novo assembly of continuous long reads from 12 human faecal samples （ $\sim 11 \mathrm{~Gb}$ total bases and $\sim 8 \mathrm{~kb}$ mean subread length per sample）generated 82 eMGE contigs（ $2.5-666.7 \mathrm{kbp}$ ），which were classified as 71 plasmids and 11 bacteriophages，including 58 novel plasmids and six bacteriophages，and complete genomes of five diverse crAssphages with terminal direct repeats．In a dataset of 413 gut metagenomes from five countries，many of the identified plasmids were highly abundant and prevalent．Host microbes of the assembled eMGEs were predicted using several signatures including DNA methylation motifs reported by a PacBio＇s official tool，and the result suggested that Bacteroidetes－associated plasmids predominated，regardless of microbial abundance．

## Chapter 3

第 3 章は 5 年以内に出版される予定であるため，最長で 2025 年 3 月 22 日まで インターネット公表できません。
（Chapter 3 cannot be made public on the Internet until up to March 22nd，2025， because the relevant content is scheduled to be published within 5 years．）

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The contents in Chapter 2 have been published in Microbiome as an article (Suzuki et al., 2019). The texts, figures and tables are taken from the publication. I developed computational methods, performed computational analyses, and wrote the manuscript with Suguru Nishijima as a joint-first author. See the bibliography for details.

The contents in Chapter 3 have been under review in Genome Research as an article. I developed all methods, performed all analyses, and wrote the manuscript as the first author coauthored with Shinichi Morishita and Gene Myers.

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## Chapter 1

## General introduction

Genome sequences are a fundamental basis of both biology and life sciences. However, for now we cannot observe an entire genome sequence at once, but instead observe fragments (i.e., reads) of the sequence with errors. Genome assembly is an inverse problem of reconstructing the original genome sequence from a set of these noisy substrings. Resulting sequences are seldom complete, and these are called contigs when no information on the gaps between them is available. Contigs with gap information are called scaffolds.

Note that there is a special case of the genome assembly problem where we already have previously assembled contigs or scaffolds and use them as a guide for the next assembly. This is called reference-based assembly or resequencing and useful especially for clinical applications, but we do not particularly touch the topic here. In other words, we treat only de novo genome assembly in this chapter.

The history of genome assembly is that of sequencing technologies and algorithms except the evolution of computers. As seen everywhere, there are some intimate combinations between specific sequencing technologies (i.e., data) and algorithms in genome assembly as well. In this chapter, we first outline the transition of the formulations of the genome assembly problem along with that of sequencing technologies. During it, so-called two major approaches currently used in genome assembly are introduced: the string graph and the de Bruijn graph, while the essence of both methods is quite same. Then, we go into an important subproblem generally employed in the former formulation: the pairwise sequence alignment problem. After that, we proceed to describe the difficulties in assembly of real genomes, specifically repetitive sequences (repeats), and related works for solving these issues.

### 1.1 Transitions of genome assembly

### 1.1.1 From shotgun sequencing to whole genome shotgun sequencing

The basic concept of shotgun sequencing is simple. Given multiple copies of a genome to be sequenced, it first shears the copies at random and then determines the sequences of the sheared fragments somehow. Additional biological experiments could be performed to determine the order and orientation of the contigs.

The effectiveness of genome assembly via shotgun sequencing using DNA cloning was practically demonstrated by the complete assembly of $\sim 50-\mathrm{kbp}$ bacteriophage lambda with Sanger sequencing (Sanger et al., 1982). Then, shotgun sequencing and its randomness were strongly supported by a statistical theory on the sequencing coverage and the number and length of contigs (Lander and Waterman, 1988) and another theory on the relationship between coverage and consensus sequence accuracy (Churchill and Waterman, 1992).

The genome assembly problem was initially regarded as the shortest common superstring (SCS) problem (Peltola et al., 1984), and several approximate and heuristic algorithms for the NP-hard problem were proposed (Turner, 1989; Ukkonen, 1990; Kececioglu and Myers, 1995). However, Sanger reads of the order of hundred nucleotides were much shorter than typical repeats in real genomes, and it was revealed that the SCS formulation was inappropriate for repeats longer than reads. That is, such repeats are over-compressed in the contigs produced based on the SCS criterion (Kececioglu and Myers, 1995).

In lieu of it, the early concept of the string graph was first introduced by Myers (1995) along with the formulation of the genome assembly problem using the Kolmogorov-Smirnoff (KS) test statistic, which requires reads to be uniformly distributed in the contigs to avoid over-compression of repeats. This graph-based layout strategy can be regarded as a successor to the overlap graph (Kececioglu and Myers, 1995) originally developed under the SCS formulation.

The string graph approach inherited the hierarchical architecture consisting of three major steps, namely overlap-layout-consensus (OLC), proposed in the overlap graph approach. That is, one first determines overlaps between reads from all possible read pairs in a manner described later, then builds a graph from the overlaps and picks up paths in the graph somehow to produce contigs, and finally computes a consensus sequence of each contig using reads belonging to the contig. The polished statement of the string graph was subsequently published in 2005 (Myers, 2005). The idea of KS statistic was then replaced with $A$-statistic of a contig that evaluates the repetitiveness of the contig (Myers et al., 2000; Myers,
2005) and a network-flow-based graph traversal for finding a generalized Eulerian tour in a string graph (Myers, 2005).

The shotgun method had been adopted in many assembly projects (The $C$. elegans Sequencing Consortium, 1998; International Human Genome Sequencing Consortium, 2001), but the clone-by-clone sequencing employed in these projects was extremely laborious especially in the case of large and complex genomes. Therefore, a more economical approach, the whole genome shotgun sequencing, began to be common around 2000 (Myers et al., 2000; Venter et al., 2001) with the help of technology leap including paired-end sequencing (Roach et al., 1995; Weber and Myers, 1997).

The application range of whole genome shotgun sequencing is beyond single genomes. Whole metagenome shotgun sequencing was also conducted to investigate microbial communities in a culture-independent manner (Venter et al., 2004; Tyson et al., 2004). Note that, in metagenome assembly, we cannot estimate the repetitiveness of a contig in the same manner as single genome assembly. This is because the abundance of each microbe in a metagenome is highly uneven (Venter et al., 2004; Arumugam et al., 2011).

### 1.1.2 Whole genome shotgun assembly with massive short reads

In the same volume of a journal in which the initial string graph concept was published (Myers, 1995), another novel $k$-mer-based method for genome assembly was proposed (Idury and Waterman, 1995). This direction was further pursued by Pevzner et al. (2001) and formalized using the de Bruijn graph.

The de Bruijn graph approach aims at finding a generalized Eulerian tour as well as the string graph, but the way of constructing a graph is different. That is, a de Bruijn graph is made from every $k$-mer occurring in the given reads and exact ( $k-1$ )-mer suffix-prefix matches between the $k$-mers. These restrictions enable a very fast graph construction while avoiding the quadratic time complexity of the all-vs-all overlap step in the string graph approach. This computationally efficient property of the de Bruijn graph matched very well with the high-throughput short-read sequencing emerged around 2005, and it quickly became widely used including metagenomics.

Another important merit of using $k$-mers is that it is easy to combine with algorithms based on the multiplicity of $k$-mers such as error correction (Pevzner et al., 2001). Namiki et al. (2012) utilizes the difference in the $k$-mer frequencies to decompose the de Bruijn graph of metagenomic reads into subgraphs each of which represents single species. Note that, however, such $k$-mer-based algorithms can indeed be applied independently of the de Bruijn graph.

### 1.1.3 The advent of single-molecule long reads

Short reads were unfortunately not suitable for perfect de novo genome assembly as described later, and sequencers capable of both high throughput and much longer read length were desired. Such sequencers practically appeared in 2011 for the first time and have continued to develop to date. Note that formerly "long reads" indicated Sanger reads, but hereinafter we mean only single-molecule long reads by long reads. We do not mention here about long-range technologies such as Hi-C, 10X and Bionano, although these are useful for versatile problems.

Long-read sequencing technologies, especially of Pacific Biosciences (PacBio) (Eid et al., 2009) and Oxford Nanopore Technologies (ONT) (Jain et al., 2015), directly handle single DNA molecules without amplification. This contributes to advantages other than read length, such as elimination of GC bias (Chaisson et al., 2015) and detection of native DNA methylation (mainly with PacBio so far) (Flusberg et al., 2010; Suzuki et al., 2016).

However, both technologies have a high sequencing error rate of $\sim 15 \%$ compared to the conventional sequencers. In addition, several specific error patterns have been confirmed although such errors occur at random in reads except some of those in the ONT sequencer that might be resolved by subsequent improvements on basecalling methods (Weirather et al., 2017). This intrinsic nature has forced researchers to develop specialized algorithms capable of handling very noisy reads. Some of them are introduced in the next section.

Unlike short reads, the string graph has been generally considered to match better than the de Bruijn graph for long reads because it can explicitly leverage the long read length and can ignore heavy sequencing errors via approximate string matching employed in the overlap step (Chin et al., 2016; Koren et al., 2017). Most of the early long-read assemblers perform the all-vs-all read overlap twice where the first stage computes consensus of each read using an alignment pileup and the second stage indeed determines overlaps for a string graph. However, some recent assemblers, such as Flye (Kolmogorov et al., 2019) and MARVEL (Nowoshilow et al., 2018), adopt strategies of skipping the first stage.

Flye's approach is based on repeat classification from alignments between raw reads. It builds a repeat graph in which each simple path corresponds to a repeat segment or unique segment in the genome, and then resolves the repeat segments as much as possible using spanning reads and small sequence variations within repeats. MARVEL is designed to be able to handle huge genomes, e.g., the axolotl genome of 32 Gbp , and has several features. MARVEL avoids the read correction phase by initial scrubbing of reads, i.e., correction of lowquality regions, unremoved adapters, ligation chimeras, etc., via investigation of the alignment pileup for each read. This strategy was originally proposed in

DAMASKER (https: //github. com/thegenemyers/DAMASKER) in 2016.
More recently, accurate long reads produced by the circular consensus sequencing (CCS) technology of PacBio have been demonstrated practically useful (Wenger et al., 2019). This method scans a single DNA molecule repeatedly in a single process and outputs the consensus sequence of these multiple raw reads as an accurate read. CCS can produce reads with an error rate less than $1 \%$ while accomplishing average read length of $\sim 20 \mathrm{~kb}$. The technology itself has existed since 2010 (Travers et al., 2010), but has become a realistic choice by virtue of recent great advancement on the read length. Another exclusive and important feature of CCS is accurate detection of native DNA methylation at the single molecule level (Beaulaurier et al., 2015).

### 1.2 Pairwise sequence alignment for genome assembly

The first and critical step of the string graph approach (and other OLC-based approaches) is to list up pairs of reads overlapping with a high sequence similarity. Naively this can be carried out by just performing pairwise alignments (below) for every pair of reads, but it is too inefficient and practically unacceptable because most of the pairs are false and thus have a very low similarity. In this section, we briefly introduce algorithms and heuristics for pairwise sequence alignments and applications to the overlap step of genome assembly.

### 1.2.1 Pairwise sequence alignment via total score maximization

There are two well-known classical algorithms for computing an optimal alignment between two sequences: the Needleman-Wunsch algorithm (Needleman and Wunsch, 1970; Sankoff, 1972; Sellers, 1974) for the global alignment and the Smith-Waterman algorithm (Smith and Waterman, 1981; Gotoh, 1982) for the local alignment. An alignment is called an overlap alignment (or dovetail alignment) if neither sequence is clipped. Overlap alignments are used for the construction of a string graph in genome assembly.

The optimal alignment obtained by these algorithms depends on the scoring function and the score matrix among the alphabets appearing in the sequences. Other than the originally proposed linear cost function, several variations on the scoring function have been proposed such as the affine gap cost function (Gotoh, 1982) and the convex cost function (Waterman, 1984; Miller and Myers,

1988; Sedlazeck et al., 2018). Custom score matrices are used mainly for protein sequence comparison (Dayhoff et al., 1978; Henikoff and Henikoff, 1992).

The process of the pairwise alignment with different scoring functions and score matrices can be interpreted in a unified manner through the pair hidden Markov model (PHMM) (Durbin et al., 1998). That is, the probability of an alignment in the model corresponds to the score of the alignment in a deterministic approach (Frith, 2019). A PHMM model can incorporate the knowledge on the source of the sequences compared such as sequencing quality (Frith et al., 2010; Hamada et al., 2011). This type of probabilistic framework has been employed for mapping sequences to a genome or quantitating similarity among distinct sequences.

### 1.2.2 Pairwise sequence alignment via edit distance minimization

Deterministic pairwise alignments can be performed through minimization of the edit distance (Levenshtein, 1966) between two sequences. This formulation was first introduced by Sellers (Sellers, 1974), and "diff" algorithms by Myers et al. (Myers, 1986; Wu et al., 1990; Myers, 2014) are now widely used although the same algorithm was independently discovered by Ukkonen (1985) prior to them. These algorithms run faster when the two sequences compared are more similar. This property matches well with the seed-and-extend heuristics described below. There is also a stand-alone implementation of fast edit distance computation named edlib (Šošić and Šikić, 2017) that extended Myers' bit-vector parallelization algorithm (Myers, 1999).

### 1.2.3 The seed-and-extend heuristics

Given two sequences, a naive end-to-end comparison using dynamic programming or diff algorithms has a quadratic worst-case time complexity and is practically slow, considering the amount and length of especially long reads. Therefore, initial screening of the possible alignment space with fast exact string matching is generally used. That is, one first detects exact match positions of short strings called seeds, then approximate alignments are extended from both ends of the seeds. Therefore, this strategy is called seed-and-extend heuristics. Exact string matching can be performed fast with algorithms such as hash table, suffix array and/or Burrows-Wheeler transform. Note that, as the short-seed matching is likely to be false, some techniques such as chaining of seeds (Abouelhoda and Ohlebusch, 2005; Kasahara and Morishita, 2006), the banded alignment and/or Xdrop heuristics (Altschul et al., 1997) are combined together.

The seed-and-extend paradigm emerged in FASTA (Pearson and Lipman, 1988) and BLAST (Altschul et al., 1990; Myers, 2013). However, seeds stemming from repeats confound the seed matching by producing a huge number of false matches. Prior repeat masking is one workaround, but LAST efficiently adjusts the sensitivity and specificity using variable-length seeds adaptive to the input sequences (Kiełbasa et al., 2011). LAST showed the best performance in mapping ONT reads (Jain et al., 2015).

Recent overlappers for noisy long reads still have been built on top of the seed-and-extend strategy although each of these long-read aligners has its own philosophy, of course. DALIGNER (Myers, 2014) searches for seed hits via merging of sorted lists of $k$-mers with their positions in reads. Since the lists are very large, a cache-coherent parallelization of radix sort is developed and used. Another important feature is trace point. That is, DALIGNER stores only matching positions between the two reads for every $w$ bp position ( $w$ is an arbitrary integer) for each alignment. The entire alignment path can be quickly and efficiently restored from these trace points while saving memory very much. This trade-off between time and memory can adapt to different types of computational demands. MHAP (Berlin et al., 2015) and minimap2 (Li, 2018) employ the same technique called locality sensitive hashing for space-efficient screening of seeds and fast seed matching. Both of these methods hash each read into a set of $k$-mers picked up from the read by "good" (i.e., non-biased) hash function(s). Canu (Koren et al., 2017) improved MHAP's sensitivity, when frequent $k$-mers are discarded, by employing adaptive weighting of $k$-mers by analogy of TF-IDF, a technique used in text search. Technically, Minimap2 adopts SIMD acceleration of the banded alignment originally developed in minialign (https://github.com/ocxtal/minialign).

### 1.3 Genome assembly is a fight against repeats

### 1.3.1 Conditions for perfect genome assembly

In both of the string graph and de Bruijn graph, there is a generalized Eulerian tour representing the original genome sequence (in the case of single genome assembly) provided that the sequencing coverage is sufficient and we could remove all the sequencing errors. However, it does not mean we can always trace the path unambiguously. This is because of repeats longer than reads. Myers (2014) stated that the requirements for perfect assembly are as follows:

1) reads are sampled from the genome sequence at random;
2) sequencing errors occur at random; and
3) every maximal repeats are spanned by reads.

More specifically about the condition 3), ambiguity arises when there exist a pair of interspersed repeats or triplet repeats longer than reads (Bresler et al., 2013). However, the precise definition of repeats given sequencing errors has not been considered sufficiently in the context of genome assembly. In Chapter 3, we propose a rigorous definition of approximate repeats in terms of false positive/negative rates of overlap detection, and remark why the traditional method of overlapping reads based on pairwise sequence comparison cannot resolve approximate repeats to rebuild the original sequences properly, as claimed by Tischler-Höhle (2019) and others.

The conditions above look simple, but in reality, practical algorithms for (near-)perfect assembly are highly non-trivial, and many algorithms have been developed depending on the type of repeats so far.

### 1.3.2 Types of repeats in genomes

Repetitiveness in a genome sequence takes several forms. The most typical repeats are interspersed repeats mainly caused by mobile genetic elements. Tandem repeats are abundant especially in eukaryotic genomes and known to be associated with many diseases (e.g., a recent publication on noncoding CGG expansions by Ishiura et al. (2019)) and also as major components of centromeres in a variety of organisms. In a broad sense, ploidy of a genome is regarded as chromosome-scale repeats, and similar strains in a metagenome would be deemed as real-valued ploidy. The continuous evolution of sequencing technologies and algorithms for overcoming repeats has made it possible to achieve near-complete assembly of large genomes, but there still remain many genomes and genomic regions difficult to perfectly reconstruct.

Various instances of the above-mentioned repeat types exist in actual genomes. In this thesis, we especially focus on the following two relevant, unresolved research topics in genoem science:

1) complete extrachromosomal mobile genetic elements (eMGEs), specifically plasmids and bacteriophages, in human gut metagenomes (in Chapter 2; with PacBio raw reads and short reads); and
2) tandem repeats of $\sim 360 \mathrm{bp}$ units of the $1.688 \mathrm{gm} / \mathrm{cm}^{3}$ satellite family in centromeres of Drosophila melanogaster F1 females (in Chapter 3; with PacBio CCS reads).

### 1.3.3 Approaches to repeat assembly

Currently, there are no integrated approaches to repeat assembly. One can simply resolve approximate/exact repeats by spanning each repeat by reads (Bresler et al., 2013). Long-read technologies have dramatically alleviated the genome assembly problem in this manner so far. Note that, however, we should bear in mind that different assemblers produce different contigs, and a careful investigation and combination of results by multiple assemblers is usually required for complete assembly especially with noisy long reads in practice (Yoshimura et al., 2019).

One approach for locating unsettled repeats is to use some additional guidance on true read pairs, such as long-range technologies, other than continuous reads (Bishara et al., 2018; Kajitani et al., 2019). In metagenomes, sequence compositions and coverage can also be species-specific signatures for chimeric node resolution (Namiki et al., 2012). This strategy is employed in Chapter 2.

For more complicated regions, small sequence variations are usually used for separation of nearly-identical repeats: e.g., diploid phasing (Patterson et al., 2015; Chin et al., 2016; Kajitani et al., 2019), multi-class repeat separation (TischlerHöhle, 2019; Bongartz, 2019), segmental duplication (Vollger et al., 2019), histone complex (Bongartz and Schloissnig, 2018), centromere (Jain et al., 2018). These methods will be mentioned in a little more detail in Chapter 3. On the other hand, however, these approaches are not directly applicable to highly divergent sequences, and a more careful approach is required as employed in Platanus-allee (Kajitani et al., 2019).

In Chapter 3, we propose a framework employing a generative genome model for detection of overlaps between reads more accurately than conventional pairwise alignments. As the first practical application of the framework, we developed a method for complex satellites using a Bayesian mixture model. Using simulation datasets, we demonstrated this framework and method indeed perfectly assembled long tandem repeats although state-of-the-art assemblers did not.

## Chapter 2

## Long-read metagenomic exploration of extrachromosomal mobile genetic elements in the human

## gut


#### Abstract

Elucidating the ecological and biological identity of extrachromosomal mobile genetic elements (eMGEs), such as plasmids and bacteriophages, in the human gut remains challenging due to their high complexity and diversity. Here, we show efficient identification of eMGEs as complete circular or linear contigs from PacBio long-read metagenomic data. De novo assembly of PacBio long reads from 12 faecal samples generated 82 eMGE contigs ( $2.5 \sim 666.7-\mathrm{kb}$ ), which were classified as 71 plasmids and 11 bacteriophages, including 58 novel plasmids and six bacteriophages, and complete genomes of five diverse crAssphages with terminal direct repeats. In a dataset of 413 gut metagenomes from five countries, many of the identified plasmids were highly abundant and prevalent. The coverage of gut plasmids by our plasmid data is more than twice that in the public database. Plasmids outnumbered bacterial chromosomes three to one on average in this metagenomic dataset. Host prediction suggested that Bacteroidetes-associated plasmids predominated, regardless of microbial abundance. The analysis found several plasmid-enriched functions, such as inorganic ion transport, while antibiotic resistance genes were harboured mostly in low-abundance Proteobacteria-


associated plasmids. Overall, long-read metagenomics provided an efficient approach for unravelling the complete structure of human gut eMGEs, particularly plasmids.

## List of Abbreviations

ARG antibiotic resistance gene
CC circular contig
CO co-occurrence
COG cluster of orthologous groups
eMGE extrachromosomal mobile genetic element
IPD inter-pulse duration
IQR inter-quartile range
LMAG long-read metagenome-assembled genome
m6A 6-methyladenine
m4C 4-methylcytosine
MM methylation motif
PCC Pearson's correlation coefficient
POG phage orthologous group
SCC Spearman's correlation coefficient
SMRT sequencing Single Molecule, Real-Time sequencing
TDR terminal direct repeat
VLP viral particle

### 2.1 Introduction

Culture-independent metagenomics has provided a powerful approach to comprehensively explore microbial species and genes, which underlie an understanding of the ecological and biological features of the human gut microbiome (Gill et al., 2006; Kurokawa et al., 2007; Qin et al., 2010; The Human Microbiome Project Consortium, 2012). The metagenomes of microbial communities mainly comprise bacterial chromosomes and the associated extrachromosomal mobile genetic elements (eMGEs), such as plasmids and bacteriophages (phages). These
eMGEs play important roles in microbial evolution, adaptation of the community to environmental changes, and interaction with hosts by conferring a variety of accessory functions on the community (Koonin and Wolf, 2008; Reyes et al., 2012; Virgin, 2014; Brito et al., 2016). For the analysis of plasmid communities (plasmidome), several specific procedures have been developed, including enrichment of closed circular plasmids by selective DNase treatment and CsClgradient ultracentrifugation from samples containing large amounts of linearized chromosomal DNAs (Dib et al., 2015; Jørgensen et al., 2015). For the bacteriophage community (phageome or virome), a crucial step is the enrichment of viral particles (VLPs) from samples containing vast numbers of microbial cells. VLP preparation requires several laborious techniques, such as stepwise filtration with different pore sizes and centrifugation under adjusted gravity conditions (Reyes et al., 2010; Minot et al., 2011, 2012, 2013; Castro-Mejía et al., 2015; Manrique et al., 2016; Shkoporov et al., 2018). However, these practices have not been well evaluated with respect to the quality and quantity of output data regarding the whole community structure.

It is also challenging to perform metagenomic sequencing of eMGE-enriched samples with short-read sequencers (Illumina and Ion Torrent) that can produce reads of only $<500 \mathrm{bp}$. For example, de novo assembly of short reads generates notably short linear contigs (Qin et al., 2010; The Human Microbiome Project Consortium, 2012), possibly due to existing similar sequences among eMGEs and between eMGEs and chromosomes in a community. Such insufficient assembly makes it difficult to reconstruct full eMGEs as circular contigs (CCs), a structural hallmark of eMGEs excepting rare linear plasmids from metagenomic data, though there have been informatics tools that further connect the contigs to generate large bins (Qin et al., 2010; Nielsen et al., 2014). Therefore, most metagenomic studies based on short reads have analysed the whole community structure with little emphasis on separating microbial chromosomes and eMGEs (Li et al., 2014; Nishijima et al., 2016).

In contrast, long-read sequencers (Pacific Biosciences and Oxford Nanopore Technology) can produce long reads of $\sim 10 \mathrm{~kb}$ or more. De novo assembly of long reads facilitates the generation of longer contigs and bins than those of shortread assembly by distinguishing among similar sequences (Sharon et al., 2015; Kuleshov et al., 2016; Brown et al., 2017; Frank et al., 2016; Tsai et al., 2016; Bishara et al., 2018). In addition, PacBio long-read metagenomics can also provide links between detected plasmids and their hosts using DNA methylation information (Beaulaurier et al., 2018). However, to date, there have been no intensive longread metagenomic studies of eMGEs, indicating that human gut eMGEs remain to be explored. Therefore, we performed long-read metagenomics of whole faecal DNA samples to efficiently recover eMGEs as complete CCs from the assembled
contigs and evaluated the diversity in human gut plasmids in this study.

### 2.2 Methods

### 2.2.1 Subjects, samples, and faecal DNA preparations ${ }^{1}$

We recruited 12 Japanese volunteers, of whom six subjects were the same as those who donated faecal samples in a previous study (Nishijima et al., 2016) and six other subjects were members of a family: two parents, two children, and two grandparents. No subjects were treated with antibiotics during faecal sample collection.

Faecal samples were transferred under anaerobic conditions at $4^{\circ} \mathrm{C}$ to the laboratory within 36 hours after defecation, immediately frozen with liquid nitrogen, and stored at $-80^{\circ} \mathrm{C}$ until use. We collected 13 faecal samples from the 12 individuals, including a second sample (biological replicate) from an individual (denoted by ES) 2 months after the collection of the first sample. High-molecularweight DNA samples were prepared by the enzymatic lysis method (Kim et al., 2013; Ueno et al., 2011). Prior to DNA extraction, each faecal sample suspended in PBS buffer was filtered with a $100-\mu \mathrm{m}$-mesh nylon filter (Corning Inc., New York, NY, USA) to remove human and eukaryotic cells and other debris from the faecal sample. The debris on the filter was washed twice using a glass or plastic bar with PBS buffer. The bacteria-enriched pellet was obtained by centrifugation of the filtrate at 5000 rpm for 10 minutes at $4^{\circ} \mathrm{C}$ (Ueno et al., 2011).

### 2.2.2 Sequencing of faecal DNA samples ${ }^{2}$

For SMRTbell library preparation, faecal DNA was sheared using a g-TUBE device (Covaris Inc., Woburn, MA, USA) at 4300 rpm and purified using a $0.45 \times$ volume ratio of AMPure beads (Pacific Biosciences, Menlo Park, CA, USA). SMRTbell libraries for sequencing were prepared using the " $20-\mathrm{kb}$ Template Preparation using BluePippin ${ }^{\text {TM }}$ Size Selection System (15-kb Size Cutoff)" protocol. Briefly, the steps included 1) DNA repair, 2) blunt ligation with hairpin adapters with the SMRTbell template Prep Kit 1.0 (Pacific Biosciences), 3) 7-kb size cutoff size selection using the BluePippin DNA Size Selection System by Sage Science, and 4) binding to polymerase P6 using DNA Sequencing Reagent 4.0 (Pacific

[^0]Biosciences). Note that the DNA size selection might exclude some portion of the eMGEs in the samples although, as a result, we obtained circular eMGEs including those shorter than the cutoff length. SMRTbell libraries were sequenced on SMRT Cells (Pacific Biosciences) using magnetic bead loading and P4-C2 or P6-C4 chemistry. Sequence data were collected according to the magnetic bead collection protocol, $10-\mathrm{kb}$ insert size, stage start, and $360-\mathrm{min}$ movies in PacBio RS Remote. Primary filtering was performed on the PacBio RS II Blade Center server. The sequences mapped to the human genome (hg19) were removed prior to submission of PacBio reads to the NCBI Sequence Read Archive (SRA) using DAMAPPER (https://github.com/thegenemyers/DAMAPPER), a modified version of DALIGNER (Myers, 2014).

For short-read sequencing of seven newly collected samples in this study with the MiSeq platform, DNA libraries were prepared using the SPARK DNA sample Prep Kit (Qiagen, Beverly, MA, USA). Quality control of the metagenomic reads was conducted as described previously (Nishijima et al., 2016). Briefly, low-quality bases and reads were filtered using the FASTX tool kit (http://hannonlab. cshl.edu/fastx_toolkit/). Host-derived reads were excluded by mapping the reads to the reference human genome (hg19) using Bowtie2 (v.2.2.1) software (Langmead and Salzberg, 2012). The ratio of reads mapped to the human genome was $<0.1 \%$ in both the long- and short-read sequencing (Supplementary materials: Table S2.1). The very low ratio of human reads in our metagenomic data can be explained by the efficient removal of human cells from the faecal samples by filtration prior to DNA extraction (Ueno et al., 2011), as described above. Additional metagenomic short reads (Roche 454, Ion PGM, and Illumina MiSeq) publicly available from the five countries (Li et al., 2014; Nishijima et al., 2016) were downloaded from the NCBI SRA.

### 2.2.3 Assembly of PacBio reads and short reads

For assembly of the PacBio metagenomic reads, we used FALCON v0.2 software (https://github.com/PacificBiosciences/FALCON) (Chin et al., 2016). Because FALCON tended to extend contigs to merge DNA sequences from distinct microbial species to generate erroneous contigs, we used unitigs, basic blocks of contigs that are shorter but more reliable contiguous sequences than contigs.

To reconstruct circular contigs (CCs) after FALCON assembly, we used the binning results of MetaBAT (Kang et al., 2015) as external guiding information with a single criterion: if a node in the assembly graph had only one in-edge and one out-edge that belonged to the same MetaBAT bin ID, then we merged the two edges representing unitigs to generate circular contigs. Note that a distinct bin ID was assigned to each unbinned unitig to avoid self-loops in the graph. This is
the first attempt to map external binning information onto an assembly graph to untangle chimeric nodes in the graph. This method achieved reliable elongation of contigs by using the binning information to produce a more conservative layout of contigs than the original FALCON assembly did. To reconstruct relatively small circular contigs representing eMGEs, we used the cutoff values 2000 bp and 2200 bp for overlaps between raw subreads and between error-corrected subreads (technically, "preads"), respectively. These parameters influence the minimum length of the CCs generated by the assembly. After polishing the contigs with long reads using Quiver from the SMRT Pipe (v.1.87) software, the standard pipeline provided by Pacific Biosciences, we further corrected errors in the contigs using Pilon (v.1.12) (Walker et al., 2014), a software for error correction by short reads. The read depth of the assembled contigs was determined by PacBio's standard software. De novo assembly of the metagenomic short reads (Roche 454, Ion PGM, and Illumina MiSeq) was performed by MEGAHIT (v1.1.1) (Li et al., 2015).

### 2.2.4 Alignment of PacBio and short-read contigs

PacBio and short-read contigs were aligned using NUCmer (v3.1) software. Alignments with length coverage $<95 \%$ or sequence similarity $<95 \%$ were removed, and then, the sequence similarity of the alignments was calculated.

### 2.2.5 Estimation of microbial composition from PacBio and MiSeq data

To obtain the microbial composition from the PacBio data, we first predicted protein-coding genes in the PacBio contigs using Prodigal software (Hyatt et al., 2010). The genes were aligned to the 6149 reference genomes (Nishijima et al., 2016) using BLASTN with a $>95 \%$ identity and $>90 \%$ length coverage to assign the taxa (Arumugam et al., 2011). The relative abundance of the genomes/taxa was calculated by counting the number of genes aligned, multiplying the number of genes by the read depth of the contig, and normalising by gene length. Estimation of microbial composition from the MiSeq data was conducted by mapping the reads to the reference genomes using Bowtie 2 with a $95 \%$ identity threshold and normalising the number of mapped reads by genome size (Nishijima et al., 2016). The similarity between the microbial compositions obtained from PacBio and MiSeq data was assessed with Pearson's correlation coefficient (PCC).

### 2.2.6 Reconstruction and analysis of HQ chromosome bins

For reconstruction of chromosome bins from the PacBio contigs in the 12 JP samples, metagenomic short reads ( 10 M reads per sample) of 106 JP individuals (Nishijima et al., 2016) were mapped to PacBio contigs by Bowtie2. Based on read depth and tetranucleotide frequency, contigs were clustered to chromosome bins using MetaBAT (v.0.26.3) (Kang et al., 2015) with the --minMapQual 4 --verysensitive options. The completeness and contamination were calculated by the presence or absence of single-copy marker genes using CheckM (v.1.0.5) (Parks et al., 2015), and high-quality (HQ) chromosome bins with $>90 \%$ completeness and $<5 \%$ contamination were defined. We deposited the sequences of 101 HQ chromosome bins tagged with the "long-read metagenome-assembled genome (LMAG)" in a public database (Supplementary materials: Table S2.7).

Taxonomic assignment of the HQ chromosome bins was conducted as previously described (Antipov et al., 2016). Briefly, the protein-coding genes predicted by Prodigal were aligned to 40 single-copy marker genes using BLASTP with an $E$-value $<0.00001$. The marker genes identified in the HQ chromosome bins were then aligned to those of the reference genomes using glsearch (v.36.3.5e) (Pearson and Lipman, 1988). The HQ chromosome bins having length-weighted average identity $\geq 96.5 \%$ with the reference genomes were assigned the same taxa as the reference genomes.

The phylogenetic tree of 101 HQ chromosome bins and 181 reference genomes with $\geq 0.05 \%$ relative abundance in the 12 subjects was constructed based on the similarity of amino acid sequences of the 40 marker genes using the neighbourjoining method in MEGA (v.6.06) (Tamura et al., 2013) and visualised with iTOL (Letunic and Bork, 2016). The similarities of the marker genes were calculated by MAFFT (v.7.043b) (Katoh and Standley, 2013) with the --localpair --maxiterate 1000 options.

### 2.2.7 Classification of CCs as plasmids and phages

In the classification assessment using phage orthologous groups (POGs) (Kristensen et al., 2011), we determined the threshold of identity and length coverage to perform the highest confidence (Supplementary materials: Figure S2.2) using reference phages $(n=1957)$ as positive data and reference plasmids $(n=6589)$ as negative data available from NCBI on June 2016. By aligning the genes to POGs with BLASTP, the threshold ( $>90 \%$ length coverage) for classification of CCs as phages was determined. For classification of CCs as phages, VirSorter (v1.0.3) (Roux et al., 2015a) was also employed with the virome database and
default options in the CyVerse environment (Merchant et al., 2016). Categories $1,2,4$, and 5 were considered to classify CCs as phages, while categories 3 and 6 were excluded because these categories included false positives (Paez-Espino et al., 2016). PlasFlow (v1.1) was used with the default options for classification of CCs as plasmids (Krawczyk et al., 2018).

Functional annotation of genes in the CCs was conducted using Prokka (Seemann, 2014) and the Clusters of Orthologous Groups (COG) database (BLASTP with the $E$-value $<0.00001$ ). The presence and absence of known plasmid-enriched COGs related to plasmid replication, toxin-antitoxin system, and type IV secretion system (COG1475, COG2026, COG2126, COG2336, COG2948, COG3077, COG3451, COG3505, COG3704, COG3736, COG3843, COG5527, and COG5655) were investigated for CCs.

A similarity search of CCs for the public plasmid/phage database and phage sequences in the IMG/VR (Paez-Espino et al., 2016) and VirSorter (Roux et al., 2015a) databases was conducted using NUCmer (Kurtz et al., 2004), in which CCs with sequence similarity $\geq 90 \%$ and length coverage $\geq 70 \%$ to the references were assigned to the corresponding plasmids and phages, respectively.

The whole sequence comparison of the 71 plasmid CCs and 114 known/reference plasmids relatively abundant in the human gut was performed using TBLASTX (Mizuno et al., 2013). The 114 known/reference plasmids used in this analysis had average mapped reads of $>5$ per kb in the IGCJ dataset. The obtained dendrogram was visualised using iTOL software (Letunic and Bork, 2016).

### 2.2.8 Analysis of crAssphage genomes

PacBio subreads and MiSeq reads were aligned to the five CCs assigned to crAssphage. To assess the alignments, they were visualised using IGV (Thorvaldsdóttir et al., 2012). The sequences of the terminal direct repeats (TDRs) of the five CCs were obtained by reassembling subreads starting/ending at either side of the TDRs. MiSeq reads were further aligned to the TDR sequences using Bowtie2 to manually determine the exact ends of TDRs. To convert the circular genome of the crAssphage (NC_024711) in GenBank (Dutilh et al., 2014) to a linear genome, the TDRs were determined by aligning the TDRs of the five crAssphage CCs to the circular genome with BLASTN. Protein-coding genes in the linear crAssphage genomes were predicted using MetaGeneMark (Zhu et al., 2010), and the conserved genes in the six crAssphage genomes were investigated using Roary software (Page et al., 2015) with the -p 80 option. The structures of the six crAssphage genomes were visualised using the genoPlotR package (Guy et al., 2010) in R software and custom Perl scripts. GC skew was calculated for a 100-bp
sliding window with a $50-\mathrm{bp}$ step size.

### 2.2.9 Quantification of eMGEs including the 82 CCs in the IGCJ dataset

We obtained all metagenomic reads from a total of 413 healthy faecal samples of Japanese $(n=106)$ (Nishijima et al., 2016), Danish $(n=84)$ and Spanish $(n=59)$ (Qin et al., 2010; Li et al., 2014; Le Chatelier et al., 2013), American ( $n=90$ ) (The Human Microbiome Project Consortium, 2012), and Chinese ( $n=74$ ) (Qin et al., 2012) people from http://public.genomics.org.cn, HMP DACC (http:// www . hmpdacc . org), and the NCBI SRA to construct the IGCJ dataset. This dataset did not include data from patients with inflammatory bowel disease and type 2 diabetes. The metagenomic reads in the IGCJ dataset were subjected to quality control under the same conditions as described previously (Nishijima et al., 2016).

The eMGE clusters composed of 563 plasmid and seven phage clusters were constructed as follows. The IGCJ metagenomic reads ( 10 M reads per sample) were first mapped to all the publicly available plasmids and the 71 plasmid CCs using Bowtie2 with a $95 \%$ identity threshold. The reads hit $>3000$ plasmids, from which plasmids with map coverages $<60 \%$ were excluded (see the "Results" section). The 1162 plasmids with mapped coverages $\geq 60 \%$ were then clustered with a $\geq 90 \%$ identity, $\geq 70 \%$ alignment coverage, and $\geq 0.7$ ratio of shorter to longer sequences using NUCmer to generate 563 plasmid clusters. The breakdown of the plasmid clusters was 509 clusters of known/reference plasmids alone, 47 clusters of the novel plasmid CCs alone, and seven clusters of both plasmid CCs and those similar to known plasmids (Supplementary materials: Table S2.11). Similarly, we obtained a cluster of crAssphages and six unique clusters from the 11 phage CCs. The mapping of 10 M metagenomic reads per sample to the eMGE clusters was conducted with a $\geq 95 \%$ identity. The number of reads mapped to the clusters was normalised to the length of the longest representative eMGE in the cluster.

### 2.2.10 Host prediction of eMGEs

For host assignment of plasmids by similarity search, plasmid CCs were aligned to 5353 draft genomes publicly available with NUCmer (Kurtz et al., 2004), and draft genomes having a $\geq 90 \%$ identity and $\geq 70 \%$ length coverage with the CCs were assigned as the hosts of the corresponding plasmids.

For co-occurrence (CO) analysis, we mapped metagenomic reads of the IGCJ dataset to reference genomes and eMGEs with a $95 \%$ identity threshold to obtain the abundance normalised by genome size. Spearman's correlation coefficients (SCCs) were then calculated for variance in the abundance of chromosomes and
eMGEs across the samples, and the genomes having SCCs of $\geq 0.7$ with the eMGEs were predicted to be putative hosts of the corresponding eMGEs.

For host prediction of phages by CRISPR spacer similarity, we used three datasets of host genomes: the public genome database, contigs with $\geq 500$ bp generated from assembly of metagenomic reads in the IGCJ dataset using MEGAHIT (v1.1.1) (Li et al., 2015), and contigs generated from the assembly of PacBio subreads in the JP PacBio dataset using Pilercr (v1.06) (Edgar, 2007). CRISPR spacers ( $\geq 20 \mathrm{bp}$ ) in microbial genomes and contigs were detected using Pilercr with the default options. The detected CRISPR spacers were aligned to the phage genomes using BLASTN with the following options: -e 1 -G 10 -E 2 -q 1 -W 7 -F F; this served to identify microbial genomes and contigs containing CRISPR spacers with 0 or one mismatch and $>95 \%$ alignment coverage between them. The microbial taxa of the genomes and contigs were determined by their alignment using NUCmer to the reference genomes with a $\geq 90 \%$ identity and $\geq 50 \%$ alignment coverage.

The PacBio SMRT system can detect modified bases, such as 6-methyladenine (m6A) and 4-methylcytosine (m4C), because inter-pulse duration (IPD) between neighbouring bases is likely to be longer when the first bases are modified (Mizuno et al., 2013), and the modification is detectable by monitoring the IPD ratios of modified bases to those of unmodified ones. According to the process described previously (Beaulaurier et al., 2018), we first determined the optimal parameters of "methylation fraction" (percentage of motif sequences methylated), "mean coverage" (average sequencing read-depth per strand on the motif sites), and "mean IPD ratio" to $0.6,25$, and 2.5 as the thresholds, respectively, from PacBio reads from a mock community composed of eight bacteria with and without plasmids (Lactobacillus paralimentarius JCM 10707, Natronolimnobius baerhuensis JCM 12253, Bacillus cereus ATCC 14579, Variovorax sp. JCM 16519, Clostridiales bacterium ACSP 3, Staphylococcus aureus HSAU10, Bifidobacterium longum IBLI, and Escherichia coli SE11). We then filtered for methylation motifs (MMs) in the HQ chromosome bins with the optimised methylation fraction and mean coverage. In this process, we excluded the motif $\mathrm{G}^{\mathrm{m6}}$ ATC from host prediction because this motif was ubiquitous among bacteria. Using the filter-passed chromosomal MMs as baits, we calculated the mean IPD ratio values of the MMs in each eMGE and HQ chromosome bin and binarized the values according to the threshold (i.e., IPD ratios higher than the threshold were defined as 1 to indicate methylation, and the others were defined as 0 to indicate nonmethylation). Finally, we linked the eMGEs and the HQ chromosome bins, between which at least one MM was shared, and the binarized IPD ratio values were equivalent except missing values.

The results of host prediction of the plasmid CCs were summarised and visualised as a host-plasmid network using Cytoscape. In this analysis, taxonomically
undefined bacterial species (e.g., Bacteroides sp.) were changed to taxonomically defined bacterial species of which the 16 S rRNA gene sequence had $\geq 99.8 \%$ identity with that of the undefined species.

### 2.2.11 Comparison of functions between plasmids and chromosomes

For comparison of the frequency of COGs between plasmids and chromosomes, we used 315 relatively abundant plasmids ( $\geq 1$ average mapped reads per 10 kb ) and complete chromosomes of 249 microbial species with $\geq 0.1 \%$ average abundance in the IGCJ dataset. The genes were functionally annotated by BLASTP to the COG database with the $E$-value $<0.00001$ using Prodigal (Hyatt et al., 2010). Statistical significance was calculated using Fisher's exact test, and $p$-values were transformed to $q$-values (Storey and Tibshirani, 2003). Antibiotic resistance genes were identified by searching Resfams database (Gibson et al., 2015) using the hmmscan function of HMMER3 (Finn et al., 2011) with the gathering thresholds. The abundances of the ARG (antibiotic resistance gene)-positive and ARGnegative plasmids were compared using the Wilcoxon rank-sum test.

### 2.3 Results

### 2.3.1 Metagenomic sequencing of human faecal samples with the PacBio SMRT system

We sequenced 13 faecal DNA samples from 12 healthy Japanese adults, including one biological duplicate (ES1-2 and ES9-1). A total of $\sim 11 \mathrm{~Gb}$ per sample with an average subread length of 8 kb was obtained from 10 individuals (excluding two subjects with poor subread lengths) with the PacBio RS II system. We also generated short reads from six of the 12 subjects with three short-read sequencers (Illumina, 454 and Ion PGM) and obtained them from a previous publication for the other six subjects (Nishijima et al., 2016). The sequencing statistics are summarised in Supplementary materials: Table S2.1.

We, therefore, conducted de novo assembly of the PacBio and short reads by using FALCON and MEGAHIT as assemblers, respectively (see the "Methods" section). We compared the two assembly outcomes from the data of three samples (apr34, apr38, and FAKO02) with similar sequence amounts in PacBio and shortread sequencing. The comparison revealed that PacBio reads boosted assembly statistics, with an N50 contig length reaching $\sim 202 \mathrm{~kb}$, while those of the short reads were $\sim 4 \mathrm{~kb}$ (Fig. 2.1a). The results of the long-read assemblies showed that
the N50 contig length ranged from 24.6 to 279.2 kb for all the samples (Supplementary materials: Table S2.2). We then evaluated the accuracy of the PacBio contigs based on the sequence similarity between PacBio and the corresponding shortread contigs of the same samples. The results revealed that PacBio contigs with 5, 10,20 , and $\geq 40$ read depths were aligned with short-read contigs with 99.4, 99.7, 99.8 , and $\geq 99.9 \%$ identities, respectively (Fig. 2.1b). Assuming the accuracy of the aligned short-read contigs to be sufficiently high, the accuracy of PacBio contigs with read depths $>5$ could be estimated to be $>99.4 \%$, accounting for $\sim 99.8 \%$ of the total contig length (Supplementary materials: Table S2.3).

### 2.3.2 Microbial and gene composition in PacBio metagenomic data

We compared the microbial abundance estimated from the PacBio and MiSeq reads. Taxonomic assignment of PacBio data was performed by similarity search of genes predicted in PacBio contigs for the reference genomes, followed by counting the number of PacBio reads mapped to the genes to quantify their abundance (see the "Methods" section), while that of the MiSeq data was performed by direct mapping to the reference genomes as described previously (Nishijima et al., 2016). The estimated microbial abundances between the two data points in each subject were significantly similar at the genus level, with a median PCC of $\sim 0.99$, which was significantly higher than that among the 12 individuals (Fig. 2.1c, d).

The mean gene length in the PacBio contigs was 847 bp , longer than the 662 bp in the short-read contigs and closer to the 957 bp of mostly full-length genes in the reference genomes (Supplementary materials: Figure S2.1a). In addition, an average of 27.6 genes was identified per PacBio contig, which was $\sim 10$ times more than the 2.4 per short-read contig on average (Supplementary materials: Figure S2.1b).
a

b

c



Figure 2.1: Statistics of metagenomic sequencing of 13 faecal samples with the PacBio SMRT system and short-read sequencers. a To show the length distribution of the contigs of long and short reads, we selected three samples (apr34, apr38, and FAKO02) that had similar sequence amounts in both PacBio long-read and short-read sequencing (see the "Results" section). The y-axis shows the Nxx contig length, an indicator of measuring the quality of genome assembly such that $\mathrm{xx} \%$ of all bases in the assembled contigs of the three selected samples are found in contigs of the Nxx contig length or more, while the x-axis shows the value of xx , which measures coverage of bases by contigs. b Sequence similarity between PacBio and short-read contigs. The y-axis shows the sequence similarity of the PacBio contigs with the reciprocally best-matched short-read contigs, and the plots show the average value for every five units of read depth of the PacBio contigs on the x -axis. PacBio and short-read contigs of the 12 samples were aligned using NUCmer with a $>95 \%$ identity and a $>95 \%$ length coverage. c Genus-level microbial compositions estimated from the PacBio and MiSeq data of the 13 samples. Taxonomic assignment and quantification of microbial abundance from the PacBio and MiSeq data were described in the "Methods" section. d PCCs between the microbial compositions estimated from PacBio and MiSeq data. PCCs (left) between the same samples, excluding the biological replicates (ES1-2 and ES9-1), and PCCs (right) between different samples are shown. The boxes represent the inter-quartile range (IQR), and the lines inside represent the median. The whiskers show the lowest and highest values within 1.5 times the IQR. Asterisks represent $p<0.01$ (Wilcoxon rank-sum test).

### 2.3.3 Circular contig generation from PacBio read assembly

In the assembly, we set the minimum overlap length between two subreads to 2200 bp (see the "Methods" section), though CCs smaller than the cutoff (2.2 kb ) cannot be identified by this method. The assembly generated a total of 82 CCs ranging from 2.8 - to $666.7-\mathrm{kb}$ in length (Supplementary materials: Table S2.4). To test whether these CCs were eMGEs, we classified them as plasmids and phages using several classification assessments, such as searching POGs (Supplementary materials: Figure S2.2) (Kristensen et al., 2011), VirSorter (Roux et al., 2015a), and PlasFlow (Krawczyk et al., 2018), checking the presence or absence of known plasmid-enriched genes, such as mobilisation- and conjugationrelated genes, and a similarity search of the public database. Because the POG and VirSorter assessments classified 11 CCs ( 30.2 to 98.9 kb in size) as phages with high consistency, we classified the remaining 71 CCs as plasmids ( 2.8 to 666.7 kb ). A similarity search of the public plasmid/phage database revealed that 17 of the 71 plasmid CCs were highly similar to 10 known plasmids, and five of the 11 phage CCs were highly similar to a genome of a crAssphage, NC_024711.1 (Dutilh et al., 2014).

To further confirm the accuracy of the classifications, we blasted the CCs against the virome databases VirSorter and IMG/VR (Roux et al., 2015b; PaezEspino et al., 2016). The five CCs assigned to crAssphage and a putative novel phage CC (FAKO05_000032F) hit several sequences in the virome databases, consistent with the present classification. However, five plasmid-classified CCs (FA1-2_2760, FAKO05_2268, FAKO05_2271, FAKO27_6410, and FA1-2_000589F) matched sequences in the virome databases (Supplementary materials: Table S2.4), showing disagreement with the present classification (see the "Discussion and conclusions" section).

We clustered the 71 plasmid CCs with 114 known plasmids relatively abundant in the human gut based on overall sequence similarity (Fig. 2.2a, see the "Methods" section). The results revealed that many of the 71 CCs had high sequence diversities for the known plasmids. Based on the host taxa of the known plasmids, most of the 71 CCs aggregated in Firmicutes and Bacteroidetes plasmids, and many of the novel CCs aggregated in Firmicutes plasmids, while only four novel CCs aggregated in Proteobacteria plasmids.

We also identified two highly similar, in terms of sequences, but distinct plasmid CCs in the assemblies of long reads from three subjects (apr34, FAKO03, and FAKO05). The two similar CCs in each subject had a sequence alignment of length $>1 \mathrm{~kb}$ with $>99 \%$ identity between them, but in the short-read assembly, either the corresponding sequences were fragmented into multiple contigs or
only one of the two CCs was generated (Supplementary materials: Figure S2.3). These results demonstrated that similar plasmids hard to distinguish in shortread assembly can be precisely reconstructed as independent contigs in long-read assembly. Overall, we identified 82 CCs and classified them as 71 plasmids and 11 phages, of which 58 plasmid and six phage CCs are likely to be novel eMGEs (Supplementary materials: Figure S2.4).

We further performed the functional annotation of genes in the 71 plasmid CCs using the COG database. The data revealed that $\sim 47 \%$ of the genes identified were novel, and genes assigned to COG category X, "Microbiome", were most enriched in the functionally annotated genes, as expected (Supplementary materials: Table S2.5).

### 2.3.4 Structure of contigs assigned to the crAssphage genome

Mapping of PacBio and short reads to the five crAssphage CCs suggested that these CCs had a linear genome with TDRs of length $\sim 2 \mathrm{~kb}$. This was supported by several lines of evidence, e.g., approximately twofold higher coverage of both PacBio and short reads mapped to the TDR region than other regions in the circular genome, absence of PacBio reads spanning the TDRs, and higher frequency of both PacBio and short reads starting from both ends of the TDRs than reads from other positions (Supplementary materials: Figure S2.5). Both TDRs in each genome were almost identical, while the sequence similarity and length slightly varied among TDRs in the five crAssphages (Supplementary materials: Table S2.6 and Figure S2.6). The linear genomes of six crAssphages, including NC_024711.1, encoded 89 to 91 putative genes, of which 61 were highly conserved with $\geq 80 \%$ amino acid identity among them; the number of genes unique to each genome ranged from 0 to 16 with an average of 6.3 per genome, and other conserved genes numbered between two and five (Fig. 2.2b). Additionally, the genomes exhibited a clear transition in GC skew of the coding strand at approximately 30 kb away from the right TDR (Supplementary materials: Figure S2.7). Similarly, two phage CCs (FAKO05_000032F and FAKO27_000271F) were found to have linear genomes by mapping the reads to the CCs (Supplementary materials: Figure S2.8). Our data indicated that linear phage genomes with TDRs were erroneously assembled as CCs. The TDRs are the source of this mis-assembly, which could be corrected by mapping the reads to CCs as described previously (Chung et al., 2017).



Figure 2.2: Whole-sequence comparison of 71 plasmid CCs and structure of six crAssphage linear genomes. a Dendrogram of 71 plasmid CCs and 114 known plasmids that were relatively abundant in the human gut (see the "Methods" section). The phyla are shown in different colours (green for Firmicutes, purple for Actinobacteria, red for Proteobacteria, blue for Bacteroidetes, yellow for other phyla and grey for unknown hosts). Red squares in the outer circle indicate the plasmid CCs newly identified in this study. Blue circles on the edges show the presence of antibiotic resistance genes. b Putative genes shown by pentagons in the linearized genomes of five crAssphages identified in this study and NC_024711.1 (Roux et al., 2015b). Each grey shade connecting two genomic regions indicates the average sequence similarity of the region. The left dendrogram shows a clustering of the six genomes based on overall similarity. To show the degree of conservation of each putative gene in the six genomes, six different colours are used. Brown genes are unique to only one genome, while blue genes are shared in common by all genomes. The red boxes at the ends indicate TDRs in the linear genomes.

### 2.3.5 Reconstruction of microbial chromosomes from PacBio contigs

The assembly of PacBio reads also yielded seven large CCs from 2 to 3 Mb in length, which were considered to be bacterial chromosomes. We additionally reconstructed 94 HQ chromosome bins (completeness $>90 \%$, contamination $<5 \%$ ) with putative genome sizes ranging from 1.88 to 6.83 Mb , in which multiple rRNA genes were consistently allocated (Supplementary materials: Table S2.7). Of these chromosome bins, 17 might be phylogenetically novel, because their identities with known genomes were lower than the threshold (96.5\%) (Mende et al., 2013). Phylogenetic tree analysis indicated that 69 bins, including the 17 novel bins, were taxonomically classified as Firmicutes, 18 as Bacteroidetes, 13 as Actinobacteria, and one as Proteobacteria (Supplementary materials: Figure S2.9).

### 2.3.6 Host prediction of eMGEs

Host prediction of the 82 eMGEs was performed by several methods: sequence similarity search for publicly available draft genomes (Antipov et al., 2016), cooccurrence profile based on abundance (CO) (Dutilh et al., 2014), methylation motif (MM) similarity (Beaulaurier et al., 2018), and CRISPR spacer similarity to only the phage's host (Stern et al., 2012; Edwards et al., 2015).

A similarity search of the 71 plasmid CCs for the draft genomes showed that 36 CCs hit the draft genomes of various strains, which were taxonomically well-matched with those assigned by the similarity search for known plasmids (Supplementary materials: Table S2.8 and S2.9). In the host prediction by CO analysis, we used the IGCJ dataset composed of 413 faecal metagenomic data from Japan (JP), the US (US), Spain (ES), Denmark (DK), and China (CN) (see the "Methods" section) (Li et al., 2014; Nishijima et al., 2016). We identified nine CCs that had SCCs (Dutilh et al., 2014) of $>0.7$ for variance in abundance with several genomes/hosts across the samples (Supplementary materials: Table S2.9). The MM similarity search using the present JP PacBio dataset found 19 plasmid CCs that shared 26 different MMs with 14 HQ chromosome bins (Supplementary materials: Figure S2.10 and Table S2.9).

As shown in Fig. 2.2a, many of the plasmids, including the host-predicted plasmid CCs, tended to be grouped by host taxa, except for the five Actinobacteriapredicted novel CCs that segregated from the known Actinobacteria plasmids.

We further constructed a host-plasmid network from the host-predicted plasmid CCs and found many shared plasmids between various Bacteroides species and several Parabacteroides and Prevotella species, forming a large network distinct from others in the human gut microbiomes of the 12 subjects (Supplementary
materials: Figure S2.11).
In the host prediction of phages, because no host candidate was identified in the CO analysis and the similarity search, we used three different datasets (JP PacBio, IGCJ, and the public genome database) for CRISPR spacer similarity search and the JP PacBio dataset for the MM similarity search. Four phage contigs (FAKO27_000271F, YS1-2_2434, FAKO27_000238F, and apr34_1784) had nearly perfect matches with CRISPR spacers in several genomes of the three datasets (Supplementary materials: Table S2.10) and concurrently shared 13 MMs with four genomes in the JP PacBio dataset (Supplementary materials: Figure S2.10). The hosts of the four phages as predicted by the two methods were consistent taxonomically. In the host prediction of seven other phage contigs by CRISPR spacer similarity alone, six including the five crAssphages had similarity to CRISPR spacers in the genomes of Bacteroides and Porphyromonas, both of which belong to the order Bacteroidales, in at least two datasets. The host for one phage (apr34_1792) was predicted to be Bifidobacterium in only the IGCJ dataset (Supplementary materials: Table S2.10). Overall, hosts for 50 plasmid and 11 phage CCs were predicted, while no host was predicted for 21 plasmid CCs by the methods used. In this host prediction, we cannot exclude the possibility that hosts of eMGEs can also be extended to phylogenetically different taxa close to the predicted tax.

### 2.3.7 Quantification of gut eMGEs using 413 metagenomic datasets from five countries

For quantification of gut eMGEs in the IGCJ dataset, we constructed and used eMGE clusters composed of 563 plasmid and seven phage clusters to which the IGCJ metagenomic reads were mapped. For construction of the eMGE clusters, we first mapped all the plasmids publicly available by IGCJ metagenomic reads with a $\geq 95 \%$ identity and excluded the plasmids with mapped coverage $<60 \%$ because many of them included plasmids unevenly mapped by non-specific reads containing conserved genes such as transposases and very low-abundance plasmids that were considered to be negligible for quantification. Clustering of the plasmids with mapping coverage $\geq 60 \%$, 11 phage CCs and all publicly available crAssphages generated the eMGE clusters, each of which was composed of highly similar eMGEs with a $\geq 90 \%$ sequence identity and $\geq 70 \%$ alignment coverage. Mapping of 10 million ( $M$ ) short reads per sample to these eMGE clusters revealed that $\sim 1.1 \%$ of the total reads on average were mapped to the plasmid clusters and $\sim 0.38 \%$ to the crAssphage cluster (Fig. 2.3a and Supplementary materials: Table S2.11). Our novel plasmid CCs accounted for $\sim 60 \%$ of the total reads mapped to the plasmid clusters, indicating that many of them were highly abundant in the

IGCJ dataset (Fig. 2.3b). The inter-country variability in the average abundance of crAssphages ( 0.03 to $1.4 \%$ ) was remarkable compared with that of plasmids ( 0.56 to $1.54 \%$ ) (Fig. 2.3a and Supplementary materials: Figure S2.12a and Table S2.11). The increased abundance of crAssphages in the US dataset was largely due to the existence of several subjects having extremely high-abundance crAssphages (up to $\sim 21 \%$ ) but not due to extensive prevalence (Supplementary materials: Figure S2.12b and c). Indeed, the proportion of crAssphage-positive subjects in the US dataset was $\sim 53 \%$, slightly lower than the average ( $\sim 60 \%$ ) of the five countries (Supplementary materials: Figure S2.12c).

In the top 20 highly abundant eMGE clusters, 12 including the top four plasmid clusters were associated with Bacteroidetes as putative hosts (Fig. 2.3c). Likewise, analysis of the host taxon distribution of plasmids revealed that Bacteroidetesassociated plasmids had higher abundance than plasmids associated with other phyla (Fig. 2.4a). This Bacteroidetes dominance was observed in all the countries, varying from a minimum of $61 \%$ in the JP dataset, with $17 \%$ Bacteroidetes, to a maximum of $93 \%$ in the US dataset, with $66 \%$ of the total microbial abundance representing Bacteroidetes (Fig. 2.4b). The top 20 eMGE clusters included two phage clusters (crAssphage [Cluster_F1] and Bacteroides phage [Cluster_F2]). Notably, the latter (FAKO05_000032F) had higher average mapped reads than the crAssphages in the DK dataset and slightly higher average prevalence ( $\sim 71 \%$ ) than the crAssphages $(\sim 60 \%)$ in the IGCJ dataset (Supplementary materials: Table S2.11).

We next estimated the ratio of gut plasmids and crAssphages to microbial cells for each of the five countries. The estimation was based on the number of reads mapped to the plasmid and crAssphage clusters and the average sizes of microbial chromosomes, plasmids, and crAssphages. The results revealed that the average ratio of eMGEs to microbial chromosomes ranged from 1.2 to 4.3 for plasmids ( 3.0 on average) and from 0.01 to 0.7 for crAssphages ( 0.18 on average) (Supplementary materials: Table S2.12). These data showed that gut plasmids outnumbered microbial cells on average, but crAssphages did not outnumber the microbial cells in the IGCJ dataset. Only in the US dataset were crAssphages close in number to microbial cells, with an average ratio of 0.69 . There was no significant correlation between the abundance of crAssphages and subjects' age, BMI, and sex (Supplementary materials: Figure S2.13).

### 2.3.8 Functional profiles of gut plasmids in 413 metagenomic datasets

Functional annotation of 315 plasmids and 249 chromosomes relatively abundant in the IGCJ dataset revealed that 360 COGs had significant differences ( $q$-values


Figure 2.3: Quantitative analysis of eMGEs in the IGCJ dataset. a Average ratios of metagenomic reads mapped to non-redundant eMGE clusters. Error bars represent standard mean errors. b Average ratios of reads mapped to three classes of eMGEs. Newly identified eMGEs, known eMGEs present, and known eMGEs absent in this study are shown by blue, orange, and grey, respectively. c Heatmap of the abundance of eMGE clusters in the IGCJ dataset. The abundance is the number of reads mapped to eMGEs normalised by length. Colour shades show the degree of abundance of the eMGEs; red indicates relatively high abundance, while blue indicates relatively low abundance. Three classes of eMGEs are also shown by three colours, blue, green, and red, respectively (also see Supplementary materials: Table S2.10).


Figure 2.4: Taxonomic distribution of plasmid-associated hosts in the IGCJ dataset. a Abundance and prevalence of plasmid-associated hosts at the phylum level in the IGCJ dataset. The left dot plot shows the abundance (yaxis) and the prevalence (x-axis) of each plasmid. Putative hosts are assigned to plasmids and are grouped into four major taxa, and unknown and other taxa are coloured differently. The right box plot shows the abundance distributions of the phylum of plasmid hosts depicted by the IQR and median. The whiskers show the lowest and highest values within 1.5 times the IQR. The letters ( $a, b, c$, and d) above the boxes indicate statistically significant $(p<0.01)$ differences between phyla with different letters. b Phylum-level compositions of host taxa from the whole metagenome data and plasmids in the five countries. The average abundance of the phyla, depicted by different colours, in the five countries is shown.
< 0.05) in abundance between them, and 233 COGs were significantly enriched in plasmids (Supplementary materials: Table S2.13, see the "Methods" section). In particular, eight were detected only in the plasmids; two were related to inorganic ion transport (COG4264 and COG2370), one was a type IV secretory pathway VirB6 component (COG3704), and the remaining five were uncharacterized. At the higher category level, functions related to the mobilome, including transposase; inorganic ion metabolism, such as iron, cadmium, and copper; defence mechanisms, including restriction-modification, efflux pump, and toxin-antitoxin module; and secretion, such as the type IV secretory pathway, were significantly enriched in the plasmids compared with the chromosomes ( $p<0.05$, Fisher's exact test). In contrast, functions involved in carbohydrate metabolism were significantly higher ( $p<0.05$ ) in the chromosomes than in the plasmids (Fig. 2.5 and Supplementary materials: Table S2.13).

We further investigated ARGs using the Resfams database (Gibson et al., 2015) and found that a total of 86 plasmids, including four novel plasmid CCs, were positive for ARG-related genes (Supplementary materials: Table S2.14). Many of the hosts were Proteobacteria, accounting for $\sim 76 \%$ of the ARG-positive plasmids, Firmicutes with $\sim 20 \%$, and a very few Bacteroidetes, but no plasmid was associated with Actinobacteria (Fig. 2.2a and Supplementary materials: Figure S2.14a). The frequency of ARGs was similar between the plasmids and chromosomes of Proteobacteria and Firmicutes but lower in the plasmids than in the chromosomes of Bacteroidetes (Supplementary materials: Figure S2.14b). A comparison of ARG-positive and ARG-negative plasmids found that ARGs were more frequently encoded by lower-abundance plasmids ( $p=2.1 \mathrm{e}-08$, Wilcoxon rank-sum test, Fig. 2.6). Overall, the present study found several specific functions more frequently harboured by plasmids than by chromosomes in the IGCJ dataset.


Figure 2.5: Comparison of COG categories between plasmids and chromosomes. The frequency of COGs is compared between 315 relatively highabundance plasmid clusters and 249 chromosomes ( $\geq 0.1 \%$ average abundance) in the IGCJ dataset. COG categories with significant differences in enrichment between plasmids and chromosomes are marked with asterisks ( $p<0.05$, Fisher's exact test). Biological functions are abbreviated by letters; X: Mobilome: prophages, transposons; S: function unknown; P: inorganic ion transport and metabolism; V: defence mechanisms; U: intracellular trafficking, secretion, and vesicular transport; L: replication, recombination and repair; R: general function prediction only; K: transcription; O: posttranslational modification, protein turnover, chaperones; M: cell wall/membrane/envelope biogenesis; G: carbohydrate transport and metabolism; T: signal transduction mechanisms; J: translation, ribosomal structure and biogenesis; N : cell motility; H : coenzyme transport and metabolism; C: energy production and conversion; Q: secondary metabolite biogenesis; W: extracellular structures; D: cell cycle control, cell division, chromosome partitioning; E: amino acid transport and metabolism; I: lipid transport and metabolism, transport and catabolism; F: nucleotide transport and metabolism.


Figure 2.6: Analysis of ARGs in plasmids. The left dot plot shows the prevalence and abundance of 86 ARG-positive (Supplementary materials: Table S2.14) and 229 ARG-negative plasmids according to the Resfams database. The yaxis shows the number of mapped reads per 10-kb region on a log scale. The ARGpositive and ARG-negative plasmids are coloured orange and green, respectively. The right box plot shows the abundance distributions of plasmids with or without ARGs, and their difference is significant as ** denotes $p<0.01$ (Wilcoxon's ranksum test).

### 2.4 Conclusions and Discussion

The present study demonstrated that long-read metagenomic sequencing was useful for the identification of eMGEs as complete contigs and for the exploration of plasmidome entities in the human gut. The plasmid CCs identified by long-read metagenomics included several highly similar but distinct plasmids, which were hard to distinguish by standard short-read metagenomics. This outcome may be the typical case for insufficient assembly of short reads in the metagenomics of communities containing highly similar sequences longer than the read length. The efficient and accurate reconstruction of eMGEs by long-read metagenomics was achieved by two major steps: we first assembled long reads into contigs using the FALCON assembler, which was originally developed for the assembly of diploid genomes with structural variations without dividing contigs, in a more conservative manner (Chin et al., 2016), and then processed the assembled contigs with the output binning results of the contigs (see the "Methods" section). Additionally, a remarkable characteristic of the present approach is its ability to identify relatively high-abundance gut eMGEs independent of their sizes, as demonstrated by the reconstruction of two large plasmid CCs with $>600 \mathrm{~kb}$, thereby resulting in the efficient discovery of many novel eMGEs (64/82, 78\%).

The 82 CCs were classified as 71 plasmids and 11 phages using several classification assessments (Supplementary materials: Table S2.4). However, one plasmid CC (FA1-2_000589F in Cluster_256) hit a viral contig shorter than the CC, and four similar plasmid CCs in Cluster_461, which were plasmid-positive by PlasFlow and had partial similarity to a known plasmid pBFUK1, hit several viral contigs. Considering the relatively high abundance of these CCs and the lack of typical structural characteristics of prophages in these CCs, these discrepancies could be explained by contamination of non-viral DNA in the VLPs; hence, these CCs are likely to represent plasmids.

The mapping analysis of IGCJ metagenomic reads showed that the ratio of novel eMGEs was $\sim 60 \%$, more than twice the coverage ( $\sim 20 \%$ ) of known eMGEs alone (Fig. 2.3b). As described above, because we excluded the plasmids unevenly mapped by non-specific reads from quantification, the observed coverage of the three types of eMGEs may be slightly affected by potential overestimation based on shared genes. The analysis also revealed low coverage of the known plasmid clusters alone, although they represented a large proportion of the plasmid clusters $(509 / 563,90 \%)$. This is probably because they are composed mostly of the plasmids of Proteobacteria species with relatively low abundance in the human gut. In other words, the present study efficiently identified many plasmids hitherto unknown but abundant in the human gut.

It was reported that crAssphages were identified as circular genomes (Dutilh et al., 2014; Guerin et al., 2018). However, our analysis provided evidence suggesting that the five crAssphages had linear genomes with TDRs (Fig. 2.2 and Supplementary materials: Figure S2.5). In a previous study, a circular crAssphage genome was validated by gap closing between fragmented contigs by PCR, followed by sequencing of PCR products (Dutilh et al., 2014). However, PCR amplification between unconnected TDRs in the linear genome is also feasible by duplex formation via annealing between downstream TDRs in the extended DNAs primed from the flanking regions of TDRs, similar to the mechanism for extended primer dimer formation or template switching (Patel et al., 1996), although we cannot exclude the possibility of coexistence of both circular and linear crAssphage genomes.

Although crAssphages were also reported to be highly abundant in the human gut, the ratio of mapped reads varied from $0.03 \%$ (JP) to $1.4 \%$ (US) among the five countries (Fig. 2.3 and Supplementary materials: Figure S2.12). In addition, the proportion of crAssphage-positive subjects was as low as $60 \%$ on average in the 413 individuals (Supplementary materials: Figure S2.12). These data suggest high variability in crAssphages at both the individual and country levels and the presence of two types of gut microbiomes: those with high and low abundance of crAssphages. However, we could not link the abundance and prevalence of
crAssphages to the overall microbial composition or the host's genetic background, age, BMI, and sex (Supplementary materials: Figure S2.13). There are several questions that arise from these data. For example, what is the real role of crAssphages in the gut ecosystem? and what is the factor affecting this dominant phage?

The ratio of plasmids to microbial chromosomes in the human gut metagenome has not previously been reported. Our first estimation suggested that plasmids outnumber the microbial cells in IGCJ gut microbiomes. On the other hand, the estimated ratio of crAssphages to microbial cells is approximately consistent with previous estimations of gut phages to microbial cells, ranging from 0.1:1 to 1:1 (Reyes et al., 2012; Kim et al., 2011). The present estimate remains tentative because yet-unidentified eMGEs should exist and will need to be confirmed with more samples.

Host prediction is a challenging issue in eMGE study (Dib et al., 2015; Edwards et al., 2015). A similarity search for the draft genomes of individual cultured species containing unidentified plasmid sequences is a simple but solid method for host assignment of plasmids, once plasmids are identified as complete CCs. Indeed, in this study, hosts for 36 of the 71 plasmid CCs were assigned by a similarity search for draft genomes, of which 13 hosts were also predicted by CO and/or MM to taxonomically close species assigned by the similarity search. In addition, the hosts of two plasmid CCs predicted by both CO and MM and those of four phage CCs predicted by both MM and CRISPR spacer were taxonomically consistent between the two methods (Supplementary materials: Tables S2.9 and S2.10). Thus, there was almost no inconsistency in host prediction between at least two different methods, and many of the predicted hosts were taxonomically assigned at the species and genus levels, demonstrating the practical usefulness of the three methods and their combined use for host prediction of eMGEs, as well as the Hi-C method recently developed (Stewart et al., 2018). In addition, the overall sequence similarity shown here could also be a useful index for host prediction of plasmids, because plasmids from taxonomically similar hosts tended to have relatively high sequence similarities between them (Fig. 2.2a).

In host prediction of phages, YS1-2_2434 and FAKO27_000271F may be novel phages of putative hosts Bifidobacterium and Faecalibacterium, respectively, because they differed from the recently reported prophages of these two taxa (Duranti et al., 2017; Cornuault et al., 2018). FAKO27_000238F may also be a novel phage and the first associated with Phascolarctobacterium as a putative host.

The present analysis also revealed the largest host-plasmid network and the highest abundance of plasmids in Bacteroidetes, which was nearly independent of the overall microbial composition. These results may accord with the previous findings that there was no profound association between the dominant species
and its mobile genes and the extensive DNA transfer between Bacteroidales species in the human gut (Brito et al., 2016; Coyne et al., 2014). Taken together, our data strongly suggest that Bacteroidetes-associated plasmids are the major players and mediators in modulating human gut microbiome structure and function toward improving the adaptability of the host to environmental changes such as an increase in heavy metal ions.

The functional analysis identified several plasmid-enriched functions, such as transposase, toxin-antitoxin, type IV secretion system (conjugation), and inorganic ion transport (Fig. 2.5 and Supplementary materials: Table S2.13). Among the genes in category X , transposase-related COGs were exclusively identified as plasmid-enriched genes, which may be partly because category X is biased toward many transposases in its composition. While the former three functions were known to be plasmid-enriched (Smillie et al., 2010; Ogilvie et al., 2012), we also found the dissemination of resistance and efflux systems for metal ions such as copper, arsine, tellurium, and cadmium in gut plasmids, suggesting that gut plasmids are determinants of metabolism for toxic metal ions (Silver and Walderhaug, 1992). Our data also revealed that antibiotic functions were strongly linked to relatively low-abundance Proteobacteria plasmids, particularly Enterobacteriaceae, in the human gut (Fig. 2.2a and Supplementary materials: Table S2.14), suggesting associations between nosocomial Enterobacteriaceae species and the human gut microbiome (San Millan, 2018). However, at present, we do not know the biological significance of the tendency to carry plasmids encoding antibiotic functions more frequently in low-abundance species than high-abundance plasmids.

In conclusion, long-read metagenomics provides an efficient method for the exploration of uncharted eMGEs in the human gut, and the accumulated data represent an alternative resource useful for a deeper understanding of human gut microbial ecology.

### 2.5 Supplementary materials

### 2.5.1 Supplementary Figures



Figure S2.1: Genes in PacBio and short-read contigs. a Comparison of length distributions of genes identified in PacBio and short-read (MiSeq) contigs and reference genomes containing complete genomes. The box plots show IQR by boxes, medians by central lines, and the lowest and highest values within 1.5 times the IQR are shown by whiskers. For visualization, outliers are not shown in this figure. b Histograms for the number of genes identified in the PacBio and MiSeq contigs.


Figure S2.2: Optimization for identification of POGs. a, Estimation of sensitivities (the number of phages from which POG(s) were detected / the number of phages) and specificities (the number of non-phages from which POG(s) were not detected / the number of non-phages) with various thresholds. Calculations were performed by aligning all predicted genes of the reference plasmid and phage sequences to POGs. b Relation between the sensitivity (y-axis) and the false positive ratio ( $1-$ specificity). A red dot is the nearest to the perfect prediction at the upper left corner ( $100 \%$ sensitivity and $100 \%$ specificity) among the thresholds tested under the conditions of alignment coverage $\geq 90 \%$ without a threshold for identity.


Figure S2.3: Sequence alignments of two highly similar but distinct plasmid CCs in three samples. a Alignment of three pairs of similar plasmid CCs identified in three subjects. Orange bars represent two highly similar plasmid CCs (upper two) generated from PacBio reads and the corresponding short-read contigs (bottom) in each sample. Multiple fragmented short-read contigs (left and middle) were aligned with the plasmid CCs, and a short-read contig (right) was aligned with one of either plasmid CCs. The similar regions are connected with blue rectangles, of which shades indicate the degree of sequence similarity between them. b Dot plots of two similar plasmid CCs in three samples. PacBio subreads covering the forward and reverse strands of the entire CCs are shown by red and blue bars, respectively. Only a part of the mapped subreads is shown here.


Figure S2.4: Similarity search of $\mathbf{8 2}$ CCs against the public plasmid/phage database. The Venn diagrams show 71 plasmid and 11 phage CCs (red) identified in this study and known plasmids and phages in GenBank (blue), respectively. The 17 plasmid CCs and five phage CCs were matched with 10 known plasmids and one phage (crAssphage) in GenBank, respectively


Figure S2.5: Mapping of PacBio subreads and short reads to the five crAssphage CCs. Alignments of PacBio long subreads and MiSeq short reads to the five crAssphage CCs are shown in the upper and middle diagrams, respectively. Red and blue horizontal lines represent forward and reverse reads mapped to the CCs, respectively. Red inverse triangles highlight the region of TDRs with approximately two times higher number of mapped reads than others in the CCs. Alignments with excessive PacBio subreads were eliminated from the diagrams. The bottom diagram shows the frequency of start sites ( 5 ' position) of aligned short reads in the CCs. The orange and blue bars represent the numbers of forward and reverse reads, respectively.


Figure S2.6: Dot plot of TDRs in the five crAssphages. Dot plots of all pairs of TDRs in the five crAssphage genomes are shown. The numbers in the matrix denote percentage identities between the two TDRs.


Figure S2.7: GC skews in the linear crAssphage genomes. Grey pentagons indicate putative genes in the crAssphage genomes. TDRs are indicated by red boxes. GC skews are shown in blue and orange.


Figure S2.8: Mapping of PacBio subreads to two phage CCs. Alignments of PacBio subreads mapped to two phage CCs (FAKO05_000032F and FAKO27_0000271F) are shown as described in Fig. S2.5. The data suggest that these two phage CCs have linear genomes.


Figure S2.9: Phylogenetic tree of 101 HQ chromosome bins and 181 known genomes. A neighbour-joining phylogenetic tree was constructed from 101 HQ genome bins and 181 known genomes of four phyla in GenBank with Euryarchaeota (Methanobrevibacter smithii) as an outgroup. Five phyla are shown in different colours (green for Firmicutes, purple for Actinobacteria, pink for Proteobacteria, blue for Bacteroidetes, and yellow for Euryarchaeota), and red for 101 HQ genome bins in the outer circle. Red circles on the tree edges indicate 17 novel genomes phylogenetically distinct from the known genomes.


Figure S2.10: Host prediction by MM similarity between eMGEs and HQ chromosome bins in the PacBio JP dataset. The eMGEs (purple) and host strains (green) having common MMs in eight subjects are shown with separate boxes. The eMGEs marked with asterisks indicate phages, and others are plasmids. The MMs with m6A are shown at the bottom of each box. Red shades indicate mean IPD ratio values higher than the threshold of 2.5 , and yellow indicates mean IPD ratios less than the threshold. Grey denotes the absence of common MMs between host strains and eMGEs. The eMGE 2268 links with two different host strains are boxed by a dashed line.


Figure S2.11: Host-plasmid network. The predicted host-plasmid relationships were summarized and visualized as a network. The circles and squares show plasmid CCs identified in this study and predicted hosts, respectively. The colours of the squares indicate host taxonomy at the phylum level (pink for Firmicutes, green for Actinobacteria, purple for Proteobacteria, blue for Bacteroidetes).


Figure S2.12: Ratios of reads mapped to plasmids and crAssphages in 413 metagenomic data sets and proportions of crAssphage-positive individuals. a-b Metagenomic reads from 413 individuals ( 10 M reads per individual) in the IGCJ dataset are mapped to eMGE and crAssphage clusters. The x-axis shows 413 individuals from China (orange), Denmark (brown), Spain (green), Japan (light blue), and the US (pink). The y-axis shows the ratio of reads mapped to the clusters. c Proportions of crAssphage-positive individuals ( $\geq 1$ mapped read) in the five countries.


Figure S2.13: Association analysis of the abundance of crAssphages with subjects' age, BMI and sex in the IGCJ dataset. SCCs between crAssphage abundance and age (a) and BMI (b). Each circle represents each subject, and the blue line is the regression line. Comparison of crAssphage abundance between male and female participants (c). Pseudo-count (0.00001) was added to the abundance, and the values were log-transformed. The publicly available metadata (age, BMI, and sex) of 323 subjects in four countries (except the US subjects) were used for the analysis.


Figure S2.14: ARGs in plasmids in the IGCJ dataset. a Proportion of host phyla of plasmids containing ARGs based on the Resfams database. b Ratio of ARGs per 1,000 genes in plasmids and chromosomes is shown.

### 2.5.2 Supplementary Tables

Table S2.1: Summary of metagenomic sequencing of fecal samples from 12 individuals by PacBio and other sequencers.

| Subject ID | Age | Sex | Family | PacBio RS II (P4-C2) |  |  | PacBio RS II (P6-C4) |  |  |  | Total base pairs (PacBio) | Total base pairs (PacBio, errorcorrected reads) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | \# <br> Subreads | Average subread length | Base pairs | \# <br> Subreads | Average subread length | Base pairs |  |  |  |
| apr34 | 20 | female | no | - | - | - | 1,914,637 | 4,892 | 9,365,631,339 | 1,914,637 | 9,365,631,339 | 4,705,237,154 |
| apr38 | 19 | male | no | - | - | - | 870,751 | 8,665 | 7,545,057,362 | 870,751 | 7,545,057,362 | 5,216,585,842 |
| FAKO02 | 47 | male | no | - | - | - | 994,536 | 9,862 | 9,807,837,159 | 994,536 | 9,807,837,159 | 6,178,480,285 |
| FAKO03 | 50 | male | no | 1,649,889 | 1,911 | 3,152,770,640 | - | - | - | 1,649,889 | 3,152,770,640 | 199,064,009 |
| FAKO05 | 50 | male | no | 1,594,348 | 2,294 | 3,657,589,101 | - | - | - | 1,594,348 | 3,657,589,101 | 433,924,565 |
| FAKO27 | 50 | male | no | 3,335,131 | 2,573 | 8,580,950,550 | 1,967,478 | 4,488 | 8,829,849,357 | 5,302,609 | 17,410,799,907 | 4,571,886,382 |
| ES1-2 | 17 | female | yes | - | - | - | 1,511,486 | 7,715 | 11,661,252,096 | 1,511,486 | 11,661,252,096 | - |
| ES9-1 | 17 | female | yes | - | - | - | 1,334,470 | 8,024 | 10,707,762,806 | 1,334,470 | 10,707,762,806 | - |
| ES_ALL (ES1-2 + ES9-1) | 17 | female | yes | - | - | - | 2,845,956 | 7,860 | 22,369,014,902 | 2,845,956 | 22,369,014,902 | 8,526,388,837 |
| FA1-2 | 44 | male | yes | - | - | - | 1,708,252 | 7,000 | 11,957,772,759 | 1,708,252 | 11,957,772,759 | 3,367,414,284 |
| GF1-2 | 77 | male | yes | - | - | - | 1,744,355 | 7,954 | 13,874,353,103 | 1,744,355 | 13,874,353,103 | 3,535,433,781 |
| GM1-2 | 78 | female | yes | - | - | - | 1,175,021 | 9,289 | 10,914,987,891 | 1,175,021 | 10,914,987,891 | 2,109,877,682 |
| MO1-2 | 44 | female | yes | - | - | - | 1,526,066 | 7,195 | 10,979,508,573 | 1,526,066 | 10,979,508,573 | 1,741,880,643 |
| YS1-2 | 5 | female | yes | - | - | - | 1,820,072 | 7,643 | 13,910,897,854 | 1,820,072 | 13,910,897,854 | 5,313,556,355 |
| Avearge of 13 samples |  |  |  |  |  |  |  |  |  | 1,780,499 | 10,380,478,507 | 3,397,576,453 |
| Average of 11 subjects (except FAKO03 \& FAKO05) |  |  |  |  |  |  | 1,506,102 | 7,216 | 10,868,628,209 | 1,990,226 | 12,813,586,085 | 4,526,674,125 |


| Subject ID | Total base pairs (PacBio, mapped to hg19) | Total \# subreads (PacBio, mapped to hg19) | Roche 454 |  | Ion PGM |  | Illumina MiSeq |  | Total reads <br> (short read) | Total base pairs (short read) | \% mapped reads to human genome (MiSeq) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Reads | Base pairs | Reads | Base pairs | Reads | Base pairs |  |  |  |
| apr34 | 51,870 | 20 (0.001\%) | 1,041,477 | 679,049,047 | - | - | 17,913,411 | 5,260,094,469 | 18,954,888 | 5,939,143,516 | - |
| apr38 | 185,488 | 28 (0.003\%) | 903,832 | 552,619,941 | - | - | 19,238,817 | 5,704,934,670 | 20,142,649 | 6,257,554,611 | - |
| FAKO02 | 147,275 | 15 (0.002\%) | 1,212,255 | 774,334,821 | 4,977,020 | 1,191,843,698 | 20,601,066 | 3,171,189,959 | 26,790,341 | 5,137,368,478 | - |
| FAKO03 | 40,685 | 19 (0.001\%) | 813,005 | 474,528,076 | 3,060,941 | 722,273,852 | 23,416,757 | 3,635,198,059 | 27,290,703 | 4,831,999,987 | - |
| FAKO05 | 28,848 | 12 (0.001\%) | 1,013,519 | 772,877,228 | 4,135,434 | 983,799,832 | 18,049,491 | 2,806,997,676 | 23,198,444 | 4,563,674,736 | - |
| FAKO27 | 81,768 | 30 (0.001\%) | 1,116,983 | 876,718,876 | 6,178,406 | 1,358,286,547 | 25,430,117 | 3,958,248,462 | 32,725,506 | 6,193,253,885 | - |
| ES1-2 | 2,736 | 1 (0.000\%) | - | - | - | - | 12,351,693 | - | 12,351,693 | 2,963,368,976 | 0.001\% |
| ES9-1 | 293,536 | 33 (0.002\%) | - | - | - | - | 10,009,420 | - | 10,009,420 | 2,341,061,545 | 0.006\% |
|  | - | - | - | - | - | - | 22,361,113 | - | 22,361,113 | 5,304,430,521 | - |
| FA1-2 | 485,320 | 68 (0.004\%) | - | - | - | - | 3,491,891 | - | 3,491,891 | 722,718,336 | 0.059\% |
| GF1-2 | 12,069 | 1 (0.000\%) | - | - | - | - | 13,721,388 | - | 13,721,388 | 2,957,324,378 | 0.002\% |
| GM1-2 | 11,735 | 1 (0.000\%) | - | - | - | - | 3,793,400 | - | 3,793,400 | 712,273,902 | 0.009\% |
| MO1-2 | 6,491 | 2 (0.000\%) | - | - | - | - | 11,685,661 | - | 11,685,661 | 2,654,297,840 | 0.003\% |
| YS1-2 | 0 | 0 (0\%) | - | - | - | - | 11,140,944 | - | 11,140,944 | 2,433,077,447 | 0.002\% |
| Avearge of 13 samples |  |  |  |  |  |  |  |  | 17,941,411 | 3,975,593,136 |  |
| Average of 11 subjects (except FAKO03 \& FAKO05) |  |  |  |  |  |  |  |  |  |  |  |

Table S2.2: Contigs generated from assembly of PacBio and short reads. Note that contigs shorter than 500 bp were removed

| Subject ID | PacBio contig\# | PacBio contig length in bp | PacBio contig N50 length in bp | PacBio longest contig length in bp | PacBio shortest contig length in bp | Short-read contig\# | Short-read contig length in bp | Short-read <br> contig N50 <br> length in bp | Short-read longest contig length in bp | Short-read shortest contig length in bp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| apr34 | 1,887 | 99,177,571 | 255,972 | 2,976,383 | 95 | 85,631 | 177,020,327 | 4,395 | 236,789 | 500 |
| apr38 | 2,048 | 101,254,602 | 279,204 | 4,227,722 | 101 | 111,996 | 212,659,740 | 3,259 | 238,535 | 500 |
| FAKO02 | 3,247 | 141,555,390 | 139,859 | 2,723,705 | 98 | 84,000 | 146,324,073 | 4,599 | 369,949 | 500 |
| FAKO03 | 1,850 | 18,552,324 | 24,641 | 467,305 | 65 | 45,290 | 59,492,962 | 3,286 | 293,866 | 500 |
| FAKO05 | 2,132 | 28,542,572 | 74,841 | 2,402,855 | 78 | 74,229 | 168,779,741 | 4,510 | 345,087 | 500 |
| FAKO27 | 6,277 | 111,069,464 | 65,539 | 2,034,231 | 58 | 100,546 | 185,013,815 | 2,981 | 320,160 | 500 |
| ES_ALL | 5,153 | 138,117,496 | 115,586 | 2,371,174 | 130 | 84,559 | 182,493,422 | 3,006 | 277,431 | 500 |
| FA1-2 | 2,840 | 96,205,212 | 129,414 | 1,206,678 | 142 | 117,994 | 217,785,539 | 1,595 | 65,052 | 500 |
| GF1-2 | 3,988 | 83,619,483 | 68,042 | 5,332,272 | 78 | 107,637 | 147,829,304 | 1,669 | 250,990 | 500 |
| GM1-2 | 4,165 | 61,767,800 | 30,309 | 814,881 | 128 | 49,767 | 51,891,145 | 1,091 | 173,512 | 500 |
| MO1-2 | 2,807 | 60,994,291 | 67,338 | 1,019,902 | 74 | 77,575 | 133,388,428 | 3,019 | 243,440 | 500 |
| YS1-2 | 2,542 | 102,616,022 | 151,814 | 2,253,333 | 108 | 51,443 | 96,587,603 | 3,282 | 217,680 | 500 |
| Total | 38,936 | 1,043,472,227 |  |  |  | 990,667 | 1,779,266,099 |  |  |  |

Table S2.3: Distribution of read depths and contig lengths in PacBio contigs of the three subjects.

| Read depth | Contig length in bp | Ratio (\%) |
| :---: | ---: | ---: |
| $<5$ | 278,600 | 0.16 |
| $\geq 5$ | $3,600,101$ | 2.10 |
| $\geq 10$ | $10,115,369$ | 5.89 |
| $\geq 15$ | $13,894,927$ | 8.09 |
| $\geq 20$ | $19,726,799$ | 11.49 |
| $\geq 25$ | $17,286,297$ | 10.07 |
| $\geq 30$ | $20,226,259$ | 11.78 |
| $\geq 35$ | $10,026,977$ | 5.84 |
| $\geq 40$ | $5,897,292$ | 3.44 |
| $\geq 45$ | $5,736,580$ | 3.34 |
| $\geq 50$ | $7,274,777$ | 4.24 |
| $\geq 55$ | $3,272,158$ | 1.91 |
| $\geq 60$ | $4,564,614$ | 2.66 |
| $\geq 65$ | $10,843,639$ | 6.32 |
| $\geq 70$ | $7,525,208$ | 4.38 |
| $\geq 75$ | $10,288,008$ | 5.99 |
| $\geq 80$ | $5,075,999$ | 2.96 |
| $\geq 85$ | $2,615,731$ | 1.52 |
| $\geq 90$ | $6,415,924$ | 3.74 |
| $\geq 95$ | $3,812,539$ | 2.22 |
| $\geq 100$ | $3,197,846$ | 1.86 |

Table S2.4: Classification and characterization of $82 \mathrm{CCs}<1-\mathrm{Mb}$ in PacBio assembly. *Results of phage classification are shown by 1 for "most confident", 2 for "likely", 3 for "possible", and - for "no score". ** 1 and 0 indicate presence and absence of plasmid-enriched genes such as plasmid replication, toxin-antitoxin system, mobilization protein and type IV secretion system in CCs, respectively.

| Name | Length in bp | Classification |  |  |  | $\begin{gathered} \text { Plasmid- } \\ \text { enriched } \\ \text { genes" } \end{gathered}$ | Novelity | High-similar <br> reference ID | High-similar references in databases | $\begin{array}{\|c} \hline \text { Identity } \\ \text { with } \\ \text { closest } \\ \text { reference } \\ \hline \end{array}$ | Alignment <br> coverage | $\begin{aligned} & \hline \text { Contig } \\ & \text { length/ } \\ & \text { Reference } \\ & \text { length } \\ & \hline \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | POG* | Virsorter* | PlasFlow | $\begin{gathered} \text { This } \\ \text { Study } \end{gathered}$ |  |  |  |  |  |  |  |
| apr34_00060F | 243,670 | - | - | Chromosome | Plasmid | COG3451, COG3505, COG377, COG3077, ParA (prokka) | Novel | - | - | - | - | . |
| apr34_000136F | 98,299 | - | - | Chromosome | Plasmid | $\begin{gathered} \text { COG3451, } \\ \text { COG383, } \\ \text { COG3443, } \\ \text { COG2336, } \\ \text { ParA } \\ \text { (prokka) } \end{gathered}$ | Novel | $\cdots$ | $\cdots$ | $\checkmark$ | $\checkmark$ |  |
| apr34-000142F | 94,435 | 1 | 2 | Chromosome | Phage | (proka) |  | NC_024711.1 | Uncultured phage crAssphage | $\frac{93.2 \%}{964 \%}$ | 92.8\% $23.7 \%$ | 0.97 3.25 |
|  |  |  |  |  |  |  |  |  | phage: 3300006477-Ga0100232-103270 | 96.2\% | ${ }_{\text {5 }}^{5.6 \%}$ | ${ }_{15.43}$ |
|  |  |  |  |  |  |  |  |  | phage: 3300014804_Ga0134371_102626 | 98.4\% | 5.6\% | 18.03 |
| apr34.001180F | 5,259 | - | - | Unclassified | Plasmid | 0 | Novel | . |  | - | - |  |
| apr34.1784 | 45,225 | 1 | 1 | Chromosome | Phage | - | Novel | - | . | - | - |  |
| apr34.1785 | 4,383 | - | - | Unclassified | Plasmid | COG3843 | Novel | - | - | - | - |  |
| apr34.1786 | 5,602 | - | - | Plasmid | Plasmid | 0 | Novel | - | - | - | - | - |
| apr34.1788 | 165,458 | $-$ | - | Chromosome | Plasmid | 0 | Novel | - | - | - | - | - |
| apr34-1792 | 30,579 | 1 | 2 | Chromosome | Phage | - | Novel | - | - | - | - |  |
| apr38.00029F | ${ }^{617,950}$ | . | . | Chromosome | Plasmid | COG1475 | Novel | - |  | . | . |  |
| apr38_00077F | 216,202 | . | . | Chromosome | Plasmid |  | Novel | . | . | - | - | - |
| apr38-2079 | 28,607 | - | . | Chromosome | Plasmid | 0 | Novel | - | - | - | - |  |
| apr38.2081 | 47,897 | - | . | Unclassified | Plasmid | 0 | Novel | - | - | . | - |  |


| Name | Length <br> in bp | Classification |  |  |  | Plasmidenriched genes** | Novelity | High-similar reference ID | High-similar references in databases | Identity with closest reference | Alignment coverage | Contig length / <br> Reference length |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | POG* | Virsorter* | PlasFlow | $\begin{gathered} \hline \text { This } \\ \text { study } \end{gathered}$ |  |  |  |  |  |  |  |
| ES_ALL_000190F | 97,257 | 1 | 2 | Chromosome | Phage | - |  | NC_024711.1 | Uncultured phage crAssphage | 97.1\% | 94.7\% | 1.00 |
|  |  |  |  |  |  |  |  |  | phage: 3300008360_Ga0114875-1003073 | 97.9\% | 9.0\% | 8.72 |
|  |  |  |  |  |  |  |  |  | phage: 7000000075_SRS016056_WUGC_scaffold_1507 | 96.3\% | 10.3\% | 9.50 |
|  |  |  |  |  |  |  |  |  | phage: 3300009692_Ga0116171_10015780 | 98.8\% | 5.9\% | 16.86 |
|  |  |  |  |  |  |  |  |  | phage: 3300009711_Ga0116166_1006214 | 98.5\% | 8.4\% | 11.91 |
|  |  |  |  |  |  |  |  |  | phage: 3300009687_Ga0116144_10011271 | 98.1\% | 7.1\% | 13.99 |
|  |  |  |  |  |  |  |  |  | phage: 3300009687-Ga0116144_10012116 | 98.7\% | 6.8\% | 14.72 |
| ES_ALL_000351F | 44,025 | - | - | Unclassified | Plasmid | COG3505, <br> COG3843, <br> COG5527, <br> COG3451, <br> ParA (prokka) |  | NC_006873.1 | Bacteroides fragilis NCTC 9343 pBF9343 plasmid | 95.6\% | 96.1\% | 1.20 |
| ES_ALL_5057 | 31,059 | - | - | Plasmid | Plasmid | COG3451, COG3505, COG5527 |  | NC_004703.1 | Bacteroides thetaiotaomicron VPI-5482 plasmid p5482 | 98.8\% | 91.7\% | 0.94 |
| ES_ALL_5058 | 65,724 | - | - | Chromosome | Plasmid | 0 | Novel | - | - | - | - | - |
| ES_ALL_5059 | 8,278 | - | . | Plasmid | Plasmid | $\begin{aligned} & \text { COG3077, } \\ & \text { COG2161, } \\ & \text { COG3843 } \end{aligned}$ | Novel | . | . | - | - | - |
| FA1-2_000172F | 96,565 | 1 | 2 | Chromosome | Phage | - |  | NC_024711.1 | Uncultured phage crAssphage | 97.0\% | 94.5\% | 0.99 |
|  |  |  |  |  |  |  |  |  | phage: 3300009687_Ga0116144-10011271 | 98.1\% | 7.2\% | 13.89 |
|  |  |  |  |  |  |  |  |  | phage: 3300012956_Ga0154020_10017436 | 98.2\% | 8.3\% | 12.01 |
|  |  |  |  |  |  |  |  |  | phage: 3300009692_Ga0116171_10015780 | 98.9\% | 6.0\% | 16.74 |
|  |  |  |  |  |  |  |  |  | phage: 7000000075_SRS016056_WUGC_scaffold_1507 | 96.4\% | 10.4\% | 9.43 |
|  |  |  |  |  |  |  |  |  | phage: 7000000613_SRS023847-Baylor_scaffold_764 | 98.3\% | 7.7\% | 13.07 |
| FA1-2_000250F | 62,025 | - | - | Chromosome | Plasmid | COG3843, COG3451, COG3505, ParA (prokka) | Novel | - | - | - | - | - |
| FA1-2_000589F | 26,749 | - | - | Chromosome | Plasmid | 0 | Novel | - | phage: 2051223001_mc15b_MC15B_contig06336, IMVGR | 97.3\% | 21.9\% | 4.26 |
| FA1-2_2752 | 5,396 | - | . | Chromosome | Plasmid | COG2026, COG3843, COG5527 | Novel | - | - | - | - | . |
| FA1-2_2754 | 6,124 | - | - | Plasmid | Plasmid | COG5527 | Novel | - | - | - | - | - |
| FA1-2_2755 | 10,436 | - | - | Unclassified | Plasmid | COG3077 | Novel | - | - | - | - | - |
| FA1-2.2756 | 50,544 | - | 3 | Chromosome | Plasmid | $\begin{aligned} & \text { COG1475, } \\ & \text { COG3505 } \end{aligned}$ | Novel | - | - | - | - | - |
| FA1-2_2758 | 8,177 | - | - | Unclassified | Plasmid | 0 | Novel | - | - | - | - | - |


| Name | $\begin{aligned} & \text { Length } \\ & \text { in bp } \end{aligned}$ | Classification |  |  |  | Plasmidenriched genes** | Novelity | High-similar reference ID | High-similar references in databases | Identity with closest reference | Alignment coverage | Contig length / Reference length |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | POG* | Virsorter* | PlasFlow | $\begin{gathered} \text { This } \\ \text { study } \end{gathered}$ |  |  |  |  |  |  |  |
| FA1-2_2760 | 4,306 | - | - | Plasmid | Plasmid | COG5527, | Novel | NC_019534.1 | Bacteroides fragilis plasmid pBFUK1 (partial) | 99.9\% | 100.0\% | 0.34 |
|  |  |  |  |  |  | COG3843 |  | - | phage: Bacteroidia_gi_329959038_4306_bp_DNA_circular_ENV | 100.0\% | 100.0\% | 1.00 |
| FAKO02_000237F | 74,873 | - | - | Chromosome | Plasmid | COG3451, COG1475, COG5527, COG3505 | Novel | - | - | - | - | . |
| FAKO02_3061 | 25,023 | - | - | Unclassified | Plasmid | $\begin{gathered} \text { ParA } \\ \text { (prokka) } \end{gathered}$ | Novel | - | - | - | - | - |
| FAKO02_3062 | 54,132 | - | - | Chromosome | Plasmid |  | Novel | - | - | - | - | - |
| FAKO02_3063 | 70,284 | - | 3 | Unclassified | Plasmid |  | Novel | - | - | - | - | - |
| FAKO03_2022 | 5,335 | - | - | Plasmid | Plasmid | 0 | Novel | - | - | - | - | - |
| FAKO03_2023 | 2,782 | - | - | Plasmid | Plasmid | 0 |  | NC_005026.1 | Bacteroides fragilis IB 143 plasmid pBI143 | 90.1\% | 98.8\% | 1.01 |
| FAKO03_2024 | 6,599 | - | - | Plasmid | Plasmid | COG2026 | Novel | - | - | - | - | - |
| FAKO03_2027 | 11,603 | - | - | Unclassified | Plasmid | $\begin{gathered} \text { ParA } \\ \text { (prokka) } \\ \hline \end{gathered}$ | Novel | - | - | - | - | - |
| FAKO03_2028 | 5,022 | - | - | Plasmid | Plasmid | COG3843 | Novel | - | - | - | - | - |
| FAKO03_2030 | 3,680 | - | - | Unclassified | Plasmid | COG5527 |  | NC_010861.1 | Bifidobacterium longum plasmid p6043B | 100.0\% | 100.0\% | 1.00 |
| FAKO05_000032F | 83,596 | 1 | 2 | Chromosome | Phage | - | Novel | - | phage: 7000000482_SRS016541_Baylor_scaffold_7470 | 98.8\% | 10.1\% | 8.04 |
|  |  |  |  |  |  |  |  |  | phage: 3300014833_Ga0119870_1001739 | 98.4\% | 10.8\% | 9.28 |
|  |  |  |  |  |  |  |  |  | phage: 3300009694_Ga0116170_10004631 | 98.7\% | 14.5\% | 6.68 |
|  |  |  |  |  |  |  |  |  | phage: 3300009692_Ga0116171_10010906 | 98.6\% | 8.7\% | 11.44 |
|  |  |  |  |  |  |  |  |  | phage: 3300009692_Ga0116171_10006832 | 99.0\% | 11.7\% | 8.53 |
| FAKO05_000706F | 6,322 | - | - | Unclassified | Plasmid | 0 | Novel | - | $\underline{-}$ | - | - | - |
| FAKO05_2266 | 4,970 | . | . | Plasmid | Plasmid | COG5527, COG3843, COG2026 | Novel | . | . | . | . | - |
| FAKO05-2267 | 4,787 | - | - | Plasmid | Plasmid | $\begin{aligned} & \text { COG5527, } \\ & \text { COG3843 } \end{aligned}$ | Novel | - | - | - | - | - |
| FAKO05-2268 | 4,148 | - | - | Plasmid | Plasmid | COG5527, | Novel | NC_019534.1 | Bacteroides fragilis plasmid pBFUK1 (partial) | 98.1\% | 71.6\% | 0.32 |
|  |  |  |  |  |  | COG3843 |  |  | phage: Bacteroidia_gi_298484481_4148_bp_DNA_circular_ENV | 100.0\% | 91.4\% | 1.00 |
| FAKO05_2270 | 3,842 | - | - | Unclassified | Plasmid | 0 | Novel | - | - | - | - | - |
| FAKO05_2271 | 4,303 | - | - | Plasmid | Plasmid | COG5527, | Novel | NC_019534.1 | Bacteroides fragilis plasmid pBFUK1 (partial) | 100.0\% | 100.0\% | 0.34 |
|  |  |  |  |  |  | COG3843 |  |  | phage: Bacteroidia_gi_329959038_4306_bp_DNA_circular_ENV | 99.9\% | 92.9\% | 1.00 |
| FAKO05-2273 | 5,594 | - | - | Chromosome | Plasmid | COG2336 |  | NC_011073.1 | Bacteroides fragilis plasmid pBFP35 | 99.8\% | 100.0\% | 1.00 |
| FAKO05-2274 | 2,784 | - | - | Plasmid | Plasmid | 0 |  | NC_005026.1 | Bacteroides fragilis IB143 plasmid pBI143 | 90.2\% | 99.9\% | 1.01 |


| Name | Length | Classification |  |  |  | Plasmidenriched <br> genes** | Novelity | High-similar <br> reference ID | High-similar references in databases | $\begin{gathered} \text { Identity } \\ \text { with } \\ \text { closest } \\ \text { reference } \end{gathered}$ | Alignment coverage | Contig length/ Reference length |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | in bp | POG* | Virsorter* | PlasFlow | $\begin{aligned} & \text { This } \\ & \text { study } \end{aligned}$ |  |  |  |  |  |  |  |
| FAKO27-000238F | 64,223 | 1 | - | Chromosome | Phage | - | Novel | - | - | - | - | - |
| FAKO27-000271F | 56,426 | 1 | 2 | Chromosome | Phage | - | Novel | - | - | - | - | - |
| FAKO27-001080F | 12,395 | - | - | Unclassified | Plasmid | COG2161 | Novel | - | - | - | - | - |
| FAKO27_6405 | 2,873 | - | - | Unclassified | Plasmid | COG5527 | Novel | - | - | - | - | - |
| FAKO27-6407 | 2,970 | - | - | Unclassified | Plasmid | 0 | Novel | - | - | - | - | - |
| FAKO27-6409 | 5,023 | - | - | Plasmid | Plasmid | COG3843 | Novel | - | - | - | - | - |
| FAKO27_6410 | 4,307 | - | - | Plasmid | Plasmid | COG5527, | Novel | NC_019534.1 | Bacteroides fragilis plasmid pBFUK1 (partial) | 99.9\% | 100.1\% | 0.34 |
|  |  |  |  |  |  | COG3843 |  |  | phage: Bacteroidia_gi_329959038_4306_bp_DNA_circular_ENV | 100.0\% | 95.3\% | 1.00 |
| FAKO27-6411 | 44,683 | - | - | Unclassified | Plasmid | COG3077 | Novel | - | - | - | - | - |
| FAKO27-6412 | 7,241 | - | - | Plasmid | Plasmid | COG3077 | Novel | - | - | - | - | - |
| FAKO27-6413 | 2,897 | - | - | Unclassified | Plasmid | $\begin{aligned} & \text { COG5527, } \\ & \text { COG2026 } \end{aligned}$ | Novel | - | . | - | - | - |
| GF1-2_000012F | 666,740 | - | - | Chromosome | Plasmid | $\begin{aligned} & \text { COG2336, } \\ & \text { COG1475, } \\ & \text { COG3077 } \end{aligned}$ | Novel | - | - | - | - | - |
| GF1-2_000048F | 167,388 | - | - | Chromosome | Plasmid | $\begin{gathered} \text { COG5527, } \\ \text { ParA } \\ \text { (prokka) } \\ \hline \end{gathered}$ |  | NZ_CP011404.1 | Lactobacillus salivarius str. Ren plasmid pR1 | 97.3\% | 78.4\% | 0.95 |
| GF1-2_000079F | 97,820 | 1 | 2 | Chromosome | Phage | - |  | NC_024711.1 | Uncultured phage crAssphage | 95.7\% | 92.7\% | 1.01 |
|  |  |  |  |  |  |  |  |  | phage: 3300009655_Ga0116190-1011653 | 98.6\% | 4.9\% | 19.52 |
|  |  |  |  |  |  |  |  |  | phage: 7000000441_SRS015794_Baylor_scaffold_7923 | 98.4\% | 11.0\% | 8.25 |
|  |  |  |  |  |  |  |  |  | phage: 3300009682_Ga0116172_10005577 | 97.3\% | 10.2\% | 9.81 |
|  |  |  |  |  |  |  |  |  | phage: 7000000613_C192949 | 94.5\% | 8.3\% | 12.13 |
|  |  |  |  |  |  |  |  |  | phage: 3300006258_Ga0099398_100973 | 97.2\% | 16.9\% | 5.58 |
|  |  |  |  |  |  |  |  |  | phage: 3300008482_Ga0115187-100719 | 96.2\% | 22.6\% | 3.50 |
|  |  |  |  |  |  |  |  |  | phage: 3300009685_Ga0116142_10014943 | 98.4\% | 5.7\% | 17.69 |
| GF1-2_000127F | 72,273 | - | 3 | Unclassified | Plasmid | $\begin{aligned} & \text { COG3843, } \\ & \text { COG3451, } \\ & \text { COG3505, } \\ & \text { COG5527 } \end{aligned}$ | Novel | - | - | - | - | - |
| GF1-2_000231F | 48,134 | - | - | Plasmid | Plasmid | 0 |  | NZ_CP009558.1 | Clostridium perfringens strain FORC_003 plasmid pFORC3 | 100.0\% | 100.0\% | 0.85 |
| GF1-2_000286F | 37,242 | - | . | Unclassified | Plasmid | COG2161, COG3077, COG2336 | Novel | - | - | - | - | - |
| GF1-2_000418F | 30,976 | - | - | Plasmid | Plasmid | $\begin{aligned} & \text { COG5527, } \\ & \text { COG3505, } \\ & \text { COG3451 } \end{aligned}$ |  | NC_004703.1 | Bacteroides thetaiotaomicron VPI-5482 plasmid p5482 | 99.7\% | 91.7\% | 0.94 |
| GF1-2_000511F | 26,269 | - | - | Plasmid | Plasmid | COG3077, COG3077, COG2161, COG3505 | Novel | - | - | - | - | - |


| Name | $\begin{aligned} & \text { Length } \\ & \text { in bp } \end{aligned}$ | Classification |  |  |  | Plasmidenriched genes** | Novelity | High-similar <br> reference ID | High-similar references in databases | $\begin{gathered} \text { Identity } \\ \text { with } \\ \text { closest } \\ \text { reference } \end{gathered}$ | Alignment coverage | Contig length / Reference length |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | POG* | Virsorter* | PlasFlow | $\begin{gathered} \text { This } \\ \text { study } \end{gathered}$ |  |  |  |  |  |  |  |
| GF1-2_4069 | 117,808 | - | 3 | Unclassified | Plasmid | COG3505, COG2161, COG3451 | Novel | - | - | - | - | . |
| GF1-2_4070 | 7,660 | - | - | Plasmid | Plasmid | $\begin{aligned} & \text { COG5527, } \\ & \text { COG3505, } \\ & \text { COG5527 } \end{aligned}$ | Novel | - | - | - | - | - |
| GM1-2_000192F | 44,253 | - | - | Unclassified | Plasmid |  |  | NZ_CP006810.1 | Lactobacillus gasseri 130918 plasmid | 98.2\% | 77.6\% | 1.89 |
| MO1-2_2826 | 93,709 | - | - | Plasmid | Plasmid | $\begin{aligned} & \text { COG3505, } \\ & \text { COG3451, } \\ & \text { COG351, } \\ & \text { COG3843, } \\ & \text { COG2161, } \\ & \text { COG1475, } \\ & \text { COG1475 } \end{aligned}$ | Novel | - | - | - | - | - |
| MO1-2_2827 | 31,029 | - | - | Plasmid | Plasmid | COG5527, COG3505, COG3451 |  | NC_004703.1 | Bacteroides thetaiotaomicron VPI-5482 plasmid p5482 | 99.0\% | 91.7\% | 0.94 |
| MO1-2_2829 | 11,301 | - | - | Unclassified | Plasmid | 0 | Novel | - | - | - | - | - |
| MO1-2_2831 | 37,533 | - | - | Plasmid | Plasmid | COG1475 | Novel | - | - | - | - | - |
| YS1-2_000081F | 208,826 | - | . | Chromosome | Plasmid | $\begin{aligned} & \text { COG3451, } \\ & \text { COG1475, } \\ & \text { COG3505 } \end{aligned}$ | Novel | . | . | . | . | - |
| YS1-2_000084F | 185,698 | - | - | Chromosome | Plasmid | $\begin{aligned} & \hline \text { COG3077, } \\ & \text { COG2161 } \end{aligned}$ | Novel | - | - | - | - | - |
| YS1-2_000086F | 179,466 | - | - | Plasmid | Plasmid | COG3505, COG1475, COG2026, COG2161, COG1475, COG1475, COG3451 |  | NZ_CP008842.1 | Klebsiella oxytoca strain M1 plasmid pKOXM1A | 99.1\% | 77.2\% | 0.88 |
| YS1-2_2427 | 7,669 | - | - | Plasmid | Plasmid | COG5527 | Novel | - | - | - | - | - |
| YS1-2_2428 | 129,326 | - | . | Plasmid | Plasmid | COG1475, COG3451, COG3505, COG1475 | Novel | - | - | - | - | - |
| YS1-2_2430 | 10,473 | - | - | Unclassified | Plasmid | COG3077 | Novel | - | - | - | - | - |


| Name | Length | Classification |  |  |  | Plasmid- <br> enriched genes"' | Novelity | High-similar <br> reference ID | High-similar references in databases | $\begin{gathered} \text { Identity } \\ \text { with } \\ \text { cosest } \\ \text { reference } \end{gathered}$ | Alignment coverage | Contig <br> length/ Referenc length |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | in bp | POG* | Virsorter* | PlasFlow | $\begin{gathered} \text { This } \\ \text { study } \end{gathered}$ |  |  |  |  |  |  |  |
| YS1-2.2431 | 31,060 | - | - | Plasmid | Plasmid | $\begin{aligned} & \hline \text { COG3451, } \\ & \text { COG3505, } \\ & \text { COG5527 } \end{aligned}$ |  | NC_00473.1 | Bacteroides thetaiotaomicron VPI-5482 plasmid p5482 | 98.8\% | 91.7\% | 0.94 |
| YS1-2.2432 | 43,779 | - | - | Chromosome | Plasmid | COG3077 | Novel | - |  |  | - |  |
| YS1-2.2434 | 30,246 | 1 | 2 | Chromosome | Phage | - | Novel | - | - | - | - |  |
| YS1-2.2435 | 104,122 | . | . | Plasmid | Plasmid | COG5527, COG1475, COG1475, COG3551, COG3505 | Novel | . | . | - | . |  |
| YS1-2.2437 | 98,907 | 1 | 2 | Chromosome | Phage | . |  | NC.024711.1 | Uncultured phage crassphage | 97.3\% | 94.4\% | 1.02 |
|  |  |  |  |  |  |  |  |  | phage:3300009687-Ga00116144-10011271 | 98.1\% | 7.0\% | 14.23 |
|  |  |  |  |  |  |  |  |  | phage: 3300012956-Ga0154020_10017436 | 98.3\% | 8.1\% | 12.30 |
|  |  |  |  |  |  |  |  |  | phage: 3300009692-Ga0116171-10015780 | 98.9\% | 5.8\% | 17.15 |
|  |  |  |  |  |  |  |  |  | phage: 7000000075_SRS016056_WUGC_scaffold_1507 | 96.8\% | 8.6\% | 9.66 |
|  |  |  |  |  |  |  |  |  | phage: 3300008360_Ga0114875.1003073 | 98.0\% | 11.3\% | 8.87 |
|  |  |  |  |  |  |  |  |  | phage: 3300007312-Ga0104941-101252 | 97.2\% | 9.9\% | 7.22 |

Table S2.5: Functional annotation of the 71 plasmid CCs based on COGs. (Table S2.5 is omitted from this thesis due to the large size. It is available at https://microbiomejournal.biomedcentral.com/articles/ 10.1186/s40168-019-0737-z.)

Table S2.6: Intra-similarity and length of TDRs of crAssphage linear genomes.

| crAssphage | TDR (left) in bp | TDR (right) in bp | Mismatch bases | Linear genome size in bp |
| :---: | ---: | ---: | ---: | ---: |
| apr34_000142F | 2,171 | 2,171 | 1 | 96,602 |
| ES_ALL_000190F | 1,922 | 1,922 | 1 | 99,214 |
| FA1-2_000172F | 1,891 | 1,891 | 2 | 98,459 |
| GF1-2_000079F | 2,452 | 2,452 | 1 | 99,717 |
| YS1-2_2437 | 1,938 | 1,938 | 0 | 100,844 |
| NC_024711.1 | 1,853 | 1,853 | 0 | 98,917 |

Table S2.7: HQ chromosome bins reconstructed from PacBio contigs. *Bold bins indicate circular contigs. **Identity with the 40
marker genes.

| Bin/L-MAG ID* | Subject | Genome Size | N50 | $\begin{gathered} \begin{array}{c} \text { \# of } \\ \text { contigs } \end{array} \end{gathered}$ | Completeness | Contamination | Reference genome ID | Closest reference genome | Identity** | Putative phylum | $\begin{gathered} 5 \mathrm{~S} \\ \text { rRNA\# } \end{gathered}$ | $\begin{aligned} & \text { 23S } \\ & \text { rRNA\# } \end{aligned}$ | $\begin{aligned} & \text { 16S } \\ & \text { rRNA\# } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| apr34_c000003F | apr34 | 2,335,568 | 2,335,568 | 1 | 100.0 | 0.8 | AAVN00000000 | Collinsella aerofaciens ATCC 25986 | 96.77 | Actinobacteria | 5 | 5 | 5 |
| ES_unbinned_6 | ES | 2,371,174 | 2,371,174 | 1 | 100.0 | 2.4 | AAVN00000000 | Collinsella aerofaciens ATCC 25986 | 96.65 | Actinobacteria | 5 | 5 | 5 |
| apr38_c000003F | apr38 | 2,639,692 | 2,639,692 | 1 | 100.0 | 0.0 | ACTL00000000 | Eubacterium sp. 3_1_31 | 81.66 | Firmicutes | 7 | 7 | 7 |
| FAKO02_c000003F | FAKO02 | 2,218,365 | 2,218,365 | 1 | 99.6 | 0.2 | AEEL00000000 | Streptococcus equinus ATCC 700338 | 99.63 | Firmicutes | 6 | 6 | 6 |
| apr38_c000001F | apr38 | 2,985,567 | 2,985,567 | 1 | 99.3 | 2.0 | AMEY00000000 | Anaerostipes hadrus DSM 3319 | 99.54 | Firmicutes | 6 | 5 | 5 |
| apr34_c000002F | apr34 | 2,416,973 | 2,416,973 | 1 | 100.0 | 0.0 | CAWP000000000 | Veillonella sp. CAG:933 | 96.28 | Firmicutes | 3 | 3 | 3 |
| FAKO02_c000001F | FAKO02 | 2,723,705 | 2,723,705 | 1 | 98.7 | 0.0 | CBIU000000000 | Eubacterium sp. CAG:38 | 99.30 | Firmicutes | 5 | 5 | 5 |
| FAKO27_unbinned_1 | FAKO27 | 2,034,231 | 2,034,231 | 1 | 98.2 | 0.2 | JEOD00000000 | Bifidobacterium pseudocatenulatum IPLA36007 | 99.52 | Actinobacteria | 5 | 5 | 5 |
| apr38_unbinned_3 | apr38 | 2,179,748 | 2,179,748 | 1 | 99.6 | 0.0 | NC_008618 | Bifidobacterium adolescentis ATCC 15703 | 98.68 | Actinobacteria | 6 | 6 | 6 |
| ES_c000001F | ES | 2,205,987 | 2,205,987 | 1 | 99.5 | 0.0 | NC_017999 | Bifidobacterium bifidum BGN4 | 99.73 | Actinobacteria | 5 | 3 | 3 |
| apr38_11 | apr38 | 3,025,056 | 1,569,845 | 3 | 99.4 | 0.0 | ACTX00000000 | Lachnospiraceae bacterium 9-1_43BFAA | 99.79 | Firmicutes | 6 | 6 | 6 |
| FAKO02_35 | FAKO02 | 2,875,235 | 811,490 | , | 98.7 | 0.6 | ABWO00000000 | Tyzzerella nexilis DSM 1787 | 99.37 | Firmicutes | 3 | 3 | 3 |
| ES_6 | ES | 2,815,152 | 974,829 | 4 | 99.3 | 0.7 | AECU00000000 | Faecalibacterium cf. prausnitzii KLE1255 | 99.18 | Firmicutes | 4 | 4 | 4 |
| FAKO02_6 | FAKO02 | 2,242,241 | 1,170,390 | 4 | 91.9 | 0.0 | AUYD00000000 | Bifidobacterium longum E18 | 99.65 | Actinobacteria | 6 | 7 | 7 |
| MO1-2_19 | MO1-2 | 2,152,965 | 875,611 | 4 | 96.0 | 0.3 | CAZC000000000 | Eubacterium sp. CAG: 180 | 99.36 | Firmicutes | 5 | 5 | 5 |
| FAKO02_47 | FAKO02 | 2,073,102 | 443,962 | 4 | 96.6 | 2.0 | CBEX000000000 | Eubacterium sp. CAG:274 | 97.42 | Firmicutes | 6 | 5 | 5 |
| FAKO02_4 | FAKO02 | 2,302,895 | 820,342 | 4 | 97.3 | 2.7 | JEOD00000000 | Bifidobacterium pseudocatenulatum IPLA36007 | 99.62 | Actinobacteria | 5 | 4 | 4 |
| apr38-20 | apr38 | 3,159,405 | 951,536 | 4 | 100.0 | 0.0 | JMLZ00000000 | Robinsoniella sp. KNHs210 | 79.00 | Firmicutes | 4 | 4 | 4 |
| apr34_15 | apr34 | 2,162,838 | 1,143,729 | 4 | 98.9 | 0.0 | NC_014616 | Bifidobacterium bifidum S17 | 99.59 | Actinobacteria | 2 | 2 | 2 |
| ES_9 | ES | 3,074,273 | 833,271 | 5 | 98.7 | 2.4 | AMEY00000000 | Anaerostipes hadrus DSM 3319 | 99.50 | Firmicutes | 5 | 5 | 5 |
| ES_17 | ES | 2,451,186 | 1,333,983 | 5 | 97.6 | 0.0 | CAWP000000000 | Veillonella sp. CAG:933 | 99.23 | Firmicutes | 5 | 5 | 5 |
| apr38_29 | apr38 | 3,410,434 | 2,571,534 | 5 | 99.3 | 0.0 | NC_012778 | Eubacterium eligens ATCC 27750 | 83.71 | Firmicutes | 5 | 5 | 5 |
| apr34-4 | apr34 | 3,429,605 | 2,116,660 | 5 | 99.5 | 1.0 | NC_012781 | Eubacterium rectale ATCC 33656 | 99.37 | Firmicutes | 7 | 7 | 7 |
| FAKO05_6 | FAKO05 | 3,219,832 | 2,402,855 | 5 | 95.2 | 0.7 | NC_021010 | Eubacterium rectale DSM 17629 | 98.93 | Firmicutes | 4 | 4 | 4 |
| FAKO02_21 | FAKO02 | 4,962,458 | 1,062,471 | 6 | 99.4 | 0.2 | AGZN00000000 | Parabacteroides distasonis CL09T03C24 | 99.53 | Bacteroidetes | 6 | 6 | 6 |
| apr34_28 | apr34 | 3,396,077 | 795,391 | 6 | 99.4 | 0.0 | BAHU00000000 | Clostridiales bacterium VE202-07 | 84.78 | Firmicutes | 8 | 8 | 8 |
| FAKO02_25 | FAKO02 | 2,914,187 | 836,595 | 6 | 95.9 | 0.6 | CBIK000000000 | Dorea longicatena CAG:42 | 99.74 | Firmicutes | 6 | 6 | 6 |
| GF1-2_13 | GF1-2 | 2,853,312 | 654,986 | 6 | 99.1 | 0.0 | NC_021020 | Faecalibacterium prausnitzii SL3/3 | 98.53 | Firmicutes | 6 | 6 | 6 |
| YS1-2_15 | YS1-2 | 3,466,694 | 892,738 | 6 | 100.0 | 0.0 | NC_021035 | butyrate-producing bacterium SS33/4 | 98.27 | Firmicutes | 6 | 6 | 6 |
| ES_4 | ES | 5,577,691 | 978,158 | 7 | 96.2 | 0.5 | AGXJ00000000 | Bacteroides dorei CL02T12C06 | 99.89 | Bacteroidetes |  | 5 | 6 |
| apr34.14 | apr34 | 3,082,601 | 874,590 | 7 | 98.3 | 0.0 | AUJS 00000000 | Dorea longicatena AGR2136 | 99.16 | Firmicutes | 7 | 7 | 7 |
| GF1-2_23 | GF1-2 | 1,966,580 | 398,027 | 7 | 96.3 | 1.0 | CAZC000000000 | Eubacterium sp. CAG:180 | 99.60 | Firmicutes | 6 | 6 | 6 |
| MO1-2_2 | MO1-2 | 2,173,340 | 582,374 | 7 | 98.6 | 0.8 | JEOD00000000 | Bifidobacterium pseudocatenulatum IPLA36007 | 99.58 | Actinobacteria | 2 | 2 | 1 |
| FAKO02_16 | FAKO02 | 3,265,609 | 1,769,999 | 7 | 99.1 | 0.1 | NC_021015 | Ruminococcus torques L2-14 | 98.58 | Firmicutes | 6 | 5 | 5 |



|  | $\bigcirc$ | $\bigcirc \bigcirc$ | $\sim$ | －+ |  |  |  |  |  |  |  |  |  |  | － |  |  |  |  |  |  |  |  |  |  |  | － |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ※ | $\bigcirc$ | －～ | $\sim$ | －in |  |  |  |  |  |  |  |  |  |  | － |  |  |  |  | $\bigcirc$ |  | ～ | $\cdots$ | － |  | m | n |
| 的艺荷 | $\bigcirc$ | 0 m | n | －+ |  |  |  |  |  |  | － | $\infty$ | $\bigcirc$ |  | $\bigcirc$ |  |  | － | － 0 | $\bigcirc$ | 0 |  | n |  | $\bigcirc$ | m |  |
|  |  |  |  |  |  |  | $\hat{B}$ |  |  |  | 㵄 |  |  | Ba |  |  |  |  |  | $\hat{y y y}$ |  |  |  |  |  |  |  |
|  | $\mid \vec{\infty}$ |  | $⿳ 䒑 夫 ⿴ 囗 十 心 贝$ | $\left\|\begin{array}{c} \circ \\ \stackrel{\circ}{\circ} \\ \dot{\alpha} \end{array}\right\|$ |  | $x_{0}^{C x}$ | $\begin{array}{rl\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|l\|} \hline \end{array}$ |  |  |  | $\underset{\sim}{\infty} \underset{\sim}{\infty} \mid \underset{\sim}{\infty}$ |  | $\left\|\begin{array}{c} i n \\ \dot{n} \\ \dot{2} \end{array}\right\|$ |  | $\underset{\sim}{\circ}$ |  | － |  | $\left\|\begin{array}{c} \circ \\ \propto \\ \propto \\ \propto \end{array}\right\|$ | $\left\|\begin{array}{c} \overrightarrow{2} \\ \alpha \\ \alpha \end{array}\right\|$ |  |  | $\mathrm{S}_{2}^{2}$ | $\dot{S}$ |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  | $\left\|\begin{array}{c} \infty \\ \\ \\ 0 \\ 0 \\ z \end{array}\right\|$ |  |  |  |  |  |  |  |  | $\left\|\begin{array}{c} \infty \\ 0 \end{array}\right\|$ |  |  |  |  |  |  |  |  |  | Be |  | Bucy |  | 㐔 |  |  |  |
|  | $\bigcirc$ | 잉 | N | $\bigcirc$ | $\bigcirc$ | તิ | ${ }_{\sim}^{\circ}$ | 9 | $2 \sim$ | $\cdots$ | $\bigcirc$ | 2 | $\stackrel{\text { cher }}{ }$ | － | \％ | $\cdots$ | 20 | $\bigcirc$ | $\sim$ | $\infty$ | ก | $0^{\circ}$ | $\stackrel{10}{\sim}$ | $\stackrel{3}{\circ}$ | $\bigcirc$ | च̇ | \＆ |
|  | $\left\lvert\, \begin{aligned} & \circ \\ & \dot{\sigma} \\ & \hline \end{aligned}\right.$ | $\dot{8} \dot{8} \dot{0}$ | $\%$ | $\bigcirc$ | \％ | ก \％ | ¢ | $\bigcirc$ | \％ | $\bigcirc$ | $\stackrel{\circ}{2}$ | $\bigcirc$ | $\stackrel{\circ}{\circ}$ |  | \％iv | \％ | \％ | Oix | $\bigcirc$ | \％ | $\%$ | \％ | \％ | \％${ }_{\text {O }}^{\text {m }}$ | な | － | ¢ |
| $\left\|\begin{array}{cc} 4.0 \\ 0 \\ 0 \\ 0 & .0 \\ 0 & 0 \\ 0 \end{array}\right\|$ | $\pm$ | ュ | $\sim$ | $\bigcirc$ | $\bigcirc$ | $\therefore$ | $\approx$ | ， | $\pm$ | $\bigcirc$ | $\stackrel{\sim}{2}$ | 국 | cin | İ | $\overrightarrow{\mathrm{N}}$ | ज | च | I | ล | ～ | $\mathscr{\sim}$ | ล | － | $\cdots$ | ¢ | 7 | $7 \%$ |
| 号 | $\left\lvert\, \begin{gathered} 0 \\ \substack{0 \\ 0 \\ 0 \\ \hline} \end{gathered}\right.$ |  | $\underset{N}{\infty}$ |  | $0$ | $\mathfrak{c c}$ |  |  |  | $2$ |  |  |  |  |  | ${ }_{n h}^{2}$ |  |  |  | $2$ | $\underbrace{6}_{0}$ |  |  |  | $\mathfrak{h}$ | $\mathfrak{c}$ | and |
| $\left.\left\lvert\, \begin{array}{c} 0 \\ \ddot{\theta}_{0}^{2} \\ 0 \\ 0 \end{array}\right.\right)$ |  |  |  | $\underset{\sim}{c}$ | Con |  | $2$ |  |  |  |  | $\not \mathfrak{F}^{\prime}$ |  |  | Con |  |  |  | A |  | $b_{2}^{2}$ |  |  |  | $\begin{array}{l\|l} \substack{0 \\ 0 \\ 0 \\ 0 \\ 0 \\ n \\ n \\ n \\ n \\ n \\ n} \end{array}$ |  |  |
| $\begin{aligned} & \stackrel{\rightharpoonup}{0} \\ & \stackrel{\rightharpoonup}{亏} \end{aligned}$ |  |  | ${ }_{2}$ | $\underset{y}{N}$ | $\begin{gathered} 4 \\ 8 \end{gathered}$ |  |  | N | 3 | $\sqrt{2}$ |  |  | N | 为 | 認管 |  | $\underset{y}{4}$ | $\underset{\sim}{\underset{\sim}{n}}$ |  | 尔 | $0 \begin{gathered} 0 \\ 0 \end{gathered}$ | $\widehat{y}_{4}^{4}$ | $\tilde{N}_{5}^{N}$ |  | $\hat{S}_{4}^{4}$ | $\underset{0}{\sim}$ |  |
|  |  |  |  |  |  |  | $4$ |  |  |  |  |  |  |  |  |  |  |  |  | $\begin{gathered} y \\ y y y \end{gathered}$ |  | $\begin{gathered} 1 \\ 0 \\ \cline { 1 - 3 } \end{gathered}$ |  |  |  | $\begin{array}{ll} 1 \\ \vdots \end{array}$ |  |

Table S2.8: Host prediction by similarity search of the 71 plasmid CCs for the public genome database. (Table S 2.8 is omitted from this thesis due to the large size. It is available at https://microbiomejournal.biomedcentral. com/articles/10.1186/s40168-019-0737-z.)
Table S2.9: Summary of host prediction of the 71 plasmid CCs.

| Plasmid-assigned CCs* | Length in bp | Novelity | Host prediction methods |  |  |  | Predcted host |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Similarity search against known plasmids | Similarity search against draft genomes/strains | Co-occurrence in the IGCJ dataset | Methylation motif similarity |  |
| apr34_000060F | 243,670 | Novel |  |  | Blautia, Peptoclostridium, Ruminococcus | Clostridiales bacterium VE202-07 | Clostridiales |
| apr34_000136F | 98,299 | Novel |  | Bacteroides, Parabacteroides |  | Bacteroides dorei CL02T12C06 | Bacteroidales |
| apr34_001180F | 5,259 | Novel |  | Tyzzerella, Lachnospiraceae, Clostridiales |  | Clostridiales bacterium VE202-07 | Clostridiales |
| apr34_1785 | 4,383 | Novel |  | Tyzzerella, Lachnospiraceae, Clostridiale |  | Clostridiales bacterium VE202-07 | Clostridiales |
| apr34_1786 | 5,602 | Novel |  | Clostridium, Clostridiales |  | $\begin{gathered} \text { Clostridiales } \\ \text { bacterium VE202-07 } \end{gathered}$ | Clostridiales |
| apr34_1788 | 165,458 | Novel |  | Bifidobacterium |  |  | Bifidobacterium |
| apr38_000029F | 617,950 | Novel |  | Eubacterium |  | Eubacterium eligens ATCC 27750 | Eubacterium |
| apr38_000077F | 216,202 | Novel |  |  | Blautia, Peptoclostridium, Ruminococcus |  | Clostridiales |
| apr38_2079 | 28,607 | Novel |  |  |  |  |  |
| apr38-2081 | 47,897 | Novel |  |  |  |  |  |
| ES_ALL_000351F | 44,025 |  | Bacteroides | Bacteroides, Parabacteroides |  | Bacteroides dorei CL02T12C06 | Bacteroidales |
| ES_ALL_5057 | 31,059 |  | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| ES_ALL_5058 | 65,724 | Novel |  |  | Blautia |  | Blautia |
| ES_ALL_5059 | 8,278 | Novel |  |  |  |  |  |
| FA1-2_000250F | 62,025 | Novel |  |  |  |  |  |


| Plasmid-assigned $\mathrm{CCs}^{*}$ | Length <br> in bp | Novelity | Host prediction methods |  |  |  | Predcted host |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Similarity search against known plasmids | Similarity search against draft genomes/strains | Co-occurrence in the IGCJ dataset | Methylation motif similarity |  |
| FA1-2_000589F | 26,749 | Novel |  |  |  |  |  |
| FA1-2_2752 | 5,396 | Novel |  | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| FA1-2_2754 | 6,124 | Novel |  |  |  |  |  |
| FA1-2_2755 | 10,436 | Novel |  | Clostridiales |  |  | Clostridiales |
| FA1-2_2756 | 50,544 | Novel |  |  |  |  |  |
| FA1-2_2758 | 8,177 | Novel |  |  |  | Blautia sp. CAG:37 | Blautia |
| FA1-2_2760 | 4,306 | Novel | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| FAKO02_000237F | 74,873 | Novel |  | Bacteroides |  |  | Bacteroides |
| FAKO02_3061 | 25,023 | Novel |  |  |  | Collinsella aerofaciens ATCC 25986 | Collinsella |
| FAKO02_3062 | 54,132 | Novel |  |  |  | Collinsella aerofaciens ATCC 25986 | Collinsella |
| FAKO02_3063 | 70,284 | Novel |  |  |  |  |  |
| FAKO03_2022 | 5,335 | Novel |  | Tyzzerella, Lachnospiraceae, Clostridiale |  |  | Clostridiales |
| FAKO03_2023 | 2,782 |  | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| FAKO03_2024 | 6,599 | Novel |  |  |  |  |  |
| FAKO03_2027 | 11,603 | Novel |  |  |  |  |  |
| FAKO03_2028 | 5,022 | Novel |  | Tyzzerella, Lachnospiraceae, Clostridiale |  |  | Clostridiales |
| FAKO03_2030 | 3,680 |  | Bifidobacterium | Bifidobacterium |  |  | Bifidobacterium |
| FAKO05_000706F | 6,322 | Novel |  |  |  | $\begin{gathered} \text { Ruminococcus sp. } \\ \text { CAG:330 } \\ \hline \end{gathered}$ | Ruminococcus |


| Plasmid-assigned CCs* | Length in bp | Novelity | Host prediction methods |  |  |  | Predcted host |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Similarity search against known plasmids | Similarity search against draft genomes/strains | Co-occurrence in the IGCJ dataset | Methylation motif similarity |  |
| FAKO05_2266 | 4,970 | Novel |  | Bacteroides |  | Bacteroides dorei DSM 17855 | Bacteroides |
| FAKO05_2267 | 4,787 | Novel |  | Bacteroides |  | Bacteroides dorei DSM 17855 | Bacteroides |
| FAKO05-2268 | 4,148 | Novel | Bacteroides | Bacteroides |  | Bacteroides dorei DSM 17855, Ruminococcus sp. CAG:330 | Bacteroides |
| FAKO05-2270 | 3,842 | Novel |  |  |  | Blautia wexlerae DSM 19850 | Blautia |
| FAKO05-2271 | 4,303 | Novel | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| FAKO05-2273 | 5,594 |  | Bacteroides | Bacteroides |  |  | Bacteroides |
| FAKO05_2274 | 2,784 |  | Bacteroides | Bacteroides, <br> Parabacteroides |  |  | Bacteroidales |
| FAKO27_001080F | 12,395 | Novel |  |  | Firmicutes bacterium, Oscillibacter (Clostridiales) |  | Clostridiales |
| FAKO27_6405 | 2,873 | Novel |  |  |  |  |  |
| FAKO27-6407 | 2,970 | Novel |  |  |  |  |  |
| FAKO27.6409 | 5,023 | Novel |  | Tyzzerella, Lachnospiraceae, Clostridiales |  |  | Clostridiales |
| FAKO27.6410 | 4,307 | Novel | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| FAKO27-6411 | 44,683 | Novel |  |  | Collinsella |  | Collinsella |
| FAKO27.6412 | 7,241 | Novel |  |  |  |  |  |
| FAKO27_6413 | 2,897 | Novel |  |  |  |  |  |
| GF1-2_000012F | 666,740 | Novel |  | Eubacterium | Eubacterium |  | Eubacterium |
| GF1-2_000048F | 167,388 |  | Lactobacillus | Lactobacillus |  |  | Lactobacillus |


| Plasmid-assigned CCs* | Length in bp | Novelity | Host prediction methods |  |  |  | Predcted host |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Similarity search against known plasmids | Similarity search against draft genomes/strains | Co-occurrence in the IGCJ dataset | Methylation motif similarity |  |
| GF1-2_000127F | 72,273 | Novel |  | Clostridium, Erysipelotrichaceae, |  |  | Clostridium |
| GF1-2_000231F | 48,134 |  | Clostridium | Clostridium |  |  | Clostridium |
| GF1-2_000286F | 37,242 | Novel |  |  |  |  |  |
| GF1-2_000418F | 30,976 |  | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| GF1-2_000511F | 26,269 | Novel |  |  |  |  |  |
| GF1-2_4069 | 117,808 | Novel |  |  |  |  |  |
| GF1-2_4070 | 7,660 | Novel |  | Bacteroides |  |  | Bacteroides |
| GM1-2_000192F | 44,253 | Novel | Lactobacillus |  |  |  | Lactobacillus |
| MO1-2_2826 | 93,709 | Novel |  |  |  |  |  |
| MO1-2_2827 | 31,029 |  | Bacteroides | Bacteroides, Parabacteroides |  |  | Bacteroidales |
| MO1-2_2829 | 11,301 | Novel |  | Bacteroides | Bacteroides |  | Bacteroides |
| MO1-2_2831 | 37,533 | Novel |  |  |  |  |  |
| YS1-2_000081F | 208,826 | Novel |  |  | Blautia, Coprococcus, Peptoclostridium, Ruminococcus | Tyzzerella nexilis DSM 1787 | Clostridiales |
| YS1-2_000084F | 185,698 | Novel |  | Bifidobacterium | Bifidobacterium | Bifidobacterium longum subsp. longum ATCC 55813 | Bifidobacterium |
| YS1-2_000086F | 179,466 |  | Klebsiella |  |  |  | Klebsiella |
| YS1-2_2427 | 7,669 | Novel |  |  |  | Tyzzerella nexilis DSM 1787 | Clostridiales |
| YS1-2_2428 | 129,326 | Novel |  |  |  |  |  |
| YS1-2_2430 | 10,473 | Novel |  | Clostridiales |  |  | Clostridiales |
| YS1-2_2431 | 31,060 |  | Bacteroides | Bacteroides, Parabacteroides |  | Parabacteroides johnsonii DSM 18315 | Bacteroidales |
| YS1-2_2432 | 43,779 | Novel |  | Clostridium, Clostridiales |  |  | Clostridiales |
| YS1-2_2435 | 104,122 | Novel |  |  |  |  |  |

Table S2.10: Summary of host prediction of the 11 phage CCs. *Numbers in parentheses indicate mismach bases with CRISPR
spacers.


| Phage | $\begin{aligned} & \text { Length } \\ & \text { in bp } \end{aligned}$ | High-similar known phages | Host prediction methods |  |  |  | Predicted host |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Genomes and contigs with high-similar CRISPRs in the IGCJ dataset* | Genomes with high-similar CRISPRs in the public database* | HQ chromosmal bins with high-similar CRISPRs in the JP PacBio dataset* | Methylation motif similarity in the JP PacBio dataset |  |
| FAKO05_000032F (linear) | 83,596 |  | Bacteroides sp. 3_1_33FAA (0 and 1) | Bacteroides vulgatus CAG:6 (1) |  |  | Bacteroides |
|  |  |  | Bacteroides sp. 9-1-42FAA (1) |  |  |  |  |
|  |  |  | Bacteroides vulgatus str. 3975 RP4 (0 and 1) |  |  |  |  |
|  |  |  | 1 unknown contig (0) |  |  |  |  |
| FAKO27_000238F (Circular) | 64,223 |  | Phascolarctobacterium succinatutens CAG:287 (0) |  |  | Phascolarctobacterium | Phascolarctobacterium |
|  |  |  | Phascolarctobacterium succinatutens YIT 12067 (1) |  |  | succinatutens <br> YIT 12067 |  |
|  |  |  | 1 unknown contig (1) |  |  |  |  |
| FAKO27_000271F (linear) | 56,426 |  | Faecalibacterium cf. prausnitzii KLE1255 (0 and 1) | Faecalibacterium sp. CAG:82 (0) | Faecalibacterium prausnitzii L2-6 (1) | Faecalibacterium cf. prausnitzii KLE1255 | Faecalibacterium |
|  |  |  | Faecalibacterium prausnitzii A2-165 (1) |  |  |  |  |
|  |  |  | Faecalibacterium prausnitzii L2-6 (0 and 1) |  |  |  |  |
|  |  |  | 21 unknown contigs (0 and 1) |  |  |  |  |
| GF1-2_000079F (linear) | 97,820 | Uncultured phage crAssphage | Bacteroides dorei 2G11 (0) | Porphyromonas sp. $31.2(0)$ | Bacteroides vulgatus ATCC 8482 (0) |  | Bacteroidales |
|  |  |  | 1 unknown contig (1) |  |  |  |  |
| YS1-2_2434 (circular) | 30,246 |  | Bifidobacterium longum CAG:69 (0) | Bifidobacterium longum subsp. longum 44B (0) | Bifidobacterium longum subsp. infantis CCUG 52486 <br> (0) | Bifidobacterium longum subsp. longum ATCC 55813 | Bifidobacterium |
|  |  |  | Bifidobacterium longum subsp. longum <br> KACC 91563 (0) |  | Bifidobacterium longum subsp. longum F8 (1) |  |  |
|  |  |  | 1 unknown contig (1) |  |  |  |  |
| YS1-2_2437 (linear) | 98,907 | Uncultured phage crAssphage | Bacteroides dorei $2 \mathrm{C} 11(0)$ 1 unknown contig (1) | Porphyromonas sp. 31.2 (0) |  |  | Bacteroidales |

Table S2.11: Clusters of plasmids and phages, putative hosts, and the number of reads mapped to the clusters in the IGCJ dataset. (Table S 2.11 is omitted from this thesis due to the large size. It is available at https://microbiomejournal.biomedcentral.com/articles/10. 1186/s40168-019-0737-z.)

Table S2.12: Estimation of ratio of plasmids and crAssphage per microbial chromosome in the IGCJ dataset. *The ratio of reads mostly mapped to other phages is not shown in the table.

| Country | Ratio of mapped reads* |  |  | Average genome size |  |  | Estimated ratio per <br> microbial chromosome |  |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | All <br> plasmid |  | crAssphage |  | Chromosome |  | Plasmid | crAssphage |
| Chromosome | Plasmid <br> (average) | crAssphage <br> (average) |  |  |  |  |  |  |
| CN | $1.54 \%$ | $0.23 \%$ | $98.04 \%$ | 23,334 | 99,193 | $3,870,199$ | 4.27 | 0.10 |
| DK | $0.56 \%$ | $0.05 \%$ | $99.15 \%$ | 26,854 | 99,193 | $3,160,834$ | 1.16 | 0.02 |
| ES | $1.01 \%$ | $0.13 \%$ | $98.58 \%$ | 19,125 | 99,193 | $3,276,192$ | 2.27 | 0.04 |
| JP | $1.24 \%$ | $0.03 \%$ | $98.69 \%$ | 15,389 | 99,193 | $3,178,300$ | 3.23 | 0.01 |
| US | $1.19 \%$ | $1.40 \%$ | $96.63 \%$ | 20,148 | 99,193 | $4,115,259$ | 4.03 | 0.69 |
| All | $1.11 \%$ | $0.38 \%$ | $98.20 \%$ | 20,715 | 99,193 | $3,516,884$ | 3.03 | 0.18 |

Table S2.13: COGs having significant difference in abundance between plasmids and reference genomes detected in the IGCJ dataset. (Table S2.13 is omitted from this thesis due to the large size. It is available at https://microbiomejournal.biomedcentral.com/articles/10. 1186/s40168-019-0737-z.)

Table S2.14: Resfams-based antibiotic resistance functions in plasmids detected in the IGCJ dataset. (Table S2.14 is omitted from this thesis due to the large size. It is available at https://microbiomejournal.biomedcentral. com/articles/10.1186/s40168-019-0737-z.)

## Chapter 3

[^1]
## Chapter 4

## Conclusions and discussion

In this thesis, I explored methods and frameworks for sequence assembly of repeats through the two chapters. I presented that long-read sequencing technology was indeed effective for:

1) identification of eMGEs as complete contigs from human gut microbiomes; and
2) characterization of centromeric tandem repeats for better read overlap even within repeats.

Long reads are very useful, but to avoid potential misassemblies and to derive full benefit, methods capable of properly handling sequencing errors are necessary. The situation is same even with recent accurate circular consensus reads if we wish to reconstruct highly repetitive regions, as we saw in Chapter 3.

In Chapter 2, using noisy long reads, I could not obtain strain-level contigs. That is, the assembled contigs might be consensus sequences of multiple distinct strains, and some diverged strains were fragmented. Strategies employed in recent phasing algorithms, especially those for highly divergent regions (Kajitani et al., 2019), should be applied to this problem because the difference between strains is often such a situation. Another promising approach is to use circular consensus reads, and some researchers have already reported it.

I developed a distinct method for each of eMGEs (interspersed repeats) and complex satellites (tandem repeats) so far. The conditions for perfect assembly I introduced in Chapter 1 are quite simple, but no one has achieved an ideal, integrated genome assembly including automated repeat assembly. To my best knowledge, even the objective function (i.e., accurate formulation) for the ultimate genome assembly is largely unknown yet.

The Bayesian approach I proposed in Chapter 3 would be one step for perfect assembly. To be honest, however, I believe more improvements are needed for
better assembly other than those I described in the Conclusions and Discussion section in the chapter. Although I indeed overcame the limitation of the frequentist approach, i.e., single-vs-single read overlap, by introducing a background genome model, I still rely on pairwise overlaps in the end. There is actually an inevitable limit of the approach due to sequencing errors, i.e., some of the pairwise read overlaps could fail even if I employ an appropriate genome model. Removing such small portion of false overlaps in the layout step is one workaround, but I doubt if it would be non-optimal heuristics. Therefore, I believe direct inference of the genome model from reads without the overlap step is required ultimately. Another issue is the genome model. In Chapter 3, I assumed the background sequence as a set of unit sequences and developed a mixture model specialized for tandem repeats. However, genome sequences are in fact a set of single strings, and the model should also be ideally so. In addition, I believe that information on the read depth of the paths in the assembly graph is essential. This fact might be very trivial at least for genome assembly researchers, but I believe I need to exploit the depth information more.

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[^0]:    ${ }^{1}$ Sample preparation described in this subsection was conducted not by the author but by collaborators in Hattori laboratory (Waseda University, etc.).
    ${ }^{2}$ DNA sequencing described in this subsection was conducted not by the author but by collaborators in Hattori laboratory (Waseda University, etc.) and Morishita laboratory (The University of Tokyo).

[^1]:    第3章は 5 年以内に出版される予定であるため，最長で 2025 年 3 月 22 日まで インターネット公表できません。
    （Chapter 3 cannot be made public on the Internet until up to March 22nd，2025， because the relevant content is scheduled to be published within 5 years．）

