

博士論文

Research on Synecoculture: Focusing on products  
and soils from a system-level perspective

(協生農法の研究:システムレベルの観点から産物や土壌に着目して)

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1 **Preface**

2       Since I was a child, I have always wanted to make the world a better  
3 place. I have grown up looking for better means to achieve this goal. In the pro-  
4 cess, I chose to study sports science as my undergraduate degree because I  
5 wanted to study human performance in depth. Although the sports science  
6 methodology was very advanced in terms of elemental aspects such as strength  
7 training methods, there was a gap between the synthesis of these methods and  
8 improving the performance of the whole person. This is because the phenome-  
9 non of human performance is not a simple collection of elements.

10       I studied life sciences from this perspective during my master's program.  
11 Living organisms are also not a simple set of elements. To understand life, we  
12 must consider the whole, which is lost when we separate it into elements.

13       One of my motivations for doing this research of a new method of agricul-  
14 ture, Synecoculture, is to understand and manage agriculture well, which is  
15 also a complex system, not only by breaking it down into its elements, but also  
16 as a whole. Of course, one of the motivations was the sense of crisis over envi-  
17 ronmental, health, and food issues that worsen year by year. Synecoculture was  
18 formalized as a possible alternative to conventional farming methods, aiming to  
19 combine biodiversity and food production. I would like to devote myself to fur-  
20 ther contribute to society and this planet through this research.

21

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50 my invaluable friends, and all involved parties for their support during my seven years  
51 in the doctoral program, which was also a financial and mental challenge. During the  
52 past seven years, two of my grandfathers and one of my grandmothers have passed  
53 away. I would like to dedicate the safe writing of this thesis to them as well.

54 November 2022  
55 Kousaku Ohta

56

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115		

116 **Abstract**

117 **Chapter.1 General Introduction**

118 As monoculture cultivation using tillage, fertilizers, and pesticides in conven-  
119 tional farming methods has become a major burden on the global environment, the de-  
120 velopment of new methods of food production is an urgent issue. Synecoculture, pro-  
121 posed in 2011, is a comprehensive approach to solving the food-environment-health  
122 trilemma, and the number of people practicing it has been increasing in recent years.  
123 Synecoculture is characterized by the production of food through the management of  
124 the entire ecosystem by mixing and densely growing many plant species with no till-  
125 age, no fertilizers, and no chemicals. While there are examples of its practice and sug-  
126 gested effectiveness in developing countries where fertilizer and organic resources are  
127 scarce, there is little academic verification of its effectiveness. In this study, I examined  
128 the effectiveness of some aspects of the Synecoculture method mainly by comparing  
129 the quality of its products with those of conventional farming methods, and the effect  
130 of this method itself from a systems-level perspective. I will also discuss the effective-  
131 ness of verification methods based on a systems-level approach. The purpose of the re-  
132 search is to obtain knowledge and methodology that contributes to sustainable agricul-  
133 tural practices through the investigation of Synecoculture as one of the counterparts to  
134 conventional farming methods in terms of complexity.

135

136 **Chapter.2 Comparison of Synecoculture products and conventional farming prod-**  
137 **ucts**

138 A comparison of arugula (*Eruca vesicaria ssp.sativa*, “ルッコラ” in Japanese) and  
139 Banacha (Japanese tea) grew on a Synecoculture farm and on conventional farms was  
140 conducted. In arugula, analysis of fatty acid composition suggested that arugula grown  
141 on Synecoculture farm had more antioxidant activity. A similar trend was observed for  
142 the Banacha. In Banacha, the metabolomic analysis revealed components that character-  
143 ize each farming method. Vitamin B6-related compounds were detected as components  
144 that characterize Synecoculture Banacha. In addition, sensory evaluation tests of Banacha  
145 were conducted, and consistency was found between the taste evaluation and the trend  
146 inferred from its characteristic ingredients. In particular, Synecoculture Banacha was su-  
147 perior to conventional farming Banacha in terms of abstract taste, rather than simple  
148 tastes such as umami and sweetness. In addition, in an experiment to examine whether  
149 human consumption of these two types of Banacha causes changes in activity, it was ob-  
150 served that the effects of the two types of Banacha were different. These results connect  
151 agriculture's environmental impact with the product's health effects and suggest the

152 importance of examining the long-term effects of growing conditions on the environ-  
153 ment and human health from a sustainability perspective (referred to as planetary  
154 health or one-health).

155

### 156 **Chapter.3 The effectiveness of subjective evaluation by humans**

157 Based on the theory of Synecoculture, I implemented Synecoculture in an urban  
158 area, analyzed the diversity and activity of soil microorganisms and soil chemistry, and  
159 conducted a subjective evaluation of the ecosystem by humans. The diversity and ac-  
160 tivity of soil microorganisms were higher after two years than after one year of imple-  
161 mentation, confirming the effectiveness of the managing method based on Synecocul-  
162 ture in improving the soil in urban areas. Comparing these data with the subjective  
163 evaluation of the ecosystem by humans, a relationship was found between the diver-  
164 sity and activity of microorganisms and human evaluation two years after the practice.  
165 This result suggested that human subjectivity can be used as an indicator for evaluat-  
166 ing an ecosystem, if properly trained to improve accuracy.

167

### 168 **Chapter.4 Ecosystem Navigation**

169 Augmented ecosystems, including Synecoculture, are managed to increase biodi-  
170 versity and ecosystem function while observing complex ecosystems, but to do so, it is  
171 necessary to make appropriate assessments of ecosystems and learn more about their  
172 current conditions. In this chapter, I analyzed the effects of three operations (introduc-  
173 tion of useful species, elimination of naturally occurring species, and abandonment) on  
174 two plots in an urban area using various indices. By classifying the commonalities and  
175 uniqueness of the two farms in the analysis, I was able to extract useful evaluation in-  
176 dicators for a complex and open ecosystem. This suggested that with the support of big  
177 data and ICT, it is possible to evaluate complex open ecosystems in detail using less ex-  
178 pensive analytical methods.

179

### 180 **Chapter.5 General Discussion**

181 In this study, I have identified what kind of analysis is effective in detecting dif-  
182 ferences in complex ecosystems such as Synecoculture at various levels of hierarchy,  
183 from differences in single components of products to differences at the system level  
184 that are derived comprehensively from multiple components of products and multiple  
185 indicators such as soil data and human subjectivity. The study clarified what types of  
186 analyses are able and effective in detecting differences in complex ecosystems such as  
187 Synecoculture farms. In particular, the effectiveness of subjective evaluation by trained

188 people showed the possibility of using human subjective evaluation with objective  
189 data background not only for Synecoculture, but also for environmentally friendly ag-  
190 riculture and other farming methods. Further analysis of the relationship between sci-  
191 entific analysis and complex human cognition is expected to enhance the effectiveness  
192 of human evaluation in assessing sustainable agriculture and the ability of people to  
193 observe nature through feedback from objective analysis. These efforts are expected to  
194 contribute to the realization of sustainable agriculture by increasing the accuracy of  
195 system-level assessment of ecosystem functions.

196

197

198 **1: General Introduction**

199 **1-1 Background**

200 There are a wide range of issues that humankind is called upon to solve in mod-  
201 ern society, but there are areas in which the natural sciences should be particularly in-  
202 volved: food, health, and environmental issues. These are closely interacting with each  
203 other, and each is not a completely independent issue; this is called trilemma [Tilman,  
204 et al. 2014]. One of the human activities that are deeply involved in all of these is agri-  
205 culture.

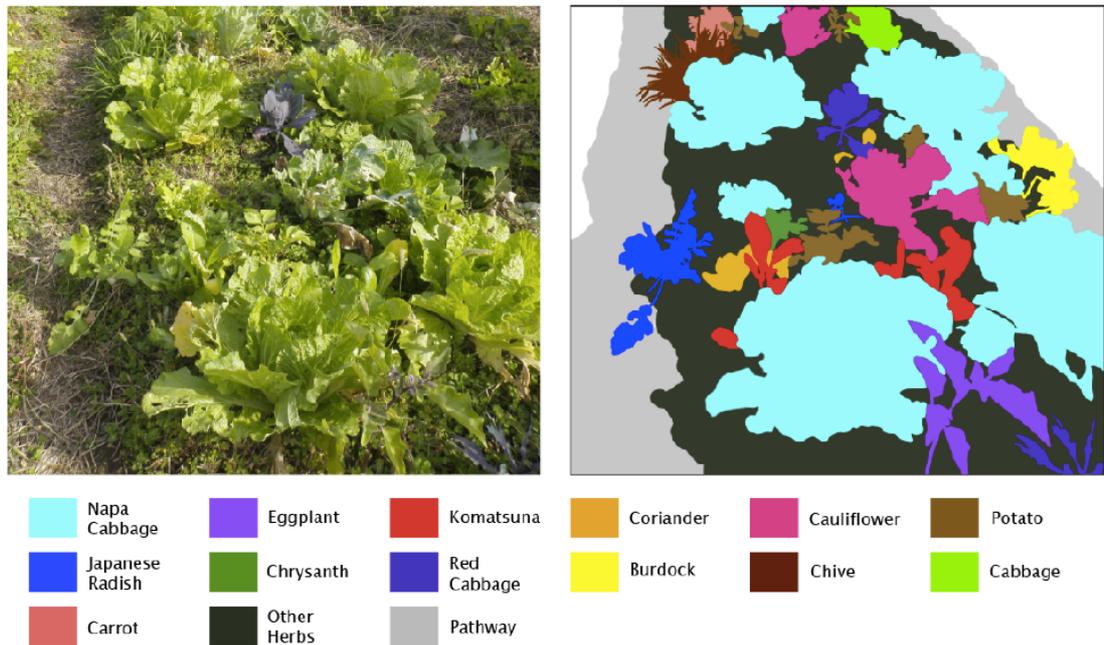
206 From the dawn of agriculture, which is said to be 10,000 years ago, to the present  
207 day, conventional farming methods, represented by monocultures using tillage, ferti-  
208 lizers, and agrochemicals, have placed a heavy burden on the global environment.  
209 These three technologies of tillage, fertilizers, and agrochemicals are themselves a bur-  
210 den on the environment, and at the same time, because they use fossil fuels, they con-  
211 sume resources and emit greenhouse gases, creating a double burden on the environ-  
212 ment. It is unlikely that the current system of agriculture based on these technologies  
213 can be sustainable [IAASTD 2008].

214 It is also said that the world's sixth mass extinction is occurring in the Anthropo-  
215 cene [Lewis and Maslin 2015], including reports on the mass extinction of insects  
216 [Sánchez-Bayo and Wyckhuys 2019] and vascular plants [Pereira et al. 2010]. Some re-  
217 searchers have predicted that the continued decline in biodiversity will eventually trig-  
218 ger a rapid global ecological collapse at some point [Barnosky, et al. 2012]. Under these  
219 circumstances in a world with the continuous increase of human population, there is  
220 an urgent need to transform agricultural activities into sustainable practices. The devel-  
221 opment of new agricultural technologies that reduce the burden on the environment  
222 are all being actively pursued around the world.

223

224 **1-2 What is Synecoculture?**

225 One such technology in agricultural practice is Synecoculture or synecological  
226 farming (協生農法 in Japanese), which was proposed by Masatoshi Funabashi in 2011  
227 [Funabashi 2011]. For the sake of convenience, this will be referred to as Synecoculture  
228 in this thesis. It is a comprehensive approach to solving the food, environment, and  
229 health trilemma [Funabashi 2018]. Synecoculture is characterized by the use of no-till,  
230 no fertilizers, and no agrochemicals to produce food while managing the entire ecosys-  
231 tem by mixing and densely growing many plant species.



232

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Figure 1. Example of Synecoculture field (Ise City, Mie Prefecture) [Funabashi 2016a]. In contrast to conventional farming, in which a single species is cultivated with a certain distance between individuals, Synecoculture cultivates a mixture of many species in a small plot, just as in the natural state. The left photo shows a field, and the right shows the different species of vegetables by color. The figure is the same as in the cited reference.

240

241

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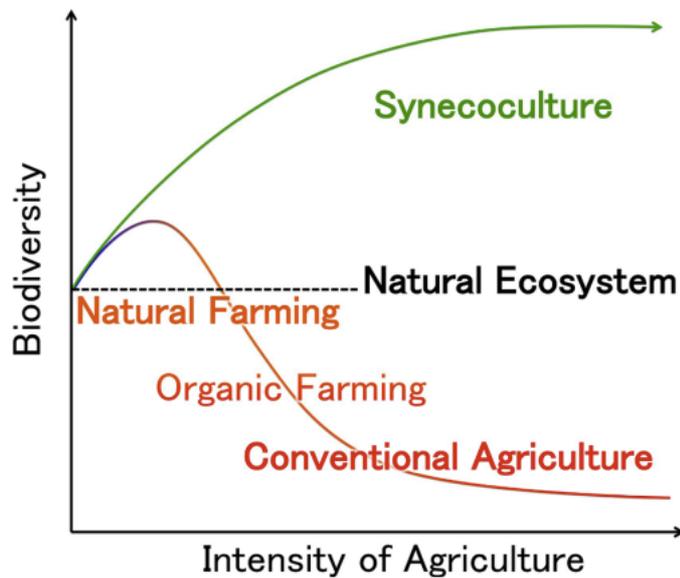
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246

247

There are several other agricultural methods, including permaculture, natural farming, natural cultivation, radical carbon farming and agroforestry, all of which aim to produce food with less tillage, fertilizer, and agrochemicals and utilize natural ecosystems. However, Synecoculture is characterized not only by the elimination of the three elements (tillage, fertilizer, and agrochemicals), but also by the enhancement of biodiversity and the production of food in an ecological optimum. The goal is to increase biodiversity and overall ecosystem functioning beyond the natural state, and ecosystems in this state are referred to as augmented ecosystems.



248  
 249 Figure 2. Intensity–biodiversity relationship of open-field culture [Funabashi  
 250 2016b].

251 Synecoculture aims to increase biodiversity while increasing productivity. This  
 252 is a unique approach that aims to maximize biomass production at the commu-  
 253 nity level in the context of ecological optimum, which is fundamentally different  
 254 from conventional agricultural methods that focus on the plant species that hu-  
 255 mans want to produce and grow target plants through physiological optimum.

256  
 257 When beginning Synecoculture, if the land has been abandoned or originally had  
 258 numerous plants growing in their natural state, all or some of them may be eliminated  
 259 first. Then, if necessary, the initial conditions are adjusted to suit the purpose of the  
 260 farm by, for example, creating ridges.

261 Various types of fruit trees are then planted and around them various types of  
 262 vegetables and herbs are introduced by seedlings or seeds. Plant species are freely se-  
 263 lected by the practitioner according to the purpose of the farm and environmental con-  
 264 ditions, etc. Basically, practitioners aim for the soil of the production area to be com-  
 265 pletely covered with dense vegetation when viewed from above.

266 After that, irrigation is allowed depending on the situation, but basically practi-  
 267 tioners let them grow. As the plants grow, they will thin-harvest the useful plants and  
 268 manage the weeds. In other words, unlike conventional farming, the same type of crop  
 269 is not harvested in large quantities at once, but a variety of plants are harvested gradu-  
 270 ally and frequently.

271           Afterward, a variety of vegetables and herbs are introduced again by seedlings or  
272 seeds in the vacant areas. These management practices need to be done at an appropri-  
273 ate frequency and intensity to increase biodiversity. This method itself is not reproduc-  
274 ible in the first place, as the actual work is highly varied depending on the farm's envi-  
275 ronment, objectives, and practitioners. While there is a common direction of qualita-  
276 tively moving complex ecosystems in the direction of higher biodiversity and ecosys-  
277 tem function, the methods vary widely. In other words, when we want to investigate  
278 Synecoculture farming methods, we need to take a large qualitative framework for  
279 each case.

280           What seeds are introduced, in what arrangement, and at what timing are the key  
281 techniques for successful practice. In addition, mowing and weeding are permitted for  
282 the purpose of increasing the biodiversity of the field. These can be done in a variety of  
283 ways depending on the conditions of the field and the goals of the practitioner. In other  
284 words, when practicing Synecoculture, one must consider the environmental condi-  
285 tions of the field, the species composition, and the stages of ecological succession, to  
286 strike a balance between the crop one wants to produce and an efficient increase in bio-  
287 diversity, and one must determine and apply the appropriate methods to achieve this.

288

### 289 **1-3 Synecoculture Achievements and Current Status**

290           Synecoculture has been practiced by an NGO in Burkina Faso, Africa since 2015  
291 and has reportedly achieved sales 20 times the GNI per capita [Tindano and Funabashi  
292 2017]. This has been received with astonishment locally, and six international symposi-  
293 ums on Synecoculture have been held in Sahelian countries so far, and the practice is  
294 spreading to neighboring countries. Other examples of non-African practices can be  
295 found in China, Ecuador, and other countries [Human Augmentation of Ecosystems  
296 UniTwin UNESCO Complex Systems Digital Campus e-Laboratory][SynecO].

297           In Japan, Sony Computer Science Laboratories, Inc. is promoting this method  
298 [Sony CSL]. An increasing number of citizens are engaging in it as can be seen on social  
299 networking services, but as far as the author knows, there are no professional farmers  
300 practicing it yet.

301

### 302 **1-4 Purpose of this study**

303           This study focuses on Synecoculture, which has been proposed as a sustainable  
304 agricultural method that could contribute to solving the food-environment-health tri-  
305 lemma. Although there are some examples of practice and indications of effectiveness  
306 in developing countries where fertilizer and organic resources are scarce, there has

307 been little academic verification of the effects of Synecoculture on soils and products.  
308 It is assumed that the fact that the biodiversity of Synecoculture field is higher than  
309 that of conventional farming can provide a positive effect on food quality and the en-  
310 vironment, but there is little verification of these aspects in relation to human health.

311 In addition, to properly assess the effectiveness of Synecoculture and conduct the  
312 best possible operations, it is necessary to understand the state of the entire ecosystem,  
313 which is a complex open system. However, because of its short history, empirical  
314 knowledge has not been accumulated, and it is not expected to be easy to implement in  
315 the current socio-economic system where the success or failure of agricultural practices  
316 is measured by comparison with yields of conventional farming. In extreme cases, in  
317 conventional farming, one only needs to pay attention to the condition of a single crop,  
318 soil moisture, fertilizer, sunlight, and temperature, etc. In contrast, in Synecoculture,  
319 over 100 plant species are introduced, and the timing and spatial arrangement for  
320 these species needs to be considered, and harvesting and weed management must  
321 also be done while increasing biodiversity. This means that a vast number of variables  
322 must be taken into account, and the practitioner's cognitive ability of the entire ecosys-  
323 tem is required for the successful implementation of Synecoculture, which is not the  
324 case in conventional farming.

325 The purpose of this study is to examine the products and methods of Synecocul-  
326 ture in order to verify the human health-related aspects of the trilemma and the feasi-  
327 bility of ecosystem management of Synecoculture, and to gain knowledge and method-  
328 ology that will contribute to sustainable agriculture. In order to try to achieve this pur-  
329 pose, this study investigated the quality of the products from a systems-level perspec-  
330 tive, primarily by comparing the products of Synecoculture with those of conventional  
331 farming methods in relation to human health. This study also investigated the effec-  
332 tiveness of analytical methods based on a systems-level approach in successfully im-  
333 plementing "ecosystem navigation," which involves the appropriate recognition and  
334 manipulation of ecosystems.

335 Chapter 2 compared Synecoculture products with commercially available con-  
336 ventional agricultural products, and the relationship between Synecoculture and hu-  
337 man health was discussed. Chapter 3 examined the validity of human subjective as-  
338 sessments and their relationship to soil analysis. Chapter 4 analyzed human interven-  
339 tion and ecosystem response from the perspective of ecosystem assessment and man-  
340 agement as a complex open system, and examined methods of ecosystem navigation.  
341 Chapter 5 synthesized and discussed the results, evaluated Synecoculture, and raised  
342 future issues.

## 343 2: Comparison of Synecoculture products and conventional farming products

### 344 2-1 Introduction

345 Crop culture conditions impact on plant metabolic conditions [Funabashi 2015].  
346 Previous studies have shown that the plant metabolites changes between different cul-  
347 ture condition, namely “*in cultura*” culture conditions which are based on monoculture  
348 with external inputs and maximize individual plant growth (physiological optimum),  
349 and “*in natura*” culture conditions which are based on self-organization of ecological  
350 niche (ecological optimum) [Funabashi 2015]. Plants growing in their natural environ-  
351 ment interact with a wide variety of other species and environments to facilitate the cy-  
352 cling of various nutrients and produce diverse secondary metabolites. These not only  
353 serve plant survival through physiological and allelopathic effects, but also exert  
354 health-protective functions such as anti-inflammation on the metabolism of plant con-  
355 sumers, including human.

356 In this chapter, I compared the metabolic profiles of a vegetable and tea from  
357 such a perspective between *in cultura* and *in natura* products, in relation to human  
358 health. In addition, since it is necessary for the taste of products not significantly infe-  
359 rior to those produced by conventional farming methods in order to be accepted by  
360 consumers, this point was also evaluated. Furthermore, I analyzed the relationship be-  
361 tween taste and components that increase or decrease in quantity depending on the  
362 culture methods to see if human taste can pave the way for a rough estimation of cer-  
363 tain parts of the metabolome analysis. Tea is also known to influence human energy  
364 expenditure through caffeine, catechins, and flavonoids [Stohs and Badmaev 2016].  
365 Therefore, I observed whether the effects of drinking tea on human energy consump-  
366 tion differ in the two culture conditions.

367 Among many metabolites which influence human health, the ratio of n-6/n-3 pol-  
368 yunsaturated fatty acids is receiving increasing attention. Because ancient Paleolithic  
369 humans thrived on a diet of wild plants and animals rich in n-3 polyunsaturated fatty  
370 acids, it is estimated that the Paleolithic diet had a ratio of n-6 polyunsaturated fatty ac-  
371 ids to n-3 polyunsaturated fatty acids of approximately 1:1 [Simopoulos 2002]. This ra-  
372 tio can be interpreted as the default value for the normal functioning of human metab-  
373 olism. By comparison, the modern Western diet has an extremely high ratio of n-6/n-3  
374 polyunsaturated fatty acids, which Simopoulos (2002) estimated at 15:1. This results in  
375 an increased risk of noncommunicable diseases such as cancer, cardiovascular disease,  
376 and autoimmune diseases. The fatty acid composition of grass-fed beef has a lower ra-  
377 tio of n-6/n-3 polyunsaturated fatty acids when compared to those produced on grain  
378 diets [Daley et al. 2010]. Similarly, in plants, the ratio of n-6/n-3 polyunsaturated fatty

379 acids has been reported to be lower in wild plants than in cultivated crops [Vardavas et  
380 al. 2006]. This suggests that plant metabolism is altered in the cultivated environment  
381 from its original profile in response to ecological changes. Therefore, in Section 2-2, the  
382 ratios of linoleic acid (C18:2, n-6) to  $\alpha$ -linolenic acid (C18:3, n-3) extracted from the aru-  
383 gula (*Eruca sativa*. L) and Banacha grown *in cultura* and *in natura* condition were com-  
384 pared.

385 In Section 2-3, I made a metabolomic comparison rather than the relatively simple  
386 comparison of fatty acid ratios of Banacha (coarse green tea), made from tea plants (*Ca-*  
387 *mellia sinensis*. L). I used commercially available products produced from the tea plants  
388 cultivated in the same region under *in natura* culture conditions and under standard  
389 *in cultura* culture conditions. Metabolomic analysis was performed, and the metabolic  
390 function of the compounds that showed the largest differences between *in natura* and  
391 *in cultura* culture conditions were analyzed using the chemical ontology database.

392 In Section 2-4, I conducted single-blind sensory evaluations of Banacha produced  
393 in 2015 and 2017-2019 under *in cultura* and *in natura* cultivation conditions to assess the  
394 taste. I also tested the feasibility of a simplified human evaluation of the products by  
395 examining qualitative consistency with metabolomic analysis of the same samples  
396 measured in Section 2-3.

397 In Section 2-5, I analyzed the behavior of humans who ingested the Banacha tested  
398 in Section 2-4 to investigate if the two products have different effects on humans.

## 399 2-2 Fatty Acid Composition Analysis of Arugula (*Eruca sativa*)

### 400 2-2-1 Materials and Methods

#### 401 Sampling

402 A Synecoculture farm was established on a vacant plot on the Kashiwa campus of  
403 the University of Tokyo (Kashiwa City, Chiba Prefecture, Japan) in June 2014, follow-  
404 ing the principles of Synecoculture [Funabashi 2016a] (see Appendix 1). Arugula (*Eruca*  
405 *sativa*. L) was grown there (sown several times from September 2014), and was used as  
406 the Synecoculture product sample, while commercially available arugula was obtained  
407 from a supermarket as the conventional sample. Both samples were thoroughly dried  
408 indoors at room temperature immediately after harvest or purchase, placed in sealable  
409 plastic bags, and stored in the dark. The cultivation was repeated 3 times, once in Octo-  
410 ber-November 2014, twice in October-November 2015, and compared with the conven-  
411 tional samples purchased at the harvest time. The samples were labeled as SYN 2014,  
412 SYN 2015-1, SYN 2015-2 for Synecoculture samples, and CON 2014, CON 2015-1, CON  
413 2015-2 for conventional samples.

414 Likewise, commercially available Bancha (coarse green tea, *Camellia sinensis*) from  
415 Synecoculture (2014 and 2015) and conventional agriculture (2015) were obtained. All  
416 Bancha samples used in this study were produced by traditional tea farmers in Wa-  
417 tarai-cho, Mie Prefecture, Japan. From 2014 to 2015, samples were obtained from the  
418 second cutting (leaves below the shoots) of the first harvest, which takes place annually  
419 in late May to early June, were used. Standard steaming, rubbing, and drying processes  
420 were performed at a local machine factory. During this process, leaves from more than  
421 7000 m<sup>2</sup> of cultivated land within a radius of 2 km were blended in order to average  
422 out plot-specific variations. Conventional tea was produced under conventional mono-  
423 culture culture conditions (referred as *in cultura* culture condition), which follows the  
424 standard protocol of the Ise branch of the agricultural cooperative and routinely uses  
425 synthetic and organic fertilizers, pesticides, fungicides, and herbicides. Synecoculture  
426 tea was produced under mixed dense polyculture conditions without tillage, fertilizers,  
427 or agrochemicals (referred as *in natura* culture condition). These are referred to as SYN  
428 2014 Tea, SYN 2015 Tea, and CONV 2015 Tea, respectively.

429

#### 430 Fatty acid extraction

431 The process from extraction to analysis was based on the method of Takeshita et  
432 al (2014). Leaves were taken from a dried sample, 60 mg of each grinded in a mortar,  
433 and collected in a tempered glass tube (15 mL TST-SCR 16-100, IWAKI). In addition,  
434 1.5mL methanol (Wako special grade) and 5mL MTBE (t-butyl methyl ether (Wako first

435 grade)) were added in this order using a glass pipette. After each addition, the mixture  
436 was thoroughly mixed using a vortex mixer, and an ultrasonic cell disruption machine  
437 (5203FZT, Ohtake Seisakusho) twice for 30 seconds (with an interval of about 8 sec-  
438 onds) to ensure efficient penetration of the solvent. The glass tube was ice-cooled dur-  
439 ing the interval to avoid excessive heating of the sample. The samples were shaken at  
440 150 times/min for 2 hours at room temperature using a shaking incubator (BIO-  
441 SHAKER BR-40LF, TAITEC), and centrifuged (2,000 rpm) to separate insoluble materi-  
442 als. The solvent was collected in an organic solvent-resistant syringe (2-4031-03, AS  
443 ONE), filtered through a PTFE filtration filter (hole diameter 0.45  $\mu\text{m}$ , Millex:  
444 SLLHH04NL) attached to the syringe, and collected in a 30 mL vial (1-3501-06, AS  
445 ONE). As an internal standard, 0.5 mL of a 19-carbon nonadecanoic acid solution  
446 (72332-1G-F SIGMA ALDRICH NEAT $\geq$ 99.5% (GC) CAS 646-30-0 MW 298.50 g/mol)  
447 was added. They were then allowed to dry overnight at 50°C in a nitrogen environ-  
448 ment using an oven.

449 To the dried samples, 1 mL of 3N-MeOH HCl (33355 SUPELCO, SIGMA AL-  
450 DRICH) was added and incubated at 85°C for 2.5 hours using a water bath, keeping  
451 the lid closed. The mixture was cooled to room temperature. Further, 0.5 mL of water  
452 (DW) and 1 mL hexane (n-hexane, Wako special grade) were added in this order,  
453 stirred thoroughly, and the upper hexane layer was collected with a Pasteur pipette.  
454 This procedure of adding 1 mL of hexane and collecting the upper hexane layer was re-  
455 peated three times, yielding 3 mL of the extract to be analyzed.

456

#### 457 **GC-MS analysis**

458 The extracted samples were analyzed using a gas chromatography mass spec-  
459 trometer (QP-2010Plus, Shimadzu Corporation) with a hydrogen flame ionization de-  
460 tector. The column used was SUPELCO SP-2380 (30 m  $\times$  0.25 mm  $\times$  0.20  $\mu\text{m}$ , SIGMA  
461 ALDRICH). Analytical conditions were as follows: Sample injection was set 1  $\mu\text{L}$ , car-  
462 rier gas (helium) 24.2 cm/s, make-up gas (helium) 20 mL/min, injector and detector  
463 temperature 250°C, and oven temperature set to 140°C for 1 minute, then 4°C/min up  
464 to 220°C.

465 Fatty acids were identified by sample retention time and mass spectrum from  
466 data of the fatty acid standard mix (Supelco 37-component FAME mix standard,  
467 Supelco) under the same analytical conditions.

468 In the chromatograms obtained (Appendix 2), 10 peaks were extracted in de-  
469 scending order of area, and peaks with an area ratio of 1% or less and peaks of the in-  
470 ternal standard (C19) were excluded. The total area of all peaks was normalized based

471 on the area of the internal standard peak (the areas of the other peaks were divided by  
 472 the area of the internal standard). The ratio of individual peaks to the total area of the  
 473 remaining peaks was also calculated in percentage.

474 The percentage of linoleic acid (C18:2) peak area was compared to the percent-  
 475 age of linolenic acid (C18:3) peak area. Since linoleic acid (C18:2) has geometric isomers  
 476 and two peaks were detected in this analysis, the sum of the two peaks was used as  
 477 the peak area of linoleic acid (C18:2). The extraction of the same samples was per-  
 478 formed again 10 months later with SYN 2014 arugula to examine the effects of long-  
 479 term storage, and labeled as SYN 2014 A. Student's t-tests (one-sided) were performed  
 480 between SYN 2014, 2015-1, 2015-2 and CON 2014, 2015-1, 2015-2 for area of linoleic  
 481 acid,  $\alpha$ -linolenic acid, and n-6/n-3 ratio.

482

483 **2-2-2 Results**

484 Averaged area of linoleic acid (C18:2) and  $\alpha$ -linolenic acid (C18:3) peaks across all  
 485 the sampling times were 40.7 $\pm$ 4.66% and 33.2 $\pm$ 1.91% in the naturally grown arugula  
 486 and 59.2 $\pm$ 6.97% and 18.5 $\pm$ 4.71% in the conventional products, respectively (Table 1a).  
 487 The concentration of  $\alpha$ -linolenic acid was higher (p=0.026), and the ratio of n-6/n-3 was  
 488 lower (p=0.016) in the naturally grown arugula compared to those in the conventional  
 489 products.

490 Results of SYN 2014 showed that the peak area of linoleic acid and  $\alpha$ -linolenic  
 491 acid were lost during the storage period with a decrease of 15.3% and 31.8%, respec-  
 492 tively. However, the n-6/n-3 ratio after 10 months of storage was still lower than that of  
 493 conventionally grown arugula.

494 The same trend as for arugula was observed for Bancha, with a lower n-6/n-3ratio  
 495 in the Synecoculture sample (Table 1b).

496

497 Table 1a. Summary of GC-MS results with arugura.

498 LA represents linoleic acid, ALA represents  $\alpha$ -linolenic acid, and n-6/n-3 ratio  
 499 represents the area of linoleic acid divided by the area of  $\alpha$ -linolenic acid, respec-  
 500 tively.

ID	SYN 2014	SYN 2014A	SYN 2015-1	SYN 2015-2	CON 2014	CON 2015-1	CON 2015-2
Farming Method	Synecocul- ture	Synecocul- ture	Synecocul- ture	Synecocul- ture	Conven- tional	Conven- tional	Conven- tional
LA area	12.4	10.5	9.6	8.7	24.5	9.5	13.7
ALA area	8.5	5.8	9.2	7.2	7.0	4.4	3.1

Total fatty acids area	27.2	21.6	26.3	21.7	38.6	18.6	21.9
LA area ratio (%)	45.6	48.6	36.4	40.0	63.6	51.2	62.8
ALA area ratio (%)	31.2	26.8	35.0	33.3	18.0	23.5	14.1
ALA/LA (%)	68.4	55.1	96.3	83.3	28.3	45.9	22.4
n-6/n-3 ratio	1.46	1.81	1.04	1.20	3.53	2.18	4.46

501

502 Table 1b. Summary of GC-MS results with Bancha.

503 The description follows Table 1a.

ID	SYN2014 Tea	SYN2015 Tea	CON2015 Tea
Farming Method	Synecoculture	Synecoculture	Conventional
LA area	9.0	10.1	26.3
ALA area	5.5	5.0	4.5
Total fatty acids area	17.6	17.2	32.1
LA area ratio (%)	51.5	58.7	81.8
ALA area ratio (%)	31.6	29.0	13.9
ALA/LA (%)	61.4	49.3	17.0
n-6/n-3 ratio	1.63	2.03	5.88

504

### 505 2-2-3 Discussion

506 One of the objectives of this study was to test whether the fatty acid composition  
507 could differ between the two culture conditions, and the results suggested that the Syn-  
508 ecoculture product can be potentially different from the conventionally grown product.

509 Since there is a difference of storage time between the harvest and extraction of  
510 Synecoculture and conventional samples for arugula (it takes several days for the con-  
511 ventional samples to be transported from the farm to the store), the Synecoculture sam-  
512 ples were tested after long-term storage to see if the days could cause a significant de-  
513 crease in unsaturated fatty acids, which turned out to be limited. In general, unsatu-  
514 rated fatty acids are easily oxidized, while  $\alpha$ -linolenic acid is not easily oxidized in  
515 chloroplast glycolipids [Yamaguchi et al. 2012]. This is consistent with the results of  
516 this study, in which the amount of  $\alpha$ -linolenic acid in Synecoculture arugula remained  
517 stable during a 10-month storage period.

518 Due to the small sample size, differences in soil properties, harvest timing, and  
519 storage methods etc., it is difficult to draw the conclusion that this difference in fatty

520 acid composition occurs between *in natura* and *in cultura* products, but I would like to  
521 proceed the following discussions.

522 It is reasonable to assume that the lower amount of n-3 fatty acids in commercial  
523 arugula than in Synecoculture products was due to artificial manipulation in conven-  
524 tional farming. Of the three operations of conventional farming, fertilizer appears to be  
525 the one most directly involved in the physiological state of the plant. The physiological  
526 state of the crop is likely to be altered in some way by fertilizers and other factors that  
527 are not present under normal natural conditions, resulting in faster growth than in na-  
528 ture. In fact, it has been reported that fertilizer use reduces the percentage of linolenic  
529 acid to total oil in *Nigella sativa* seed [Moradzadeh et al. 2021]. This is supported by the  
530 fact that a similar trend was observed with Banacha in this experiment, although the  
531 plant species were different.

532 Both Synecoculture and conventional arugula n-6/n-3 ratios in this study were  
533 within the recommended ratio. However, in a situation where the overall n-6/n-3 ratio  
534 is increasing as a result of continued Western-style diets, the consumption of Syneco-  
535 culture, leafy vegetables, which has a low n-6/n-3 ratio, may be beneficial in maintain-  
536 ing human health by contributing to reducing the risk of chronic diseases. Actually,  
537 leafy vegetables are considered an important source of  $\alpha$ -linolenic acid [Pereira et al.  
538 2001].

539 Next, I will discuss the results of the metabolome analysis of coarse green tea,  
540 since a similar trend was observed in tea plants as in arugula.

541

542

543 **2-3 Metabolome Analysis of Bancha (coarse green tea)**

544 **2-3-1 Materials and Methods**

545 Same as the Section 2-2-1, all Bancha samples used in this study were produced  
546 by traditional tea farmers in Watarai-cho, Mie Prefecture, Japan. From 2014 to 2019.

547 In total, 11 sets of samples were prepared, consisting of dried coarse green tea  
548 from the Synecoculture fields collected annually between 2014 to 2019 and conven-  
549 tional tea culture between 2015 to 2019. I hereafter call these samples as Syneco 2014,  
550 Syneco 2015, Syneco 2016, Syneco 2017, Syneco 2018, Syneco 2019, Conv 2015, Conv  
551 2016, Conv 2017, Conv 2018 and Conv 2019, respectively.

552

553 **2-3-1-1 Metabolome Analysis**

554 **2-3-1-1-1 Metabolite Extraction**

555 Samples were made in tea bags of 3.0 g each, boiled in 1l of ultrapure water  
556 (Milli-Q) at 90–93°C for 10 min in a glass beaker and left at room temperature for 2 h.  
557 Each of these samples was extracted for metabolome analyses: The extraction protocol  
558 was slightly different between 2014-2017 samples and 2018-2019 samples with the up-  
559 dating of the analysis equipment. For 2014–2017 samples: Each 100  $\mu$ L sample was  
560 mixed with 300  $\mu$ L methanol and centrifuged with 10,000 $\times$  g, 10min, 4 °C. The superna-  
561 tant was filtered with PTFE filter (Millipore, Cat.SLLGH04NK) and centrifuged  
562 through Monospin C18 spin columns with 5000 $\times$  g, 2min, 4 °C in order to remove in-  
563 soluble matters and low polarity components. A mock sample of ultrapure water was  
564 prepared with the same procedure, and was used to evaluate and remove background  
565 noise contained in the sample preparation and/or LC-MS analysis.

566 For 2018–2019 samples: Each 100  $\mu$ L sample was mixed with 300  $\mu$ L methanol  
567 and centrifuged with 15,000 rpm, 10min. As pretreatment for column equilibration,  
568 100% methanol centrifuged through Monospin C18 spin columns with 5000 $\times$ g, 2 min,  
569 then, 75% methanol centrifuged through the same columns with 5000 $\times$ g, 2 min. Then,  
570 the supernatant of the sample was centrifuged through the same columns with 5000 $\times$ g,  
571 2 min. After that, the supernatant of the sample was filtered with a 0.2- $\mu$ m filter. A  
572 mock sample of ultrapure water was prepared with the same procedure, and was used  
573 to evaluate and remove background noise contained in the sample preparation and/or  
574 LC-MS analysis.

575

576 **2-3-1-1-2 LC-MS Analysis of 2014–2017 Samples**

577 LC-MS analysis was performed with a combination of Agilent 1200 series (Ag-  
578 ilent) and Thermo fisher scientific LTQ ORBITRAP XL (Thermo Fisher Scientific (A)).  
579 The parameters of measurement are summarized in Appendix 3.

580 After converting raw data (obtained from LTQ ORBITRAP XL) to a text file with  
581 the use of ProteoWizard [Kessner et al. 2008], LC-MS data were analyzed using Power-  
582 Get ver. 3.5.7 (KOMICS (A)) with the following procedure to attribute each MS peak to  
583 a chemical formula:

- 584 1. Empirical detection of compound peaks, calculation of accurate mass, calcu-  
585 lation of compound peak intensity.
- 586 2. Differentiation of simultaneous elution peaks with respect to the profile of  
587 adduct ion peaks, ionization mode, and natural <sup>13</sup>C isotopic compound  
588 peaks.
- 589 3. Matching between MS peaks and MS/MS data, calculation of <sup>13</sup>C/<sup>12</sup>C iso-  
590 tope ratio with ion intensity in order to estimate C number in each com-  
591 pound, and estimation of ionization mode.
- 592 4. Aggregation and sorting of compound peaks with respect to the elution  
593 time, accurate mass, and MS/MS patterns for all samples.
- 594 5. Matching of calculated mean accurate mass with monoisotopic compounds  
595 in public databases [KEGG][Flavonoid Viewer] with the use of MF Searcher  
596 [Sakurai et al. 2013] and derivation of a corresponding chemical formula.
- 597 6. Truncate the compound peaks with less than 2 times intensity of the mock  
598 sample.

599 The parameters of these analyses are summarized in Appendix 3.

600

601 **2-3-1-1-3 LC-MS Analysis of 2018–2019 Samples**

602 LC-MS analysis was performed with a combination of Ultimate 3000 RSLC  
603 (Thermo Fisher Scientific (B)) and Q Exactive (Thermo Fisher Scientific) (Q Exactive).  
604 Samples were analyzed 3 times for each sample.

605 After converting raw data (obtained from Q Exactive) to a text file with the use of  
606 ProteoWizard, LC-MS data were analyzed using PowerGetBatch (KOMICS (B)) with  
607 the following procedure to attribute each MS peak to a chemical formula:

- 608 1. Empirical detection of compound peaks, calculation of accurate mass, calcu-  
609 lation of compound peak intensity
- 610 2. Ionization status judgment
- 611 3. Alignment of compound peaks

612 4. Matching of calculated mean accurate mass with monoisotopic compounds  
613 in public database with the use of MF Searcher and derivation of a corre-  
614 sponding chemical formula

615 The parameters of these analyses are summarized in Appendix 3.

616 As a premise, this metabolome analysis is a result of projecting measured exact  
617 masses onto the public database, so there is not sufficient resolution for the accurate  
618 distinction between structural isomers.

619

#### 620 **2-3-1-1-4 Integration of Metabolite Data of 2014–2019 Samples**

621 Based on the exact mass detected, MF Searcher [Sakurai et al. 2013] was used to  
622 match the same compound of each year with an error of 1 ppm in KEGG database, and  
623 integrated the metabolomic data of all years (See Appendix 4). The same procedure  
624 was also performed in Flavonoid Viewer [Flavonoid Viewer] to compare the total esti-  
625 mated amount of flavonoids (see Appendix 5). When multiple structural isomers were  
626 detected from the KEGG database, the intensity was taken as the average value for  
627 each detected year, because these cannot be distinguished at the present resolution of  
628 LC-MS.

629

#### 630 **2-3-1-1-5 Biological and Technical Replicate**

631 The sample of tea leaves were obtained from the mixed harvest over entire fields,  
632 at a total of 3 times mixing (triple homogenization) at the time of harvesting, kneading,  
633 and drying, so it was not possible to sample each small area or individual tea tree as bi-  
634 ological replicates. Therefore, this was regarded as a representative of the entire field  
635 for each year, and biological variance was greatly averaged through harvesting and  
636 processing in this study. Since the tea leaves were inevitably homogenized through the  
637 processing, it was not possible to take plant-wise or area-wise biological replicates for  
638 the product analyzed.

639 LC-MS analysis was performed once for the 2014–2017 and three times for the  
640 2018–2019 samples. To assess the homogeneity of the tea products and reproducibility  
641 of measurement, the 2014–2019 samples were extracted three times with the same pro-  
642 cedure of sample extraction as “technical replicate”, and the temporal changes in ab-  
643 sorbance of the samples were measured with a spectrophotometer (U-2010, HITACHI).  
644 There was almost no difference in the extraction and no change after a certain period of  
645 time, 6 h (1/40–1/10000 error of the absorbance). The summary of the sample replicates  
646 and LC-MS analysis method are shown in Table 2.

647

648 Table 2. Summary of the sample replicates and LC-MS analysis method.

Measurement		Parameters of each Sampling Year					
Replicate	Method	2014	2015	2016	2017	2018	2019
Technical replicate	Photospectrometry (absorbance error)	3 (1/100– 1/10000)	3 (1/100– 1/10000)	3 (1/40– 1/1000)	3 (1/50– 1/1000)	3 (1/40– 1/10000)	3 (1/100– 1/10000)
	LC-MS (intensity error)	1 (estimated CV: 10–20%)	1 (estimated CV: 10–20%)	1 (estimated CV: 10–20%)	1 (estimated CV: 10–20%)	3 (measured CV: 16.2%)	3 (measured CV: 16.8%)
HPLC		Agilent 1200 series				Ultimate 3000 RSLC	
Tea Sampling and Processing		Same protocol					

649

### 650 2-3-1-2 Statistical Analysis

651 For each chemical formula obtained, the mean value of the intensity for each year  
 652 was calculated for Syneco and Conv, respectively, and normality was tested with the  
 653 Shapiro-Wilk test. Also, the Welch’s t-test (applicable even if the normally distributed  
 654 data do not have homoscedasticity) and the Brunner-Munzel test (assumptions for the  
 655 homogeneity of variance and normality are not needed) [Brunner and Munzel 2000]  
 656 were performed with Syneco 2014–2019 and Conv 2015–2019 for the LC-MS raw and  
 657 logarithmic intensity values of the identified compounds, using 6 data from Syneco  
 658 2014-2019 and 5 data from Conv 2015-2019. For the 2018 and 2019 samples, the mean  
 659 values of the three measurements on the same samples were used. Welch’s t-test was  
 660 performed with Microsoft Excel ver. 16.16.21, and a Brunner–Munzel test was per-  
 661 formed with statistical analysis software R ver. 3.5.0.

662 The variance value representing the magnitude of the year-to-year variation of  
 663 each compound was calculated, and the distribution of the variances was compared by  
 664 F-test between Syneco and Conv samples. For the 2018 and 2019 samples, the mean  
 665 values of the three measurements on the same samples were used. F-test was per-  
 666 formed with Microsoft Excel ver. 16.16.21.

667 In order to investigate the overall effects of culture conditions on the metabolic  
 668 state, the principal component analysis (PCA) was used after the normalization of the  
 669 intensity values for each compound. PCA was applied repeatedly by increasing the  
 670 number of compounds having a high positive/negative eigenvector to a PC to see the  
 671 separability of Syneco and Conv samples on the PC plane (hereafter denoted as LS-  
 672 PCA, meaning linear separation with PCA). The compounds that were sufficient to  
 673 separate the two culture conditions are called “distinctive loadings” for convenience.  
 674 The LS-PCA was performed by a statistical analysis software R ver. 3.5.0.

675           These analyses were performed with 2014–2019 samples, along with the subsets  
676 of 2014–2017 and 2018–2019 samples, because LC-MS parameters are different. I call  
677 these groupings as three PCA groups 2014–2017, 2018–2019, and 2014–2019. See the  
678 PCA plot of 2014–2017 and 2018–2019 samples in Appendix 6 and 7 for the complete  
679 result.

680           All structural isomers of the 130 distinctive loadings on KEGG were projected  
681 onto the “map01110 Biosynthesis of secondary metabolites” of KEGG PATHWAY (see  
682 Appendix 8). In order to see the distribution of chemical formulas expressed only in  
683 Syneco and Conv, respectively, and those expressed in common, visualization of the  
684 distribution was performed (See the Appendix 9).

685

### 686 **2-3-1-3 Metabolome Categorization**

687           The list of 130 chemical formulae obtained with LS-PCA was projected to KEGG  
688 (Kyoto Encyclopedia of Genes and Genomes) [Kanehisa and Goto 2000] databases to  
689 annotate possible physiological functions. KEGG API was used to mine the KEGG  
690 BRITE database and KEGG PATHWAY in order to categorize the compounds accord-  
691 ing to the functional classification. Each chemical formula was attributed to the hierar-  
692 chical ontology of these databases including the matching with structural isomers, as  
693 an extensive interpretation of obtained metabolome data on known physiological func-  
694 tions.

695           Welch’s t-test and Brunner-Munzel test were performed in each category of  
696 KEGG BRITE and KEGG PATHWAY, with Syneco 2014–2019 and Conv 2015–2019  
697 compounds intensity and logarithmic intensity, in order to investigate compound cate-  
698 gory-wise intensity differences between Syneco and Conv. The mean values of the in-  
699 tensity of the same chemical formula in same category, and each value of the actual  
700 measured intensity in same category were used for each test. This is in addition to the  
701 t-test and Brunner-Munzel test to see if there is a difference between Syneco and Conv  
702 when grouped together in the same category, instead of each single chemical formula.

703

## 704 **2-3-2 Results**

### 705 **2-3-2-1 Metabolome Analysis**

706           Exact mass and intensity data of 1055, 815, 714, 1080, and 843 compound peaks  
707 were obtained in the Syneco and Conv samples of the years 2015 (including 2014, in  
708 same LC-MS analysis), 2016, 2017, 2018, and 2019, respectively.

709

### 710 2-3-2-2 Statistical Analysis

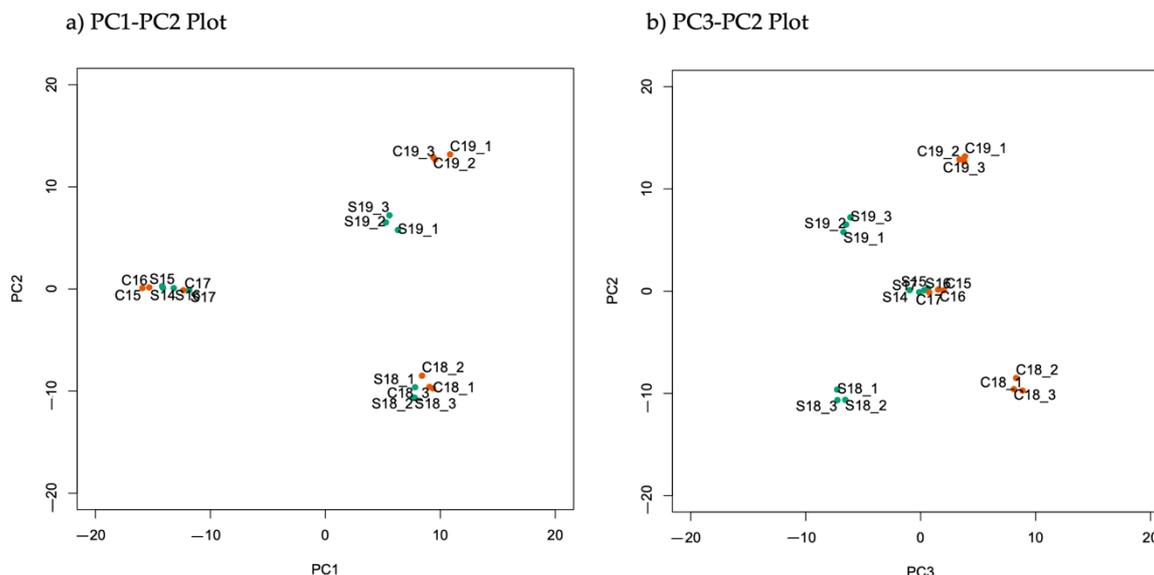
711 Using the MF searcher, the exact masses of 342 compound peaks were matched  
712 with known compounds in the KEGG database (Appendix 4). Among them, the aver-  
713 age raw intensity of each 125 compounds was greater for Syneco samples than Conv  
714 samples, and 217 compounds for Conv samples than Syneco samples. The Shapiro-  
715 Wilk test rejected the normality of the set of means per chemical formula for both Syn-  
716 eco and Conv. The result of Welch's *t*-test for the raw intensity showed that only one  
717 compound (C<sub>19</sub>H<sub>32</sub>O<sub>8</sub>) was significantly different with a 5% significance level ( $p =$   
718 0.0143), but the others were not significant. The result of the Brunner-Munzel test for  
719 the raw intensity showed that only four compounds (C<sub>33</sub>H<sub>40</sub>O<sub>21</sub>, C<sub>19</sub>H<sub>32</sub>O<sub>8</sub>,  
720 C<sub>5</sub>H<sub>11</sub>N<sub>3</sub>O<sub>2</sub>, C<sub>16</sub>H<sub>18</sub>O<sub>8</sub>) were significantly different with a 5% significance level ( $p =$   
721 0.0123, 0.00852, 0.0161, 0.0336, respectively), but the others were not significant.

722 The result of Welch's *t*-test for the logarithmic intensity showed that only one  
723 compound (C<sub>5</sub>H<sub>11</sub>N<sub>3</sub>O<sub>2</sub>) was significantly different with a 5% significance level ( $p =$   
724 0.0166), but the others were not significant. The result of the Brunner-Munzel test for  
725 the logarithmic intensity showed that only 4 compounds (C<sub>33</sub>H<sub>40</sub>O<sub>21</sub>, C<sub>19</sub>H<sub>32</sub>O<sub>8</sub>,  
726 C<sub>5</sub>H<sub>11</sub>N<sub>3</sub>O<sub>2</sub>, C<sub>16</sub>H<sub>18</sub>O<sub>8</sub>; the same as for the raw intensity) were significantly different  
727 with a 5% significance level ( $p = 0.0123, 0.00852, 0.0161, 0.0336$ , respectively), but the  
728 others were not significant. C<sub>33</sub>H<sub>40</sub>O<sub>21</sub> and C<sub>19</sub>H<sub>32</sub>O<sub>8</sub> had higher intensities in Syn-  
729 eco, while C<sub>5</sub>H<sub>11</sub>N<sub>3</sub>O<sub>2</sub> and C<sub>16</sub>H<sub>18</sub>O<sub>8</sub> had higher intensities in Conv.

730 The results of F-test between the yearly variances of the samples for each of the  
731 342 compounds showed that 58 compounds were significantly different between the  
732 Syneco and Conv samples with the significance level of 5%. In terms of the variance  
733 values representing the yearly fluctuation for each compound, 132 compounds in Syn-  
734 eco were greater than Conv, and 210 compounds in Conv were greater than Syneco.  
735 The F-test comparing the variances between Syneco and Conv for the intensity distri-  
736 bution of 342 compounds for all years of sampling showed a statistically significant dif-  
737 ference ( $p = 7.84 \times 10^{-49}$ ), with a higher variance of Conv. Welch's two-sided *t*-test was  
738 also performed on the difference between the mean values of the variances, but there  
739 was no significant difference ( $p = 0.651$ ). These statistical analyses were summarized in  
740 Appendix 9.

741 The result of PCA in Figure 3 revealed that PC3 could linearly separate Syneco  
742 and Conv completely (horizontal axis in Figure 3b). PC1 seemed to represent the differ-  
743 ences between the two LC-MS analysis conditions that changed between 2014–2017  
744 and 2018–2019 samples (Figure 3a). PC2 mainly represented the yearly fluctuation of  
745 all samples that showed three distinctive clusters of 2014–2017, 2018, and 2019 (refer to

746 Appendix 6 for the importance and cumulative proportion of the components, and  
 747 Appendix 7 for other PC plots within the subsets 2014–2017 and 2018–2019). In other  
 748 words, the errors that can occur in my method were aggregated in PC1 and PC2, and  
 749 PC3 was considered to represent a robust characteristic that separates Syneco and  
 750 Conv samples.



751

752 Figure 3. Principal component analysis (PCA) plot based on the intensity of the  
 753 compounds in coarse green tea samples.

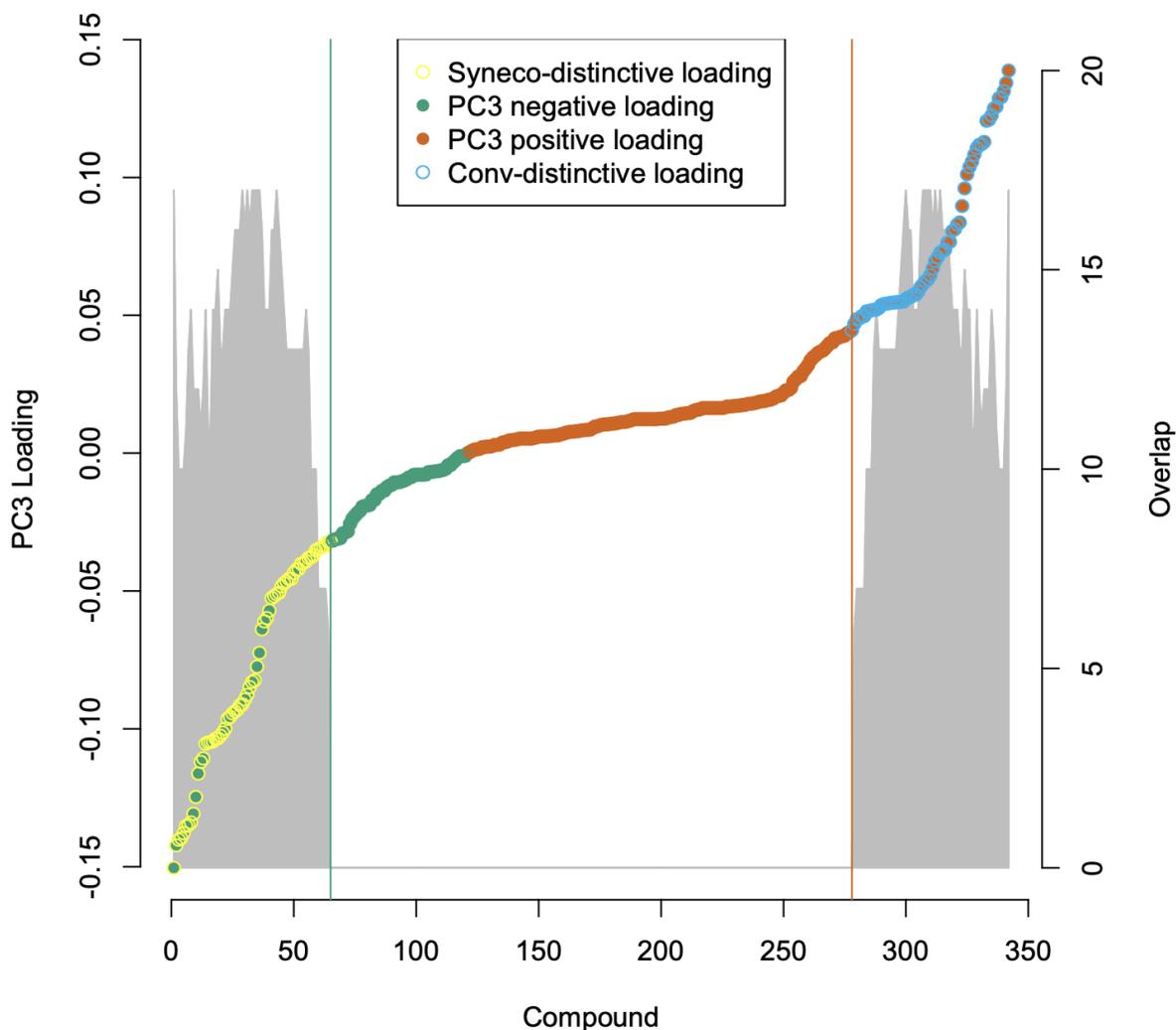
754 (a) PCA plot of PC1-PC2. (b) PCA plot of PC2-PC3. S14, S15, S16, S17, S18, S19,  
 755 C15, C16, C17, C18, and C19 correspond to the samples Syneco 2014, Syneco  
 756 2015, Syneco 2016, Syneco 2017, Syneco 2018, Syneco 2019, Conv 2015, Conv  
 757 2016, Conv 2017, Conv 2018, and Conv 2019, respectively. The 2014–2017 samples  
 758 are based on a single measurement, while the 2018 and 2019 samples consist of 3  
 759 different measurements of intensity data.

760

761 As shown in Figure 4, the Syneco and Conv samples were completely separated  
 762 with LS-PCA using the top 65 compounds of PC3 negative/positive loadings (in total  
 763 130 compounds). Hereafter I call these top 130 negative and positive loading param-  
 764 eters as Syneco and Conv-distinctive parameters, respectively. I used these 130 distinc-  
 765 tive compounds for the ontological categorization using KEGG BRITE and KEGG  
 766 PATHWAY databases.

767 Using the MF searcher, 97 flavonoid compounds were listed by matching the  
 768 metabolome data to the Flavonoid Viewer (Appendix 5). The total intensity of the

769 detected flavonoids in all 2014–2019 samples were compared between Syneco and  
 770 Conv samples. Although Conv samples tended to contain more flavonoids, it showed  
 771 no statistically significant difference ( $p = 0.786$ , two-sided Welch's  $t$ -test) in total inten-  
 772 sity of flavonoids.  
 773



774  
 775 Figure 4. Syneco/Conv distinctive-loadings identified by linear separation with  
 776 PCA (LS-PCA) and PC3 loading plot of the intensity of the compounds  
 777 in coarse green tea samples.  
 778 For negative and positive loadings of PC3 (left Y-axis) aligned in ascending order  
 779 (X-axis), the 65 smallest and 65 largest loadings of the compounds are separated  
 780 by 2 vertical lines (green and orange, respectively). The overlap numbers (top  
 781 edge of the gray area) represent the degree of separation between Syneco and  
 782 Conv when LS-PCA was performed. It is given by 19-

783 (#Syneco>maximum(CONV)+#Syneco<minimum(Conv)+#Conv>maximum(Syn-  
784 eco)+#Conv<minimum(Conv)). In case of complete separation, this will be 0.  
785 When PCA was performed by increasing the number of upper loadings by one  
786 from each side, the Overlap became 0 for the first time when PCA was performed  
787 with 65 loadings from each side, and remained 0 thereafter. Hereafter I call these  
788 65 negative and positive loadings “Syneco-distinctive loadings” and “Conv-dis-  
789 tinctive loadings” which are plotted with yellow and light blue hollow circles, re-  
790 spectively.

791

### 792 2-3-2-3 Metabolome Categorization

793 I projected the top 130 (65 positive and 65 negative) of PC3 loadings to KEGG  
794 BRITE (Table 2) and KEGG PATHWAY (Table 4), and looked to the KEGG BRITE com-  
795 pound classification. The negative PC3 loadings that characterized Syneco samples ex-  
796 pressed more diversity of allelochemicals than Conv samples such as phytochemicals,  
797 alkaloids, phenylpropanoids, and steroids (Table 3). The “Phytochemicals” include the  
798 subcategories of alkaloids, flavonoids, phenylpropanoids, shikimate/acetate-malonate  
799 pathway derived compounds, terpenoids, polyketides, fatty acids related compounds,  
800 amino acid related compounds, and others, according to the notation in KEGG BRITE  
801 database. KEGG PATHWAY results showed that Conv had more formulas in the cate-  
802 gory of primary metabolites such as Carbohydrate metabolism, Nucleoside metabolism  
803 and Amino acid metabolism (Table 4 page 1).

804

805 Table 3. KEGG BRITE compound classification.  
 806 The numbers of different chemical formulae (# Formulae) associated with the  
 807 Syneco- and Conv-distinctive loadings for each category of chemical ontology in  
 808 KEGG BRITE were shown. Uncertainty Score is the sum of inverse numbers of  
 809 structural isomers for each chemical formula. The smaller the number, the greater  
 810 the number of structural isomers, indicating the higher uncertainty of compound  
 811 identification. See Appendix 10, 11, and 12 for more details.

	<b>Syneco</b>		<b>Conv</b>	
	<b># Formulae</b>	<b>Uncertainty Score</b>	<b># Formulae</b>	<b>Uncertainty Score</b>
Flavonoid	10	4.075	13	6.751587
Phytochemical	41	15.98387	25	12.61299
Alkaloid	4	3.125	3	1.47619
Phenylpropanoid	17	3.904167	4	1.821429
Steroid	2	1.083333	0	-
Total	74	28.17137	45	22.662196

812

813

Table 4. KEGG PATHWAY compound classification. (page 1)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown.

Culture Condition		Syneco		Conv	
Category	#Formulae	Uncertainty Score	#Formulae	Uncertainty Score	
All Categories	152	39.32423687	226	56.47841953	
1. Metabolism	147	38.19923687	168	42.42704868	
1.0 Global and overview maps	57	15.5296398	56	17.70079175	
map01100 Metabolic pathways	18	5.601724664	20	8.437734488	
map01110 Biosynthesis of secondary metabolites	21	5.025534188	17	5.276477178	
map01120 Microbial metabolism in diverse environments	9	2.84702381	5	1.382539683	
map01200 Carbon metabolism	1	1	1	0.071428571	
map01210 2-Oxocarboxylic acid metabolism	2	0.333333333	6	1.166305916	
map01230 Biosynthesis of amino acids	1	0.166666667	6	1.166305916	
map01220 Degradation of aromatic compounds	5	0.555357143	1	0.2	
1.1 Carbohydrate metabolism	0	0	4	0.285714286	
map00020 Citrate cycle (TCA cycle)	0	0	1	0.071428571	
map00040 Pentose and glucuronate interconversions	0	0	1	0.071428571	
map00053 Ascorbate and aldarate metabolism	0	0	1	0.071428571	
map00630 Glyoxylate and dicarboxylate metabolism	0	0	1	0.071428571	
1.2 Energy metabolism	2	1.125	2	0.182539683	
map00720 Carbon fixation pathways in prokaryotes	1	1	1	0.071428571	
map00680 Methane metabolism	1	0.125	1	0.111111111	
1.3 Lipid metabolism	2	1.5	1	0.2	
map00061 Fatty acid biosynthesis	1	0.5	0	0	
map00073 Cutin, suberine and wax biosynthesis	0	0	1	0.2	
map00140 Steroid hormone biosynthesis	1	1	0	0	
1.4 Nucleotide metabolism	0	0	2	0.666666667	
map00230 Purine metabolism	0	0	2	0.666666667	
1.5 Amino acid metabolism	11	1.476190476	18	6.015151515	
map00250 Alanine, aspartate and glutamate metabolism	0	0	1	0.071428571	
map00260 Glycine, serine and threonine metabolism	0	0	1	0.142857143	
map00270 Cysteine and methionine metabolism	0	0	1	1	
map00310 Lysine degradation	0	0	1	1	
map00220 Arginine biosynthesis	0	0	2	0.75	
map00330 Arginine and proline metabolism	0	0	2	1.25	
map00350 Tyrosine metabolism	5	0.580357143	2	0.611111111	
map00360 Phenylalanine metabolism	3	0.3125	2	0.202020202	
map00380 Tryptophan metabolism	1	0.25	3	0.642857143	
map00400 Phenylalanine, tyrosine and tryptophan biosynthesis	2	0.333333333	3	0.344877345	
1.6 Metabolism of other amino acids	0	0	4	1.702020202	

Table 4. KEGG PATHWAY compound classification. (page 2)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown. Due to the large size of the table, there is a duplication with the previous page.

Culture Condition		Syneco		Conv	
Category		#Formulae	Uncertainty Score	#Formulae	Uncertainty Score
All Categories		152	39.32423687	226	56.47841953
1. Metabolism		147	38.19923687	168	42.42704868
1.6 Metabolism of other amino acids		0	0	4	1.702020202
map00410	beta-Alanine metabolism	0	0	1	1
map00440	Phosphonate and phosphinate metabolism	0	0	1	0.5
map00460	Cyanoamino acid metabolism	0	0	2	0.202020202
1.8 Metabolism of cofactors and vitamins		4	2.666666667	3	1.222222222
map00730	Thiamine metabolism	0	0	1	0.111111111
map00770	Pantothenate and CoA biosynthesis	0	0	1	1
map00785	Lipoic acid metabolism	1	0.5	0	0
map00790	Folate biosynthesis	1	1	0	0
map00670	One carbon pool by folate	1	1	0	0
map00130	Ubiquinone and other terpenoid-quinone biosynthesis	1	0.166666667	1	0.111111111
1.9 Metabolism of terpenoids and polyketides		8	1.825213675	12	3.258363712
map00900	Terpenoid backbone biosynthesis	0	0	1	0.052631579
map00902	Monoterpenoid biosynthesis	2	1.076923077	0	0
map00909	Sesquiterpenoid and triterpenoid biosynthesis	1	0.011111111	2	0.14354067
map00904	Diterpenoid biosynthesis	0	0	1	0.125
map00981	Insect hormone biosynthesis	1	0.166666667	2	0.14354067
map00908	Zeatin biosynthesis	0	0	1	1
map00903	Limonene and pinene degradation	1	0.076923077	0	0
map00281	Geraniol degradation	1	0.076923077	0	0
map01059	Biosynthesis of enediyne antibiotics	1	0.25	1	0.111111111
map01057	Biosynthesis of type II polyketide products	0	0	2	1.5
map01053	Biosynthesis of siderophore group nonribosomal peptides	0	0	1	0.071428571
map01055	Biosynthesis of vancomycin group antibiotics	1	0.166666667	1	0.111111111
1.10 Biosynthesis of other secondary metabolites		33	9.054700855	36	6.420779221
map00232	Caffeine metabolism	1	1	0	0
map00333	Prodigiosin biosynthesis	1	0.076923077	0	0
map00940	Phenylpropanoid biosynthesis	8	1.2875	4	0.785353535
map00945	Stilbenoid, diarylheptanoid and gingerol biosynthesis	0	0	2	0.583333333
map00941	Flavonoid biosynthesis	5	1.625	6	1.242063492
map00944	Flavone and flavonol biosynthesis	5	2.45	3	0.485714286
map00942	Anthocyanin biosynthesis	0	0	1	0.5
map00943	Isoflavonoid biosynthesis	1	0.125	3	0.325396825
map00901	Indole alkaloid biosynthesis	0	0	1	0.142857143
map00950	Isoquinoline alkaloid biosynthesis	3	0.354166667	1	0.111111111
	Tropane, piperidine and pyridine				

Table 4. KEGG PATHWAY compound classification. (page 3)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown. Due to the large size of the table, there is a duplication with the previous page.

Culture Condition		Syneco		Conv	
Category		#Formulae	Uncertainty Score	#Formulae	Uncertainty Score
All Categories		152	39.32423687	226	56.47841953
1. Metabolism		147	38.19923687	168	42.42704868
1.10 Biosynthesis of other secondary metabolites		33	9.054700855	36	6.420779221
map00232	Caffeine metabolism	1	1	0	0
map00333	Prodigiosin biosynthesis	1	0.076923077	0	0
map00940	Phenylpropanoid biosynthesis	8	1.2875	4	0.785353535
map00945	Stilbenoid, diarylheptanoid and gingerol biosynthesis	0	0	2	0.583333333
map00941	Flavonoid biosynthesis	5	1.625	6	1.242063492
map00944	Flavone and flavonol biosynthesis	5	2.45	3	0.485714286
map00942	Anthocyanin biosynthesis	0	0	1	0.5
map00943	Isoflavonoid biosynthesis	1	0.125	3	0.325396825
map00901	Indole alkaloid biosynthesis	0	0	1	0.142857143
map00950	Isoquinoline alkaloid biosynthesis	3	0.354166667	1	0.111111111
map00960	Tropane, piperidine and pyridine alkaloid biosynthesis	0	0	1	0.090909091
map00232	Caffeine metabolism	1	1	0	0
map00965	Betalain biosynthesis	0	0	1	0.111111111
map00966	Glucosinolate biosynthesis	0	0	3	0.344877345
map00332	Carbapenem biosynthesis	0	0	1	0.25
map00261	Monobactam biosynthesis	1	0.166666667	1	0.111111111
map00401	Novobiocin biosynthesis	2	0.416666667	1	0.111111111
map00404	Staurosporine biosynthesis	0	0	1	0.142857143
map00999	Biosynthesis of various secondary metabolites - part 1	1	0.011111111	0	0
map00998	Biosynthesis of various secondary metabolites - part 2	4	0.541666667	5	1.011544012
map00997	Biosynthesis of various secondary metabolites - part 3	0	0	1	0.071428571
1.11 Xenobiotics biodegradation and metabolism		14	1.802380952	7	1.520634921
map00627	Aminobenzoate degradation	0	0	1	0.5
map00623	Toluene degradation	1	0.0625	0	0
map00622	Xylene degradation	3	0.305357143	0	0
map00633	Nitrotoluene degradation	1	0.166666667	0	0
map00642	Ethylbenzene degradation	2	0.205357143	0	0
map00643	Styrene degradation	1	0.0625	0	0
map00363	Bisphenol degradation	1	0.0625	0	0
map00626	Naphthalene degradation	3	0.354166667	1	0.2
map00624	Polycyclic aromatic hydrocarbon degradation	1	0.083333333	2	0.311111111
map00980	Metabolism of xenobiotics by cytochrome P450	0	0	2	0.342857143
map00982	Drug metabolism - cytochrome P450	1	0.5	1	0.166666667
1.12 Chemical structure transformation maps		16	3.219444441	23	3.252164502
map01060	Biosynthesis of plant secondary metabolites	4	0.854166667	5	1.416305916
map01061	Biosynthesis of phenylpropanoids	6	1.416666667	6	0.737734488

Table 4. KEGG PATHWAY compound classification. (page 4)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown. Due to the large size of the table, there is a duplication with the previous page.

Culture Condition		Syneco		Conv	
Category		#Formulae	Uncertainty Score	#Formulae	Uncertainty Score
All Categories		152	39.32423687	226	56.47841953
1. Metabolism		147	38.19923687	168	42.42704868
1.12 Chemical structure transformation maps		16	3.219444441	23	3.252164502
map01060	Biosynthesis of plant secondary metabolites	4	0.854166667	5	1.416305916
map01061	Biosynthesis of phenylpropanoids	6	1.416666667	6	0.737734488
map01062	Biosynthesis of terpenoids and steroids	1	0.011111111	1	0.071428571
map01063	Biosynthesis of alkaloids derived from shikimate pathway	3	0.354166667	4	0.416305916
map01064	Biosynthesis of alkaloids derived from ornithine, lysine and nicotinic acid	1	0.083333333	2	0.162337662
map01065	Biosynthesis of alkaloids derived from histidine and purine	0	0	1	0.071428571
map01066	Biosynthesis of alkaloids derived from terpenoid and polyketide	1	0.5	1	0.071428571
map01070	Biosynthesis of plant hormones	0	0	3	0.305194805
2. Genetic Information Processing		0	0	3	0.344877345
2.2 Translation		0	0	3	0.344877345
map00970	Aminoacyl-tRNA biosynthesis	0	0	3	0.344877345
3. Environmental Information Processing		2	0.208333333	9	2.662337662
3.1 Membrane transport		0	0	3	0.924242424
map02010	ABC transporters	0	0	3	0.924242424
3.2 Signal transduction		1	0.083333333	5	1.404761905
map02020	Two-component system	0	0	1	0.071428571
map04071	Sphingolipid signaling pathway	0	0	1	0.333333333
map04024	cAMP signaling pathway	0	0	1	0.333333333
map04022	cGMP-PKG signaling pathway	0	0	2	0.666666667
map04152	AMPK signaling pathway	1	0.083333333	0	0
3.3 Signaling molecules and interaction		1	0.125	1	0.333333333
map04080	Neuroactive ligand-receptor interaction	1	0.125	1	0.333333333
4. Cellular Processes		0	0	1	0.333333333
4.3 Cellular community - eukaryotes		0	0	1	0.333333333
map04540	Gap junction	0	0	1	0.333333333
5. Organismal Systems		3	0.791666667	31	8.173881674
5.1 Immune system		0	0	1	0.333333333
map04611	Platelet activation	0	0	1	0.333333333
5.2 Endocrine system		0	0	9	2.293650794
map04922	Glucagon signaling pathway	0	0	1	0.071428571
map04923	Regulation of lipolysis in adipocytes	0	0	2	0.666666667
map04917	Prolactin signaling pathway	0	0	1	0.111111111
map04921	Oxytocin signaling pathway	0	0	1	0.333333333
map04916	Melanogenesis	0	0	1	0.111111111

Table 4. KEGG PATHWAY compound classification. (page 5)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown. Due to the large size of the table, there is a duplication with the previous page.

Culture Condition		Syneco		Conv	
Category	#Formulae	Uncertainty Score	#Formulae	Uncertainty Score	
All Categories	152	39.32423687	226	56.47841953	
5. Organismal Systems	3	0.791666667	31	8.173881674	
5.1 Immune system	0	0	1	0.333333333	
map04611 Platelet activation	0	0	1	0.333333333	
5.2 Endocrine system	0	0	9	2.293650794	
map04922 Glucagon signaling pathway	0	0	1	0.071428571	
map04923 Regulation of lipolysis in adipocytes	0	0	2	0.666666667	
map04917 Prolactin signaling pathway	0	0	1	0.111111111	
map04921 Oxytocin signaling pathway	0	0	1	0.333333333	
map04916 Melanogenesis	0	0	1	0.111111111	
map04924 Renin secretion	0	0	2	0.666666667	
map04925 Aldosterone synthesis and secretion	0	0	1	0.333333333	
5.3 Circulatory system	0	0	2	0.666666667	
map04270 Vascular smooth muscle contraction	0	0	2	0.666666667	
5.4 Digestive system	3	0.791666667	8	2.245310245	
map04970 Salivary secretion	0	0	1	0.333333333	
map04976 Bile secretion	2	0.666666667	1	0.333333333	
map04974 Protein digestion and absorption	1	0.125	3	0.344877345	
map04977 Vitamin digestion and absorption	0	0	1	1	
map04978 Mineral absorption	0	0	2	0.233766234	
5.6 Nervous system	0	0	4	0.753968254	
map04728 Dopaminergic synapse	0	0	1	0.111111111	
map04726 Serotonergic synapse	0	0	2	0.30952381	
map04730 Long-term depression	0	0	1	0.333333333	
5.7 Sensory system	0	0	4	1.071428571	
map04744 Phototransduction	0	0	1	0.333333333	
map04744 Phototransduction - fly	0	0	1	0.333333333	
map04740 Olfactory transduction	0	0	1	0.333333333	
map04742 Taste transduction	0	0	1	0.071428571	
5.8 Development and regeneration	0	0	1	0.142857143	
map04361 Axon regeneration	0	0	1	0.142857143	
5.10 Environmental adaptation	0	0	2	0.666666667	
map04713 Circadian entrainment	0	0	1	0.333333333	
map04714 Thermogenesis	0	0	1	0.333333333	
6. Human Diseases	0	0	14	2.536940837	
6.1 Cancer: overview	0	0	5	0.616305916	
map05204 Chemical carcinogenesis	0	0	1	0.2	
map05230 Central carbon metabolism in cancer	0	0	4	0.416305916	

Table 4. KEGG PATHWAY compound classification. (page 6)

The numbers of different chemical formulae (# Formulae) associated with the Syneco- and Conv-distinctive loadings for each category of chemical ontology in KEGG PATHWAY hierarchy were shown. Due to the large size of the table, there is a duplication with the previous page.

Culture Condition		Syneco		Conv	
Category		#Formulae	Uncertainty Score	#Formulae	Uncertainty Score
All Categories		152	39.32423687	226	56.47841953
6. Human Diseases		0	0	14	2.536940837
6.1 Cancer: overview		0	0	5	0.616305916
map05204 Chemical carcinogenesis		0	0	1	0.2
map05230 Central carbon metabolism in cancer		0	0	4	0.416305916
6.4 Neurodegenerative disease		0	0	2	0.444444444
map05012 Parkinson disease		0	0	2	0.444444444
6.5 Substance dependence		0	0	5	1
map05030 Cocaine addiction		0	0	1	0.111111111
map05031 Amphetamine addiction		0	0	1	0.111111111
map05032 Morphine addiction		0	0	1	0.333333333
map05034 Alcoholism		0	0	2	0.444444444
6.10 Infectious disease: parasitic		0	0	2	0.476190476
map05143 African trypanosomiasis		0	0	2	0.476190476

**Table 5. Results of KEGG PATHWAY category-wise tests with  $p < 0.05$ .**

The difference of intensity in each KEGG PATHWAY category (column “Category in KEGG PATHWAY”) is tested with two-sided Welch’s *t*-test and Brunner-Munzel test (“Test”). The signs of *p*-values (in “*p*-Value”) represent the magnitude relationship of mean intensity between Syneco and Conv (“Magnitude Relationship”); negative sign means Conv was greater than Syneco, and positive sign means Syneco was greater than Conv. The column “Scale” indicates whether the intensity was used in linear or logarithmic scale for the tests. The intensity value was used both as chemical formula-wise aggregated mean and as separated intensity peaks (indicated as Formula and NA, respectively, in the column “Averaging”). The column “# Formulae” represents the number of chemical formulae estimated in each category.

Category in KEGG PATHWAY		#Formulae	Magnitude relationship	Scale	Test	Averaging	p-Value
1. Metabolism		199	Syneco<Conv	Logarithmic	Brunner-Munzel	Formula	-0.047539053
1.4 Nucleotide metabolism		6	Syneco<Conv	Linear	Brunner-Munzel	Formula	-0.047815399
1.5 Amino acid metabolism		56	Syneco<Conv	Linear	Brunner-Munzel	Formula	-0.017333975
					NA	-0.017517541	
				Logarithmic	Welch	NA	-0.018298902
					Brunner-Munzel	Formula	-0.01009549
map00300 Lysine biosynthesis		7	Syneco<Conv	Linear	Brunner-Munzel	Formula	-0.021276803
map00310 Lysine degradation		7	Syneco<Conv	Linear	Welch	NA	-0.007608044
					Brunner-Munzel	Formula	-0.024977507
				Logarithmic	NA	-0.014387325	
					Welch	Formula	-0.016582594
					NA	-0.01523004	
					Brunner-Munzel	Formula	-0.00014366
map00330 Arginine and proline metabolism		8	Syneco<Conv	Linear	Brunner-Munzel	Formula	-0.035046884
					NA	-0.02678438	
				Logarithmic	Welch	NA	-0.026253273
					Brunner-Munzel	NA	-0.02678438
1.6 Metabolism of other amino acids		17	Syneco<Conv	Linear	Brunner-Munzel	Formula	-0.048187025
1.8 Metabolism of cofactors and vitamins	map00830 Retinol metabolism	2	Syneco<Conv	Linear	Welch	Formula	-0.004966483
				Logarithmic	Welch	Formula	-0.000540986
1.0 Global and overview maps	map01100 Metabolic pathways	127	Syneco<Conv	Logarithmic	Brunner-Munzel	Formula	-0.043560396

Table 6. Results of KEGG BRITE category-wise tests with  $p < 0.05$ .

The difference of intensity in each KEGG BRITE category (column "Category in KEGG BRITE") is tested with two-sided Welch's  $t$ -test and Brunner-Munzel test ("Test"). Other notations follow those of Table 4.

Category in KEGG BRITE						#Formulae	Magnitude relationship	Scale	Test	Averaging	p-Value	
Compounds and Reactions	Compounds (C numbers)	Phytochemical compounds [BR:br08003]	Terpenoids	Diterpenoids (C20)	Abietanes	2	Syneco<Conv	Linear	Welch	Formula	-0.004966483	
				Sesquiterpenoids (C15)	Guaianolide	3		Logarithmic	Welch	Formula	-0.000540986	
			Phenylpropanoids	Monolignols	Sinapate derivatives	2	Syneco>Conv	Linear	Welch	Formula	0.02822976	
								Syneco<Conv	Logarithmic	Welch	Formula	-0.032450817
		Glycosides [BR:br08021]	N-glycosides			3	Syneco<Conv	Linear	Welch	Formula	-0.042604306	
		Lipids [BR:br08002]	PR Prenol lipids	PR01 Isoprenoids	PR0109 Retinoids		2	Syneco<Conv	Linear	Welch	Formula	-0.004966483
									Logarithmic	Welch	Formula	-0.000540986
Drugs	Drug information (D numbers)	New drug approvals in Japan [br08318]				4	Syneco<Conv	Linear	Welch	NA	-0.039762524	
									Brunner-Munzel	Formula	-0.002578598	
									NA	-0.019485081		
								Logarithmic	Welch	Formula	-0.014647994	
							NA	-0.026673591				
									Brunner-Munzel	NA	-0.019485081	
								Linear	Welch	NA	-0.039762524	
									Brunner-Munzel	Formula	-0.002578598	
								NA	-0.019485081			
					Drugs with new active ingredients	4	Syneco<Conv	Logarithmic	Welch	Formula	-0.014647994	
									NA	-0.026673591		
										Brunner-Munzel	NA	-0.019485081
										Linear	Welch	NA
	Drug classifications (D numbers)	Anatomical Therapeutic Chemical (ATC) classification [BR:br08303]	M MUSCULO-SKELETAL SYSTEM			2	Syneco>Conv	Linear	Welch	NA	0.049488163	
								Logarithmic	Welch	Formula	0.022529231	
M01 ANTIINFLAMMATORY AND ANTIRHEUMATIC PRODUCTS			2	Syneco>Conv	Linear	Welch	NA	0.049488163				
								Logarithmic	Welch	Formula	0.022529231	
M01A ANTIINFLAMMATORY AND ANTIRHEUMATIC PRODUCTS, NON-STEROIDS			2	Syneco>Conv	Linear	Welch	NA	0.049488163				
								Logarithmic	Welch	Formula	0.022529231	

814 Same as the LS-PCA with 2014-2019 samples, I identified Syneco-/Conv- distinc-  
815 tive loadings in PCA groups 2014-2017, and 2018-2019. Among the three PCA groups  
816 2014-2017, 2018-2019, and 2014-2019, I identified common chemical formulae that  
817 were sufficient for the separation of Syneco and Conv samples with LS-PCA (see Ap-  
818 pendix 13, 14, 15, 16, 17, and 18). In all the three PCA, "C13H20O2", "C14H21NO8",  
819 "C27H30O16" and "C11H16O2" were the common formulae found to be Syneco-dis-  
820 tinctive loadings. Through the metabolome categorization with KEGG databases, these  
821 were considered to be heptyloxyphenol, glucosylpyridoxine, rutin, and methylcat-  
822 echol. Moreover, "C44H34O22", "C9H11NO3", "C5H11N3O2", "C14H16O10",  
823 "C21H20O10", "C16H18O8", "C9H11NO2" and "C14H20O3" were detected as com-  
824 mon formulae as Conv-distinctive loadings. These were considered to be theasinensin  
825 A, l-tyrosine, guanidinobutyric acid, theogallin, isovitexin, coumaroylquinic acid, l-  
826 phenylalanine and heptylparaben.

827 The common 4 compounds of Syneco tended to have larger variance values in  
828 Syneco than Conv samples (F-test,  $p = 0.0795$ ), while the common 8 compounds of  
829 Conv had significantly larger variance values in Conv than Syneco samples (F-test,  $p =$   
830  $2.576 \times 10^{-13}$ ). In addition, epigallocatechin gallate, aromadendrin, pesticide compounds  
831 (framprop, aldicarb or butocarboxim), and amino acids were detected as Conv-distinctive  
832 compounds (although not detected from all the three PCA groups).

833 To check for differences between Syneco and Conv samples within each func-  
834 tional category, the two-sided Welch's *t*-test and Brunner-Munzel test were performed  
835 in each category of KEGG BRITE and KEGG PATHWAY (KEGG category-wise tests),  
836 with the use of total intensity and total logarithmic intensity of Syneco2014-2019 and  
837 Conv2015-2019 samples. The mean values of the intensity of the same chemical for-  
838 mula in same category, and each value of the actual measured intensity in same cate-  
839 gory were used for each test (column "Averaging" in Table 5 and 6). Brunner-Munzel  
840 test and *t*-test were performed for the intensity as it is and the log-transformed case, re-  
841 spectively (column "Test" and "Scale" in Table 5 and 6). Thus, for each category, a total  
842 of six test patterns were performed for the combination of the three cases. This is to  
843 check for differences in Syneco and Conv when multiple components were combined  
844 in the same category, not a single component-by-component test.

845 As a result, the categories of "1. Metabolism," "1.4 Nucleotide metabolism," "1.5  
846 Amino acid metabolism," "1.6 Metabolism of other amino acids," "Abietanes," "Drugs  
847 with new active ingredients," "Guaianolide," "M MUSCULO-SKELETAL SYSTEM,"  
848 "M01 ANTIINFLAMMATORY AND ANTIRHEUMATIC PRODUCTS," "M01A ANTI-  
849 INFLAMMATORY AND ANTIRHEUMATIC PRODUCTS, NON-STEROIDS,"

850 "map00300 Lysine biosynthesis," "map00310 Lysine degradation," "map00330 Argi-  
851 nine and proline metabolism," "map00830 Retinol metabolism," "map01100 Metabolic  
852 pathways," "N-glycosides," "New drug approvals in Japan [br08318]," "PR0109 Retin-  
853 oids," and "Sinapate derivatives," were significantly different( $p < 0.05$ ) in at least one of  
854 the tests (Table 5 and 6). Notably, the results showed that Conv samples expressed sig-  
855 nificantly greater total intensity in the categories of amino acids- and nucleotide- re-  
856 lated primary metabolites.

### 857 2-3-3 Discussion

858 In the pre-analysis with a Welch's *t*-test and Brunner–Munzel test for each com-  
859 pound, only one and four over 342 detected compound peaks had significant differ-  
860 ences between Syneco and Conv samples, respectively, concerning the 5% threshold on  
861 the *p*-value. Therefore, the simple comparison of compound-wise intensity does not  
862 support any statistically significant differences, since about 17 peaks (5% of 342) could  
863 stochastically take *p*-values under the 5% threshold in random data. Considering also  
864 biological fluctuations and technical errors, the results of compound-wise statistical  
865 tests did not seem relevant enough to require further investigation.

866 However, the variation between different years for each compound measured  
867 with F-test was significantly different between the two categories (for 58 compounds,  
868 about 17% of 342), suggesting that the *in cultura* samples had larger yearly variance.  
869 This result supports the relative consistency of metabolic profile in ecological optimum  
870 compared to the larger deviation in monoculture data, as pointed out in a previous  
871 study [Funabashi 2015]. It means that the human intervention under the *in cultura* cul-  
872 ture conditions introduces larger fluctuations in the metabolic state of the crop than  
873 that under the *in natura* conditions. The observed facts may be relevant to the general  
874 relationship between biodiversity and ecological resilience: the *in cultura* culture condi-  
875 tion with low biodiversity may behave less stable in the consistency of its metabolic  
876 profile because of insufficient ecological interactions that are abundant in the *in natura*  
877 culture condition.

878 Previous studies have predicted that there are statistically invariant features of  
879 metabolic profiles that distinguish between *in cultura* and *in natura* culture conditions  
880 using a simulation based on the available database [Funabashi 2015]. The principal  
881 component analysis in this study, reflecting the LC-MS measurements over five years  
882 of repeated production, also supports this notion. The distinctive metabolic profiles  
883 were found with respect to the PC3 loading section, which was classified with the on-  
884 tologies in KEGG BRITE and PATHWAY databases.

885 As for the KEGG PATHWAY classification of the top 130 PC3 loadings (Table 4),  
886 9 compounds of *in natura* samples and five compounds of *in cultura* samples were cate-  
887 gorized into “map01120 Microbial metabolism in diverse environments” (see Appen-  
888 dix 19 and 20). This category is related to the metabolism of microorganisms, which  
889 implies that the *in natura* samples were raised in more complex microbiological interac-  
890 tions than *in cultura* samples. In this study, the microbiological interaction of tea plants  
891 should mainly come from soil microbiota. Indeed, it is reported that Synecoculture  
892 largely promotes soil microbial diversity and activities [Funabashi 2017c]. These results

893 suggest that the *in natura* samples tend to contain more diverse compounds related to  
894 the interaction with soil microorganisms.

895 Plants are known to synthesize repellent and attractant substances called allelo-  
896 chemicals in competitive and cooperative interactions with other plants and insects  
897 [Rice 1974]. Allelochemicals such as alkaloids, phenylpropanoids, steroids, and flavo-  
898 noids, may be harmful to the human body in excessive amounts, but are known to  
899 have anti-inflammatory, anti-cancer, and antioxidant effects with appropriate dose  
900 [Middleton et al. 2000]. My results suggest that the *in natura* culture condition associ-  
901 ated with rich interactions between species could support a more diverse production of  
902 such health-protective compounds.

903 In terms of the amount of phytochemicals, the total intensity of flavonoids was  
904 larger in Conv than in Syneco in the total comparison of 2014–2019 samples. This result  
905 was different from the previous study that reported larger flavonoids expression in  
906 Syneco 2014–2015 samples [Funabashi and Ohta 2020]. Actually, the total amount of  
907 flavonoids was superior in Syneco 2014–2016 to Conv 2015–2016 samples (Appendix  
908 5), but when compared across the entire 2014–2019 sample, this result was reversed. In  
909 other words, the amount of flavonoids served as a parameter to distinguish between  
910 the two culture conditions until 2016, but not for samples from 2017 onward. One pos-  
911 sible reason for this is that flavonoids are affected not only by ecological interactions,  
912 but also by physical environmental stresses, and may not be maintained in the same  
913 state from year to year as differences in metabolic state caused by different culture con-  
914 ditions.

915 Among the three PCA groups (2014–2017, 2018–2019, and 2014–2019), com-  
916 pounds such as glucosylpyridoxine, rutin, heptyloxyphenol, and methylcatechol were  
917 estimated as common distinctive compounds in Syneco samples. Glucosylpyridoxine, a  
918 glycoside of vitamin B6, was detected as an exclusively characteristic compound of *in*  
919 *natura* samples. Furthermore, rutin is known as an allelopathic chemical that improves  
920 plant disease resistances and inhibits the growth of other competing plants [Golisz et  
921 al. 2007][Yang et al. 2016]. These *in natura* distinctive compounds can be interpreted as  
922 the results of the enhanced ecological interactions without fertilizer and agrochemicals,  
923 compared to conventional farming.

924 Vitamin B6 is known to be synthesized by soil microorganisms of the genus *As-*  
925 *pergillus*. It is required for controlling the immune response, and its deficiency is  
926 known to cause immune system disorders and a decrease in antibody production  
927 [Gross and Newberne 1980][Rail and Meydani 1993]. In recent years, the diversity of  
928 human gut microbiota in city environments has been reduced due to the abuse of

929 antibiotics and pesticides [Bello et al. 2018][Defois et al. 2018][Clemente et al. 2015],  
930 and non-infectious immune-related diseases have become a serious issue in many  
931 countries around the world. Also, the risk of vitamin B2 and B6 deficiency has been re-  
932 ported in vegan populations [Vudhivai, et al. 1991]. Vitamin B6 and B12 have been re-  
933 ported to be effective in treating Alzheimer's disease [Douaud et al. 2013][Bredesen et  
934 al. 2018][Shetty and Youngberg 2018]. Having increased amount of vitamin B6 in a  
935 daily consumed beverage, such as tea, may be beneficial for the human health. My re-  
936 sults which suggested increased vitamin B6 in the samples cultivated under the *in*  
937 *natura* culture, along with other components, indicate that the connection to human  
938 health may be worth further examination in relation to Synecoculture.

939 On the other hand, theasinensin A, l-tyrosine, guanidinobutyric acid, theogallin,  
940 isovitexin, coumaroylquinic acid, l-phenylalanine and heptylparaben were detected as  
941 the characteristic compounds common to conventional samples. Amino acids were de-  
942 tected as a strong characteristic of *in cultura* samples. This is in line with the previous  
943 study that also reported amino acids were one of the characteristics of the convention-  
944 ally cultured tea product [Funabashi and Ohta 2020]. The results of the KEGG cate-  
945 gory-wise tests in Table 5 also support this notion.

946 The overall results suggest that the distinction between *in natura* and *cultura* con-  
947 ditions only becomes possible at the distribution level of metabolome, beyond single-  
948 component comparison. Although this study has the limitation of being limited to  
949 comparisons based on field samples from the same region, such an increase in second-  
950 ary metabolites in tea plants may be an example of a general interaction between the  
951 crop and field biodiversity, especially the soil microbiota. I have identified examples  
952 where tea plants grown under natural conditions can produce more diverse and abun-  
953 dant allelochemicals than under cultivated conditions. It is known that in the formation  
954 of ecological niches, some species are specifically dependent on symbiosis with other  
955 species and do not tolerate single isolated culture (e.g. [Begum et al. 2019]). This sug-  
956 gests the existence of a "*in natura* effect" that maintains the coexistence of various spe-  
957 cies in the natural environment and the associated specific metabolite expression pat-  
958 terns that occur only in the complex interactions of self-organized plant-animal com-  
959 munities.

960 In general, the health benefits of plant products cannot be adequately assessed by  
961 a single component, but need to be considered in the context of a whole diet consisting  
962 of many compounds that act synergistically on human health [Liu 2003][Nishi et al.  
963 2017]. This study indicates that aspects of culture conditions, which are directly related  
964 to environmental impacts, may act indirectly via multiple components of food on

965 human health. It is necessary to further examine the quality and culture conditions of  
966 the products in a comprehensive manner in assessing the sustainability of food systems  
967 to solve the trilemma of health, diet, and environment by expanding the perspective to  
968 the context called planetary health, where both human and ecological health must be  
969 considered.  
970

971 **2-4 Sensory Evaluation of Bancha (green course tea)**

972 **2-4-1 Materials and Methods**

973 Sensory evaluations were performed using Synecoculture and conventional Ban-  
974 cha, the same as those presented in Section 2-3-1, except for 2016 samples. Three grams  
975 of tea leaves were extracted in boiled water and served for sensory evaluation. The tea  
976 was extracted to the same concentration as Section 2-3, 3.0 g in 1 L, and 1 L of the tea  
977 was served to the panelists in equal amounts for each trial.

978 Teas were served to 5-9 panelists (not trained) on each test in all combinations (in  
979 total 16 pairs) by randomly selecting a pair of samples from Syneco and Conv teas  
980 (2015, 2017-2019). In total, 12 panelists (11 men and 1 woman with a mean age of  $37.33$   
981  $\pm 12.31$  years) participated in the trial if they were available in the trial day. There was  
982 no specific intention to select panelists, but rather the result of gathering a group of  
983 people who were available to participate. Trials were conducted between May 7 and  
984 November 12, 2021, once a day for a total of 16 trials.

985 The panelists, in a single-blind condition, rated which of the two teas was supe-  
986 rior in each taste item (Umami, Sweetness, Bitterness, Astringency, Fragrance, Com-  
987 plexity, Mild, Well-balanced, Going down the throat, Clearness of taste, depth of color,  
988 Prefence, and Overall). All panelists were native Japanese speakers, and the items de-  
989 scribed were: “旨味・甘味・苦味・渋味・香り・深み・まろやかさ・なじみ感・のどごし・クリアさ・  
990 色の濃さ・好み・総合評価” in Japanese, respectively. Items that could not be rated as su-  
991 perior or inferior were rated as the same. Then a majority vote was taken for all the  
992 panelists on each test.

Table 7: Results of sensory evaluation.

Each white row represents the results for each sensory evaluation. Panelists made single blind judgments on which tea was superior for each taste item, and the most frequent rating among the panelists was finally adopted as the result. "S" or "C" indicates the superiority of the Syneco or Conv samples to the other, and "-" represents the case of no difference, respectively. "Score" is the sum of the results of the 16 tests over 16 days where "S" is counted as 1, "C" is -1, and "-" is 0. A positive value (>0) indicates that the Syneco samples were superior to the Conv samples in total, and a negative value (<0) indicates that the Conv samples were superior to the Syneco samples in total. The bottom two blue rows show the probability that this result would occur if the "S", "C", and "-" ratings were assumed to be random (i.e. p-value of the null hypothesis): The top blue row shows the probability of being equal or lower than the evaluated score, and the bottom row shows the probability of being greater than the evaluated score.

	Sample		#Panels	Taste Items													Overall
	Syneco	Conv		Umami	Sweetness	Bitterness	Astringency	Fragrance	Complexity, Full-bodied	mild, mellow, well-rounded taste	well-balanced	going down the throat	clearness of taste	Depth of Color	Preference		
Day1	2018	2019	6	C	-	S	-	-	-	-	C	-	C	C	C	C	
Day2	2019	2018	6	-	-	S	-	S	C	S	S	-	S	S	C	C	
Day3	2017	2017	9	C	C	C	C	-	-	S	-	S	C	-	-	S	
Day4	2015	2015	7	S	S	-	C	-	S	S	S	S	-	S	S	S	
Day5	2015	2018	5	C	-	C	-	S	S	C	C	S	S	S	C	C	
Day6	2018	2018	7	-	-	C	C	C	S	S	S	S	C	C	S	S	
Day7	2019	2019	6	-	S	C	C	C	S	S	S	S	-	S	S	S	
Day8	2017	2018	7	C	C	S	C	C	C	C	C	-	S	C	C	C	
Day9	2017	2019	5	C	C	S	-	C	S	S	S	S	S	-	S	S	
Day10	2018	2017	5	C	C	S	S	C	C	S	S	S	C	S	S	S	
Day11	2015	2019	5	S	S	C	C	S	-	S	S	C	S	S	S	S	
Day12	2018	2015	6	-	C	S	C	-	-	S	S	S	S	S	S	S	
Day13	2019	2015	5	C	C	C	C	-	-	C	S	S	C	C	C	S	
Day14	2015	2017	5	S	S	C	S	S	-	-	-	C	S	S	S	C	
Day15	2017	2015	5	-	-	-	S	C	C	C	S	S	C	S	S	S	
Day16	2019	2017	5	C	C	S	-	S	C	S	S	S	-	S	S	S	
#S				3	4	7	3	5	5	10	11	11	6	9	11		
#C				8	7	7	8	6	5	4	3	2	7	5	5		
#-				5	5	2	5	5	6	2	2	3	3	2	0		
Which is larger			Conv	Conv	Syneco	Conv	Conv	Conv	Syneco	Syneco	-	Syneco	Conv	Conv	-		
Score			-5	-3	0	-5	-1	7	0	6	8	9	-1	4	6		
The probability that the score will be equal to or less than that value			0.0628932	0.1791632	0.5	0.0628932	0.3797314	0.5	0.9669037	0.9839556	0.9928471	0.9970715	0.3797314	0.8896643	0.9669037		
The probability that the score will be greater than that value			0.9371068	0.8208368	0.5	0.9371068	0.6202686	0.5	0.0330963	0.0160444	0.0071529	0.0029285	0.6202686	0.1103357	0.0330963		

994 **2-4-2 Results**

995 The results were shown in Table 7. The Conv samples tended to have greater  
996 evaluation than the Syneco samples in the items of Umami and Astringency.

997 The Syneco samples tended to have better evaluation than the Conv samples in  
998 the items of Mild/Mellow/Well-balanced, Going down the throat, Clearness of taste,  
999 and Overall. There was no significant difference between the Syneco and Conv sam-  
1000 ples in the items of Sweetness, Bitterness, Fragrance, Complexity/Full-bodied, Depth of  
1001 color, and Preference.

1002

1003 **2-4-3 Discussion**

1004 In sensory evaluation, the Conv samples were superior to the Syneco samples in  
1005 Umami, which was consistent with the previous metabolomic analysis (Section 2-3)  
1006 that amino acids known as Umami compounds were classified as Conv distinctive  
1007 compounds. The Syneco samples were superior to the Conv samples especially in  
1008 Clearness of taste. Clearness of taste can be considered as a comprehensive characteris-  
1009 tic beyond specific tastes such as umami, sweetness, and saltiness. This is because  
1010 umami, sweetness, and saltiness are classified as the five basic tastes to which specific  
1011 compounds correspond, while Clearness of taste is considered to be perceived for the  
1012 taste as a whole, not for a specific taste. This was consistent with the previous finding  
1013 that the *in natura* and *in cultura* culture conditions could only be separated by the over-  
1014 all expression pattern of multiple compounds in metabolome analysis beyond single  
1015 characteristic compound. In sensory evaluation, as well as in metabolomic analysis,  
1016 this means that the two culture conditions were more likely to be distinguished in com-  
1017 plex combinations of multiple components. These results suggest that well-designed  
1018 sensory evaluation can qualitatively distinguish the overall state of tea metabolome in  
1019 terms of *in natura* and *in cultura* culture conditions.

1020 For future application, by refining the sensory evaluation method and combining  
1021 with metabolomic data using methods such as PLS (partial least squares regression), it  
1022 may become possible to infer objective metabolites from subjective human evaluations  
1023 in a simplified manner.

1024

1025

1026 **2-5 Effect of Synecoculture products on human well-being**

1027 **2-5-1 Materials and Methods**

1028 From 17th January to 1st April, 2016, 33 Japanese adult female subjects were ran-  
1029 domly and equally divided into two groups (Conventional Intervention Group: CIG  
1030 and Synecoculture Intervention Group: SIG) and asked to spend 3 weeks in each tim-  
1031 ing as follows. In order to have them live as similar life patterns as possible in each  
1032 phase, housewives were prioritized as subjects. Only those who were fully informed  
1033 about the experiment and agreed to its purpose participated. Subjects could withdraw  
1034 from the experiment at any time.

1035

1036 1. Control phase (C1, C2)

1037 Subjects will not drink any type of Bancha tea.

1038 2. Intervention phase (IS)

1039 Subjects will drink 3.0 g of Syneco 2015 infused in 1 l of boiling water per day.

1040 3. Intervention Phase (IC)

1041 Subjects will drink 3.0 g of Conv 2015 infused in 1 l of boiling water per day.

1042

1043 The temporal order of the control and intervention periods was combined as fol-  
1044 lows:

1045 Conventional Intervention Group (CIG): 1-week control period C1, 1-week inter-  
1046 vention period IC, and 1-week control period C2

1047 Synecoculture Intervention Group (SIG): 1 week control period C1, 1 week inter-  
1048 vention period IS, and 1 week control period C2.

1049

1050 The handling of tea samples was double-blinded. These phases were conducted  
1051 based on the ordinary life pattern of the subjects, which was expected to be consist-  
1052 ently reproducible on a weekly scale. Unusual traveling and diet during the experi-  
1053 ment were avoided. Regular exercise activities were allowed and practiced in both  
1054 phases.

1055 The triaxial accelerometer (OMRON Active Style Pro HJA-350IT37) was used to  
1056 measure the subject's physical activity. It was fixed to the subject's waist, and cumula-  
1057 tive triaxial acceleration data were acquired at 1-minute intervals. To avoid subjective  
1058 bias, no information was shown on the display during the experiment.

1059 Household and locomotive activities were differentiated according to the gravity-  
1060 deflected physical activity classification algorithm [Oshima et al. 2010]. Household re-  
1061 fers to activities of daily living activities such as sitting, doing housework, eating, etc.,

1062 while locomotive activities refer to the state of walking. Physical activity intensity was  
1063 expressed in metabolic equivalents (MET) and validated with high accuracy for house-  
1064 hold and locomotive activities [Ohkawara et al. 2011].

1065 Data were extracted and analyzed with BI-LINK PROFESSIONAL EDITION  
1066 Ver.1.0 [OMRON 2008] using subjects' parameters such as age, body height and  
1067 weight, which derived estimation of calorie consumption with respect to individual  
1068 base metabolism [Ganpule et al. 2007]. Household and locomotive calories were distin-  
1069 guished, which together constituted total activity. In the case of METs less than one  
1070 during 1hr, these were corrected to the mean basal metabolic rate 0.9 MET. I consid-  
1071 ered the amount of activity to be 0.9 MET per hour when the device was worn and lit-  
1072 tle movement was measured (according to population analysis data, the value is be-  
1073 tween 0.8-1.3 MET).

1074 Only data from days with at least 600 minutes of wearing time were considered  
1075 valid measurements. A Box-Cox transformation was applied to the measured values  
1076 and two-sided paired t-tests were performed. I analyzed the difference between the in-  
1077 tervention and control phases with renormalized daily mean calorie consumption, with  
1078 respect to the mean values of the control C1 phase for each subject.

1079 I also investigated the amount of caffeine (C<sub>8</sub>H<sub>10</sub>N<sub>4</sub>O<sub>2</sub>) and total flavonoids,  
1080 which have been reported to affect a person's energy expenditure [Stohs and Badmaev  
1081 2016], using data obtained in Section 2-3 for Conv 2015 and Syneco 2015.

1082

### 1083 **2-5-2 Results**

1084 Valid data were obtained from 27 Japanese female adult subjects between 17th  
1085 January – 1st April 2016. The CIG and SIG comprise 13 and 14 subjects, respectively.  
1086 The subjects were distributed on age: 45.778±6.86, height: 157.91±3.92 cm, and weight:  
1087 51.159±6.82 kg. Estimated total energy expenditure did not differ significantly in both  
1088 CIG and SIG during C1 and C2(p=0.438 and 0.581, respectively, with two-sided paired  
1089 t-test on individual means).

1090 Table 8 shows the results of the estimated household, locomotive, and those total  
1091 energy expenditure of CIG and SIG. Significant increase (p<0.05) of locomotive activity  
1092 was observed for SIG (p=0.0125, one-sided paired t-test on individual means), while  
1093 that of CIG did not have a significant trend with p=0.1890. The amount of increase of  
1094 energy expenditure of locomotive activity in SIG was 14.5% when normalized by the  
1095 mean value of C1 per individual (see Appendix 21). In contrast, household energy ex-  
1096 penditure decreased in SIG (p=0.0464) and tended to increase in CIG (p=0.0718) com-  
1097 pared to the control phase, resulting in an insignificant change in total activities.

1098

1099

Table 8. Results of human physical activity measurement in response to green course tea (Bancha) intake.

1100

1101

Sample name and experiment group, subject number and p-value of one-sided paired t-test of locomotive, household, and total (household and locomotive) calorie consumption between intervention phase (I) and control phase (C) are listed. Positive and negative signs of p-values represent the relation of mean energy expenditure between experimental phases, I>C and I<C, respectively. Daily data are available in Appendix 21.

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Sample	Syneco2015	Conv2015
Group	SIG	CIG
#subjects	14	13
p-value(locomotive)	0.0125	-0.189
p-value(household)	-0.0464	0.0718
p-value(total)	-0.4609	0.1974

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Caffeine intensity was lower in Syneco 2015 (intensity = 1596131) than in Conv 2015 (intensity = 1683899) and total flavonoids intensity was higher in Syneco 2015 (intensity = 3056698) than in Conv 2015 (intensity = 3003226) (see Appendix 4 and 5).

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### 2-5-3 Discussion

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There was a significant increase in exercise energy expenditure in SIG compared to the control period, associated with a decrease in household activity. These changes can be summarized as a shift in the composition of activities from housework to locomotive activity or higher exercise such as brisk walking [Oshima et al. 2010]. This trend was reversed in the *in cultura* sample of Conv 2015, but not significantly.

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Although this study cannot verify any causal relationship between activity changes and certain components in the tea samples, following speculation of causality based on the previous studies and the data obtained from my results can be made.

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Caffeine has been widely studied as a compound that reproducibly affects human physical activity by increasing metabolic rate, energy expenditure, and heat production through sympathetic activation. Caffeine was rather more abundant in Conv 2015 than in Syneco 2015. This indicates that the increase in physical activity in Syneco 2015 cannot be explained solely by the amount of caffeine in the sample.

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1133 Flavonoids are known to promote energy metabolism and sports performance  
1134 [Stohs and Badmaev 2016][Huang et al. 2014], and the higher total flavonoid content in  
1135 Syneco 2015 is one possible explanation for the change in activity. However, it should  
1136 be noted that in Sections 2-3, total flavonoid content is not mentioned as a characteristic  
1137 character of the two culture conditions.

1138 A recent rehabilitation experiment [Funabashi 2022], which used a 2016 tea sam-  
1139 ples, reported that *in natura* coarse green tea significantly improved subjects' rehabilita-  
1140 tion performance under a double-blind experiment. This was the result of drinking  
1141 both teas in conjunction with rehabilitation over a period of four months, and in a com-  
1142 parison by a total population of more than 110 people. Only the group drinking the  
1143 Syneco 2016 sample improved a measure called FIM (functional independent measure)  
1144 and PGCMS (Philadelphia Geriatric Center morale scale) in rehabilitation. FIM is a  
1145 measure of daily activities such as dressing, toileting, and transferring, which a normal  
1146 person would normally achieve a perfect score on. PGCMS is a measure of human  
1147 well-being, which is measured by questionnaire. Tea may have various effects on hu-  
1148 man performance, not only on the amount of activity, which is the focus of this study.

1149 The effects of the *in natura* products on consumers may differ somewhat depend-  
1150 ing on the culture conditions, and the *in natura* products may be more effective as far as  
1151 the present results are concerned, but the mechanism of action is still unknown. Fur-  
1152 ther large-scale studies would be necessary.

1153

1154

## 1155 **2-6 Conclusion**

1156 In the analysis of fatty acid composition, n-6/n-3 ratio differed between *in natura*  
1157 and *in cultura* samples in arugula and Bancha. Metabolome analysis revealed character-  
1158 istics of both culture conditions in Bancha samples produced during 2014-2019.

1159 Although these findings cannot be treated as generalized phenomena due to the  
1160 limited number of samples and the region of origin, even when various errors are  
1161 taken into account, the same trend was observed when the samples were divided into  
1162 two groups based on the criterion of culture condition. From the results of the sensory  
1163 evaluation, it was inferred that there were consistent taste characteristics in each of the  
1164 two culture conditions, even though they were produced in different years.

1165 In addition, as far as a simple interpretation of the fatty acid and metabolome re-  
1166 sults is concerned, it can be inferred that *in natura* products have a better impact on hu-  
1167 man health in the samples of this study, as shown partly in the activity shift in this

1168 study. These results were in line with the other studies which also showed a positive  
1169 impact of *in natura* products on human health.

1170       These findings suggest that the metabolic state of the products differs depending  
1171 on the qualitatively different conditions, i.e., *in natura* and *in cultura*, and that the ef-  
1172 fects of the products on humans also differ. These results indicate that culture condi-  
1173 tions, an aspect of food production that links environmental impact and human health,  
1174 is a important area of research.

1175

### 1176 **3: The effectiveness of subjective evaluation by humans**

#### 1177 **3-1 Introduction**

1178 Synecoculture produces a high-density mixture of crops and useful plants to  
1179 achieve a state of augmented biodiversity and ecosystem function, i.e., an augmented  
1180 ecosystem. Although there have been successful examples in rural areas as described in  
1181 Chapter 1, there are few examples of such augmented ecosystems intentionally intro-  
1182 duced in urban areas, where urbanization is another major factor in global biodiversity  
1183 loss. It is also not yet clear how augmented ecosystems can contribute to ecosystem ser-  
1184 vices in cities that use relatively small and fragmented ground surfaces that are discon-  
1185 nected from groundwater, such as rooftop gardens and planters. Also, as previously  
1186 mentioned, there are numerous considerations for the practice of Synecoculture, and  
1187 the practitioner's ability to understand the ecosystem is also important.

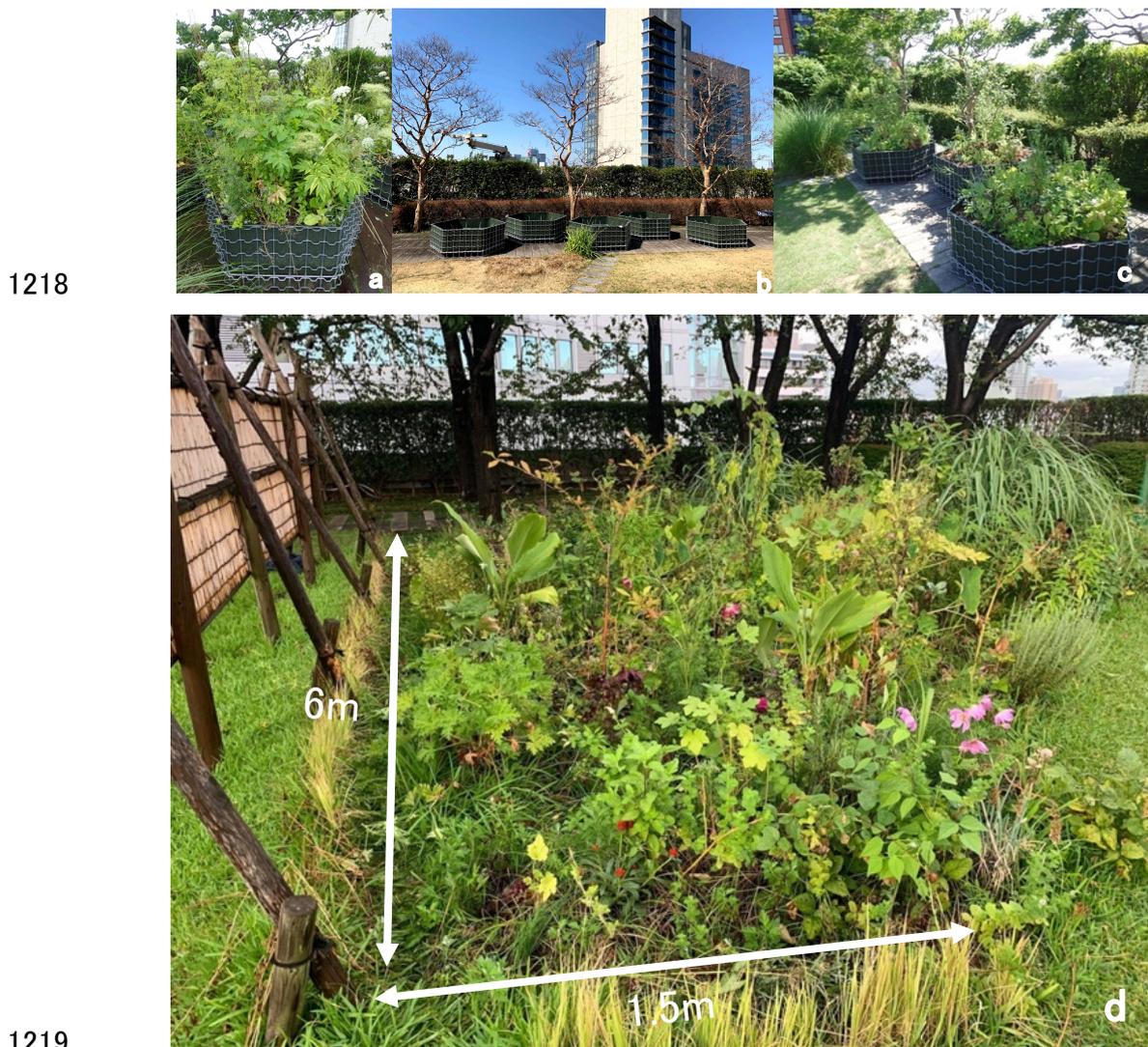
1188 Therefore, in this study, an augmented ecosystem was established in planters and  
1189 small ground areas on the rooftops of urban buildings, and soil microbiological and  
1190 chemical analyses, as well as subjective human assessment, were conducted. The main  
1191 objective is to obtain data on one aspect of soil change in augmented ecosystems and  
1192 its relationship to the associated human assessment to see if the practices contribute to  
1193 the acquisition of subjective competence to properly assess and manage augmented  
1194 ecosystems.

1195

#### 1196 **3-2 Materials and Methods**

1197 Starting from March 2019, I implemented an augmented ecosystem on the roof-  
1198 top of six-story building at Roppongi Hills in Tokyo, Japan, by introducing a large  
1199 number of edible plants into a soil plot (hereafter I call it "Field") of approximately 40  
1200 m<sup>2</sup>. Five regular hexagonal planters with a side length of about 70 cm were also placed  
1201 slightly apart on the same rooftop (Figure 5). In total, at least 110 species were intro-  
1202 duced at the time of initial installation, and the augmented state of ecosystem was  
1203 maintained by continuously introducing edible and useful plants based on the Syneco-  
1204 culture Manual [Funabashi 2016a]. Harvesting of edible plants and mowing of unnec-  
1205 essary biomass were also exercised aiming for positive disturbance on biodiversity.  
1206 The five planters were designated as A, B, C, D, and E, respectively, and different ini-  
1207 tial conditions were set as shown in Table 1, with the difference in soil type, tree spe-  
1208 cies, and the selection of other herbaceous species. Based on the manual, each person  
1209 (X, Y, Z) selected seeds and plants to introduce in each one's assigned planter, per-  
1210 formed the actual maintenance work, and harvested and managed the plants at their  
1211 discretion during the two-year experiment. There were no restrictions on the

1212 acquisition of relevant knowledge outside the practice, and on the actual work as long  
1213 as it fits the scope of the manual. There was no incentive to deliberately make good or  
1214 bad conditions for each planter, i.e., the basic strategy was to try always improving the  
1215 whole planter ecosystems. In addition to X, Y, and Z, various other people who had  
1216 learned Synecoculture methods assisted the management in the Field plots.  
1217



1219  
1220 Figure 5. Example of Synecoculture planter and Field site.  
1221 The photo was taken in July, 2020. (a) Planter C after the continuous management  
1222 of Synecoculture. (b) A view of the placement of the five planters before the in-  
1223 stallation of edible plants. (c) A view of the placement of the five planters after  
1224 the installation of edible plants in May 2020. (d) Field plot with edible plants  
1225 planted directly in the one-meter-deep soil on the roof. More than 20 species of  
1226 fruit trees were introduced into the Field, and they continued to be introduced

1227 according to the management protocol and availability at local gardening store,  
 1228 without intentional preference concerning the difference between vegetable,  
 1229 herb, and flower.

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 1231

Table 9. Experimental condition of five planters.

<i>Planter</i>	<i>Soil type</i>	<i>Center tree</i> <sup>*1</sup>	<i>Other plants</i> <sup>*2</sup>	<i>Manager</i> <sup>*3</sup>
A	Humus soil <sup>*4</sup>	Laurel	Mainly flowers	Person X
B	Perlite soil <sup>*5</sup>	Blueberry	Mainly vegetables	Person Y
C	Humus soil	Blueberry	Mainly vegetables	Person Y
D	Akadama <sup>*6</sup>	Blueberry	Mainly vegetables	Person Y
E	Humus soil	Mandarin orange	Mainly herbs	Person Z

1232 \*1 Center Tree: A tree planted in the center of a planter.  
 1233 \*2 Other Plants: Main introduction strategy of the groups of useful plants to be planted  
 1234 around the tree.  
 1235 \*3 Manager: The person who was in charge of the planter.  
 1236 \*4 Humus Soil: Black soil topped with humus of about 5 cm deep. No fertilizer was  
 1237 used.  
 1238 \*5 Perlite Soil: Light soil made of baked perlite, often used for rooftop gardening [Papa-  
 1239 dopoulos et al. 2008]. No fertilizer was used.  
 1240 \*6 Akadama: Red ball earth, clay-like soil. No fertilizer was used.

1241  
 1242 A total of 20 soil samples (three samples from each of the five planters and five  
 1243 samples from the field), were collected in January 2020 and February 2021, and ana-  
 1244 lyzed for soil microbial diversity and vitality value (hereafter SMDVV) [Sakuramoto et  
 1245 al. 2010] and chemical properties (electrical conductivity, pH, effective phosphoric acid,  
 1246 exchangeable lime, exchangeable magnesium, exchangeable potassium, humus, cation  
 1247 exchange capacity (CEC), lime saturation, magnesium saturation, potassium satura-  
 1248 tion, base saturation, lime magnesium ratio, and magnesium potassium ratio). The  
 1249 SMDVV is a numerical value calculated by comprehensively analyzing how much the  
 1250 96 predefined compounds can be decomposed by microorganisms in the soil. The 96  
 1251 compounds were prepared on a single plate, to which soil extracts were dropped, and  
 1252 the color change of the decomposed wells was analyzed using images to measure the  
 1253 number of compounds decomposed and the speed of decomposition. A high value  
 1254 provides relative measure that reflects the degree of microbial diversity and vitality  
 1255 combined [Sakuramoto et al. 2010]. Although a high value of SMDVV does not

1256 necessarily mean that the soil is in good condition, it can be understood that a high  
1257 value indicates a high degree of microbial diversity and activity, and positive effects  
1258 such as less incidence of soil diseases have been reported [Sakuramoto et al. 2010].  
1259 Based on the assumption that chemical properties, such as the concentration of a single  
1260 mineral component, are assumed to be difficult to change in a short period of time  
1261 without artificial fertilizer inputs, while biological properties are relatively easy to re-  
1262 flect the management of aboveground vegetation, I tentatively treated this indicator as  
1263 a representative indicator of changes in soil richness in this study.

1264 As a method of sampling three points from each planter, each person (X, Y, Z) se-  
1265 lected a sampling point where the soil condition seemed to be 1) good according to  
1266 their experience, or conversely, 2) a point where the soil condition seemed to be bad,  
1267 based on a comprehensive subjective assessment of plant diversity, growth and esti-  
1268 mated rooting rate (these are expressed as 1): +++ and 2): +, respectively, in Table 10). In  
1269 addition to those good and bad points, the soil was collected from at least three other  
1270 points randomly and mixed well, which was expressed as ++ in Table 10. Thus, the  
1271 sampling was done from each planter, based on the three relative levels (+, ++, +++)  
1272 based on the human subjective evaluation. From the Field, a total of five points were  
1273 sampled: three points from the center of the ridges (production area in the Field) cov-  
1274 ered with vegetation, one point from the pathway (non-production area in the Field)  
1275 with less vegetation and being trampled regularly, and one point from the edge of the  
1276 Field where no plants were growing. Thus, in the planter, the sampling points in 2020  
1277 and 2021 could be different, but in the Field, they were taken from the same location.

1278 I examined the qualitative agreement between this human assessment and  
1279 SMDVV, a one-dimensional measure of soil microbial diversity and activity. I also ana-  
1280 lyzed whether chemical properties of five planters qualitatively matched human sub-  
1281 jective evaluation on soil quality. For SMDVV, it seems more reasonable to assume that  
1282 the higher the value, the better the condition of the soil, but for the other chemical  
1283 properties, I also examined the reverse agreement to consider the possibility that the  
1284 lower the value, the better the soil. For the sake of clarity, SMDVV was used in form of  
1285 its deviation value, which means how well the SMDVV was positioned in the popula-  
1286 tion data from 7,000 sites throughout Japan sampled by DGC Technology Inc. [DGC].  
1287 A deviation value of 50 means that it is equal to the mean of the population.

1288 I also investigated whether the values of SMDVV correlated with those of other  
1289 chemical property indices. In addition, PCA was performed using the soil chemical  
1290 property to visualize the changes over a one-year period with Synecoculture practices.

1291

1292 **3-3 Results**

1293 All results are shown in Table 10 and Figure 6.

1294

1295 Table 10. Results of soil microbiological and chemical analyses and human sub-  
 1296 jective evaluation.

1297 The top is the result of the planters and the bottom is the result of the Field. The

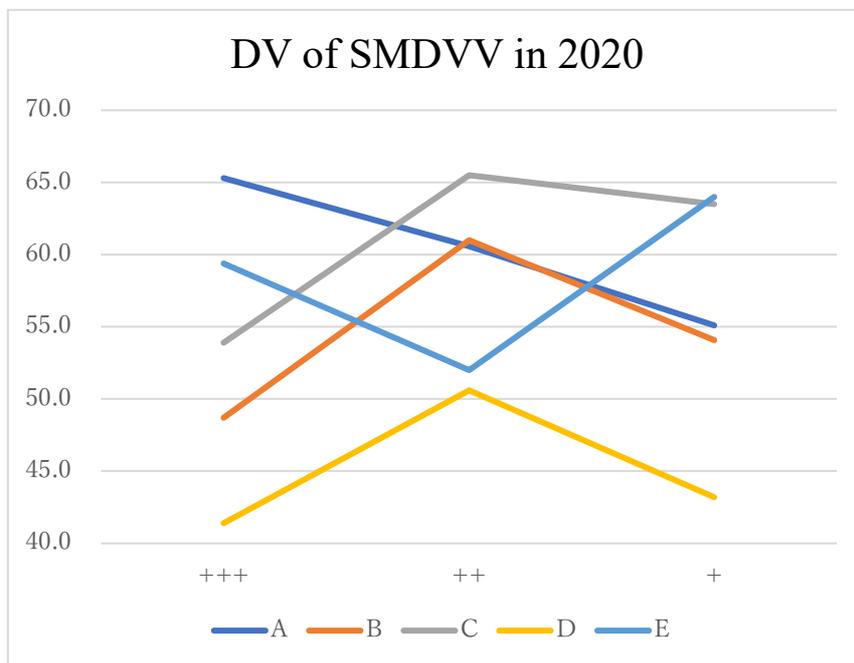
1298 results of all other chemical analyses are in Appendix 22.

Planter	A			B			C			D			E		
Sample ID	A-1	A-2	A-3	B-1	B-2	B-3	C-1	C-2	C-3	D-1	D-2	D-3	E-1	E-2	E-3
Soil evaluation by human observation	+++	+	++	+++	+	++	+++	+	++	+++	+	++	+++	+	++
2020 Deviation Value of SMDVV	65.3	55.1	60.6	48.7	54.1	61.0	53.9	63.5	65.5	41.4	43.2	50.6	59.4	64.0	52.0
2021 Deviation Value of SMDVV	66.3	57.2	60.4	61.7	59.9	67.4	62.9	61.4	61.1	55.1	47.9	51.5	70.2	59.0	65.2
2021 CEC (me/100g)	65	67	66	34	47	71	70	71	65	49	49	50	67	70	67
2021 Humus (%)	7.7	8.9	8.3	2.2	2.0	2.5	10	8.2	15	2.1	1.6	2.0	10	8.9	11

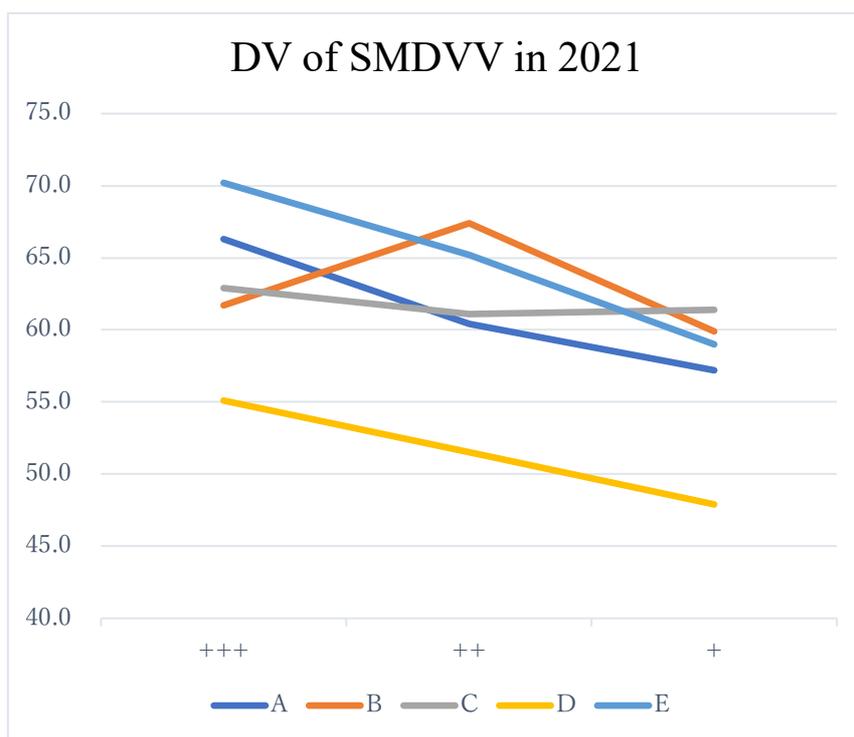
1299

Sample ID	Field				
	F-1	F-2	F-3	F-4	F-5
Ridge	Ridge 3	Ridge 5	Ridge 7	NA (Aisle)	NA (Non-vegetation)
2020 Deviation Value of SMDVV	57.3	63.5	50.9	52.0	56.0
2021 Deviation Value of SMDVV	68.4	58.2	69.2	57.0	55.0
CEC(me/100g)	60	59	61	54	47
Humus(%)	7.4	7.0	7.2	8.2	8.6

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Figure 6. Graphical representation of Table 10. Deviation Value of SMDVV (vertical axis) is plotted for the planters A-E with the three orders of subjective evaluation (+++, ++, + in horizontal axis). Top: Results of 2020. Bottom: Results of 2021.

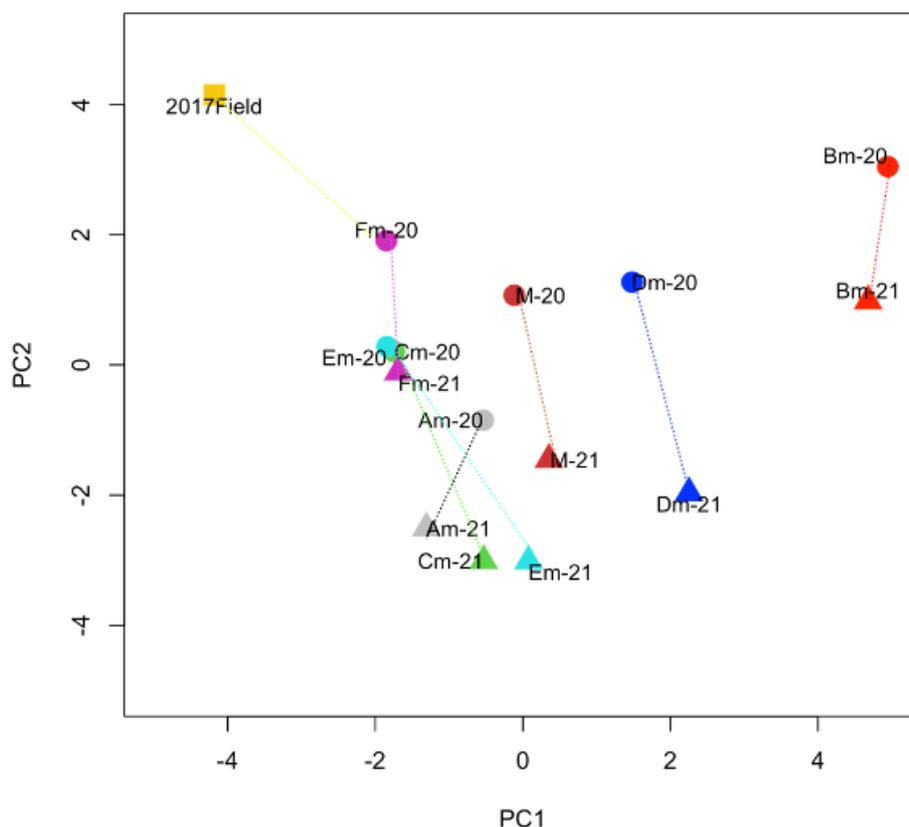
1308

1309           From 2020 to 2021, the mean value of the deviation value (DV) of SMDVV signifi-  
1310 cantly increased from 55.9 to 60.75 (p-value= 0.00572, two-sided paired t-test).

1311           The soil evaluation by human observation has improved over the course of 2-  
1312 year practices: In 2020, only Planter A had the subjective evaluation that qualitatively  
1313 matched the actual objective measurement; the probability of matching more than one  
1314 of the five planters was  $1-(5/6)^5=0.598$ , indicating that this could often happen even if  
1315 the evaluation was random. On the other hand, in 2021, subjective evaluation of A, D,  
1316 and E qualitatively matched the objective measurements; the probability of matching  
1317 three or more of the five based on the random hypothesis was 0.0355. This is a level  
1318 that can be regarded as a significant match if the statistical significance level is set at  
1319 5%.

1320           In addition to SMDVV, I examined the relationship between these data and hu-  
1321 man subjective ratings in 2021 and found that pH, exchangeable lime, lime saturation,  
1322 and base saturation were in similar or better agreement with SMDVV. These values  
1323 were higher the better the soil was rated by human subjective evaluation. In other  
1324 words, the soil that was rated worse with respect to pH was on the more acidic side.  
1325 Conversely, the reversed relationship was found only for humas, with the soil rated as  
1326 better by subjective human evaluation having lower values. All data were shown in  
1327 Appendix 22.

1328



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Figure 7. Results of principal component analysis.

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PC1-PC2 plane is shown. Am, Bm, Cm, Dm, Em, and Fm represent the average of the planters A-E and Field data, respectively. The numbers represent the year of data acquisition, and the circles correspond to the measurement in January 2020, and the triangles to that of February 2021. M represents the average value of the whole data in each year. 2017Field is the Field data taken in December 2017 before the start of the experiment in March 2019. Temporal change is shown as the dotted lines of the same color.

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Principal component analysis was performed with the soil chemical data (Figure 7). There exists global tendency that sample values move from the second to fourth quadrant on PC1-PC2 plane through yearly change, i.e., from negative to positive in PC1 and positive to negative in PC2.

1343

The top three contributions to PC1 were: exchangeable lime (eigenvector: -0.399); base saturation (-0.382); lime saturation (-0.381). The top three contributions to PC2

1344

1345 were: exchangeable potassium (eigenvector: -0.398); electrical conductivity (-0.392); pH  
1346 (0.383).

1347 In terms of the correlation with soil microbial diversity and activity, only humus  
1348 (PC1:-0.851, PC2: 0.0390) and CEC (PC1: -0.450, PC2: 0.496) data in 2021 were signifi-  
1349 cantly and positively correlated with SMDVV data in 2020 (Pearson's correlation coeffi-  
1350 cient  $r=0.644$ ,  $p=0.00220$  for humus, and  $r=0.534$ ,  $p=0.0153$  for CEC). The SMDVV in  
1351 2021 also showed a similar trend of correlation with humus and CEC but remained at  
1352 insignificant level.

1353

### 1354 **3-4 Discussion**

1355 The SMDVV analysis result suggests that maintaining the diversity of above-  
1356 ground vegetation based on the Synecoculture method in this experiment has contrib-  
1357 uted to a significant increase in the diversity and vitality of soil microorganisms from  
1358 2020 to 2021. However, this does not mean that only Synecoculture has such effects,  
1359 considering that monoculture can also improve SMDVV [DGC]. It should be noted that  
1360 the average SMDVV value in Synecoculture farm was previously reported to reach 72.4  
1361 in another open-field experiment in Tokyo [Funabashi 2017c], which is higher than my  
1362 result, suggesting that there is still a possibility for increasing this value through con-  
1363 tinued practice.

1364 The human assessment compared with the SMDVV and other chemical proper-  
1365 ties results suggest that the first-person experience of Synecoculture in an urban envi-  
1366 ronment has improved the accuracy of human evaluation on soil microbial diversity  
1367 and vitality. In addition to SMDVV, the agreement with indicators such as pH and ex-  
1368 changeable lime also implies a tendency for soils to be relatively more acidic when they  
1369 are judged to be poor soil, suggesting that changes in aboveground vegetation due to  
1370 acidification may be affecting human evaluation.

1371 The yearly changes shown in PCA plot (shift downward in PC2 plane) implies  
1372 that there exists a direction of change in soil chemical property associated with the de-  
1373 velopment of complex vegetation through the practice of Synecoculture without the  
1374 application of plowing and inputs such as fertilizer and agrochemicals. In this experi-  
1375 ment, there were four types of soil, three in the planter and one in the field, and this  
1376 change was observed in all types of soil; the results were consistent even if the practi-  
1377 tioner, soil, and introduced vegetation are different in this experimental environment.  
1378 Further large-scale studies are needed to determine the reasons for and implications of  
1379 this change.

1380 I also checked whether CEC and humus of five planters qualitatively matched  
1381 human subjective evaluation on soil quality, because higher CEC and humus are pre-  
1382 ferred soil properties for agriculture. Only one (planter C for CEC and D for humus)  
1383 out of the five planters matched correctly. Combined with the fact that human subjec-  
1384 tive evaluation matched well with the SMDVV values, the results imply that CEC and  
1385 humus are relatively more influenced by planter conditions such as soil type, and hu-  
1386 man observation was not able to accurately predict these type of soil chemical proper-  
1387 ties in contrast to the soil microbial diversity and activity.

1388 Overall, the constant augmentation of soil microbial diversity and activity was  
1389 observed even in a city environment where interaction with the surrounding ecosys-  
1390 tem is considered to be limited compared to natural environment. The human assess-  
1391 ment results suggest that it may be possible to intentionally train human managers in  
1392 their assessments of soil quality. For example, it may be possible to learn by obtaining  
1393 more data like photographic records and soil samples, and then ranking them more  
1394 closely and comparing them to the results (objective soil data). This opens the possibil-  
1395 ity to count on the development of human ecological literacy through the augmenta-  
1396 tion of ecosystems to complement the environmental assessment in a pragmatic way.

1397 This experiment had the limitation of having only three practitioners, which was  
1398 treated as a case study, but the obtained results support the rational of Synecoculture  
1399 that goes in line with the self-organizing process of ecosystem towards ecological opti-  
1400 mum [Funabashi 2016b]. Also the results further clarified that the essential complexity  
1401 underlying the development of both aboveground vegetation and soil microbial com-  
1402 munities cannot be simply reduced to a single chemical property. On the other hand,  
1403 comprehensive human experience may contribute to establish a capacity to qualita-  
1404 tively judge the desirable direction of ecological augmentation processes. The inte-  
1405 grated assessment incorporating both precision measurements of various environmen-  
1406 tal parameters and empirical human competence in wholistic evaluation could be ex-  
1407 pected to realize more cost-effective, interactive and flexible ways for ecosystem man-  
1408 agement. Since this experiment is more of a case study, but it is hoped that this will be  
1409 an opportunity to explore ways to efficiently evaluate ecosystems by combining hu-  
1410 man evaluation and objective indicators of soil.

1411  
1412

## 1413 4: Ecosystem Navigation

### 1414 4-1 Introduction

1415 Measurement in scientific research generally has two essential aspects: 1) the  
1416 measurement of the part, which assesses the accuracy of the elementary pieces that  
1417 make up a model, and 2) the measurement of the whole, which assesses the validity of  
1418 the results of a model applied to explain an observed phenomenon.

1419 When addressing the management of "open complex systems" underlying global  
1420 sustainability challenges such as climate change, biodiversity loss, and human well-be-  
1421 ing, feedback between the two different scales of the target system, the part and the  
1422 whole, becomes particularly important [Tokoro 2017]. Especially in the area of food  
1423 production, where environmental impacts and their recovery are of concern, the multi-  
1424 ple tradeoffs between health, diet, and environmental quality are inherently inter-  
1425 twined, making it difficult to establish a single objective scale for assessing and steering  
1426 the diverse aspects embodied in dynamic operational processes [Funabashi et al. 2017].  
1427 To adequately address these issues, multiple comparative measures need to be estab-  
1428 lished, ranging from one-way causal relationships between single elements to system-  
1429 level interactions, based primarily on the temporal reconstruction of system bounda-  
1430 ries and structures in an open environment [Funabashi 2018]. These are characterized  
1431 by the fact that the target domain is open, the boundaries of the system cannot be com-  
1432 pletely defined, its function and structure change from equilibrium over time, its dy-  
1433 namics are not reversible and historically reproducible, it is not completely partitioned  
1434 into independent subsystems, and it is limited to observations from within the system  
1435 [Funabashi 2018]. More broadly, it emphasizes the characteristics of being a complex  
1436 system [Tokoro 2017][Funabashi 2018].

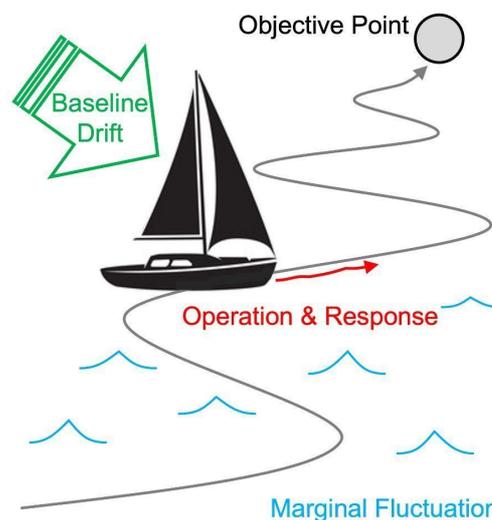
1437 Compared to conventional agriculture, which is an open system but requires  
1438 minimal interaction with other species through the use of tillage and agrochemicals to  
1439 fertilize a single crop, Synecoculture is a more complex system because of the relation-  
1440 ships between numerous plant species and the other species that interact with them  
1441 (animals, fungi, etc.), the environment created by the coexistence of various plant spe-  
1442 cies (sunlight, water content), the diversity of human interventions (weed management  
1443 methods, spatial and temporal arrangement of species to be introduced, etc.). For such  
1444 methods to be successful, it is important to constantly update appropriate evaluation  
1445 methods by feeding back the results of partial and total measurements to each other,  
1446 leading to more efficient management.

1447 The Figure 8 schematically represents sailboat-type navigation system that drifts  
1448 to its destination under the influence of the outside world, based on a comprehensive

1449 understanding of the ever-changing wind and wave movements over time. Synecocul-  
1450 ture does not artificially intervene strongly in environmental conditions, as is the case  
1451 with tillage, fertilizers, and pesticides in conventional farming methods, but develops  
1452 the ecosystem through the input of plant resources. This can be represented by this  
1453 sailboat-type navigation system. Synecoculture does not artificially improve the soil,  
1454 but requires the appropriate introduction of seeds and seedlings to achieve ecosystem  
1455 development, including soil, while reading the existing vegetation and environmental  
1456 conditions at the site.

1457 In this chapter, I examine the feasibility of ecosystem navigation by defining  
1458 three major ways of operating ecosystems to put this navigation concept into practice  
1459 and categorizing the accompanying ecosystem responses in order to address Syneco-  
1460 culture as an open complex system management. I also examined the possibility of re-  
1461 placing invasive measurements with non-invasive, low-cost measurements for this  
1462 purpose.

1463 This corresponds to sensing and managing complex ecosystems with simpler  
1464 methods, in contrast to what is known as precision agriculture, where state-of-the-art  
1465 sensing systems are developed to make conventional farming methods more efficient.  
1466 In order to realize sustainable agriculture, it is necessary to understand and properly  
1467 manage ecosystems in most fields using methods that do not burden the environment,  
1468 while using the power of big data and ICT.



1469  
1470 Figure 8. Typology of navigation in open complex systems.  
1471 Sailboat-type navigation that cannot avoid large environmental influences but is  
1472 possible to navigate with fewer resources based on the smart sensing and har-  
1473 nessing of diverse situations in open complex systems.

1474

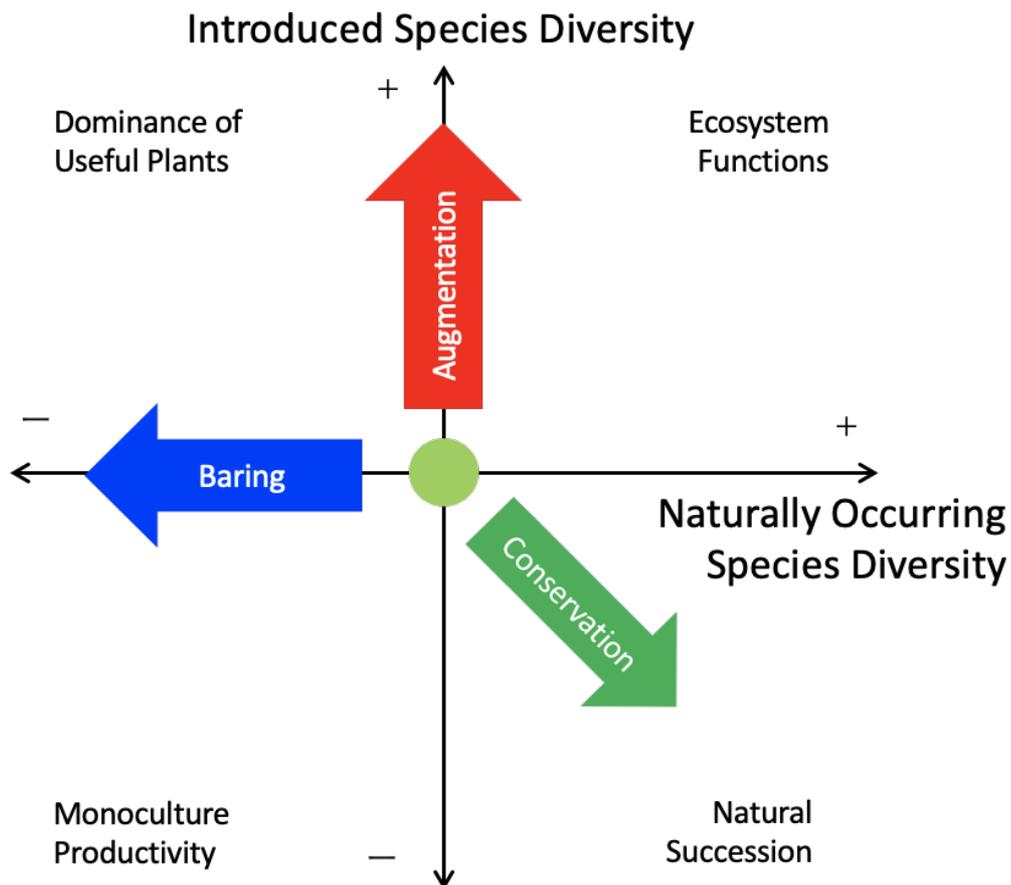
## 1475 **4-2 Materials and Methods**

### 1476 **4-2-1 ABC navigation model**

1477 I extract three typical biodiversity operations in the Synecoculture method as the  
1478 steering wheel of sailboat-type navigation, namely the ABC navigation model (Figure  
1479 9): Starting from a given stage of the land ecosystem, the operation A for augmentation  
1480 represents the introduction of useful plant species to enhance their productivity with  
1481 various associated ecosystem services; the operation B for barring of the land is to phys-  
1482 ically remove all aboveground biomass of naturally occurring species, typically known  
1483 as weeding; and the operation C for conservation leaves the field intact from any hu-  
1484 man intervention and let the ecological succession proceed as a matter of self-organiz-  
1485 ing process. The combination of the operations A, B, C has the capacity to navigate the  
1486 aboveground ecosystem in different directions, which could 1) augment various eco-  
1487 system functions (A and C); 2) increase the dominance of useful plant species com-  
1488 pared to naturally occurring ones (A and B); 3) create monoculture situation (continu-  
1489 ous application of B and limiting A to a single crop); and 4) let the natural succession  
1490 happens that leads to the climax phase in the long run (C with possibilities of adding  
1491 partial disturbance by A and B that may accelerate the process).

1492 These ecological dynamics would successfully happen if the A/B/C operations  
1493 trigger the functional changes in soil ecosystems that comprise interactions between  
1494 physical, chemical, and microbiological components [Funabashi 2017c][Funabashi and  
1495 Minami 2021]. In the analogy to sailboat type navigation, the A/B/C operations are the  
1496 steering wheel, and the responses of subjectively measured plant diversity measures  
1497 correspond to the actual movement of the rudder, and the effective changes in objec-  
1498 tive soil measures are the actual movement of the sailboat in response to the steering.  
1499 In order to assess the effectiveness of the ABC navigation model, I investigated the ac-  
1500 tual changes in aboveground species composition and associated soil variables through  
1501 the field experiments.

1502



1503

1504 Figure 9. Schematic representation of the three operations A/B/C on plant species  
 1505 diversity.

1506 X-axis stands for the relative increase (+) and decrease (-) of naturally occurring  
 1507 plant species, and Y-axis for the introduction (+) and disappearance (-) of useful  
 1508 plant species, starting from the current status of biodiversity in an ecosystem  
 1509 (light green circle).

1510 A (red arrow): Augmentation of the ecosystem through the introduction of useful  
 1511 plant species.

1512 B (blue arrow): Baring of land by intentional removal of naturally occurring spe-  
 1513 cies to protect concurrent introduced species.

1514 C (green arrow): Conservation that refrains the field from any biodiversity opera-  
 1515 tion and leaves it to natural succession. It also corresponds to the control of ex-  
 1516 periment in contrast to the operations A and B.

1517 The combinations of A/B/C have the potential to navigate the system state to at-  
 1518 tain the different goal states in the long term, such as the enhanced level of multi-  
 1519 ple ecosystem functions (top right); increased dominance of useful plants (top

1520 left); maximization of monoculture productivity (bottom left); and the climax  
1521 stage of natural succession (bottom right).

1522

#### 1523 **4-2-2 Field experiments**

1524 Synecoculture experiment at Todoroki farm, Tokyo (experiment T)

1525 I established a Synecoculture farming ecosystem in an urban ground at Todoroki,  
1526 Setagaya-ku, Tokyo on 250 m<sup>2</sup> surfaces through the practice of four years from April  
1527 2011 to March 2015, following the Synecoculture manual [Funabashi 2016a] (Figure 10  
1528 left). Agriculture had been practiced prior to this experiment, so it was not in a state of  
1529 natural neglect. In total, more than 35 species of fruit trees were strategically intro-  
1530 duced according to the local condition of sunlight and soil moisture, and more than 150  
1531 species of vegetables and edible herbs were introduced with seeds and seedlings, with-  
1532 out the application of tillage, fertilizer, and agrochemical. I practiced occasional har-  
1533 vesting of vegetables, herbs and fruits as well as weed control by partial mowing. The  
1534 definition of weed is basically a non-edible species, but sometimes edible species were  
1535 also mowed depending on the state of the ecosystem.

1536 During the experimental period from March 28th to June 27th, 2015, I selected 36  
1537 circular spots of 0.5m diameter in the experimental field and divided them into three  
1538 groups on the first day (12 spots for each of A/B/C operations), and performed the as-  
1539 signed operation to each spot at monthly intervals (on March 28th, April 26th, May  
1540 30th, 2015). Those spatial configurations were planned to maximize the effects of oper-  
1541 ation based on the farming experience [Funabashi 2017c], that is, the practitioner se-  
1542 lected operation A, B, or C for each spot, the operation that best matched the develop-  
1543 ment of that local ecosystem. To the 12 spots chosen for the Augmentation, the seeds of  
1544 50 vegetables and herbs that were commercially available were introduced to maxim-  
1545 ize the coexistence of introduced plants. In the 12 Baring spots, aboveground parts of  
1546 naturally occurring plants other than introduced ones were repeatedly removed. The  
1547 12 Conservation spots have remained untouched since the beginning of experiment ,  
1548 with no intervention. During the experiment, I measured 108 Variables including the  
1549 Variants (26 variables in Table 11 without the Variants). Variables refers to the main in-  
1550 dicators measured, and variants refers to derived indicators based on variables, such as  
1551 differences in the dates of variables or new indicators created by combining the numer-  
1552 ical values of variables.

1553

1554 Synecoculture experiment at Roppongi rooftop garden, Tokyo (experiment R)

1555           Following the same method as the experiment T, I established a Synecoculture  
1556 farming ecosystem on the rooftop of a six-story building at Roppongi, Minato-ku, To-  
1557 kyo on a 40 m<sup>2</sup> surface that contains 1m-deep soil (Figure 10 right). Some crops had  
1558 been produced on the 40 m<sup>2</sup> surface prior to the start of this experiment, but when I  
1559 started the intervention, there was no vegetation, and the soil was bare. Through 2-year  
1560 practice from March 2019 to October 2021, more than 187 species of vegetables and  
1561 herbs were introduced with seeds and seedlings, as well as 24 fruit tree species and  
1562 were maintained under occasional harvesting and partial mowing.

1563           During the experimental period from October 8th, 2021 to January 7th, 2022, I  
1564 regularly selected 33 circular spots of 0.5m diameter and randomly applied the opera-  
1565 tions A/B/C to 11 spots each (on October 8th and 21st, November 4th and 18th, and De-  
1566 cember 3rd and 15th in 2021). Those special configuration was planned with the Latin  
1567 square design to randomize the effects of operation and location, such as edge effects  
1568 of the ecosystem with respect to the variability of spot condition. To the 11 Augmenta-  
1569 tion spots, the seeds of 35 vegetable and herb species that were commercially available  
1570 were introduced. In the 11 Baring spots, the aboveground parts of naturally occurring  
1571 plants were repeatedly removed. The 11 Conservation spots were kept intact from any  
1572 intervention except automatic watering applied to all 33 spots. During the experiment,  
1573 I measured 328 variables including the variants (42 variables in Table 11 without the  
1574 variants), with a partial overlap of 86 variables with T. The variants of the variables  
1575 were obtained from T and R measurements by calculating the proportions and differ-  
1576 ences between comparable variables. The details of the measurement methods are ex-  
1577 plained in Appendix 23.

1578



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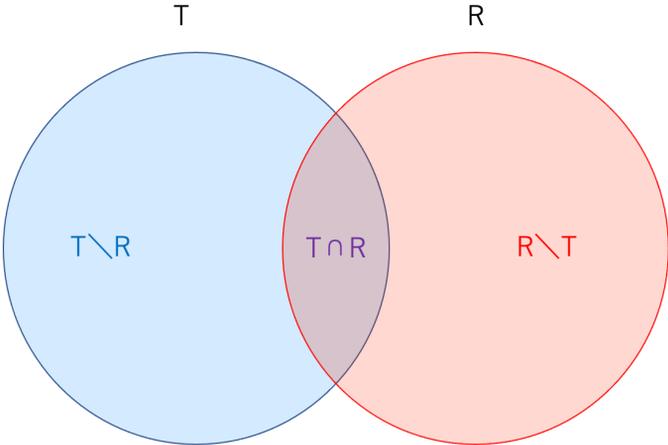
1580 Figure 10. View of Synecoculture farming ecosystems and examples of the A/B/C  
1581 operation spots in the experiment at Todoroki in spring-summer (left) and Rop-  
1582 pongi in fall-winter (right).  
1583 Pictures were taken during the experimental period (late April 2015 for Todoroki  
1584 and mid-November 2021 for Roppongi).  
1585

Table 11. List of the variables measured in the experiments T and R.  
(Table legend is available in next page)

Variable	Attribution	Invasiveness	Objective/Subjective
Total Carbon	T\R	Invasive	Objective
Total Nitrogen	T\R	Invasive	Objective
Decomposition Rate (k)	T∩R	Invasive	Objective
Stabilization Factor (S)	T∩R	Invasive	Objective
Permeability(Saturated Hydraulic Conductivity)	T∩R	Invasive	Objective
Soil Microbial Diversity and Vitality Value(SMDVV)	T∩R	Invasive	Objective
Deviation Value of SMDVV	T∩R	Invasive	Objective
Soil Weight just after Sampling	T∩R	Invasive	Objective
Solid Phase Ratio	T∩R	Invasive	Objective
Gas Phase Ratio	T∩R	Invasive	Objective
Liquid Phase Ratio	T∩R	Invasive	Objective
Water Retention Time Scale	T∩R	Invasive	Objective
Humus	T∩R	Invasive	Objective
Soil Weight after Drying	T∩R	Invasive	Objective
Nitric Acid	T∩R	Noninvasive	Objective
Soil Hardness	T∩R	Noninvasive	Objective
Electrical Conductivity (EC)	R\T	Invasive	Objective
Ammoniacal Nitrogen	R\T	Invasive	Objective
Nitrate Nitrogen	R\T	Invasive	Objective
Effective Phosphoric Acid	R\T	Invasive	Objective
Exchangeable Lime	R\T	Invasive	Objective
Exchangeable Magnesium	R\T	Invasive	Objective
Exchangeable Potassium	R\T	Invasive	Objective
Cation Exchange Capacity	R\T	Invasive	Objective
Base Saturation	R\T	Invasive	Objective
pH (H <sub>2</sub> O)	R\T	Invasive	Objective
pH (KCl)	R\T	Invasive	Objective
pH	R\T	Noninvasive	Objective
K	R\T	Noninvasive	Objective
Salt	R\T	Noninvasive	Objective
Ca	R\T	Noninvasive	Objective
Na	R\T	Noninvasive	Objective
Conductivity	R\T	Noninvasive	Objective
#Plant Species	T∩R	Noninvasive	Subjective
#Herbaceous Species	T∩R	Noninvasive	Subjective
#Woody Species	T∩R	Noninvasive	Subjective
#Introduced Species	T∩R	Noninvasive	Subjective
#Spontaneous Species	T∩R	Noninvasive	Subjective
#Edible Species	T∩R	Noninvasive	Subjective
#Nonedible Species	T∩R	Noninvasive	Subjective
Lambda Plus (index of variation in taxonomic distinctness)	T∩R	Noninvasive	Subjective
Delta Plus (average taxonomic distinctness for presence / absence data)	T∩R	Noninvasive	Subjective
Shannon Entropy (represents diversity of succession stages)	T∩R	Noninvasive	Subjective
Grading of Ecosystem	R\T	Noninvasive	Subjective

Table 11. List of the variables measured in the experiments T and R.

Invasiveness and objective/subjective parameters define the property of measurement methods. Attribution of the variables refers to the set of measured experiments:  $T \cap R$  means that the variable was commonly measured in both of the experiments T and R, while  $T \setminus R$  and  $R \setminus T$  signify that the variable was measured exclusively in either of the experiments T or R, respectively. Complete information with references to the variants and measurement methods excluded in this table is shown in Appendix 23.



$T \cup R$  represents all variables of T and R,  $T \cap R$  the common variables in T and R, and  $T \setminus R$  and  $R \setminus T$  are the ones exclusive to T and R, respectively.

1586 **4-2-3 Dynamical Assessment and Multivariate Complementary Analysis (DA-**  
1587 **MCA)**

1588 I applied dynamical assessment (DA) [Funabashi 2017a][Funabashi and Minami  
1589 2021] and multivariate complementary analysis (MCA) [Funabashi 2017b] to the field  
1590 experiment data in order to detect the system-level differences triggered by the A/B/C  
1591 operations. The analysis took the following steps, in which numerical calculation was  
1592 performed using the programming language R version 4.1.0 (released 2021-05-  
1593 18)[CRAN 2022] and the “stats” package version 4.1.0:

1594

1595 Step1. Variable measurements: Perform experiments T and R and obtain datasets  
1596 using the measurement methods defined in Appendix 23.

1597 The aboveground plant diversity variables are subjective measures observed on-  
1598 site by humans, and underground soil variables are objective measures obtained  
1599 through the laboratory analysis of the soil and liquid samples, which follows the defi-  
1600 nition of subjective and objective measurement in [Funabashi 2017b]. All variables  
1601 were treated with the Box-Cox transformation to maximize the normality of the distri-  
1602 bution before the analysis, i.e.,  $B(x) := (x^\lambda - 1)/\lambda$  for the variable  $x$ , which was calcu-  
1603 lated with the bcPower() function and the optimization of the  $\lambda > 0$  parameter using the  
1604 powerTransform() function in the “car” package version 3.0-12 [Box et al. 1964]. I line-  
1605 arly shifted the raw data to take positive values by adding the minimum value plus 1  
1606 before calculating the Box-Cox transformation. I also examined the correlation between  
1607 variable "Grading of Ecosystem" and other soil indicators at the beginning of Experi-  
1608 ment R (row 45 of Appendix 23).

1609

1610 Step 2-1. PCA: To extract generative indices of DA [Funabashi 2017a], analyze the  
1611 data of T and R using principal component analysis (PCA) with the function  
1612 prcomp(scale=TRUE) (Figure 12 and Figure 13). Generative indices are those that  
1613 emerge as effective indicators for ecosystem assessment in the course of acquiring mul-  
1614 tiple data on ever-changing ecosystems and evaluating the analytical models them-  
1615 selves.

1616 I extracted the two-dimensional PC plane that maximally differentiated the oper-  
1617 ations A/B/C by choosing a pair of PC<sub>i</sub> and PC<sub>j</sub> ( $i < j$ ) that gave the maximum value of S  
1618 defined as follows:

$$S := \sqrt{(meanA_i - meanB_i)^2 + (meanA_j - meanB_j)^2} \times \sqrt{(meanB_i - meanC_i)^2 + (meanB_j - meanC_j)^2} \times \sqrt{(meanC_i - meanA_i)^2 + (meanC_j - meanA_j)^2},$$

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where  $i$  and  $j$  are the order of PC, and  $meanA_i$ ,  $meanA_j$ ,  $meanB_i$ ,  $meanB_j$ ,  $meanC_i$ ,  $meanC_j$  are the mean PC $_i$  or PC $_j$  scores of the sample groups with the A/B/C operations.

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The principal components (PC) are linear combinations of the variables and these eigen vectors, on which factor loadings are calculated. The factor loadings correspond to inter-subjective objective measures in MCA [Funabashi 2017b], which contain useful information to characterize the response to the A/B/C operations. I separately performed PCA to the subsets of variables that are common in T and R, as well as those specific to each experiment. I also separated subjective and objective variables and analyzed independently. The variables correspondence between T and R and the subjective/objective property are classified in Table 11. The results are shown in Figure 12 and Figure 13.

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The factor loading of a variable to a PC represents the Pearson's product moment correlation coefficient between the PC and the variable, therefore its statistical significance was tested using the test of no correlation. The test was performed using the function `cor.test(method="pearson", alternative="two-sided")`, and p-values were listed in Appendix 24.

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Step 2-2. Ontology analysis of PCA: Classify the variables with significant factor loadings of all PCs according to the ontology of sailboat-type navigation: Variables with significant factor loadings of two PCs selected in Step 2-1 correspond to the effective response to the operations A/B/C; the ones with significant factor loadings of the lower-order PCs with a higher proportion of variance than the operation response represent the baseline drift of the experiment ecosystem and environment; and the ones with significant factor loadings of the higher-order PCs with a lower proportion of variance, after excluding the partial overlap with the baseline drift, signify the marginal fluctuation that does not substantially affect the operation response, in correspondence with Figure 8. Based on the p-values of factor loadings and the order of PC, I classified each variable into three categories: 1) Effective responses to the A/B/C operations; 2) drifts of the baseline environment that appear in lower-order PCs but do not contribute

1650 to the distinction between the operations; and 3) marginal fluctuation in higher-order  
1651 PCs that do not contain significant information on the operations. Variables with a p-  
1652 value of 0.05 or less for either of the PCs effective for separating A/B/C operations were  
1653 classified as 1), smaller PCs with a p-value of 0.05 or less were classified as 2), and none  
1654 of the above were classified as 3). Thus, 1) corresponds to the motion of the sailboat in  
1655 Figure 8, 2) to the waves and wind that directly affect the sailboat and move it, and 3)  
1656 to the fluctuations of the waves and wind in the environment that do not affect the sail-  
1657 boat so much. I used the p-values as a criterion of the selection of generative indices: I  
1658 considered that the effectiveness of generative indices, which would be continuously  
1659 renewed through time, does not necessarily depend on the absolute value of statistical  
1660 significance but relative ranking between them.

1661 For the common variables between T and R, I evaluated this consistency as gener-  
1662 ative indices [Funabashi and Minami 2021] on the PC plane in Step 2-1, with respect to  
1663 the statistical significance of the factor loadings: I calculated the maximum information  
1664 of a variable as  $-\log(\min P)$ , where  $\min P$  represents the minimum p-value of the two  
1665 factor loadings on the A/B/C-distinctive PC plane. I then calculated the geometric mean  
1666 of the maximum information and evaluated its significance with respect to the corre-  
1667 sponding level of the p-value, as listed in Appendix 26. The results are shown in Ap-  
1668 pendix 25.

1669 Also, classify the significant factor loadings obtained on the PC planes of Steps 2-  
1670 1 into the two categories of the consistent and past/novel indices (if generative indices  
1671 are no longer valid as time progresses, they are considered past indices, and newly val-  
1672 idated indices are considered novel indices [Funabashi 2017a][Funabashi and Minami  
1673 2021]) according to the commonality and significance of variables in T and R: Common  
1674 variables with repeatedly significant factor loadings in T and R are judged as consistent  
1675 indices, while exclusive occurrence of variable with significant factor loading in either  
1676 of T or R is put as candidates of past or novel indices. Since this study is based on a sin-  
1677 gle season experiment, I cannot yet distinguish between the past and novel indices. The  
1678 evaluation criteria of the consistent and past/novel indices in terms of statistical signifi-  
1679 cance threshold are explained in Figure 14 and Appendix 26.

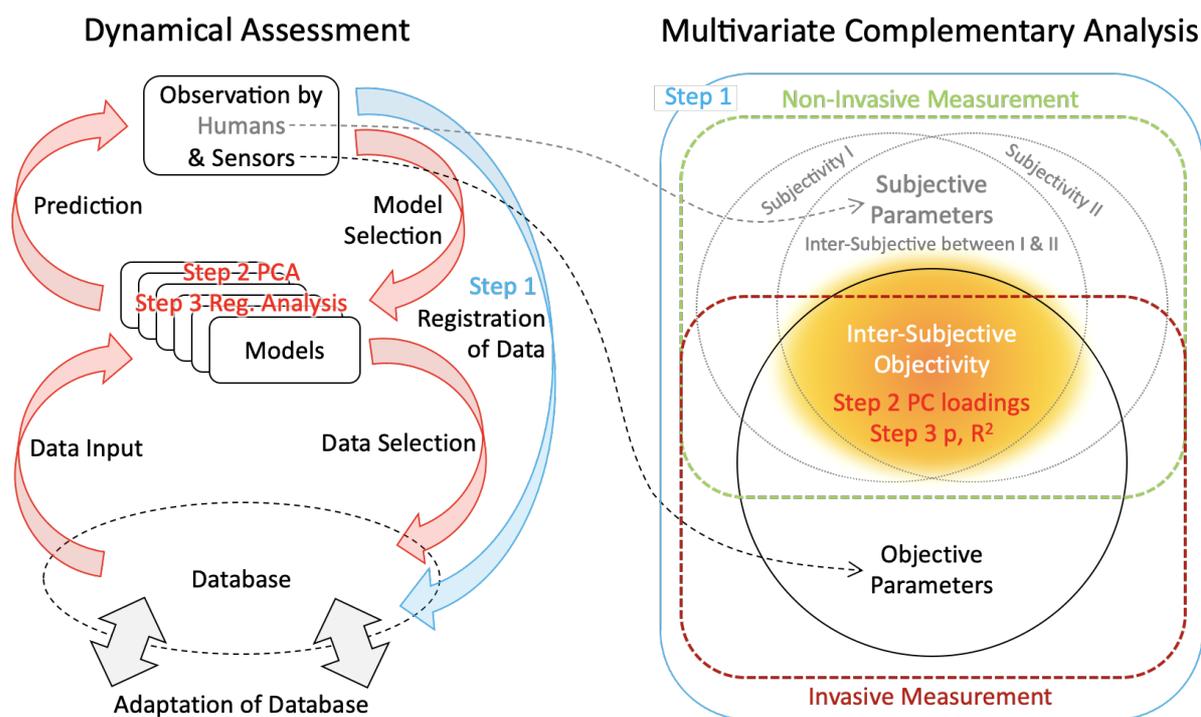
1680  
1681 Step 3. Regression analysis for the extraction of non-invasive proxies: Analyze  
1682 statistical dependence between multiple variables as a form of MCA to extract the com-  
1683 binations of non-invasive measures as proxies of invasive measurements. These prox-  
1684 ies also represent another type of generative indices in the context of DA, namely the  
1685 generative proxy. Non-invasive variables are subjective plant diversity measures and a

1686 part of objective measures from liquid samples of soil solution that do not totally de-  
1687 struct soil structure for the measurement. Although I intervened in the soil to sample  
1688 water using negative pressure, I did not directly disrupt the soil and sampling the soil  
1689 itself, so I classified it as relatively non-invasive in this case. Invasive variables are  
1690 those that require direct sampling of soil mass and are disruptive to the ecosystem. The  
1691 invasiveness on soil for each variable measurement is specified in Table 11. A specific  
1692 focus of the prediction was set to the invasive objective variables that significantly con-  
1693 tributed to the separation of the A/B/C operations in Step 2, i.e. that belong to candi-  
1694 dates of past/novel indices and consistent indices, other than the background fluctua-  
1695 tion represented as non-significant variables.

1696 The MCA in this step takes the form of regression analysis. I consider the regres-  
1697 sion model of  $z$  on  $x$  and  $y$  with second-order polynomials, such as  $z =$   
1698  $a+bx+cy+dxy+ex^2+fy^2$ . Here, the addition of the terms  $x$ ,  $y$ ,  $x^2$ , and  $y^2$  are sensitive to the  
1699 discordance of the units of measurement between  $x$  and  $y$ , therefore should be avoided  
1700 from the model. As a result, essential regression analysis could be simplified to the  
1701 term with the product  $xy$ , such as  $B(Z) = a + bB(X)B(Y)$ , where  $X$  is  $x$  or  $1/x$ ,  $Y$  is  $y$  or  
1702  $1/y$ , and  $Z$  is  $z$  or  $1/z$ , in which  $x$  and  $y$  are non-invasive variables that are subjective or  
1703 objective, and  $z$  is taken from invasive objective variables that are consistent or  
1704 past/novel indices, as classified in the Appendix 23 ("Variables" sheet) and 24 ("Classi-  
1705 fication of generative index" sheet).  $B(X)$  is the Box-Cox transformation of  $X$ . I used the  
1706  $\text{lm}()$  function for the regression analysis. I also took  $y=1$  to represent the single-variable  
1707 regression model  $B(Z) = a + bB(X')$ , where  $X'$  ( $= x'$  or  $1/x'$ ) is another variable of  $X$ , as a  
1708 single proxy to compare the performance of  $B(Z) = a + bB(X)B(Y)$  with respect to the  $p$ -  
1709 value of  $b$  and  $R$ -squared of the linear regression. Among the 80 variables of the con-  
1710 sistent indices (69 subjective and 11 objective) and 93 candidates for the past/novel in-  
1711 dices (85 subjective and 13 objective) as classified in Appendix 25, I focused on the 24  
1712 invasive objective variables symbolized as  $z$ , and analyzed the regression of  $z$  on other  
1713 non-invasive variables  $x'$ ,  $x$ , and  $y$  (subjective or objective). I investigated all combina-  
1714 tions of  $x'$  vs.  $z$  and  $xy$  vs.  $z$ , using 108 variables for  $x'/x/y$ , and 12 variables of  $z$  for  
1715 Todoroki, as well as 328 variables for  $x'/x/y$ , and 14 variables of  $z$  for Roppongi, and I  
1716 examined 1) the difference in  $p$ -values of the regression parameter  $b$  and 2) the differ-  
1717 ence in  $R$ -squared. Additionally, I performed the Kolmogorov-Smirnov test on the cu-  
1718 mulative distributions of these differences, using the  $\text{ks.test}()$  function. The results of  
1719 Step 3 are shown in Figure 15, Table 12, and Appendix 27.

1720 The correspondence between the Steps 1/2/3 and the conceptual frameworks of  
1721 DA and MCA is schematically shown in Figure 11. As defined in Funabashi (2017b),

1722 the inter-subjective objectivity is the significance of the correlation between subjective  
 1723 and objective measures (oval area in orange gradient in Figure 11), which is evaluated  
 1724 with the factor loadings on the PC plane in Step 2 and the p-value and R-squared of the  
 1725 regression analysis in Step 3.  
 1726



1727  
 1728 Figure 11. Correspondence between DA-MCA and the analytical steps.  
 1729 Left: The iterative process of dynamical assessment (DA) according to Funabashi  
 1730 (2017a). Step 1 (blue arrow) corresponds to the registration of data from the ex-  
 1731 periment T and R. Steps 2 and 3 correspond to the initial cycles of DA with data  
 1732 input, prediction, selection of model and data (red arrows), based on the PCA  
 1733 and regression analysis.  
 1734 Right: Classification of databases and analytical steps with the conceptual frame-  
 1735 work of the multivariate complementary analysis (MCA). The MCA integrates  
 1736 subjective human observations (depicting the simplest case with two sets of vari-  
 1737 ables obtained from subjectivity I and II, with gray dotted circles) and objective  
 1738 sensor measurements (black circle), all obtained at the initial Step 1 (blue  
 1739 rounded rectangle). The inter-subjective objectivity that represents the common-  
 1740 ality between subjective and objective measures (oval area in orange gradient), is  
 1741 evaluated with the factor loadings on the PC plane in Step 2 and the p-value and  
 1742 R-squared of the regression analysis in Step 3. In general, both subjective and

1743 objective variables can be classified as invasive (inside of a rounded rectangle  
1744 with a brown dashed line) and non-invasive (inside of a rounded rectangle with  
1745 a green dashed line) according to the degree of environmental disruption associ-  
1746 ated with the measurement. In this study, all subjective variables are measured in  
1747 non-invasive ways and belong to an inter-subjective domain supported by more  
1748 than two human observers. The objective variables are obtained from both inva-  
1749 sive and non-invasive measurements, as specified in Table 11. In Step 3, I con-  
1750 sider the non-invasive variables as the proxies of invasive measures, which  
1751 would lead to exploring the database adaptation in DA.

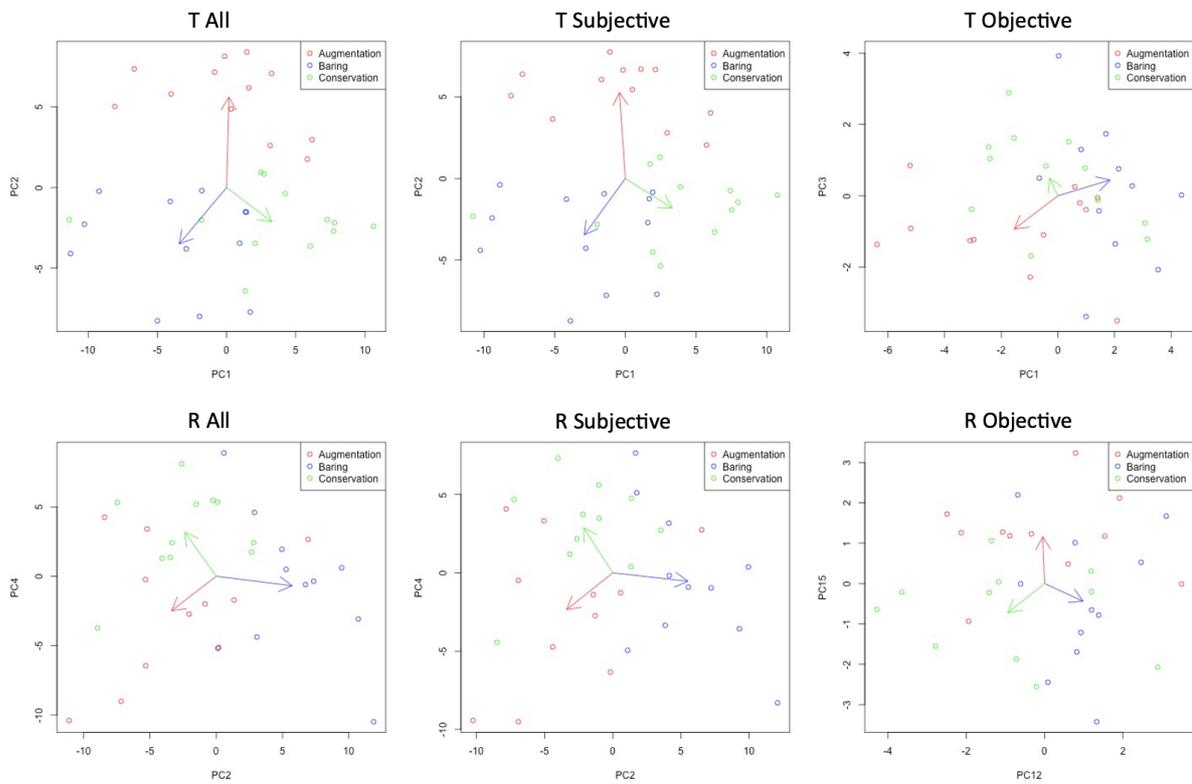
1752

### 1753 **4-3 Results and Discussion**

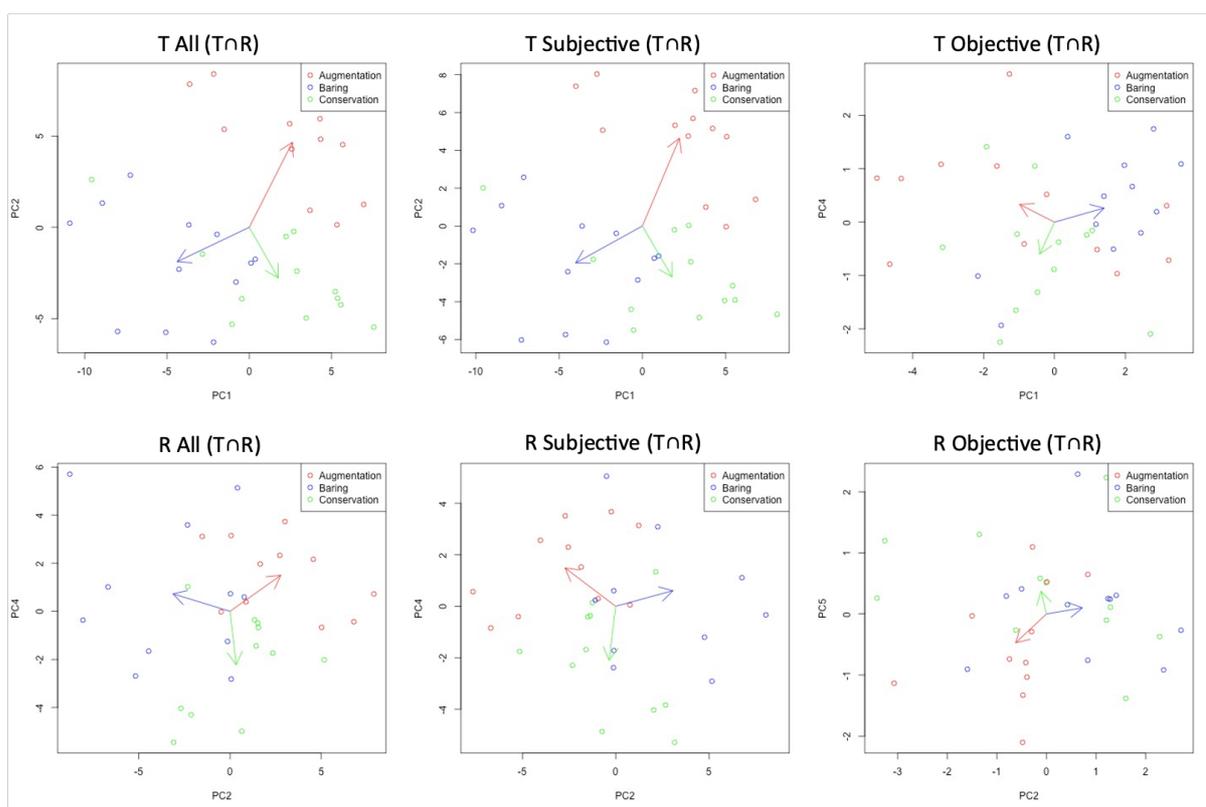
1754 I obtained in total 350 variables including the variants in Step 1, and the results of  
1755 Step 2-1 were summarized in Figure 12, Figure 13, and Appendix 24. Figure 12 and 13  
1756 show the PCA plots with all/subjective/objective variables in Todoroki and Roppongi  
1757 on the most distinctive PC planes that distinguish between A/B/C operations (see step  
1758 2-1 in Section 4-2). The directions of the three arrows represent the mean PC scores of  
1759 the sample groups with A/B/C operations, and they were distinctively separated for  
1760 the selected PC combinations in Figure 12 and 13. Most of the distinctive PC planes  
1761 were detected in PC1-4, except the case of objective variables in Roppongi that was dis-  
1762 tinctive on PC12 and PC15 (Figure 12 right bottom). This indicates that the responses of  
1763 the objective variables to the A/B/C operation in Roppongi were relatively smaller than  
1764 the baseline drift existing in the environment.

1765 Subjective plant diversity and its composition ratio dominantly capture the dif-  
1766 ferent responses to the A/B/C operations, which shows the effectiveness of the opera-  
1767 tions and reproducibility of responses on aboveground biodiversity. In addition, objec-  
1768 tive soil variables also reflect the correlated effects with the A/B/C operations when an-  
1769 alyzed independently, such as microbial diversity/soil three-phase ratio/permeability  
1770 in Todoroki (T) and the spatial variability of soil hardness and temporal variation in  
1771 soil hardness/pH/CEC in Roppongi (R), which provide anchor data that support the  
1772 objectivity of total measurement. The database of each experiment T and R, as well as  
1773 the common variables between T and R, were successfully classified (see Appendix 25)  
1774 into three categories (effective responses to the A/B/C operations; drifts of the baseline  
1775 environment; and marginal fluctuation), indicating that the measurements were suffi-  
1776 ciently diverse to be evaluated as the sailboat-type navigation. The difference between  
1777 T and R in its baseline variability was particularly enhanced in the PC plane of objec-  
1778 tive variables (Figure 12 right, top and bottom): distinctive responses to A/B/C

1779 operations do exist on the PC12 - PC15 plane, but lower-order PCs reflect the relatively  
 1780 large variance of many variables compared to the effective response (RoppongiObjec-  
 1781 tivePCA sheet in Appendix 25). Nevertheless, this baseline drift can be largely sup-  
 1782 pressed, and the operation responses appear in PC2 - PC5, if I perform PCA using only  
 1783 mutual objective variables in T and R (Figure 13 bottom right). This means that the op-  
 1784 eration response can be buried if many variables that strongly reflect baseline drift are  
 1785 obtained. Therefore, the analysis should not be easily interpreted as meaningless be-  
 1786 cause the PC dimension has a large value, but should be conducted with this in mind  
 1787 (i.e., data and model selection in Figure 11).  
 1788



1789  
 1790 Figure 12. Two-dimensional PC planes that maximally differentiate the opera-  
 1791 tions A/B/C on the sets of all/subjective/objective variables in each experiment T  
 1792 and R.  
 1793 Left column: Results on all variables in T(top) and R(bottom).  
 1794 Middle column: Results on the subjective variables of plants in T(top) and R(bot-  
 1795 tom).  
 1796 Right column: Results on the objective variables of soil in T(top) and R(bottom).  
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Figure 13. Two-dimensional PC planes that maximally differentiate the operations A/B/C on the sets of all/subjective/objective variables that are common in the experiments T and R.

Left column: Results of T(top) and R(bottom) on all common variables in T and R.

Middle column: Results of T(top) and R(bottom) on the common subjective variables of plants in T and R.

Right column: Results of T(top) and R(bottom) on the common objective variables of soil in T and R.

The ontology analysis in Step 2-2 is summarized in Figure 14 and Appendix 26.

I assumed that if the same A/B/C operation was performed in several open environments and qualitatively similar changes corresponding to each operation were observed in all situations, there should be more plausibility that these changes were caused by the operation rather than environment-specific drifts or fluctuations. Among the 86 common variables between T and R, 68 were found to be significant in terms of the consistency level defined by the product of maximum information with the p-value threshold 0.05 (PCA ALL sheet in Appendix 26). Besides the subjective plant diversity measures sensitive to the A/B/C operations such as the plant composition ratio, objective physical parameters such as soil hardness and liquid-phase ratio were revealed to

1818 be valid as consistent indices (see Figure 14 left  $T \cap R$  All). The consistency of subjective  
1819 indices is well preserved in the analysis limited to the subjective variables (Figure 14  
1820 middle  $T \cap R$  Subjective). Besides, selective analysis on the objective variables further re-  
1821 vealed the consistent validity of soil measures such as water permeability (SHCBC)  
1822 and microbial diversity and activity (SMDVV) (Figure 14 right  $T \cap R$  Objective).

1823 As for the variables exclusively specific to T or R, it requires another experiment  
1824 to judge their consistency. These variables can be provisionary interpreted as the can-  
1825 didates for the “past” or “novel” generative indices according to the future reproduc-  
1826 ibility [Funabashi 2017a]: If the variable increases its significance on the PC plane of op-  
1827 eration response in other experiments, it will be considered as a novel index and be in-  
1828 corporated in a part of consistent indices. While if the variable becomes significant only  
1829 to interpret a limited number of experiments in a given period, it will be set aside as a  
1830 part of the previously valid past indices. For example, the amount of humus before the  
1831 experiment period is clearly the detection of preexisting bias despite the randomized  
1832 A/B/C allocations in R (See Appendix 26 “PCA Objective” sheet). Among the 264 mutu-  
1833 ally exclusive variables (22 for  $T \setminus R$  and 242 for  $R \setminus T$ ), 12 and 73 subjective variables  
1834 as well as 7 and 6 objective variables of T and R, respectively, were judged as the candi-  
1835 dates for the past/novel indices with respect to the p-value threshold 0.05. The candi-  
1836 dates comprised chemical features of soil typically used in agronomy, such as CEC and  
1837 total carbon and nitrogen.

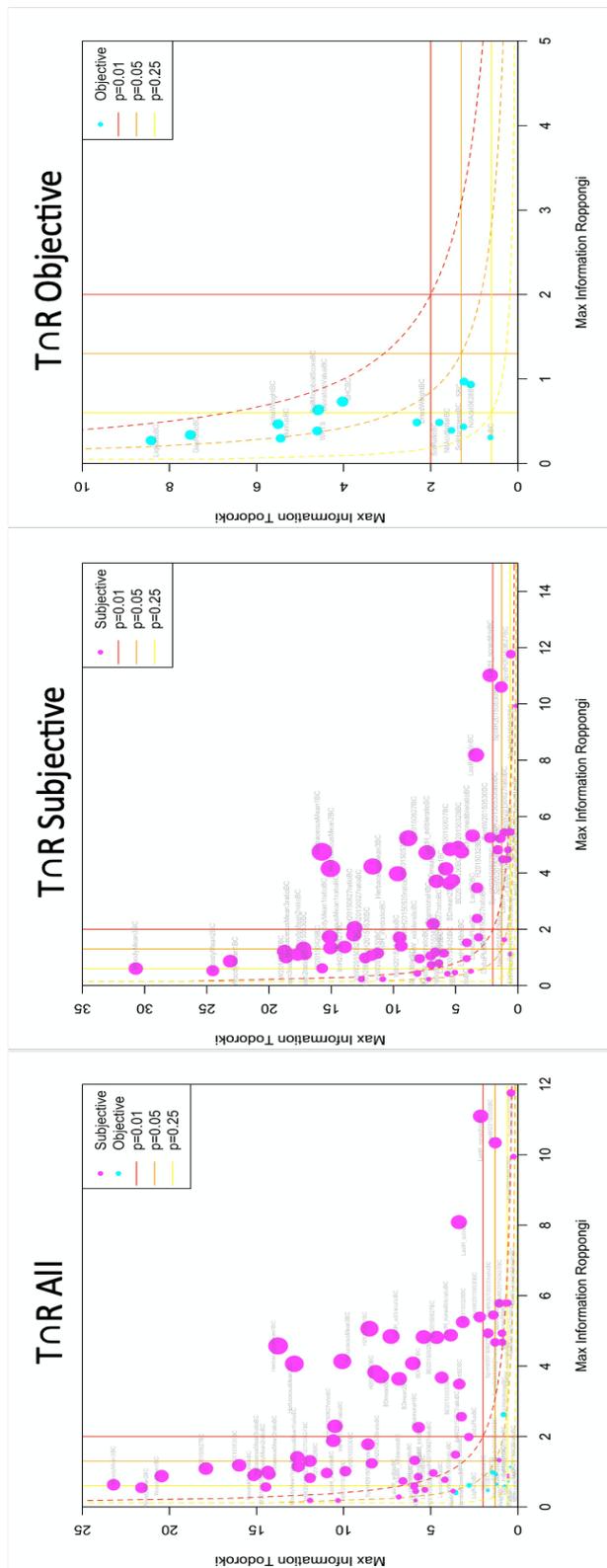


Figure 14. Maximum information of the common variables in T and R on the PC plane of Figure 15.

Left: Maximum information of the factor loadings of the variables responsive to the A/B/C operations in the

experiment T(Y-axis) and R(X-axis) on all common variables in T and R.

Middle: Results on the common subjective variables of plants in T and R.

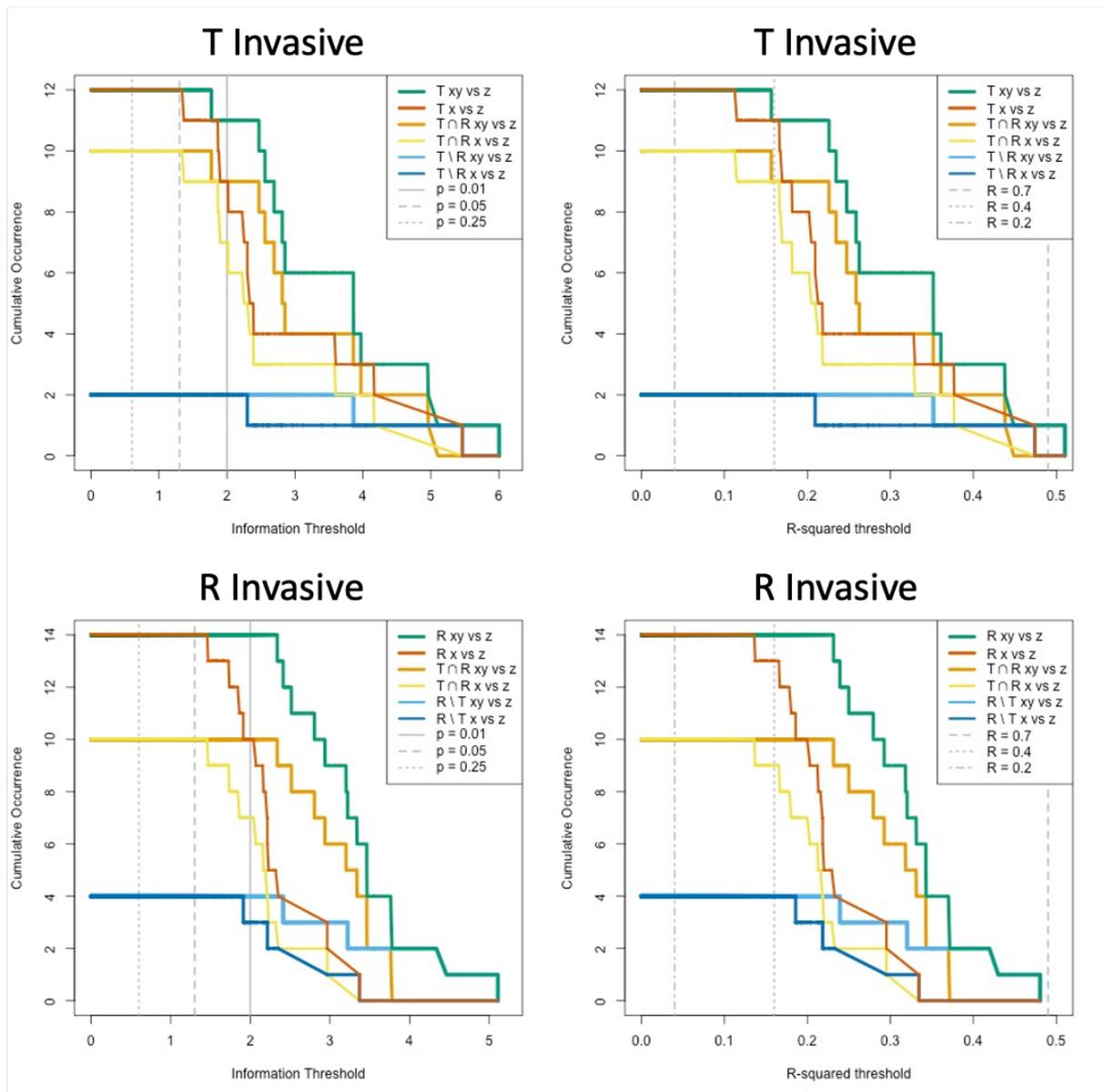
Right: Results on the common objective variables of soil in T and R.

The red/orange/yellow solid lines represent the threshold p-values 0.01/0.05/0.25, respectively, and the dashed lines correspond to the functions  $Y\text{-axis} = Ip^2 / X\text{-axis}$ , where  $Ip^2$  is the squared product of the information  $-\log(\text{threshold } p\text{-value})$ . The gray labels in the figures show the variable names as specified in Appendix 23. The higher and righter of the figure, the stronger the indicator has been changed by the ABC operation in both T and R. Higher resolution version is available in Appendix 28.

1841 The results of Step 3 are shown in Figure 15. Compared to the regression with  
1842 only  $x'$ , both the p-value information and R-squared increased with the regression on  
1843  $xy$ : in total the mean difference  $\pm$  standard deviation of  $0.758 \pm 0.376$  (Todoroki) and  
1844  $1.067 \pm 0.299$  (Roppongi) were detected as the decreases in p-values measured as the in-  
1845 creases in its information; and  $0.0680 \pm 0.0346$  (Todoroki) and  $0.1050 \pm 0.0231$  (Rop-  
1846 ppongi) increases in R-squared. These differences correspond to the statistical signifi-  
1847 cance of less than 5% threshold in the Kolmogorov-Smirnov test, as detailed in Table  
1848 12. It represents an increase of information that could be utilized to obtain better non-  
1849 invasive proxies of  $z$  (e.g. from the brown line "T  $x$  vs  $z$ " to green line "T  $xy$  vs  $Z$ " in  
1850 Figure 15).

1851 The list of invasive generative indices  $z$  and the best regression with non-invasive  
1852  $x'$  and  $xy$  is given in Appendix 27. Notable examples common in T and R are the re-  
1853 gression of microbial diversity and vitality values (SMDVV) and its deviation scores on  
1854 multiple subjective plant diversity measures and nitric acid. Physical properties of soil  
1855 such as hardness and water retention, as well as chemical properties such as CEC, total  
1856 carbon (C), and total nitrogen (N) could also find better proxies  $xy$  mainly comprised  
1857 of the subjective plant diversity variables. These proxies are ecologically reasonable as  
1858 the aboveground plant diversity can affect soil physical property through the extension  
1859 of the root system, as well as the interaction with underground microbiota that influ-  
1860 ence soil chemical property and organic matter composition.

1861 The results imply there exists untapped potential of non-linear relationships in  
1862 non-invasive variables, especially for subjective biodiversity measures, that could pre-  
1863 dict an important part of the invasive objective measurements through non-linear re-  
1864 gression analysis. It is noteworthy that the analysis in Step 3 investigated exhaustive  
1865 combinations between non-invasive subjective and objective variables, which resulted  
1866 in the dominance of subjective variables as best proxies. Indeed, human subjective  
1867 grading of ecosystem (Grading of Ecosystem) alone was collectively correlated with  
1868 soil mineral and ion parameters such as electrical conductivity, cation exchange capac-  
1869 ity, ammoniacal nitrogen, nitrate nitrogen, exchangeable lime, exchangeable potas-  
1870 sium, with the statistical significance level less than 5 % in Roppongi (see Appendix  
1871 29). Further engagement and training of human ingenuity to grasp diverse ecological  
1872 situations would be fruitful to extend effective databases and models. It should also be  
1873 noted that the subjective plant diversity measures in this study could be combined  
1874 and/or replaced with objective measurement of species diversity such as DNA barcod-  
1875 ing (e.g. Boldsystems).



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Figure 15. Cumulative occurrence of invasive generative indices with respect to the significance and goodness-of-fit thresholds of linear regression with non-invasive variables.

The horizontal difference between the lines  $x'$  vs.  $z$  (i.e. regression model  $z=a+bx'$ , with parameters  $a$  and  $b$ ) and  $xy$  vs.  $z$  ( $z=a+bxy$ ) represents the amelioration as a proxy of  $z$ .

Left: Results of the regression analysis of  $z$  on  $x'$  and  $xy$  in the experiment T(top) and R(bottom). The vertical axis counts the number of invasive generative indices  $z$  that have smaller  $p$ -values of the regression coefficient  $b$  on any of non-invasive variables  $x'$  or  $xy$ , compared to the threshold  $p$ -value that is represented as the

1887 information  $-\log(p\text{-value})$  in the horizontal axis. Therefore the information  
 1888 threshold in the horizontal axis represents the lower bound to count the cumula-  
 1889 tive occurrence of different  $z$  in the vertical axis.  
 1890 Right: Cumulative occurrence of invasive generative indices  $z$ , with respect to the  
 1891 lower bound threshold of R-squared error of the regression analysis on  $x'$  and  $xy$   
 1892 in the experiment T(top) and R(bottom).  
 1893 Legend: Line colors of the cumulative occurrence differ according to the subset of  
 1894 variables, in which  $T \cap R$  represents all variables of T and R,  $T \cap R$  the common vari-  
 1895 ables in T and R, and  $T \setminus R$  and  $R \setminus T$  are the ones exclusive to T and R, respec-  
 1896 tively. For visibility,  $x'$  is denoted by  $x$  in the legend.

1897  
 1898 Table 12. Differences in the information and R-squared of the best regression  
 1899 models in Figure 15.

1900 For each subset of variables, the mean  $\pm$  standard deviation of the differences in  
 1901 the p-value information and R-squared between the two regression models  $x'$  vs.  
 1902  $z$  and  $xy$  vs.  $z$  are listed, as well as the p-values of the Kolmogorov-Smirnov test  
 1903 on these differences.

	Information = $-\log(p\text{-value})$			R-squared		
	Mean Difference	Standard Devia- tion	P-value of KS test	Mean Difference	Standard Devia- tion	P-value of KS test
Todoroki $T \cap R$	0.69882849	0.30322351	0.05245	0.06369841	0.02675676	0.05245
Todoroki $T \setminus R$	1.0513531	0.72028038	1	0.0893203	0.0750146	1
Todoroki T	0.75758259	0.37579334	0.03144	0.06796872	0.03459459	0.03144
Roppongi $T \cap R$	0.971280695	0.166356161	0.002057	0.098284638	0.01691512	0.002057
Roppongi $R \setminus T$	1.306839323	0.679026	0.2286	0.121907419	0.057355906	0.2286
Roppongi R	1.067154589	0.299497024	0.001021	0.105034004	0.023100062	0.001021

1904  
 1905 Although the measurements in T and R comprise a large number of variables,  
 1906 these experiments are not yet sufficiently comprehensive to separate the possible de-  
 1907 pendency of the data on environmental particularity. For example, the dependency of  
 1908 the data on the location and/or the methods of measurement in the experiment T can-  
 1909 not be separated from the seasonal effects that could happen during the experimental  
 1910 period of April-June. Similarly, the locational and methodological particularity in the

1911 experiment R may be mixed with the seasonal effects in October-January. Such prob-  
1912 lems can be addressed by expanding the database over a longer period and introduc-  
1913 ing the criteria of multicollinearity analysis.

1914 The analyses in this chapter are based on the linear combination of the variables  
1915 in Step 2, and partial extension to the second-order products of the variables in Step3.  
1916 Still, a wider range of non-linear relationships possibly inherent in the ecosystems re-  
1917 mains unclear, which could be profoundly addressed by taking machine learning ap-  
1918 proaches such as deep learning neural networks that possess a vast capacity for classi-  
1919 fication with non-linear feature values [Funabashi and Minami 2021][Funabashi  
1920 2017b].

1921

#### 1922 **4-4 Conclusion**

1923 I established two independent augmented ecosystems in urban environments,  
1924 and performed three different operations A/B/C on plant diversity. Despite the pres-  
1925 ence of large environmental drifts, analysis of the effective responses to the biodiver-  
1926 sity operations led to the extraction of the components involved in the actual changes,  
1927 including the soil variables other than plant diversity. The obtained results of PC  
1928 planes and these contributing variables could be interpreted as the consistent structure  
1929 of ecological responses against the steering wheel of the ABC navigation model. Fur-  
1930 thermore, the generation proxy analysis showed that a combination of non-invasive  
1931 measurements can increase the accuracy of estimating invasive soil variables, which  
1932 could lead to the refinement towards more cost-efficient and less disruptive methods.

1933 The results provided validation on the first steps of navigation in an open and  
1934 complex ecosystem. By setting up small monitoring spots (e.g., [Fukuda et al. 2020])  
1935 and performing A/B/C operations, the DA-MCA framework can extract PC planes that  
1936 clearly sense response consistency and site specificity. In this study, I focused on ABC  
1937 operations, which are fundamental in Synecoculture. However, if artificial operations  
1938 on ecosystems (fertilizer application, tree felling, etc.) and associated data are accumu-  
1939 lated, they may able to support the selection of ideal operations to achieve goals in a  
1940 variety of methods not limited to Synecoculture.

1941

1942 **5: General Discussion**

1943         One of the objectives of this study was to examine one aspect of Synecoculture  
1944 that is believed to contribute to solving the trilemma by examining the quality of the  
1945 products of Synecoculture. This point could be verified by fatty acid analysis of aru-  
1946 gula and the metabolome of coarse green tea over a period of more than five years. It  
1947 was suggested that the products of Synecoculture could be in a different metabolic  
1948 state compared to the products of conventional agriculture and could affect human  
1949 health. However, a larger study with different variety of products is necessary to gen-  
1950 eralize the results of this study since the sample size and the origin of products are lim-  
1951 ited in this study.

1952         Another objective was to test the practical aspect of Synecoculture through a soil  
1953 analysis and human assessment of Synecoculture. In Chapter 2, statistical comparisons  
1954 of single components of the tea leaves did not show differences, but the two products  
1955 could be distinguished by the amount of several components. The sensory evaluation  
1956 also revealed that the difference in the multiple compounds could be detected to some  
1957 extent by the human sense of taste (which is also a comprehensive perception of the  
1958 many components). This is similar with the results of Chapter 3, where the values of  
1959 soil microbial diversity and activity were qualitatively consistent with the human sub-  
1960 jective evaluation of soil. This offers a possibility that in the future, by training people  
1961 to compare and analyze objective data, it could be possible to estimate the condition of  
1962 both products and soil for a given item within a certain margin of error, without hav-  
1963 ing to perform numerous expensive objective measurements. Chapter 4 also suggests  
1964 that multiple non-invasive indicators can increase the accuracy of estimating invasive  
1965 indicators, indicating the potential for lower-cost ecological assessment as data are ac-  
1966 cumulated.

1967         Since human subjective evaluation can perceive many variables and their com-  
1968 bined states, it is theoretically possible to evaluate complex systems as a whole. In this  
1969 regard, human evaluation could be used not only in Synecoculture, but also in other  
1970 farming systems. It is possible to verify whether or not the human subjective evalua-  
1971 tion matches the objective data, and repeating this process can be used as ecosystem  
1972 evaluation training in the future. Further research on the relationship between objec-  
1973 tive analysis and human cognition and many feedback from the objective analysis to  
1974 humans could improve human ability to observe nature and reveal new insights.

1975         The shift from simplistic conventional farming methods to environmentally  
1976 friendly agriculture will inevitably increase biodiversity, regardless of the method, and  
1977 to effectively manage this ecosystem, many variables must be taken into account to

1978 make the right decisions. Efficient understanding not only of the parts (e.g., the rela-  
1979 tionship between soil moisture and yield), but also of the whole, consisting of many  
1980 subsystems, will contribute to the efficient practice of sustainable agriculture.

1981 **6: Conclusion**

1982 This study focused on food production under *in natura* culture conditions, as-  
1983 pects of Synecoculture, which has been proposed as a solution to the food, environ-  
1984 ment, and health trilemma, and verified it by comparing its quality with that of con-  
1985 ventional farming methods. The results of fatty acid analysis of arugula and metabo-  
1986 lome analysis of tea suggested that products under *in natura* conditions can be different  
1987 from those under *in cultura* conditions. The study also observed that ingestion of prod-  
1988 ucts in different states had different effects on the human body in the form of changes  
1989 in activity level. It is hoped that larger-scale studies will validate the results of this  
1990 study and further elucidate the changes in plant metabolism and effects on humans  
1991 that occur under different culture conditions.

1992 I also focused on the aspect of maintaining and managing high biodiversity in  
1993 Synecoculture. By combining indicators from many objective analyses with human  
1994 evaluations and accumulating data, the possibility was shown that ecosystems could  
1995 be evaluated and utilized for management at a lower cost.

1996 It is expected that further research related to Synecoculture from various perspec-  
1997 tives will further evaluate the effectiveness of this method, including its effects on  
1998 products, soil, human health, and society.

1999

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2223
- 2224
- 2225
- 2226

2227 **8: Publications**

- 2228 **A: Kousaku Ohta, Tsuyoshi Takeshita, Masatoshi Funabashi & Shoji Oda. *Naturally***  
2229 ***grown rucola, *Eruca sativa*, contains more  $\alpha$ -linolenic acid than conventionally grown***  
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- 2231 **B: Masatoshi Funabashi & Kousaku Ohta. *Flavonoid-Rich Secondary Metabolites in Natu-***  
2232 ***rally Grown Green Tea are Correlated with a Higher Shift of the Consumers' Excise Level*.**  
2233 **Journal of Food Science & Nutrition 6: 063. 2020**
- 2234 **C: Kousaku Ohta, Tatsuya Kawaoka & Masatoshi Funabashi. *Secondary Metabolite Dif-***  
2235 ***ferences between Naturally Grown and Conventional Coarse Green Tea*. Agriculture**  
2236 **10(12), 2020, 632**
- 2237 **D: Kousaku Ohta & Masatoshi Funabashi. *Complementary analyses of soil microbial and***  
2238 ***chemical properties and human observation on augmented ecosystems in urban environ-***  
2239 ***ment*. Measurement: Sensors, 18, December 2021, 100333**
- 2240 **E: Kousaku Ohta, Godai Suzuki, Kae Miyazawa & Masatoshi Funabashi. *Open Systems***  
2241 ***Navigation based on System-Level Difference Analysis - Case Studies with Urban Aug-***  
2242 ***mented Ecosystems*. Measurement: Sensors, 23, October 2022, 100401**

2243

2244 **<Conference>**

- 2245 **F: Kousaku Ohta, Tanoy Debnath & Masatoshi Funabashi. (Poster) *Sensory evaluation***  
2246 ***for the distinction between in natura and in cultura culture conditions of coarse green tea*.**  
2247 **Sense Asia 2021, 5-7 December 2021 (Online)**
- 2248 **G: Kousaku Ohta & Masatoshi Funabashi. (Poster) *Feeding 9 billion People with a Novel***  
2249 ***Form of Edible Ecosystems Augmented by Humans*. Nature's 150th anniversary sym-**  
2250 **posium THE FUTURE OF JAPANESE SCIENCE, 4 April 2019 (The University of**  
2251 **Tokyo, Tokyo, Japan)**

2252

- 2253 **A corresponds to Section 2-2, B to Section 2-5, C to Section 2-3, D to Chapter 3, E to**  
2254 **Chapter 4, and F to Section 2-4. G is a poster introducing the activities and pro-**  
2255 **spects of Synecoculture.**

2256

2257

2258 9: Appendix

2259 Appendix 1. Synecoculture Field in Kashiwa City.



2260

18<sup>th</sup> May 2015

2261

2262 Mixed dense polyculture with various plants

2263

Kudzu Mint Basil Arugula



10<sup>th</sup> Nov. 2014

Basil, mint, and Kudzu



10<sup>th</sup> Dec. 2015

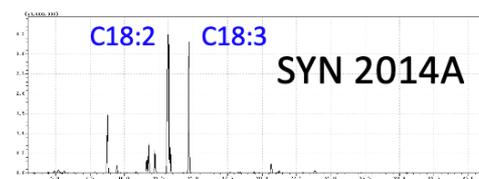
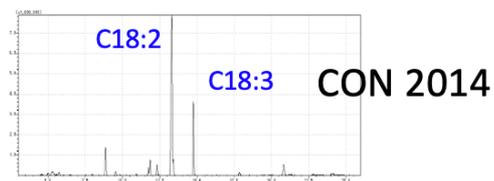
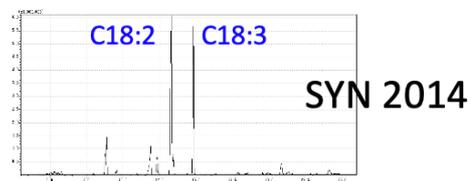
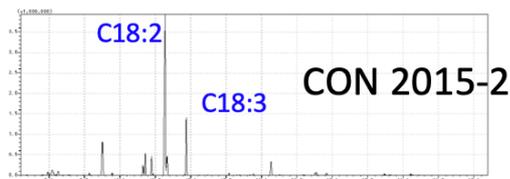
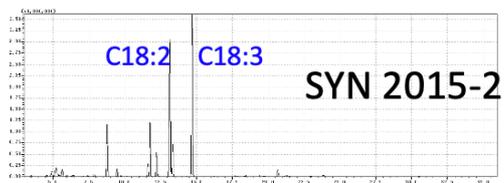
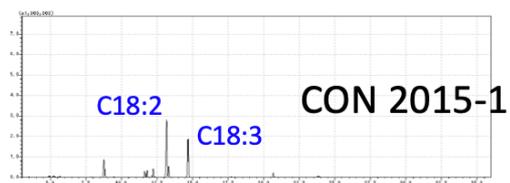
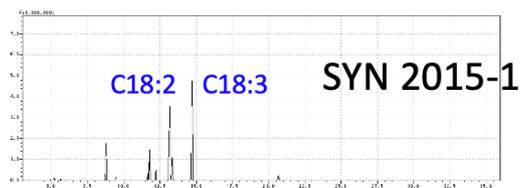
Arugula and weeds

2264

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2267 Appendix 2. Chromatograms of arugula samples.



2268

2269

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2271

The vertical axis represents the intensity of compounds and the horizontal axis represents the retention time.

2272 Appendix 3. Parameters of LC-MS analysis 2014-2017 and 2018-2019.

2273

2274 Table 13. 2014-2017 Parameters.

Measuring Instrument, Parameter	Model Number, Setting
HPLC	Agilent 1200 series
Column	TSKgel ODS-100V 5 mm 3 x 50 mm(TOSOH)
Column Temperature	40°C
Eluent	Solvent A: Water, B: Acetonitrile, both HPLC grade and added with 0.1% v/v formic acid (all the solvents obtained from FUJIFILM Wako Pure Chemical Corporation)
Gradient Condition (Elapsed Time(min):B%)	0min:3%, 15min:97%, 20min:97%, 20.1min:3%, 25min:3%
Flow Velocity	0.4 mL/min
Injection Volume	5µL
High-Resolution Mass Spectrometer	LTQ ORBITRAP XL(Thermo fisher scientific)
Ionization Method	ESI positive mode
Mass Range	100-1500 m/z
Scan Events (3sec interval for the whole process from event 1 to 5)	Event 1: Full scan with ORBITRAP Event 2: MS/MS measurement by ion trap for ions with the strongest intensities in full scan Event 3: MS/MS measurement by ion trap for ions with the 2nd strongest intensities in full scan Event 4: MS/MS measurement by ion trap for ions with the 3rd strongest intensities in full scan Event 5: MS/MS measurement by ion trap for ions with the 4th strongest intensities in full scan
Photo Diode Array Measurement Range	190-950nm

2275

2276

2277

2278 Table 14. 2018-2019 Parameters.

Ultimate 3000 Analysis conditions	
HPLC	Ultimate 3000 RSLC
Column	InertSustain AQ-C18 (2.1 x 150 mm, 3 mm-particle, GL Science)
Column Temperature	40°C
Mobile Phase	Solvent A: Water, B: Acetonitrile, both HPLC grade and added with 0.1% v/v formic acid (all the solvent obtained from FUJIFILM Wako Pure Chemical Corporation)
Flow Velocity	0.2 ml/min
Injection Volume	2 ml

LC Gradient Program		
Time (min)	Mobile Phase A (%)	Mobile Phase B (%)
0	98	2
3	98	2
30	2	98
35	2	98
35.1	98	2
40	98	2

Q Exactive Analysis conditions	
Measuring Time	3 - 30 min
Ionization Method	Electro Spray Ionization (ESI)
Mass Range	m/z: 80 - 1,200
Full Scan Resolution	70,000
MS/MS Scan Resolution	17,500
MS/MS Precursor	Data Dependent Scan
Dynamic Exclusion	20 sec

2279 Appendix 4 – 29 are available on following URL:  
2280 [https://drive.google.com/drive/folders/1I4tGVZP6YPk\\_kBayXen6I4gGD8BmMjvJ?usp=sharing](https://drive.google.com/drive/folders/1I4tGVZP6YPk_kBayXen6I4gGD8BmMjvJ?usp=sharing)  
2281  
2282 Appendix 4: Integrated metabolome data matched with KEGG database  
2283 Appendix 5: Integrated metabolome data matched with Flavonoid Viewer  
2284 Appendix 6: Importance and Cumulative Proportion of the Components of PCA with  
2285 2014-2019 samples and other PC plots  
2286 Appendix 7: PCA plot of 2014-2017 samples and 2018-2019 samples  
2287 Appendix 8: All structural isomers of the 130 distinctive loadings projected onto the  
2288 “map01110 Biosynthesis of secondary metabolites” of KEGG PATHWAY  
2289 Appendix 9: Summary of the statistical distribution of metabolome data  
2290 Appendix 10: Negative Loadings of PC3 categorized in KEGG PATHWAY and BRITE  
2291 Appendix 11: Positive Loadings of PC3 categorized in KEGG PATHWAY and BRITE  
2292 Appendix 12: KEGG BRITE compound classification in the other hierarchy of BRITE  
2293 Appendix 13: List of chemical formulae with Syneco-distinctive loadings that can com-  
2294 pletely separate Syneco and Conv samples in 2014-2017 samples  
2295 Appendix 14: List of chemical formulae with Conv-distinctive loadings that can com-  
2296 pletely separate Syneco and Conv samples in 2014-2017 samples  
2297 Appendix 15: List of chemical formulae with Syneco-distinctive loadings that can com-  
2298 pletely separate Syneco and Conv samples in 2018-2019 samples  
2299 Appendix 16: List of chemical formulae with Conv-distinctive loadings that can com-  
2300 pletely separate Syneco and Conv samples in 2018-2019 samples  
2301 Appendix 17: List of chemical formulae with Syneco-distinctive loadings that can com-  
2302 pletely separate Syneco and Conv samples in 2014-2019 samples  
2303 Appendix 18: List of chemical formulae with Conv-distinctive loadings that can com-  
2304 pletely separate Syneco and Conv samples in 2014-2019 samples  
2305 Appendix 19: All structural isomers of the Syneco-distinctive loadings projected onto  
2306 the “map01120 Microbial metabolism in diverse environments” of KEGG PATHWAY  
2307 Appendix 20: All structural isomers of the Conv-distinctive loadings projected onto the  
2308 “map01120 Microbial metabolism in diverse environments” of KEGG PATHWAY  
2309 Appendix 21: Daily results of human physical activity measurement  
2310 Appendix 22: All Soil Data of Section 3-3  
2311 Appendix 23: All variables and variants measured in experiments T and R  
2312 Appendix 24: List of p-values of the pearson’s correlation coefficient of the of the Step  
2313 2-1  
2314 Appendix 25: Results of Step 2-2 Ontology analysis

- 2315 Appendix 26: Classification Results of Step 2-2 Ontology analysis drawn in Figure 14
- 2316 Appendix 27: Results of Step 3
- 2317 Appendix 28: High resolution version of Figure 14
- 2318 Appendix 29: Results of Experiment R before
- 2319