# Quotients of polynomials and a theorem of Pisot and Cantor

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To the memory of Professor Takuro Shintani

## 1. Introduction.

It is an elementary exercise using the division algorithm to prove that the quotient of two polynomials A(x) and B(x) with rational coefficients is again a polynomial, whenever  $A(n)/B(n) \in \mathbb{Z}$  for an infinite set of integers n. It is natural to ask if a similar result holds for polynomials in several variables. In particular, what subsets S of the k-dimensional lattice  $\mathbb{Z}^k$  have the following property?

Property D. If  $A(\underline{x})$  and  $B(\underline{x}) \neq 0$  are any two polynomials over Q in k variables  $\underline{x} = (x_1, \dots, x_k)$ , and if  $A(\underline{n})/B(\underline{n}) \in \mathbf{Z}$  for all those  $\underline{n} \in S$  for which  $B(\underline{n}) \neq 0$ , then  $B(\underline{x})$  divides  $A(\underline{x})$  in  $Q[\underline{x}]$ .

In this note we exhibit a class of sets S having the property D, which are composed of lattice points on certain exponential curves. These are the sets

(1) 
$$S = \{(m_1^n, \dots, m_b^n) : n \ge 0\},$$

where the  $m_i$  are fixed integers  $\geq 2$  and are relatively prime in pairs.

The fact that these sets satisfy D rests on the following theorem of Pisot [1], p. 233 (see also Cantor [4]).

THEOREM. Let  $\sum_{n=0}^{\infty} a_n x^n$  and  $\sum_{n=0}^{\infty} b_n x^n$   $(b_n \neq 0 \text{ for } n \geq 0)$  be two power series representing rational functions, and assume that  $\sum_{n=0}^{\infty} b_n x^n$  has exactly one (simple) pole on its radius of convergence. Then, if  $a_n/b_n \in \mathbb{Z}$  for all  $n \geq 0$ , it follows that  $\sum_{n=0}^{\infty} \frac{a_n}{b_n} x^n$  is also the power series of a rational function.

A result of Cantor [3] shows that the assumption on the simplicity of the pole may be discarded. However, we shall only use the theorem in the above form.

In § 2 we state this theorem in terms of linear recurrences, and give a new

proof, based on a division algorithm for exponential polynomials which is due to Ritt [8]. Then in § 3 we deduce that the sets S in (1) satisfy property D. We remark that a similar result may be proved for polynomials over a *real* algebraic number field, using a result of Cantor [4]. However this involves no new ideas, so for simplicity we shall restrict ourselves to the rational field Q.

Finally, in § 4 we prove the following result, valid for an arbitrary number field K: if  $\mathcal{O}$  is the ring of integers in K, and  $A(\mu)/B(\mu) \in \mathcal{O}$  for all  $\mu \in \mathcal{O}^k$  for which  $B(\mu)P(\mu) \neq 0$   $(P(\underline{x})$  an arbitrary non-zero polynomial over K), then  $A(\underline{x})/B(\underline{x}) \in K[\underline{x}]$ .

## 2. The Pisot-Cantor theorem.

We first recall the following well-known facts concerning the power series of rational functions.

(A)  $\sum_{n=0}^{\infty} a_n x^n$  represents a rational function if and only if  $\{a_n\}$  is a linear recurring sequence, i.e. if and only if there are complex numbers  $c_1, \dots, c_r$   $(c_r \neq 0, r \geq 0)$ , for which

(2) 
$$a_{n+r} = \sum_{k=1}^{T} c_k a_{n+r-k}, \quad \text{for} \quad n \ge n_0,$$

where  $n_0$  is some sufficiently large integer.

(B) If  $\alpha_1, \dots, \alpha_s$  are the distinct roots of the polynomial

$$(3) x^{\tau} - c_1 x^{\tau-1} - \cdots - c_r = 0,$$

with the  $c_k$  as in (2), and if the multiplicity of  $\alpha_k$  as a root of (3) is  $e_k$ , then the sequences

$$\{n^j\alpha_k^n\}, \quad 1 \leq k \leq s, \ 0 \leq j \leq e_k-1,$$

are independent (over C) and form a basis for the solution space of (2). In particular, any solution  $\{a_n\}$  of (2) has a unique representation of the form

$$(4) a_n = \sum_{k=1}^s p_k(n) \alpha_k^n, n \ge n_0,$$

where  $p_k(x) \in C[x]$  and deg  $p_k(x) \leq e_k - 1$ .

(C) If  $\{a_n\}$  satisfies (4), where the  $\alpha_k$  are distinct and no  $p_k(x)$  is zero, then the rational function  $\sum_{n=0}^{\infty} a_n x^n$  has a pole of order  $1+\deg p_k$  at  $\frac{1}{\alpha_k}$ , for  $1 \le k \le s$ , and no other poles.

We shall refer to these facts simply as (A), (B), (C).

In order to prove the Pisot-Cantor theorem (see the introduction), we shall work with the coefficients  $a_n$  and  $b_n$  in the form (4). It is then convenient to

introduce the "exponential polynomial"

(5) 
$$a(x) = \sum_{k=1}^{s} p_{k}(x)e^{x \log \alpha_{k}},$$

corresponding to (4), where the logarithms are chosen arbitrarily. We also define

$$\mu(a) = \mu(a(x)) = \max_{1 \le k \le s} \operatorname{Re} \log \alpha_k = \max_{1 \le k \le s} \log |\alpha_k|$$
.

The following lemma is due to Ritt, and forms the basis for his discussion in [8] of the arithmetic in the ring of exponential polynomials. (Note that Lemma 1 is more general than Ritt's lemma, but the proof is exactly the same.)

LEMMA 1. Let a(x) and b(x) be exponential polynomials, and assume b(x) has the special form

(6) 
$$b(x) = \sum_{j=1}^{r-1} q_j(x) e^{\lambda_j x} + q_r e^{\lambda_r x},$$

where  $q_r$  is a non-zero constant and  $\operatorname{Re} \lambda_r > \operatorname{Re} \lambda_j$  for  $1 \le j \le r-1$ . Then there are exponential polynomials  $\kappa(x)$  and  $\rho(x)$  which satisfy

(7) 
$$a(x) = \kappa(x)b(x) + \rho(x),$$

and

(8) either 
$$\rho(x)=0$$
 or  $\mu(\rho)<\mu(b)$ .

We shall also require the following result of Pisot. (See [5], page 138.)

LEMMA 2. Let  $\{z_n\}$  be a sequence of real numbers satisfying a linear recurrence of the form (2), and let  $\{A_n\}$  be a sequence of rational integers. If

$$\sum_{n=0}^{\infty} |z_n - A_n|^2 < \infty$$
,

then  $\{A_n\}$  also satisfies a linear recurrence of the form (2).

We now prove the theorem of Pisot and Cantor.

Theorem 1. Let  $\{a_n\}$  and  $\{b_n\}$  be sequences of complex numbers which satisfy linear recurrences. Assume that  $b_n \neq 0$  for  $n \geq 0$ , and that the minimal recurrence satisfied by  $b_n$  is of the form (2), where the corresponding equation (3) has a unique largest root, of multiplicity one. Then the hypothesis  $\frac{a_n}{b_n} \in \mathbb{Z}$  for  $n \geq 0$  implies that  $\left\{\frac{a_n}{b_n}\right\}$  also satisfies a linear recurrence.

PROOF. By the remarks in (B) we may set

$$a_n = \sum_{k=1}^{s} p_k(n) \alpha_k^n$$
,  $n \ge n_0$ ,

$$b_n = \sum_{j=1}^{r-1} q_j(n) \beta_j^n + q_r \beta_r^n$$
,  $n \ge n_0$ ,

where  $p_k$ ,  $q_j \in C[x]$ ,  $\alpha_k$ ,  $\beta_j$ ,  $q_r \in C$ ,  $q_r \neq 0$ , and

(9) 
$$|\beta_r| > |\beta_j| \quad \text{for } 1 \leq j \leq r-1.$$

We now define a(x) by (5) and b(x) by (6), where  $\lambda_j = \log \beta_j$  is chosen arbitrarily. By (9) and by Lemma 1 there exist exponential polynomials  $\kappa(x)$  and  $\rho(x)$  satisfying (7) and (8). Setting x=n in (7) gives that

$$\frac{a_n}{b_n} = \frac{a(n)}{b(n)} = \kappa(n) + \frac{\rho(n)}{b(n)}, \quad \text{for } n \ge n_0.$$

Now  $\{\kappa(n)\}$  clearly satisfies a linear recurrence, by (A) and (C). Moreover  $\mu(\rho)$   $<\mu(b)$  implies that

$$\left|\frac{\rho(n)}{b(n)}\right| \leq ce^{-\delta n}, \quad n \geq n_0,$$

for some positive constants c and  $\delta$ . Since

$$\sum_{n=0}^{\infty}e^{-2\delta n}\!<\!\infty$$
 ,

the assumptions of Lemma 2 are fulfilled with

$$A_n = \frac{a_n}{b_n}, \quad z_n = \kappa(n).$$

Therefore  $\left\{\frac{a_n}{b_n}\right\}$  does satisfy a linear recurrence.

Q.E.D.

REMARKS. 1. The equivalence of Theorem 1 and the theorem stated in § 1 follows from (A), (B) and (C).

2. It is clear that we need only assume

$$b_n \neq 0$$
 and  $\frac{a_n}{b_n} \in \mathbb{Z}$  for  $n \geq n_0$ ,

for some fixed  $n_0 \ge 0$ , in order to guarantee the conclusion of Theorem 1.

#### 3. The sets S.

For the proof of our main result we need two more lemmas, the first of which deals with a special case of Theorem 1.

LEMMA 3. Let

(10) 
$$a_n = \sum_{i=1}^r c_i \alpha_i^n , \qquad b_n = \sum_{j=1}^s d_j \beta_j^n ,$$

where the  $c_i$  and  $d_j$  are non-zero and real, and where the  $\alpha_i$  and  $\beta_j$  are positive and respectively pairwise distinct. If  $\frac{a_n}{b_n} \in \mathbb{Z}$  for those n for which  $b_n \neq 0$ , then

(11) 
$$a_n = b_n \sum_{k=1}^t u_k \gamma_k^n, \quad \text{for } n \ge 0,$$

where the  $u_k$  and  $\gamma_k$  are real and  $\gamma_k > 0$ . Moreover  $\gamma_k$  lies in the multiplicative group G generated by the  $\alpha_i$  and  $\beta_j$ .

PROOF. Since  $b_n=0$  for at most finitely many n, and since some  $\beta_j$  must dominate the  $\beta_i$  with  $i\neq j$ , Theorem 1 and (B) imply that

$$\frac{a_n}{b_n} = \sum_{k=1}^t u_k(n) \gamma_k^n, \quad \text{for} \quad n \ge n_0,$$

for some non-zero polynomials  $u_k(x) \in C[x]$  and distinct  $\gamma_k$  in C. Thus

(12) 
$$\sum_{i=1}^{r} c_i \alpha_i^n = \left(\sum_{j=1}^{s} d_j \beta_j^n\right) \left(\sum_{k=1}^{t} u_k(n) \gamma_k^n\right), \quad \text{for} \quad n \ge n_0.$$

Assume that the assertion of the lemma is false, and let  $\gamma_k$  be the  $\gamma$  of least absolute value and smallest argument which is not equal to any  $\frac{\alpha_i}{\beta_j}$ , or for which  $u_k(x)$  is not a real constant. If  $\beta_1$  is the smallest of the  $\beta$ 's, then the term  $d_1u_k(n)(\beta_1\gamma_k)^n$  in the product on the right side of (12) does not combine with any other term in the product. Hence (B) shows that  $d_1u_k(n)(\beta_1\gamma_k)^n$  must equal some term  $c_i\alpha_i^n$ , for  $n \ge n_0$ . But this can only happen if

$$d_1 u_k(n) = c_i$$
 and  $\beta_1 \gamma_k = \alpha_i$ ;

i.e.  $u_k(x)=u_k$  is a real constant and  $\gamma_k=\alpha_i/\beta_1$ . Hence the  $\gamma$ 's all lie in the multiplicative group G. Now (12) becomes

(13) 
$$\sum_{i=1}^{r} c_i \alpha_i^n = \left(\sum_{j=1}^{s} d_j \beta_j^n\right) \left(\sum_{k=1}^{t} u_k \gamma_k^n\right), \qquad n \ge n_0,$$

which shows that the real exponential polynomial

$$\sum_{i=1}^{r} c_i e^{x \log \alpha_i} - \left(\sum_{j=1}^{s} d_j e^{x \log \beta_j}\right) \left(\sum_{k=1}^{t} u_k e^{x \log \gamma_k}\right)$$

has infinitely many real zeros. Thus it must be identically zero, so that (13) holds for  $n \ge 0$ . This completes the proof of the lemma.

Our final lemma is a special case of Fatou's lemma [6], [7].

LEMMA 4. Let

$$a_n = \sum_{i=1}^r c_i q_i^n$$
, for  $n \ge n_0$ ,

where  $c_i$ ,  $q_i \in Q$ ,  $c_i q_i \neq 0$  and the  $q_i$  are distinct. If  $a_n \in \mathbb{Z}$  for  $n \geq n_0$ , then  $q_i \in \mathbb{Z}$  for  $1 \leq i \leq s$ .

We give the following simple inductive proof.

PROOF. If r=1 the assertion is obvious. Assume its truth for r, and let

$$a_n = \sum_{i=1}^r c_i q_i^n + c_{r+1} q_{r+1}^n$$
,

where  $q_{r+1} = \frac{u}{v}$  with  $u, v \in \mathbb{Z}$ . Form the sequence

$$b_n = va_{n+1} - ua_n$$

$$= \sum_{i=1}^r c_i (vq_i - u)q_i^n, \qquad n \ge n_0.$$

Since  $b_n \in \mathbb{Z}$  it follows by induction that  $q_1, \dots, q_r$  are integers. Applying the same argument with  $q_1$  replacing  $q_{r+1}$  shows that  $q_{r+1} \in \mathbb{Z}$  also, and this completes the proof.

A similar proof works for the most general case of Fatou's lemma. We are now ready to prove

THEOREM 2. Let  $m_1, \dots, m_k$  be k integers  $\geq 2$  which are relatively prime in pairs. Let  $A(x_1, \dots, x_k)$  and  $B(x_1, \dots, x_n)$  be non-zero polynomials with rational coefficients, and assume that

$$\frac{A(m_1^n, \cdots, m_k^n)}{B(m_1^n, \cdots, m_k^n)} \in \mathbf{Z}$$

for those n for which the denominator is not zero. Then  $B(x_1, \dots, x_k)$  divides  $A(x_1, \dots, x_n)$  in  $Q[x_0, \dots, x_n]$ .

PROOF. Let

$$a_n = A(m_1^n, \dots, m_k^n)$$

$$b_n = B(m_1^n, \dots, m_k^n)$$

for  $n \ge 0$ . It is clear that  $a_n$  and  $b_n$  have the form (10), where the  $\alpha_i$  and  $\beta_j$  are equal to distinct monomials in the  $m_i$ . Thus our assumptions, together with Lemma 3, imply that

$$a_n = b_n \sum_{i=1}^t u_i \gamma_i^n$$
, for  $n \ge 0$ ,

where  $u_i \in Q$  (this follows from the proof of Lemma 3 or from a simple deter-

minant argument), and where the  $\gamma_i$  lie in the multiplicative subgroup of Q generated by  $m_1, \dots, m_k$ . However Lemma 4 shows that each  $\gamma_i$  lies in Z, and since the  $m_i$  are pairwise relatively prime it follows that

$$\gamma_i = m_1^{e_{i1}} \cdots m_k^{e_{ik}}$$
 with  $e_{ik} \ge 0$ .

Therefore

$$a_n = b_n \sum_{i=1}^{t} u_i (m_1^{e_{i1}} \cdots m_k^{e_{ik}})^n = b_n P(m_1^n, \cdots, m_k^n),$$

for  $n \ge 0$ , where  $P(\underline{x}) \in Q(\underline{x})$ . Thus, as in the proof of Lemma 3, the real exponential polynomial

$$A(e^{x \log m_1}, \dots, e^{x \log m_k}) - B(e^{x \log m_1}, \dots, e^{x \log m_k}) P(e^{x \log m_1}, \dots, e^{x \log m_k})$$

is identically zero. But  $e^{x \log m_1}$ , ...,  $e^{x \log m_k}$  are algebraically independent over Q, and so we have that

$$A(x_1, \dots, x_k) = B(x_1, \dots, x_k) P(x_1, \dots, x_k)$$
. Q. E. D.

We note that the same arguments can be used to prove:

THEOREM 3. Let K be a real algebraic number field, let A,  $B \in K[x_1, \dots, x_k]$  and let  $\mu_1, \dots, \mu_k$  be k positive algebraic integers of K which are not units and are relatively prime in pairs. If

$$\frac{A(\mu_1^n, \cdots, \mu_k^n)}{B(\mu_1^n, \cdots, \mu_k^n)} = \frac{a_n}{b_n}$$

is an algebraic integer for all those  $n \ge 0$  for which the denominator is non-zero, then  $A/B \in K[x_1, \dots, x_k]$ .

The only change in the proof is at the first step. To deduce that  $\frac{a_n}{b_n}$  satisfies a linear recurrence, we must appeal to a result of Cantor [4] (Lemma 2, applied to the valuation which is the ordinary absolute value on K). The proof then proceeds in exactly the same manner using Lemma 3 and Lemma 4 (i. e., its generalization to number fields).

We also make the following remark. If A and B have integer coefficients in Theorem 2 and B is primitive (the greatest common divisor of its coefficients is 1), then A/B will have integer coefficients as well. If B is not primitive then this need not be the case. For example, take B(x)=2 and A(x)=x(x-1). Then  $A(x)/B(x)=\frac{x(x-1)}{2}=\binom{x}{2}$  is an integer for all integral values of x.

#### 4. A result for number fields.

Let  $\mathcal{O}$  be the ring of integers in an algebraic number field K, and let  $\underline{x} = (x_1, \dots, x_k)$ . In this section we prove

THEOREM 4. Let  $A(\underline{x})$ ,  $B(\underline{x}) \in K[\underline{x}]$ , where  $B(\underline{x}) \neq 0$ . If  $A(\underline{\mu})/B(\underline{\mu}) \in \mathcal{O}$  for all  $\underline{\mu} \in \mathcal{O}^k$  for which  $B(\underline{\mu})P(\underline{\mu}) \neq 0$ , where  $P(\underline{x})$  is any fixed non-zero polynomial in  $K[\underline{x}]$ , then  $A(\underline{x})/B(\underline{x}) \in K[\underline{x}]$ .

PROOF. First we consider the case k=1, B(x) irreducible over K. Write

$$\rho A(x) = Q(x)B(x) + R(x)$$
,

where  $\rho \in \mathcal{O}$ , Q(x),  $R(x) \in \mathcal{O}[x]$ , and  $\deg R < \deg B$ . Then for every  $\mu \in \mathcal{O}$  for which  $P(\mu)B(\mu) \neq 0$ , we have

$$\frac{R(\mu)}{B(\mu)} = \rho \frac{A(\mu)}{B(\mu)} - Q(\mu) \in \mathcal{O}.$$

Assume  $R(x) \neq 0$ , and let  $\mathcal{P}$  be a prime ideal of  $\mathcal{O}$  with the property that B(x) splits completely into distinct linear factors modulo  $\mathcal{P}$ . (The existence of such a  $\mathcal{P}$  follows easily from standard results in algebraic number theory. See also [2], p. 258.) Then for some  $\mu_0 \in \mathcal{O}$  we have

$$B(\mu_0) \equiv 0 \pmod{\mathcal{Q}}$$
,  $R(\mu_0) \not\equiv 0 \pmod{\mathcal{Q}}$ ,

since the congruence

$$B(x) \equiv 0 \pmod{\mathcal{P}}$$

has deg B>deg R roots. Without loss of generality we may also assume  $B(\mu_0)P(\mu_0)\neq 0$ . But in that case  $R(\mu_0)/B(\mu_0)\oplus \mathcal{O}$ ; this contradiction shows that R(x)=0, i.e. B(x)|A(x) in K[x].

If k=1 and B(x) is reducible, write

$$B(x) = B_1(x) \cdots B_m(x)$$

with irreducible polynomials  $B_i(x)$  and apply the above reasoning successively to the polynomials

$$A_i(x) = \frac{\rho^{m-i}A(x)}{B_1(x)\cdots B_{i-1}(x)} \quad \text{and} \quad B_i(x), \quad 1 \le i \le m.$$

Here  $\rho$  is a non-zero integer of K with the property that  $\rho B_i(x) \in \mathcal{O}[x]$  for  $i=1,\dots,m$ . We then get successively

$$\frac{A}{B_1}$$
,  $\frac{A}{B_1B_2}$ , ...,  $\frac{A}{B} \in K[x]$ ,

since

$$\frac{A_i(\mu)}{B_i(\mu)} = \frac{A(\mu)}{B(\mu)} \rho B_{i+1}(\mu) \cdots \rho B_m(\mu) \in \mathcal{O}$$

for all  $\mu \in \mathcal{O}$  for which  $B(\mu)P(\mu) \neq 0$ .

Now we consider the case k > 1. Assume that

$$A(y, x_k), B(y, x_k), P(y, x_k) \in K[y, x_k], \text{ where } y = (x_1, \dots, x_{k-1}),$$

that B involves the variable  $x_k$ , and that

$$\frac{A(\xi, \eta)}{B(\xi, \eta)} \in \mathcal{O} \quad \text{for all } (\xi, \eta) \in \mathcal{O}^k \quad \text{for which } P(\xi, \eta) B(\xi, \eta) \neq 0.$$

For fixed  $\xi \in \mathcal{O}^{k-1}$ , the first part of the proof shows that

(14) 
$$A(\underline{\xi}, x_k) = f_{\underline{\xi}}(x_k)B(\underline{\xi}, x_k), \quad f_{\underline{\xi}}(x_k) \in K[x_k],$$

for all  $\xi \in \mathcal{O}^{k-1}$  for which  $P(\xi, x_k)B(\xi, x_k)$  is not identically zero. we divide  $A(\underline{y}, x_k)$  by  $B(\underline{y}, x_k)$  with respect to the variable  $x_k$  and obtain

$$D(\underline{y})A(\underline{y}, x_k) = B(\underline{y}, x_k)Q(\underline{y}, x_k) + R(\underline{y}, x_k)$$

where  $D(\underline{y}) \in K[\underline{y}]$ , Q,  $R \in K[\underline{y}, x_k]$  and  $\deg_{x_k} R < \deg_{x_k} B$ . If  $B_0(\underline{y})$  denotes the leading coefficient of B with respect to  $x_k$ , then for all  $\xi \in \mathcal{O}^{k-1}$  satisfying

$$D(\xi)P(\xi, x_k)B_0(\xi)\neq 0$$

we have  $R(\xi, x_k)=0$  by (14). But if  $R(\underline{y}, x_k)\neq 0$  there is certainly a  $\xi\in\mathcal{O}^{k-1}$  for which

$$R(\xi, x_k)D(\xi)P(\xi, x_k)B_0(\xi)\neq 0$$
.

Thus  $R(y, x_k)$  is identically zero and

$$D(y)A(y, x_k)=Q(y, x_k)B(y, x_k)$$
.

Applying the same argument to  $x_i$  in place of  $x_k$ , we see that for every variable  $\underline{x}_i$  appearing in  $B(\underline{x})$ ,

(15) 
$$D_i(\underline{x}^{(i)})A(\underline{x}) = Q_i(\underline{x})B(\underline{x}),$$

where  $\underline{x}^{(i)}$  contains all variables  $x_1, \dots, x_n$  except  $x_i$ , and  $D_i$ ,  $Q_i$  are polynomials. If  $B(\underline{x})$  involves only one variable, then (15) shows that  $A(\underline{x})/B(\underline{x}) \in K[\underline{x}]$ . Otherwise

$$\frac{A(\underline{x})}{B(x)} = \frac{Q_i(\underline{x})}{D_i(x^{(i)})} = \frac{Q_j(\underline{x})}{D_i(x^{(j)})}$$

for all  $i, j, i \neq j$ , such that  $x_i$  and  $x_j$  appear in  $B(\underline{x})$ . Hence

$$D_{i}(x^{(j)})Q_{i}(x) = D_{i}(x^{(i)})Q_{i}(x)$$
,

which implies that any factor of  $D_i(\underline{x}^{(j)})$  involving  $x_i$  divides  $Q_i(\underline{x})$ . But clearly

 $D_j(\underline{x}^{(j)})$  involves only variables appearing in  $B(\underline{x})$ , and thus  $D_j(\underline{x}^{(j)})|Q_j(\underline{x})$  in  $K[\underline{x}]$ , i.e.  $A(\underline{x})/B(\underline{x}) \in K[\underline{x}]$ . Q. E. D.

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