

On Stark's conjectures on the behavior of $L(s, \chi)$ at $s=0$

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To the memory of Takuro Shintani

In this paper, we discuss some conjectures of H. M. Stark [17; II, IV], [19] on the leading coefficient of the Taylor expansion of an Artin L -function $L(s, \chi)$ at $s=0$. Some special cases of these conjectures were found independently by Takuro Shintani, [16], who also made an important contribution by developing new formulas for the coefficient in question, in terms of the double gamma function. His death is a great tragedy; I would like to dedicate this paper to his memory.

Our discussion is mainly a reformulation, with perhaps some slight added precision, generality, and unity, of ideas of Stark and Shintani. I hope it may help make these very interesting ideas more accessible. Our point of view has already helped inspire two separate p -adic analogs of the conjectures: one by B. Gross [8], at $s=0$, and one by J.-P. Serre, at $s=1$, [12], [21]. Gross' ideas in that connection have in turn suggested to us the consideration of the non-archimedean analog of the conjecture "over Z " of Stark which we discuss implicitly in §4 and explicitly in §5.

In the course of our discussion we mention a few new results whose proofs will be published in [21]. That reference also contains the details of many arguments which are only sketched here.

§1. The main conjecture. We begin by fixing some assumptions and notation.

k is a number field (finite extension of the rational field \mathbf{Q}),

K/k is a finite Galois extension,

$G = \text{Gal}(K/k)$ is the Galois group.

We denote places of k (even archimedean ones) by symbols like $\mathfrak{p}, \mathfrak{q}, \dots$ and those of K by $\mathfrak{P}, \mathfrak{Q}, \dots$. For each place \mathfrak{p} of k we let $G_{\mathfrak{p}} \subset G$ denote a decomposition group for \mathfrak{p} , well determined up to conjugation. If \mathfrak{p} is finite (i. e., non-archimedean), then $I_{\mathfrak{p}}$ denotes the inertia group and $\sigma_{\mathfrak{p}}$ the Frobenius substitution generating $G_{\mathfrak{p}}/I_{\mathfrak{p}}$, well determined modulo $I_{\mathfrak{p}}$.

S is a finite set of places of k , including the archimedean ones.

V is a finite-dimensional C -linear representation space for G .

$\chi_V: G \rightarrow C$ is the character of $V: \chi_V(\sigma) = \text{Tr}(\sigma|V)$.

$V^G = \{x \in V | \sigma x = x \ \forall \sigma \in G\}$.

V^* is the contragredient to $V; \chi_{V^*} = \bar{\chi}_V$.

$L(s, V) = L_S(s, \chi_V, K/k)$ is the Artin L -function associated to V (or to χ_V), but with the Euler factors corresponding to primes \mathfrak{p} in S removed. Thus

$$L(s, V) = \prod_{\mathfrak{p} \notin S} \det(1 - \sigma_{\mathfrak{p}} N_{\mathfrak{p}}^{-s} | V^{\mathfrak{p}})^{-1}, \quad \text{for } R(s) > 1.$$

It is important for the reader to remember that many of the things in our discussion, like $L(s, V)$, X and U below, etc., depend on the choice of S , even though we usually write just L instead of L_S , etc. It is known that $L(s, V)$ has a pole of order $\dim V^G$, i.e., a zero of order $-\dim V^G$, at $s=1$. From this fact and the functional equation relating $L(s, V)$ and $L(1-s, V^*)$ it follows that $L(s, V)$ has at $s=0$ a zero of order

$$r(V) = -\dim(V^G) + \sum_{\mathfrak{p} \in S} \dim(V^{\mathfrak{p}}).$$

Let $L(V) \in C^*$ be the first non-zero coefficient of the Taylor expansion of $L(s, V)$ at $s=0$, so that

$$L(s, V) \sim L(V) s^{r(V)}, \quad \text{as } s \rightarrow 0.$$

Stark's basic idea is that $L(V)$ can be expressed as the product of a "regulator" R and an algebraic number, which he denotes by Θ . His regulator depends on choices, but is always the determinant of an $r(V) \times r(V)$ matrix whose entries are linear forms in the logs of the absolute values of S -units of K at places of K above S , with coefficients coming from the representation V . To define the regulators we introduce

$U = U_{S, K} = \{\alpha \in K | \|\alpha\|_{\mathfrak{p}} = 1 \ \forall \mathfrak{p} \notin S\}$, the group of S -units of K .

$Y = Y_{S, K} = \bigoplus_{\mathfrak{p} \in S} Z\mathfrak{p}$ is the free Z -module with basis the set of places \mathfrak{p} of K above S .

$X = X_{S, K}$ is the submodule of Y consisting of the elements $\sum_{\mathfrak{p} \in S} n_{\mathfrak{p}} \mathfrak{p}$ with $\sum n_{\mathfrak{p}} = 0$.

For any ring R and any Z -module M we shall denote the R -module $R \otimes_Z M$ simply by RM . This notation will be used mainly with $R = Q$ (rational field), R (real field), or C (complex field).

Let $\lambda: RU \rightarrow RX$ (or $CU \rightarrow CX$) be the R - (or C -) linear map such that

$$\lambda(1 \otimes \varepsilon) = \sum_{\mathfrak{p} \in S} \log \|\varepsilon\|_{\mathfrak{p}} \mathfrak{p}, \quad \text{for } \varepsilon \in U$$

where $\|\varepsilon\|_{\mathfrak{p}}$ denotes the *normed* absolute value of ε at \mathfrak{p} (the ordinary one if \mathfrak{p} is real, its square if \mathfrak{p} is complex, and given by $(N\mathfrak{p})^{-n}$ where $n = \text{ord}_{\mathfrak{p}} \varepsilon$ if \mathfrak{p}

is finite). The "S-unit theorem" states that λ is an isomorphism.

The group G acts on U and on X and $\lambda: CU \rightarrow CX$ is a $C[G]$ -isomorphism. (Our convention is that G acts always on the left, even though we sometimes write α^σ instead of $\sigma(\alpha)$, for $\alpha \in K$ and $\sigma \in G$, so that $\alpha^{(\sigma\tau)} = (\alpha^\tau)^\sigma$. The action $\mathfrak{P}^\sigma = \sigma\mathfrak{P}$ on places is that for which $\|\alpha^\sigma\|_{\sigma\mathfrak{P}} = \|\alpha\|_{\mathfrak{P}}$.)

For a homomorphism $\varphi: T \rightarrow T'$ of $C[G]$ -modules we denote by

$$\varphi_V: \text{Hom}_G(V^*, T) \longrightarrow \text{Hom}_G(V^*, T')$$

the linear map induced by φ . For a $C[G]$ -homomorphism $f: CX \rightarrow CU$, the map $(\lambda f)_V = \lambda_V f_V$ is a linear transformation of the space

$$\text{Hom}_G(V^*, CX) = (V \otimes_C CX)^G = (V \otimes_{\mathbb{Z}} X)^G$$

into itself, and we put

$$R(V, f) \stackrel{\text{defn}}{=} \det (\lambda f)_V.$$

This is an $r(V) \times r(V)$ -determinant. Indeed, we have

$$CX \oplus C \approx CY \approx \bigoplus_{\mathfrak{p} \in S} \text{Ind}_{\mathfrak{p}}^G C,$$

hence

$$\text{Hom}_G(V^*, CX) \oplus \text{Hom}_G(V^*, C) \approx \bigoplus_{\mathfrak{p} \in S} \text{Hom}_{G_{\mathfrak{p}}}(V^*, C),$$

and so $\dim \text{Hom}_G(V^*, CX) = -\dim V^G + \sum_{\mathfrak{p} \in S} \dim V^{G_{\mathfrak{p}}} = r(V)$, as claimed.

Put

$$A(V, f) = \frac{R(V, f)}{L(V)} = \lim_{s \rightarrow 0} \frac{R(V, sf)}{L(s, V)}.$$

For $\alpha \in \text{Aut } C$ we define V^α and f^α by the "base change" $\alpha: C \rightarrow C$. Perhaps the simplest form of Stark's conjecture is

(1.1) MAIN CONJECTURE (first form): For all $\alpha \in \text{Aut } C$ we have

$$A(V^\alpha, f^\alpha) = A(V, f)^\alpha.$$

Clearly, for given f , $R(V, f)$ and $A(V, f)$ depend only on the isomorphism class of V , hence only on the character χ_V . If χ is a character of G , we sometimes write $R(\chi, f)$ and $A(\chi, f)$ with the obvious meaning. A fancier form of the conjecture is

(1.2) MAIN CONJECTURE (second form): Let E be a field of characteristic 0, isomorphic to a subfield of C . Let $\chi: G \rightarrow E$ be the character of a representation of G in E or in an extension field of E . Let $f: EX \rightarrow EU$ be an $E[G]$ -homomorphism. Then there exists a (unique) element $A(\chi, f) \in E$ such that, for

every homomorphism $\beta: E \rightarrow C$, $A(\chi, f)^\beta = A(\chi^\beta, f^\beta)$.

While it is sometimes convenient theoretically to consider f 's of the above generality, it is not at all necessary. The rational representation spaces QX and QU for G become isomorphic (canonically, via λ) after the base extension $Q \rightarrow R$. Hence, as Herbrand observed, ([9], see also [1]) they are isomorphic, though not canonically so, i.e., there exist G -isomorphisms $f: CX \xrightarrow{\sim} CU$ which are defined over Q in the sense that $f(QX) = QU$, or equivalently, $f^\alpha = f$ for all $\alpha \in \text{Aut } C$.

(1.3) MAIN CONJECTURE (third form): Let χ be a complex valued character of G and let $Q(\chi)$ be the subfield of C generated by its values $\chi(\sigma)$, $\sigma \in G$. Let f be defined over Q as above. Then $A(\chi, f) \in Q(\chi)$, and $A(\chi^\gamma, f) = A(\chi, f)^\gamma$ for all $\gamma \in \text{Gal}(Q(\chi)/Q)$.

(Note that each $\chi(\sigma)$ is a sum of roots of unity, so that $Q(\chi)$ is an abelian extension of Q .)

It is easy to see, for a given V , that if any one of the three forms of the conjecture is true for V and one isomorphism f_0 , then all three forms are true for V and every homomorphism f . The point is that $\lambda f = \lambda f_0 g$, where $g = f_0^{-1} f$, so $A(V, f) = A(V, f_0) \det g_V$; and $\det(g_V^\alpha) = (\det g_V)^\alpha$.

The third form of the conjecture is essentially that given by Stark. Instead of using a map f to define the regulator $R(\chi)$, Stark [17, II] uses what he calls a system of Artin units $(\varepsilon_{\mathfrak{P}})$. This is a family of elements $\varepsilon_{\mathfrak{P}} \in U$, one for each place \mathfrak{P} of K above S such that $\varepsilon_{\mathfrak{P}}^\sigma = \varepsilon_{\sigma\mathfrak{P}}$ for all $\sigma \in G$ and each $\mathfrak{P} | S$, and such that the only relation among the $\varepsilon_{\mathfrak{P}}$ is $\prod_{\mathfrak{P} | \infty} \varepsilon_{\mathfrak{P}}^{n_{\mathfrak{P}}} = 1$, where $n_{\mathfrak{P}} = [K_{\mathfrak{P}} : R]$. It is not hard to show that Stark's regulator $R(\chi, (\varepsilon_{\mathfrak{P}}))$ is equal to our $R(\chi, f)$ where $f: CX \rightarrow CU$ is the G -isomorphism defined over Q obtained by restricting to X the homomorphism $\mathfrak{P} \rightarrow \varepsilon_{\mathfrak{P}}$ of Y into U . Hence Stark's $\Theta(\chi, (\varepsilon_{\mathfrak{P}}))$ is equal to our $A(\chi, f)^{-1}$. While Stark has never published a general statement quite as precise as (1.3) he has formulated its equivalent in many special cases [17, IV], [19], [20], especially in the case $r(\chi) = 1$.

§ 2. Functorality; independence of choices. In this section we discuss various formal properties of the Main Conjecture.

(2.1) PROPOSITION. If the conjecture (1.1)-(1.3) is true for a given character χ with one choice of S , it is true for χ with any other choice.

Indeed, suppose $S^* = S \cup \{\mathfrak{p}\}$ is the set obtained by adding a new place \mathfrak{p} of k to S . Let us indicate quantities associated with the choice S^* by adding a star to the symbol. For example, we have

$$r^*(V) = r(V) + r_p(V), \quad \text{where } r_p(V) = \dim V^{G_p},$$

$$L^*(s, V) = P_V(q^{-s})L(s, V), \quad \text{where } q = Np,$$

and

$$P_V(T) = \det(1 - \sigma_p T | V^{I_p}) = (1 - T)^{r_p} Q_V(T), \quad \text{say,}$$

where $Q_V(1) \neq 0$ satisfies $Q_{V^\alpha}(1) = (Q_V(1))^\alpha$ for $\alpha \in \text{Aut } C$.

For the leading coefficients we have

$$L^*(V) = (\log q)^{r_p} Q_V(1) L(V).$$

On the other hand, let $U_p = U^*/U$ and $Y_p = X^*/X = \bigoplus_{\mathfrak{p}|p} \mathbf{Z}\mathfrak{p}$. The map $\lambda^*: CU^* \rightarrow CX^*$ induces an isomorphism $\lambda_p: CU_p \rightarrow CY_p$ such that $(\log q)^{-1} \lambda_p$ is defined over \mathbf{Q} , as one sees from the formula $\log \|\varepsilon\|_{\mathfrak{p}} = -f_p(\log q) \text{ord}_{\mathfrak{p}} \varepsilon$, f_p denoting the residue degree of K/k at \mathfrak{p} . Thus, if we use an $f^*: CX^* \rightarrow CU^*$ which is defined over \mathbf{Q} and carries CX into CU and induces $\lambda_p^{-1} \log q$ on CX_p , then $R(V, f^*) = (\log q)^{r_p} R(V, f)$ and $A(V, f^*) = Q_V(1)^{-1} A(V, f)$. This shows that the conjecture is true for V with S^* if and only if it is true for V with S .

As function of χ the quantity $R(\chi, f)$ obeys the same formalism as Artin's L -functions, and consequently the same is true for $A(\chi, f)$:

(2.2) PROPOSITION. *Let $f: CX \rightarrow CU$ be a G -homomorphism.*

(a) *If χ_1 and χ_2 are characters of G , then $A(\chi_1 + \chi_2, f) = A(\chi_1, f)A(\chi_2, f)$.*

(b) *If χ is a character of a subgroup H of G , then*

$$A(\text{Ind}_H^G \chi, f) = A(\chi, f).$$

(c) *If χ is a character of a quotient group $G' = G/H$, then*

$$A(\text{Infl}_{G'}^G \chi, f) = A(\chi, f | CX^H).$$

Indeed, (a) is obvious, and (b) follows from the existence of a functorial isomorphism

$$\text{Hom}_G(\text{Ind}_H^G V, CX) \approx \text{Hom}_H(V, CX)$$

if V is a realization of χ .

Let $F = K^H$ be the fixed field of H , and let X_F (resp. U_F) be to F as X (resp. U) is to K . Then (c) is obvious, once we explain how to identify X_F with a subgroup of X_K in such a way that the diagram

$$\begin{array}{ccc} U_F & \hookrightarrow & U_K \\ \lambda \downarrow & & \downarrow \lambda \\ \mathbf{R}X_F & \hookrightarrow & \mathbf{R}X_K \end{array}$$

is commutative, and $CX_F=(CX)^H$. This is achieved by the imbedding

$$\mathfrak{P}' \longrightarrow \sum_{\mathfrak{P}|\mathfrak{P}'} [K_{\mathfrak{P}} : F_{\mathfrak{P}'}] \mathfrak{P}$$

for places \mathfrak{P}' of F above S .

Let 1_G denote the trivial representation of G .

(2.3) PROPOSITION. *Suppose f is induced by an injective G -homomorphism $f_0 : X \rightarrow U$. Then*

$$A(1_G, f) = \frac{(U_k : f_0 X_k)}{h},$$

where $h = |\text{Pic } O_S|$ is the "S-class number" of k .

By 2.2 (c) we can suppose $K=k, G=\{1\}$. Let x_i be a \mathbf{Z} -base for $X=X_k$ and let u_j be a base for $U=U_k$ modulo torsion. Suppose

$$\lambda \tilde{u}_j = \sum a_{ji} x_i, \text{ and } f x_i = \sum b_{ij} \tilde{u}_j,$$

where \tilde{u}_j is the image of u_j in QU . Then

$$R(1_G, f) = \det \lambda f \text{ on } CX = \det (a_{ji}) \cdot \det (b_{ij}),$$

and

$$\pm \det (a_{ji}) = R, \text{ the "S-regulator" of } k$$

$$\pm \det (b_{ij}) = (\tilde{U} : fX) = \frac{(U : f_0 X)}{w},$$

where \tilde{U} is the image of U in QU and w is the order of the kernel of $U \rightarrow \tilde{U}$. The proposition follows upon combining these formulas with the formula

$$L(1_G) = -\frac{hR}{w}$$

which is derived via the functional equation from the familiar formula for the residue of $L(s, 1_G) = \zeta_k(s)$ at $s=1$.

(2.4) COROLLARY. *The Main Conjecture is true for permutation representations.*

By (2.3) the conjecture is true for the trivial representation 1_H of each subgroup H of G , hence, by (2.2) (a) and (b) it is true for any sum of representations of the form $\text{Ind}_H^G(1_H)$.

If χ is a character with rational values, there is an integer $b > 0$ and permutation characters χ_1 and χ_2 such that $b\chi = \chi_1 - \chi_2$. Hence the Main Conjecture is true for $b\chi$. This is the content of Theorem 1 of [17, II]. However, using

methods of Ono [11] and Lichtenbaum [10] involving the cohomology of class field theory and results of Swan on integral representations of G , one can prove

(2.5) THEOREM. *The Main Conjecture (1.1)-(1.3) is true for rational characters χ .*

For the proof, see [21] and also [4].

(2.6) THEOREM. *The Main Conjecture (1.1)-(1.3) is true for characters χ such that $r(\chi)=0$.*

Indeed, if $r(\chi)=0$, then $R(\chi, f)=1$ and $A(\chi, f)=1/L(0, \chi)$. The fact that $L(0, \chi^\alpha)=L(0, \chi)^\alpha$ for $\alpha \in \text{Aut } C$ was shown by Siegel [13], and later, by a different method by Shintani [14], in the abelian case. The general case follows by Brauer induction; see [21].

§ 3. **The case $r(\chi)=1$:** In this case Stark's conjecture is especially striking for it is equivalent to the existence of an S -unit ε of K with suitable absolute values at the places above S .

For an irreducible character χ of G , let

$$e_\chi = \frac{\chi(1)}{|G|} \sum_{\sigma \in G} \chi(\sigma^{-1}) \sigma$$

be the central idempotent in $C[G]$ which acts as identity on a realization of χ and kills the other types of irreducible representations of G .

Let \mathcal{X} be a set of irreducible characters of G such that $r(\chi)=1$ for each $\chi \in \mathcal{X}$ and such that $\chi \in \mathcal{X} \Rightarrow \chi^\alpha \in \mathcal{X}$ for all $\alpha \in \text{Aut } C$. Suppose that $a=(a_\chi)$, $\chi \in \mathcal{X}$, is a family of complex numbers such that $a_{(\chi^\alpha)}=(a_\chi)^\alpha$ for $\alpha \in \text{Aut } C$. Put

$$\theta_a(s) = \sum_{\chi \in \mathcal{X}} a_\chi L(s, \chi) e_{\bar{\chi}},$$

a Dirichlet Series with coefficients in $\mathbb{Q}[G]$.

(3.1) THEOREM. *Suppose $y \in \mathbb{Q}Y$ is such that $e_\chi y \in X$ for each $\chi \in \mathcal{X}$. The following statements are equivalent:*

(i) *There exists a (unique) $u \in \mathbb{Q}U$ such that*

$$\lambda(u) = \theta'_a(0)y = \sum_{\chi \in \mathcal{X}} a_\chi L'(0, \chi) e_{\bar{\chi}} y.$$

(ii) *The Main Conjecture (1.1)-(1.3) is true for all $\chi \in \mathcal{X}$ such that $a_\chi e_{\bar{\chi}} y \neq 0$.*

Let $f: \mathbb{Q}X \xrightarrow{\sim} \mathbb{Q}U$ be a G -isomorphism. For $\chi \in \mathcal{X}$, let c_χ be the scalar by which λf acts on $e_{\bar{\chi}} CX$. (Note that $e_{\bar{\chi}} CX$ is irreducible because $r(\chi)=1$.) If V

is a realization of χ , then $\text{Hom}_G(V^*, CX) = \text{Hom}_G(V^*, e_{\bar{z}}CX)$ is one-dimensional, and $(\lambda f)_V$ acts on this space by the scalar c_χ . Hence $c_\chi = \det(\lambda f)_V = R(\chi, f) = A(\chi, f)L'(0, \chi)$. Let $u = f(x)$, where

$$x = \sum_{\chi \in \mathcal{X}} \frac{a_\chi}{A(\chi, f)} e_{\bar{z}} y.$$

Then

$$\lambda u = \lambda f x = \sum_{\chi \in \mathcal{X}} \frac{c_\chi a_\chi}{A(\chi, f)} e_{\bar{z}} y = \sum_{\chi \in \mathcal{X}} a_\chi L'(0, \chi) e_{\bar{z}} y.$$

Thus (i) is equivalent to $u \in \mathbf{Q}U$ which is the same as $x \in \mathbf{Q}X$, i.e., $x = x^\alpha$ for all $\alpha \in \text{Aut } \mathbf{C}$. Since the non-zero ones among elements $a_\chi e_{\bar{z}} y$ lie in distinct irreducible subspaces of CX they are linearly independent, and since $a_{(\chi^\alpha)} = (a_\chi)^\alpha$ and $e_{\chi^\alpha} = (e_\chi)^\alpha$, the condition $x = x^\alpha$ means that $A(\chi^\alpha, f) = A(\chi, f)^\alpha$ for the χ 's such that $a_\chi e_{\bar{z}} y \neq 0$, and this is (1.1) for those χ 's.

If \mathcal{X} does not contain the trivial character $\chi = 1$ we can take y to be a basis element \mathfrak{P} of Y in (3.1). One would like then to conjecture a condition on the coefficient vector $a = (a_\chi)$ which would be sufficient to ensure that $\Theta'_a(0)\mathfrak{P}$ is in λU instead of only in $\lambda \mathbf{Q}U$. Such a conjecture might be called a conjecture "over \mathbf{Z} ", as opposed to (1.1)-(1.3) which is only "over \mathbf{Q} ". It would predict the existence of $\varepsilon \in K^*$ such that $\|\varepsilon\|_{\mathbf{Q}} = 1$ for all \mathbf{Q} not conjugate to \mathfrak{P} over k , and such that, for suitable $b = (b_\chi) = \chi(1)|G|^{-1}(a_\chi)$ and each $\sigma \in G$,

$$\log \|\varepsilon\|_{\sigma \mathfrak{P}} = \sum_{\chi \in \mathcal{X}} b_\chi L'(0, \chi) \sum_{\tau \in G_{\mathfrak{P}}} \chi(\sigma \tau),$$

where $G_{\mathfrak{P}}$ is the decomposition group of \mathfrak{P} . If \mathfrak{P} is archimedean and lies over a real place \mathfrak{p} of k , and we identify $k_{\mathfrak{p}}$ with \mathbf{R} , such a conjecture would yield an analytic formula for an S-unit $\eta = \|\varepsilon\|_{\mathfrak{P}} \in K \cap \mathbf{R}$, namely

$$(*) \quad \eta = \exp\left(\sum_{\chi \in \mathcal{X}} b_\chi L'(0, \chi) \sum_{\tau \in G_{\mathfrak{P}}} \chi(\tau)\right).$$

In case K/k is abelian, Stark has given what seems to be the "correct" conjecture "over \mathbf{Z} ". Before discussing this in §4, however, we mention that T. Chinburg [3] has examined numerically 5 non-abelian cases, each with $k = \mathbf{Q}$, $|G| = 48$, and \mathcal{X} a set of 6 irreducible characters coming from two-dimensional representations $\rho: G \rightarrow GL_2(\mathbf{C})$ which are "tetrahedral", in the sense that the image of G in $PGL_2(\mathbf{C})$ is isomorphic to \mathcal{A}_4 . In each case Chinburg found 6 independent units η such that (*) holds with an accuracy of 10^{-13} and whose conjugates have suitably small absolute values. On the basis of these data Chinburg has formulated conjectures "over \mathbf{Z} ". The cases treated by him are examples in which $L(s, \chi)$ corresponds to a modular form of weight 1, in accord with the theory of Langlands and Deligne-Serre [7]. Stark [19] has given the

modular version of the conjecture “over \mathbf{Q} ” in this case, and views it as giving an explicit construction of the non-abelian extension K/\mathbf{Q} corresponding to a new form, whose existence was proved by Deligne and Serre.

§4. The conjecture $\text{St}(K/k, S)$. In case G is abelian, which we assume from now on, Stark [17, IV] has given an ingenious conjecture “over \mathbf{Z} ” for which there is a great deal of evidence. The conjecture has an extra feature, in that the S -unit ε whose existence is predicted is required to have a special property which we now explain.

Let μ_K be the group of roots of unity in K , let $W = |\mu_K|$ be its order, and let $A_{K/k}$ be the ideal in $\mathbf{Z}[G]$ consisting of the elements a such that $\zeta^a = 1$ for all $\zeta \in \mu_K$. Let $\varepsilon \rightarrow \bar{\varepsilon}$ denote the canonical map $K^* \rightarrow \mathbf{Q}K^*$; knowledge of $\bar{\varepsilon}$ determines ε up to an element $\zeta \in \mu_K$.

(4.1) PROPOSITION. Let $(\sigma_i), i \in I$, be a system of generators for G , and for each $i \in I$, let $n_i \in \mathbf{Z}$ be such that $\zeta^{\sigma_i} = \zeta^{n_i}$ for all $\zeta \in \mu_K$. Let $u \in \mathbf{Q}K^*$. The following conditions on u are equivalent.

- (i) There exists $\varepsilon \in K^*$ such that $Wu = \bar{\varepsilon}$ and $K(\varepsilon^{1/W})$ is abelian over k .
- (ii) There is a field $L \supset K$ such that L is abelian over k , and an element $\beta \in L^*$ such that $u = \bar{\beta}$ in $\mathbf{Q}L^*$.
- (iii) For almost all (i.e., all but a finite number of) primes \mathfrak{p} of k there is an element $\varepsilon_{\mathfrak{p}} \in K^*$ such that $(\sigma_{\mathfrak{p}} - N\mathfrak{p})u = \bar{\varepsilon}_{\mathfrak{p}}$, and such that $\varepsilon_{\mathfrak{p}} \equiv 1 \pmod{\mathfrak{p}O_K}$.
- (iv) For each $a \in A$ there is an $\varepsilon_a \in K^*$ such that $au = \bar{\varepsilon}_a$, and for each $a, b \in A$ we have $\varepsilon_a^b = \varepsilon_b^a$.
- (v) There exist $\varepsilon \in K^*$ and $\varepsilon_i \in K^*, i \in I$, such that $Wu = \bar{\varepsilon}$, $\varepsilon^{\sigma_i - n_i} = \varepsilon_i^W \forall i \in I$, and $\varepsilon_i^{\sigma_j - n_j} = \varepsilon_j^{\sigma_i - n_i}$ for $i, j \in I$.

SKETCH OF PROOF. (i) \Rightarrow (ii). Put $\beta = e^{1/W}, L = K(\beta)$.
 (ii) \Rightarrow (iii). Put $\varepsilon_{\mathfrak{p}} = \beta^{\sigma_{\mathfrak{p}}^L - N\mathfrak{p}}$, where $\sigma_{\mathfrak{p}}^L \in \text{Gal}(L/k)$ is the Frobenius substitution of \mathfrak{p} for L/k .
 (iii) \Rightarrow (iv). Use that the elements $\sigma_{\mathfrak{p}} - N\mathfrak{p}$ generate $A_{K/k}$ (indeed, $W = \text{gcd}(1 - N\mathfrak{p}), \text{ for } \sigma_{\mathfrak{p}} = 1; [5]$), and note that $\varepsilon_{\mathfrak{p}}^{\sigma_{\mathfrak{p}} - N\mathfrak{p}} = \varepsilon_{\mathfrak{p}}^{\sigma_{\mathfrak{p}} - N\mathfrak{p}}$ because both sides are $\equiv 1 \pmod{\mathfrak{p}}$.
 (iv) \Rightarrow (v). Put $\varepsilon = \varepsilon_W$ and $\varepsilon_i = \varepsilon_{\sigma_i - n_i}$.
 (v) \Rightarrow (i). Exercise in Galois theory.

Let

$$\theta(s) = \theta_{S, K/k}(s) = \sum_{\chi \in G} L_S(s, \chi) e_{\bar{\chi}} = \prod_{\mathfrak{p} \in S} (1 - \sigma_{\mathfrak{p}}^{-1} N\mathfrak{p}^{-s})^{-1}$$

(the last for $\text{Re } s > 1$) be the $\mathbf{C}[G]$ -valued function of a complex variable s such that for each $\chi \in \hat{G}$ (the character group of G), we have $\chi(\theta(s)) = L(s, \chi^{-1})$. Thus

$$\theta(s) = \sum_{\sigma \in G} \zeta_s(\sigma, s) \sigma^{-1}$$

where $\zeta_s(\sigma, s)$ is the “partial zeta function”, defined for $Res > 1$ by

$$\zeta_s(\sigma, s) = \sum_{(\mathfrak{a}, S)=1, \sigma_{\mathfrak{a}}=\sigma} \sigma_{\mathfrak{a}}^{-1} N\mathfrak{a}^{-s},$$

the sum being over the non-zero integral ideals \mathfrak{a} of O_k prime to S whose image $\sigma_{\mathfrak{a}}$ under the Artin map is σ .

The following remarkable conjecture has been formulated by Stark [17, IV] in case \mathfrak{p} is archimedean. Suppose that S contains a place \mathfrak{p} which splits completely in K . Let $T = S - \{\mathfrak{p}\}$, and suppose that T is not empty and contains the places which are ramified in K . Let $U^T = U_{S, K}^T$ denote the group of elements $\alpha \in U = U_{S, K}$ such that

$$\begin{aligned} \|\alpha\|_{\mathfrak{D}} &= 1 \text{ for } \mathfrak{D} | T, & \text{if } |T| \geq 2 \\ \|\alpha\|_{\mathfrak{D}} &\text{ is constant for } \mathfrak{D} | \mathfrak{q}, & \text{if } T = \{\mathfrak{q}\}. \end{aligned}$$

(4.2) CONJECTURE $St(S, K/k)$. *Suppose \mathfrak{p}, S , and T are as above. Let $\mathfrak{P} | \mathfrak{p}$. Then*

(I) *There is an element $u = u(\mathfrak{P}) \in QU$ such that*

$$\lambda u = \begin{cases} -\theta'_s(0)\mathfrak{P}, & \text{if } |T| \geq 2 \\ -\theta'_s(0)\left(\mathfrak{P} - \frac{1}{|G|}\mathfrak{q}\right), & \text{if } T = \{\mathfrak{q}\} \end{cases}$$

and such that u satisfies the equivalent conditions (i)-(v) of (4.1).

(II) *There is an element $\varepsilon = \varepsilon(\mathfrak{P}) \in U^T$ such that*

- (a) $\log \|\varepsilon^\sigma\|_{\mathfrak{P}} = -W\zeta'_s(\sigma, 0)$, for each $\sigma \in G$
- (b) $L'_s(0, \chi) = -\frac{1}{W} \sum_{\sigma \in G} \chi(\sigma) \log \|\varepsilon^\sigma\|_{\mathfrak{P}}$, for each $\chi \in \hat{G}$,

and such that $K(\varepsilon^{1/W})$ is abelian over k .

Note that (IIa) and (IIb) are equivalent. Moreover, if ε satisfies (II) then $u = W^{-1}\varepsilon$ satisfies (I) and vice versa; hence (I) and (II) are equivalent. Stark’s formulation is (IIb). The operator $\theta'(0) = \sum L'(0, \chi)e_{\bar{\chi}}$ is defined as a sum over all characters χ of G but in (I) we can replace it by the sum over the χ such that $r(\chi) = 1$, because $e_{\bar{\chi}}$ kills X if $r(\chi) = 0$, and $L'(0, \chi) = 0$ if $r(\chi) \geq 2$. Hence, by (3.1) the existence of $u \in QU$ satisfying the equations of (I), but not necessarily the conditions (i)-(v) of (4.1), is equivalent to the Main Conjecture (1.1)-(1.3) holding for each χ such that $r(\chi) = 1$. Conjecture $St(K/k, S)$ is independent of the choice of \mathfrak{P} dividing \mathfrak{p} , via $\varepsilon(\mathfrak{P}^\sigma) = \varepsilon(\mathfrak{P})^\sigma$; and it is in fact independent of the choice of splitting place $\mathfrak{p} \in S$ because of

(4.3) PROPOSITION. *Conjecture $St(K/k, S)$ is true if S contains two places \mathfrak{p} and \mathfrak{q} which split completely in K .*

If $G_{\mathfrak{p}}=G_{\mathfrak{q}}=1$, then $L'(0, \chi)=0$ for $\chi \neq 1$, and $\xi'(\sigma, 0)=n^{-1}\zeta'(0)$ for each $\sigma \in G$, where $n=|G|=[K:k]$. If $|S| \geq 3$, then $\zeta'(0)=0$ and (II) is true with $\varepsilon=1$. If $S=\{\mathfrak{p}, \mathfrak{q}\}$, then $\zeta'(0)=-h \log \|\eta\|_{\mathfrak{p}}/wn$, where w is the number of roots of unity in k , $h=|\text{Pic } O_S|$ is the "S class-number" of k , and η generates the group $O_S^{\times} \bmod \mu_k$, with $\|\eta\|_{\mathfrak{p}} > 1$, so that $\log \|\eta\|_{\mathfrak{p}}$ is the "S-regulator" of k . After cancellation of $\log \|\eta\|_{\mathfrak{p}}$, equation (IIa), with $\varepsilon=\eta^m$, reads $m=Wh/wn$. This is an integer! Indeed, w divides W obviously, and n divides h because K/k is split completely in S and unramified outside S , so that the reciprocity law gives a surjective homomorphism $\text{Pic } O_S \rightarrow G$. Moreover, $\varepsilon^{1/W}=\varepsilon_0^{1/w}$, where $\varepsilon_0=\eta^{h/n}$, so that $K(\varepsilon^{1/W})$ is indeed abelian over k .

(4.4) COROLLARY. *$St(K/k, S)$ is true if $K=k$.*

(4.5) COROLLARY. *$St(K/k, S)$ is true if k has more than one complex place. If \mathfrak{p} is non archimedean it is true if k is not totally real.*

Suppose $\mathfrak{q} \in S$. Then

$$\theta_{S \cup \{\mathfrak{q}\}}(s) = (1 - \sigma_{\mathfrak{q}}^{-1} N_{\mathfrak{q}}^{-s}) \theta_S(s).$$

Differentiating, putting $s=0$ gives

$$\theta'_{S \cup \{\mathfrak{q}\}}(0) = (1 - \sigma_{\mathfrak{q}}^{-1}) \theta'_S(0).$$

Hence, if u satisfies (I) for S , then $(1 - \sigma_{\mathfrak{q}}^{-1})u$ satisfies (I) for $S \cup \mathfrak{q}$. In particular,

(4.6) $St(K/k, S)$ implies $St(K/k, S')$ for any $S' \supset S$.

Suppose $k \subset K' \subset K$. Let $G' = \text{Gal}(K'/k)$. Then $\theta_{S, K'/k}(s)$ is the image of $\theta_{S, K/k}(s)$ under the homomorphism $C[G] \rightarrow C[G']$ induced by the natural map $G \rightarrow G'$.

(4.7) PROPOSITION. *$St(K/k, S)$ implies $St(K'/k, S)$ for $k \subset K' \subset K$.*

If $u \in \mathbf{Q}U_{S, K}$ satisfies (I) for K/k and \mathfrak{P} , then $u' = N_{K/K'} u \in \mathbf{Q}U_{S, K'}$ satisfies (I) for K'/k and $\mathfrak{P}' = N_{K/K'} \mathfrak{P}$. (To verify that u' satisfies 4.1 use (iii), with $\varepsilon'_{\mathfrak{p}} = N_{K/K'} \varepsilon_{\mathfrak{p}}$.)

(4.8) THEOREM. *$St(K/k, S)$ is true if $k=\mathbf{Q}$ or if k is imaginary quadratic.*

This is proved by Stark [17, IV] if \mathfrak{p} is archimedean. For \mathfrak{p} non-archimedean

it is true for k imaginary quadratic by (4.5), and for $k=\mathbf{Q}$ by Stickelberger's factorization of Gauss and Jacobi sums, see [8].

Shintani's Theorem 2 of [16] is a version "over \mathbf{Q} " of $\text{St}(K/k, S)$, in case k is real quadratic, the splitting place \mathfrak{p} of k is archimedean, and K is a quadratic extension of an abelian extension of \mathbf{Q} , but is not itself abelian over \mathbf{Q} . It would be interesting to investigate whether his methods can be used to prove $\text{St}(K/k, S)$ in that case.

(4.9) PROPOSITION. $\text{St}(K/k, S)$ is true if $|S|=2$.

Let S_∞ denote the set of archimedean places of k . By (4.8) we can assume $|S_\infty| \geq 2$. Since $S_\infty \subset S$ this means $S=S_\infty=\{\mathfrak{p}, \mathfrak{q}\}$, say, with $G_{\mathfrak{p}}=\{1\}$. Since K/k is unramified outside S , it follows that -1 is a local norm at every place except possibly at \mathfrak{q} . Hence -1 is a local norm at \mathfrak{q} also, which means $G_{\mathfrak{q}}=1$. Hence the conjecture is true by (4.3).

The following seems to be the explanation of Stark's remark near the bottom of p. 199 of [17, IV].

(4.10) PROPOSITION. Suppose $[K:k]=2$. Let $n=|S|-1=|T|$, and let $m=2^{n-2}$. Then $\text{St}(K/k, S)$ is true with an ε in condition (II) such that $\varepsilon=\alpha^m$, where α is an element of K such that $K(\alpha^{1/m})$ is abelian over k .

We can suppose $n \geq 2$ by (4.9), and we can suppose $G_{\mathfrak{q}}=G$ for each $\mathfrak{q} \in T$ by (4.3). Let $G=\{1, \tau\}$. We can suppose k has at least 2 archimedean places by (4.8) and consequently, that τ is a complex conjugation and acts like -1 on roots of 1. With these assumptions one shows

$$\theta'_S(0) = L'(0, \chi) \left(\frac{1-\tau}{2} \right) = \frac{|\text{Coker}| 2^{n-2} (\log \|\eta\|_{\mathfrak{M}})}{W} (1-\tau)$$

where χ is the non-trivial character of G , Coker denotes the cokernel of the natural homomorphism $\text{Pic } O_{S,k} \rightarrow \text{Pic } O_{S,K}$, and η is the generator of $U_{\bar{S},K}/\mu_K$ such that $\|\eta\|_{\mathfrak{M}} > 1$ (here $U_{\bar{S},K}$ means the group of elements $\alpha \in U_{S,K}$ such that $\alpha^\tau = \alpha^{-1}$). For details see [21]. Thus we can take $\alpha = \eta^{-1/\text{Coker}}$. Since $\alpha^{1+\tau} = 1$ and $\mu_K^{1+\tau} = 1$, $K(\alpha^{1/m})/K$ is abelian (cf. (4.1), (v)).

Let $T_2 = \{\mathfrak{q} \in T \mid G_{\mathfrak{q}} \text{ is of order } 2\}$. As Stark suggests ([17, IV], p. 199), one can use (4.10) to prove that $\text{St}(K/k, S)$ is true whenever G is generated by the $G_{\mathfrak{q}}$ for $\mathfrak{q} \in T_2$. It might be interesting to consider the general case of G such that $G^2=1$.

(4.11) The "real" case; numerical confirmation. Suppose \mathfrak{p} is real. Then we can make the identifications $K_{\mathfrak{p}}=k_{\mathfrak{p}}=\mathbf{R}$, and $W=2$. Suppose the conjecture is true. Replacing ε by $-\varepsilon$ if necessary we can suppose $\varepsilon > 0$, and ε is then

unique. Let $P(x) = \prod_{\sigma \in G} (x - \varepsilon^\sigma) = \sum_{i=0}^n (-1)^i \alpha_i x^{n-i}$, $n = |G|$, be the field equation for ε over k . Since $\sqrt{\varepsilon}$ is abelian over k we have $\varepsilon^\sigma > 0$ for each $\sigma \in G$, and formula (IIa) is equivalent to

$$\varepsilon^\sigma = e^{-W \zeta'_S(\sigma, 0)}, \quad \text{for } \sigma \in G.$$

Calculating $\zeta'_S(\sigma, 0)$ to high accuracy gives approximations $\tilde{\varepsilon}_\sigma$ to ε^σ . The symmetric functions $\tilde{\alpha}_i$ of these $\tilde{\varepsilon}_\sigma$ then approximate the α_i in k_p . Since $\|\varepsilon\|_\mathfrak{D} = 1$ for $\mathfrak{D} \nmid \mathfrak{p}$ (assuming $|S| \geq 3$, which is no harm by (4.9)), the α_i are algebraic integers, and satisfy $\|\alpha_i\|_q \leq \binom{n}{i}^2$ for each archimedean place $q \neq \mathfrak{p}$, as well as $\|\alpha_i - \tilde{\alpha}_i\|_p < 10^{-N}$ for a large N . For N sufficiently large, these conditions determine α_i uniquely, once $\tilde{\alpha}_i$ is given; and if N is somewhat larger than necessary for unicity, then the probability of finding an α_i , given a random real number $\tilde{\alpha}_i$, is very small. Thus the conjecture can be well-tested by computing the $\tilde{\alpha}_i$ very accurately and then miraculously finding the $\alpha_i \in O_k$. One can also try to check then that the resulting $P(x)$ splits in K , and that the splitting field of $Q(x) = P(x^2)$ is abelian over k .

In essentially this way the conjecture has been tested by Shintani and Stark in many cases with k real quadratic [16] [17; III, IV] [18] and in one case with k cubic [17, IV].

§ 5. Conjecture BS ($K/k, T$). In case the splitting place $\mathfrak{p} \in S$ is non-archimedean the conjecture $\text{St}(K/k, S)$ can be conveniently reformulated, and leads to a refinement of an idea of A. Brumer; hence the name BS (Brumer-Stark).

Let T be a non-empty set of places of k containing the archimedean places and the places ramified in K . Let K^T denote the group of $\alpha \in K^*$ such that $\|\alpha\|_\mathfrak{D} = 1$ at each \mathfrak{D} above T , if $|T| \geq 2$, or such that $\|\alpha\|_\mathfrak{D}$ is constant at places $\mathfrak{D} | \mathfrak{q}$, if $T = \{\mathfrak{q}\}$. Suppose $\mathfrak{p} \notin T$ splits completely in K ($G_\mathfrak{p} = 1$), and put $S = T \cup \{\mathfrak{p}\}$. Then

$$\theta_S(s) = (1 - N\mathfrak{p}^{-s}) \theta_T(s)$$

and consequently

$$\theta'_S(0) = (\log N_\mathfrak{p}) \theta_T(0).$$

According to Siegel [13], and also Shintani [14], we have $\theta_T(0) \in \mathbb{Q}[G]$. Since $\log \|u\|_\mathfrak{B} = -(\log N\mathfrak{B}) \text{ord}_\mathfrak{B} u$, and $N\mathfrak{B} = N\mathfrak{p}$, the condition 4.2 (I) on $u \in \mathbb{Q}K^*$ is equivalent to $u \in \mathbb{Q}K^T$ and $(u) = \mathfrak{B}^{\theta_T(0)}$, where $(u) = \prod \mathfrak{D}^{\text{ord}_\mathfrak{D} u} \in \mathcal{I}_{\mathcal{S}_K}$ is the "ideal" of u (here \mathcal{S}_K denotes the ideal group of K and the homomorphism $u \rightarrow (u)$ from $\mathbb{Q}K^*$ to $\mathcal{I}_{\mathcal{S}_K}$ is the unique extension of the one from K^* to \mathcal{S}_K which associates to each $\alpha \in K^*$ its ideal $(\alpha) \in \mathcal{S}_K$).

Let $\mathcal{I}_{T, K/k}$ denote the subgroup of \mathcal{I}_K consisting of the ideals \mathfrak{A} of K such that $\mathfrak{A}^{\theta_T(0)} = (u)$, with $u \in \mathbb{Q}K^T$ satisfying the equivalent conditions (i)-(v) of

(4.1). As we have just discussed, if $\mathfrak{p} \in T$, $G_{\mathfrak{p}} = \{1\}$, and $\mathfrak{P} | \mathfrak{p}$, then

(5.1) $\text{St}(K/k, T \cup \{\mathfrak{p}\})$ is true $\Leftrightarrow P \in \mathcal{G}_{T, K/k}$.

On the other hand,

(5.2) We have $(\alpha) \in \mathcal{G}_{T, K/k}$ for each $\alpha \in K^*$.

Indeed, put $u = \bar{a}^{\theta_T(0)} \in \mathbb{Q}K^*$. Then u satisfies condition 4.1 (iv) with $\varepsilon_a = \alpha^{a\theta_T(0)}$ for each $a \in A$. (Here we use the deep result of Deligne-Ribet [6], and also of Barsky and Cassou-Nogués, [2]), that $a\theta_T(0) \in \mathbb{Z}[G]$ for each $a \in A$.) Moreover, $u \in \mathbb{Q}K^T$ in view of

(5.3) LEMMA. For each subgroup $H \subset G$, let $[H] = \sum_{\sigma \in H} \sigma \in \mathbb{Z}[G]$. Then for each $\mathfrak{q} \in T$

$$[G_{\mathfrak{q}}]_{\theta_T(0)} = \begin{cases} 0, & \text{if } |T| \geq 2 \\ \text{a multiple of } [G], & \text{if } T = \{\mathfrak{q}\}. \end{cases}$$

Indeed, for each character $\chi \neq 1$ of G we have $\chi([G_{\mathfrak{q}}]_{\theta_T(0)}) = \chi([G_{\mathfrak{q}}])L(0, \chi^{-1}) = 0$, because $L(0, \chi^{-1}) = 0$ if χ is trivial on $G_{\mathfrak{q}}$. If $|T| \geq 2$, then $L(0, \chi) = 0$ for $\chi = 1$ also.

These considerations motivate

(5.4) CONJECTURE BS($K/k, T$). We have $\mathcal{G}_{T, K/k} = \mathcal{G}_K$. In other words, for each ideal \mathfrak{A} of K , there is an $\alpha \in K^T$ such that $K(\alpha^{1/W})$ is abelian over k , and such that $A^{W\theta_T(0)} = (\alpha)$.

The idea that the operator $W\theta_T(0)$ kills the ideal class group $\text{Pic } O_K$ is due to A. Brumer and generalizes the Stickelberger factorization of Gauss sums (see Coates [5], for example). The idea that $\alpha^{1/W}$ is abelian over k , which generalizes the fact that the Gauss sums lie in cyclotomic fields, is, as we have seen, due to Stark. Hence it seems reasonable to name this conjecture Brumer-Stark. From 5.1 and 5.2 follows

(5.5) PROPOSITION. Let P be a (finite or infinite) set of places of k disjoint from T such that each $\mathfrak{p} \in P$ splits completely in K and such that the primes \mathfrak{P} of K above P generate the class group $\text{Pic } O_K$. Then BS($K/k, T$) is true if and only if $\text{St}(K/k, T \cup \{\mathfrak{p}\})$ is true for each $\mathfrak{p} \in P$. In particular, BS($K/k, T$) is equivalent to $\text{St}(K/k, T \cup \{\mathfrak{p}\})$ holding for all splitting $\mathfrak{p} \in T$.

Thus, “St” for non-archimedean \mathfrak{p} is equivalent to “BS”, and the results (4.3)-(4.10) for “St” yield corresponding results for “BS” which we don’t bother

to list.

As B. Mazur remarked, "BS" has an obvious function-field analog. This has been proven by P. Deligne. He uses "1-motives" to establish property 4.1 (iii) for u , via the theorem of Weil expressing L -series as characteristic polynomials of the Frobenius morphism; see [21] for details.

Taken all together, the evidence for the conjectures seems to me overwhelming.

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