

Exponential sums associated with a Freudenthal quartic^{*)}

Dedicated to Professor Y. Kawada on his 60th birthday

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Introduction. The connected simply connected simple group of type E_7 over C can be realized as a group of matrices of degree 56; the corresponding ring of invariants is generated over C by a quartic, say J ; and the matrix group, say G , becomes the identity component of the algebraic group of all linear transformations in the 56 dimensional space which keep J invariant. We shall assume that the coefficients of J are contained in an algebraic number field k and we plan to prove theorems for J which are similar to known theorems for a quadratic form. The reason why we have chosen J is that it is one of the most interesting invariants of what we called "absolutely admissible representations."

We shall explain the main topic of this paper: for the sake of simplicity (of the explanation) assume that J has rational coefficients and choose a good prime number p ; for any integer ε not divisible by p and for $m=1, 2, 3, \dots$ put

$$F^*(p^{-m}\varepsilon) = p^{-56m} \cdot \sum_{x \bmod p^m} e(p^{-m}\varepsilon J(x));$$

then by the theory of asymptotic expansions in [6] (and by a result of G. R. Kempf on "numerical data") we will have

$$F^*(p^{-m}\varepsilon) = a^*(\varepsilon)p^{-(5+1/2)m} + \dots$$

for all large m , in which $a^*(\varepsilon)$ also depends on $m \bmod 2$. However to determine $a^*(\varepsilon)$ and other terms explicitly for all m was a difficult problem; and we shall settle this problem in this paper.

The above local result implies some global theorems, e. g., the validity of a certain Poisson formula for J ; this we have included in the last section. We might mention that the method we have used to determine $F^*(p^{-m}\varepsilon)$ can be applied to the computation of similar sums associated with various other invariants.

1. Preliminaries. We shall denote by Ω a universal field; if X is an algebraic variety defined over a field K ; we shall denote by X_K the set of K -

^{*)} This work was partially supported by the National Science Foundation.

rational points of X ; and if R is a subring of K and if X is, e. g., an affine variety, then we shall denote by X_R or sometimes by X^0 the set of R -rational elements of X_K . We shall denote by R^\times the group of units of R ; in particular $K^\times = K - \{0\}$. If F is a morphism of an affine space X to another, we shall denote by $\text{Sim}(X, F)$ the algebraic group of all invertible linear transformations g in X satisfying $F(gx) = n(g)F(x)$ with $n(g)$ in Ω^\times and by $\text{Aut}(X, F)$ the normal subgroup of $\text{Sim}(X, F)$ defined by $n(g) = 1$; if E is an alternating morphism of $X \times X$ to another affine space, then we shall denote $\text{Aut}(X \times X, E)$ by $Sp(X, E)$.

Suppose that $\text{char}(\Omega) \neq 2, 3$ and let A denote a simple Jordan algebra of degree 3 over Ω ; for every a in A let $Q(a)$ denote the trace of $(1/2)a^2$ and $\det(a)$ the norm of a ; then a^* can be defined generically as $aa^* = \det(a)e$, in which e is the identity element of A . We refer to Jacobson [8] for the details. Consider the affine space $X = (A + \Omega) \times (A + \Omega)$ and denote elements of X by $x = (a + \alpha, b + \beta)$, etc.; then we call

$$J(x) = Q(a^*, b^*) + \det(a)\beta + \det(b)\alpha - \frac{1}{4}(Q(a, b) - \alpha\beta)^2$$

a *Freudenthal quartic*; cf. [3]. We say that A is defined over K if A and the law of composition in A are defined over K and if e is in A_K ; we say that A is K -reduced if further $\det(a) = 0$ has a nontrivial solution in A_K or, equivalently, if a primitive idempotent u is contained in A_K . In this case A can be expressed rationally over K as a Jordan algebra of twisted hermitian matrices of degree 3 with coefficients in a composition algebra C ; and if we choose a basis for C consisting of elements of C_K , then we will have coordinates in X such that $J(x)$ becomes a homogeneous polynomial of degree 4 in $6 \dim(C) + 8$ variables with coefficients in K . The Jordan algebra is called exceptional if $\dim(C) = 8$, i. e., if C is an octonion algebra. In the following we shall assume that A is exceptional.

If C splits over K , then we can identify X rationally over K with the space of ordered pairs of alternating matrices of degree 8 such that if x corresponds to (y, z) , then

$$J(x) = Pf(y) + Pf(z) - \frac{1}{4} \text{tr}((yz)^2) + \left(-\frac{1}{4} \text{tr}(yz)\right)^2;$$

this is the classical expression for $J(x)$; cf. Freudenthal [2]. For our later purpose we shall give an explicit correspondence between x and (y, z) : let M_2 denote the quaternion algebra over Ω of 2-by-2 matrices with the usual involution $\xi \rightarrow \xi'$; then C can be identified (rationally over K) with the octonion algebra $M_2 \times M_2$, in which the law of composition and the quadratic form are defined respectively by

$$\begin{aligned} (\xi_1, \eta_1)(\xi_2, \eta_2) &= (\xi_1\xi_2 - \eta_2'\eta_1, \eta_2\xi_1 + \eta_1\xi_2'), \\ q(\xi, \eta) &= \det(\xi) + \det(\eta); \end{aligned}$$

and A can be identified with the Jordan algebra $H_3(C)$ of hermitian matrices of degree 3 with coefficients in C . And we have only to put

$$\begin{aligned} a_{11} &= y_{12}, & a_{22} &= y_{34}, & a_{33} &= y_{56}, & \alpha &= z_{78}, \\ a_{23} &= \left(\begin{pmatrix} y_{36} & -y_{35} \\ y_{46} & -y_{45} \end{pmatrix}, \begin{pmatrix} z_{17} & z_{27} \\ z_{18} & z_{28} \end{pmatrix} \right), & \text{etc.}; \\ b_{11} &= z_{12}, & b_{22} &= z_{34}, & b_{33} &= z_{56}, & \beta &= y_{78}, \\ b_{23} &= \left(\begin{pmatrix} -z_{45} & -z_{46} \\ z_{35} & z_{36} \end{pmatrix}, \begin{pmatrix} -y_{28} & y_{18} \\ y_{27} & -y_{17} \end{pmatrix} \right), & \text{etc.} \end{aligned}$$

We shall summarize further results in the following two lemmas:

LEMMA 1. *Let K denote a field of $\text{char}(K) \neq 2, 3$ and A an exceptional simple Jordan algebra defined over K ; then $\text{Aut}(A, \det)$ is a K -form of the connected simply connected simple group of type E_6 and $\text{Aut}(A, \det)$ -orbits in $A - \{0\}$ defined over K are $\det^{-1}(i)$ for i in K^* and if A is K -reduced, then the orbits of $e-u$, u in $\det^{-1}(0)$. If the coefficient algebra C of A splits over K , then $\text{Aut}(A, \det)_K$ acts transitively on the set of K -rational points of each orbit and $\text{Sim}(A, \det)_K$ acts transitively on the union of $\det^{-1}(i)_K$ for all i in K^* . Finally if $K = \mathbf{F}_q$ with q relatively prime to 6, then the above conditions are satisfied and*

$$\text{card}(\det^{-1}(i)_K) = q^{26}(1-q^{-5})(1-q^{-9})$$

and the cardinalities of the $\text{Aut}(A, \det)_K$ -orbits of $e-u$, u are respectively equal to

$$\begin{aligned} q^{26}(1-q^{-4})^{-1}(1-q^{-5})(1-q^{-9})(1-q^{-12}), \\ q^{17}(1-q^{-4})^{-1}(1-q^{-9})(1-q^{-12}). \end{aligned}$$

This lemma is well known; cf., e.g., Mars [9]. We also recall that the $\text{Aut}(A, \det)$ -orbits of $e-u$ and u can be defined respectively by $\det(a) = 0$, $a^* \neq 0$ and $a^* = 0$, $a \neq 0$.

LEMMA 2. *Suppose that K, A are as in Lemma 1 and $J(x)$ is the corresponding Freudenthal quartic; put*

$$E(x, x') = Q(a, b') - Q(a', b) - (\alpha\beta' - \alpha'\beta)$$

and $G = \text{Aut}(X, J) \cap \text{Sp}(X, E)$; then G is a K -form of the connected simply connected simple group of type E_7 and the G -orbits in $X - \{0\}$ defined over K are $J^{-1}(i)$ for i in K^* and the orbits of $(e, 0)$, $(e-u, 0)$, $(u, 0)$ in $J^{-1}(0)$. If C splits over K , then G_K acts transitively on the set of K -rational points of each orbit and $\text{Sim}(X, J)_K$ acts transitively on the union of $J^{-1}(i)_K$ as i runs over any coset in $K^*/(K^*)^2$. Finally if $K = \mathbf{F}_q$ with q relatively prime to 6 and if χ denotes the unique nontrivial character of $K^*/(K^*)^2$, then

$$\text{card}(J^{-1}(i)_K) = q^{55}(1 + \chi(-i)q^{-5})(1 + \chi(-i)q^{-9})(1 - q^{-14})$$

and the cardinalities of the G_K -orbits of $(e, 0)$, $(e-u, 0)$, $(u, 0)$ are respectively equal to

$$\begin{aligned} & q^{55}(1 - q^{-10})(1 - q^{-14})(1 - q^{-18}), \\ & q^{45}(1 - q^{-4})^{-1}(1 - q^{-12})(1 - q^{-14})(1 - q^{-18}), \\ & q^{28}(1 + q^{-5})(1 + q^{-9})(1 - q^{-14}). \end{aligned}$$

PROOF. Since this lemma is not as well known as Lemma 1, we shall outline a proof: the proof of the first part can be found, e. g., in [5], pp. 425-435; there we also showed that $J^{-1}(i)_K$ is a G_K -orbit if $-i$ is in $(K^\times)^2$ and that every x in $J^{-1}(0)_K$ is G_K -equivalent to $(a_0, 0)$. We shall show in §2 that every x in $J^{-1}(i)_K$ for any i in K^\times is G_K -equivalent to $(a_0, 1)$. We recall that every s in $\text{Sim}(A, \det)$ gives rise to an element of G as

$$s \cdot (a + \alpha, b + \beta) = (sa + n(s)\alpha, {}^t s^{-1}b + n(s)^{-1}\beta).$$

In view of Lemma 1, therefore, if C splits over K , then G_K acts transitively on the set of K -rational points of each orbit. (In the case where $\text{char}(K) = 0$ this was proved by Haris in [4].) Moreover if g_t for $t \neq 0$ denotes the invertible linear transformation in X defined by

$$g_t(a + \alpha, b + \beta) = (a + t^{-1}\alpha, tb + t^2\beta),$$

then we have $J(g_t x) = t^2 \cdot J(x)$. Therefore g_t is in $\text{Sim}(X, J)$ and if C splits over K , then $\text{Sim}(X, J)_K$ acts transitively on the union of $J^{-1}(i)_K$ as i runs over any coset in $K^\times / (K^\times)^2$. This proves the second part; the third part can be proved, e. g., as follows:

We know all stabilizers of G explicitly as Ω -groups; they are connected and simply connected. As for the stabilizers as K -groups, suppose that C splits over K ; then we have only to consider stabilizers at 0 , $(u, 0)$, $(e-u, 0)$, $(e, 0)$ and at various x in X_K such that $J(x)$ runs over a complete set of representatives of $K^\times / (K^\times)^2$. And except for those with $-J(x)$ in $K^\times - (K^\times)^2$ they are K -split groups. After this remark we take $K = \mathbf{F}_q$ with q relatively prime to 6; we choose i_0, i_1 from K^\times such that $\chi(-i_0) = 1$, $\chi(-i_1) = -1$; and we shall denote by U any G -orbit in $J^{-1}(0)$. Then we can calculate $\text{card}(U_K)$ for every U and $\text{card}(J^{-1}(i_0)_K)$ because we know the cardinalities of G_K and the corresponding stabilizers in G_K ; cf. [1]. Therefore we can also calculate $\text{card}(J^{-1}(i_1)_K)$ via the following obvious relation:

$$\sum_U \text{card}(U_K) + \frac{1}{2}(q-1)(\text{card}(J^{-1}(i_0)_K) + \text{card}(J^{-1}(i_1)_K)) = q^{56};$$

and we get the expressions in the lemma. q. e. d.

2. Digression. We shall show in the general case, i. e., without assuming that C splits over K , that every x in X_K for which $J(x) \neq 0$ is G_K -equivalent to $(a_0, 1)$. As we have recalled, $J^{-1}(i)_K$ for $-i$ in $(K^\times)^2$ is a G_K -orbit. Therefore we may assume that $-J(x)$ is not in $(K^\times)^2$; we may also assume that $x = (a + \alpha, \beta)$; cf. [5], pp. 427-428. Then

$$J(a + \alpha, \beta) = \det(a)\beta - \left(\frac{1}{2}\alpha\beta\right)^2$$

implies that $\det(a)\beta \neq 0$; and we have only to show that x is G_K -equivalent to (a^*, β^*) because (a^*, β^*) is G_K -equivalent to $(a_0, 1)$; cf. Lemma 1. For unspecified elements c, d of A_K we define the corresponding elements u_c, v_d of G as in op. cit., pp. 425-426 and put $u_c v_d x = (a^* + \alpha^*, b^* + \beta^*)$; then we will have $\alpha^* = 0, \beta^* = 0$ if and only if

$$\alpha + Q(a, d) - \det(d)\beta = 0, \quad (a - d^*\beta) \times c = d\beta.$$

If $d^* = 0$, then the conditions become $Q(a, d) + \alpha = 0, a \times c = d\beta$; and for any d in A_K the second equation has a unique solution c in A_K . Therefore we have only to find an element d of A_K satisfying $d^* = 0, Q(a, d) = -\alpha$; this can be done as follows:

In the notation of op. cit., pp. 403-404 we put

$$a = \lambda_0 u + x_0 + y_0, \quad d = \lambda u + x + y;$$

then by assumption

$$\det(a) = \lambda_0 Q_1(x_0) + Q(x_0, y_0^*) \neq 0$$

and the conditions to be satisfied become

$$d^* = Q_1(x)u + (\lambda x' + y^*) - 2x'y = 0,$$

$$Q(a, d) = \lambda_0 \lambda + Q(x_0, x) + Q(y_0, y) = -\alpha.$$

If $\lambda_0 \neq 0$, we take $\lambda = -\lambda_0^{-1}\alpha, x = 0, y = 0$; if $\lambda_0 = 0, Q(y_0) \neq 0$, we take $\lambda = 0, x = -Q(x_0, y_0^*)^{-1}\alpha y_0^*, y = 0$; and if $\lambda_0 = Q(y_0) = 0$, we take $\lambda = -Q(x_0, y_0^*)^{-1}\alpha, x = -\lambda(y_0^*)', y = \lambda y_0$. In each case we can easily verify that d satisfies the required conditions.

For our later purpose we shall determine the stabilizer, say H , of $(A + \mathcal{Q}) \times (A + \mathcal{Q}^\times)$ in G , i. e., the subgroup of G consisting of all g which keeps the above subset of X invariant. We have recalled that $\text{Sim}(A, \det)$ is embedded in G ; we shall denote by V the unipotent subgroup of G consisting of all v_d . Then the product $\text{Sim}(A, \det)V$ is semidirect and it is contained in H ; we shall show that H is contained in $\text{Sim}(A, \det)V$, and hence $H = \text{Sim}(A, \det)V$:

Let g denote an arbitrary linear transformation in X and express g as a 4-by-4 matrix composed of

$$\begin{pmatrix} s_{ij} & c_{ij} \\ Q(d_{ij},) & \lambda_{ij} \end{pmatrix},$$

in which s_{ij} is a linear transformation in A , c_{ij} , d_{ij} are in A , and λ_{ij} is in Ω , for $i, j=1, 2$. Put $g(a+\alpha, b+\beta)=(a^*+\alpha^*, b^*+\beta^*)$; then we get

$$\beta^*=Q(d_{21}, a)+\lambda_{21}\alpha+Q(d_{22}, b)+\lambda_{22}\beta.$$

If g is in H , then $\beta \neq 0$ implies $\beta^* \neq 0$ for all a, α, b , hence $d_{21}=d_{22}=0, \lambda_{21}=0, \lambda_{22} \neq 0$. Since g is in $Sp(X, E)$, that will imply $c_{11}=c_{21}=0, \lambda_{11}=\lambda_{22}^{-1}$. By multiplying an element of $\text{Sim}(A, \det)$ to g we may assume that $\lambda_{11}=1$; then g is in the stabilizer of G at $(1, 0)$, which is $\text{Aut}(A, \det)V$; cf. op. cit., p. 431. This completes the proof.

3. Key lemma and its effect. We shall first reformulate our problem in the language of Weil [10]: let K denote a p -field, i.e., the completion of a global field by a non-archimedean absolute value; let R denote the maximal compact subring of K , P the maximal ideal of R , and q the cardinality of R/P . Choose an element π of $P-P^2$ and denote by $|\cdot|$ the absolute value on K normalized as $|\pi|=q^{-1}$; fix a character ϕ of K which is trivial on R but not on P^{-1} . We shall keep the notation A, X , etc. in §§ 1-2; we shall assume that q is relatively prime to 6; we shall also assume that $A=H_3(C)$ with $C=M_2 \times M_2$ and drop the subscript K from A_K, X_K , etc.; accordingly we shall denote A_R, X_R , etc. by A^0, X^0 , etc. Let $|dx|$ denote the Haar measure on X such that X^0 is of measure 1 and for any given element i^* of K put

$$F^*(i^*)=\int_{X^0} \phi(i^*J(x))|dx|;$$

then we certainly have $F^*(i^*)=1$ if i^* is in R . Therefore we shall assume that i^* is in $\pi^{-m}R^\times=P^{-m}-P^{-m+1}$ for some $m \geq 1$; then we can write

$$F^*(i^*)=q^{-56m} \cdot \sum_{x \bmod P^m} \phi(i^*J(x)).$$

The problem is to obtain an explicit formula for $F^*(i^*)$; cf. the introduction.

At any rate by decomposing $X^0-\{0\}$ into the union of $\pi^k X^0-\pi^{k+1} X^0$ for $k=0, 1, 2, \dots$ we can rewrite $F^*(i^*)$ as

$$F^*(i^*)=q^{56[-(1/4)m]} + \sum_{0 \leq k < m} q^{-56k} \cdot I_k,$$

in which

$$I_k=\int_{X^0-\pi X^0} \phi(\pi^{4k} i^* J(x))|dx|.$$

In order to compute this integral we shall use the following simple lemma (which we have formulated with the aid of K. Igusa):

LEMMA 3. *Let E denote a compact commutative group, E' an open subgroup of E , and F a union of cosets in $(E-E')/E'$; let dx denote a Haar measure on*

E. Let \mathcal{G} denote a group of bicontinuous automorphisms of E which keep E' invariant, \mathcal{A} the stabilizer of F in \mathcal{G} , and assume that $\mathcal{G}F = E - E'$. Then there exists an \mathcal{A} -invariant function μ on F/E' such that for every \mathcal{G} -invariant continuous function Φ on $E - E'$ we have

$$\int_{E - E'} \Phi(x) dx = \int_F \Phi(x) \mu(x) dx.$$

PROOF. We shall use the plus-sign for group-theoretic sums in E while the minus-sign is reserved for set-theoretic differences: we observe that every g in \mathcal{G} is measure-preserving on E and also it gives an automorphism of the finite commutative group E/E' . Let \mathcal{N} denote the normal subgroup of \mathcal{G} consisting of all g such that $g(E' + x) = E' + x$ for every x in E ; then \mathcal{A} contains \mathcal{N} and the quotient group \mathcal{A}/\mathcal{N} is finite. We express F as

$$F = \coprod_{\xi \bmod E'} (E' + \xi)$$

and then $E - E'$ as

$$E - E' = \coprod_{\xi \bmod E'} \coprod_i (E' + g_{\xi i} \xi)$$

with $g_{\xi i}$ in \mathcal{G} for $1 \leq i \leq \mu_0(\xi)$; this is possible by assumption. We shall fix such a non-intrinsic subset $\{g_{\xi i}\}$ of \mathcal{G} and define $\mu_0(x)$ as $\mu_0(\xi)$ if x is in $E' + \xi$; then μ_0 becomes an \mathcal{N} -invariant function on F/E' . Finally for every x in F we define $\mu(x)$ as

$$\mu(x) = \text{card}(\mathcal{A}/\mathcal{N})^{-1} \cdot \sum_{h \bmod \mathcal{N}} \mu_0(hx),$$

in which h runs over a complete set of representatives of \mathcal{A}/\mathcal{N} . It is easy to verify that μ has the required properties. q. e. d.

REMARK. If a coset $E' + x$ in F/E' satisfies the condition that $\mathcal{G}(E' + x) \cap F = \mathcal{A}(E' + x)$, then we will have

$$\text{card}(\mathcal{G}(E' + x)/E') = \mu(x) \cdot \text{card}(\mathcal{A}(E' + x)/E').$$

In fact this is the special case of the formula in the lemma with the characteristic function of $\mathcal{G}(E' + x)$ as Φ . Therefore if the above condition is satisfied, then $\mu(x)$ becomes intrinsic and it is group-theoretically computable.

We shall go back to the integral I_k : in Lemma 3 we take X^0 as E , πX^0 as E' , the subset $(A^0 + R) \times (A^0 + R^\times)$ of X^0 as F , $|dx|$ as dx , and G_R for the group G in Lemma 2 as \mathcal{G} . We observe that if we disregard signs of coordinates, then \mathcal{G} acts transitively on the set of 56 coordinates of (y, z) . Therefore the condition $\mathcal{G}F = E - E'$ is certainly satisfied. And if we take $\phi(\pi^{4k} i^* J(x))$ as $\Phi(x)$, then the formula in Lemma 3 becomes

$$I_k = \int_{(A^0 + R) \times (A^0 + R^\times)} \phi(\pi^{4k} i^* J(x)) \mu(x) |dx|.$$

We observe that the group \mathcal{H} contains v_d for any d in A^0 . We express I_k as the following iterated integral:

$$I_k = \int_{A^0 \times R^\times} \left(\int_{A^0 \times R} \phi(\pi^{4k} i^* J(x)) \mu(x) |dad\alpha| \right) |dbd\beta|$$

and apply v_d for $d = -\beta^{-1}b$ to the variable (a, α) in the first integral. Since $v_d x = (a^* + \alpha^*, \beta)$ with

$$a^* = a + \beta^{-1}b^*, \quad \alpha^* = \alpha - \beta^{-1}Q(a, b) - 2\beta^{-2} \det(b),$$

the change of variable $(a, \alpha) \rightarrow (a^*, \alpha^*)$ is measure-preserving. Therefore we get

$$\begin{aligned} I_k &= \int_{A^0 \times R \times R^\times} \phi\left(\pi^{4k} i^* \left(\det(a)\beta - \left(\frac{1}{2}\alpha\beta\right)^2\right)\right) \mu(a + \alpha, \beta) |dad\alpha d\beta| \\ &= \sum'_{\alpha_0, \alpha_0, \beta_0} \mu(a_0 + \alpha_0, \beta_0) I_k(a_0, \beta_0) II_k(\alpha_0, \beta_0), \end{aligned}$$

in which (a_0, α_0, β_0) runs over $A^0 \times R \times R^\times \pmod P$ and

$$\begin{aligned} I_k(a_0, \beta_0) &= \int_{a=a_0, \beta=\beta_0} \phi(\pi^{4k} i^* \det(a)\beta) |dad\beta|, \\ II_k(\alpha_0, \beta_0) &= \int_{\alpha=\alpha_0} \phi\left(-\pi^{4k} i^* \left(\frac{1}{2}\alpha\beta\right)^2\right) |d\alpha|. \end{aligned}$$

These integrals can easily be computed; for the convenience of some readers we shall give the details.

If $\det(a_0) \not\equiv 0 \pmod P$, then we get

$$I_k(a_0, \beta_0) = \begin{cases} q^{-28} \phi(\pi^{m-1} i^* \det(a_0)\beta_0) & k = \frac{1}{4}(m-1) \\ 0 & \text{otherwise;} \end{cases}$$

no comment seems necessary. If $\det(a_0) \equiv 0, a_0^* \not\equiv 0 \pmod P$, then we get

$$I_k(a_0, \beta_0) = \begin{cases} q^{-28} & k = \frac{1}{4}(m-1) \\ 0 & \text{otherwise} \end{cases}$$

as follows: by Lemma 1 and Hensel's lemma we may assume that $a_0 \equiv e - u \pmod P$; then in the notation of [5], pp. 403-404 we have $a = \lambda u + x + y \equiv a_0 \pmod P$ if and only if $\lambda \equiv 0, x \equiv e - u, y \equiv 0 \pmod P$; and this implies $Q_1(x) \equiv 1 \pmod P$. If we fix x, y , then

$$\lambda \longrightarrow \lambda^* = \lambda + Q_1(x)^{-1}Q(x, y^*)$$

is measure-preserving (on the space $\lambda \equiv 0 \pmod P$) and $\det(a) = \lambda^* Q_1(x)$. Therefore we get

$$\begin{aligned} \int_{\lambda \equiv 0} \phi(\pi^{4k} i^* \det(a)\beta) |d\lambda| &= \int_{\lambda \equiv 0} \phi(\pi^{4k} i^* Q_1(x)\beta\lambda) |d\lambda| \\ &= q^{-1} \text{ or } 0 \end{aligned}$$

according as $k = -\frac{1}{4}(m-1)$ or otherwise; the rest is clear. If $a_0 \equiv 0, a_0 \not\equiv 0 \pmod{P}$, then we get

$$I_k(a_0, \beta_0) = \begin{cases} q^{-28} & k = \frac{1}{4}(m-1), \frac{1}{4}(m-2) \\ |i^*|^{-5} q^{20k-18} & \text{otherwise} \end{cases}$$

as follows: we may assume that $a_0 \equiv u \pmod{P}$; then we have $a = \lambda u + x + y \equiv a_0 \pmod{P}$ if and only if $\lambda \equiv 1, x \equiv 0, y \equiv 0 \pmod{P}$. If we fix λ, y , then

$$x \longrightarrow x^* = x + \lambda^{-1}(y^*)'$$

is measure-preserving and $\det(a) = \lambda Q_1(x^*)$. Therefore we get

$$\begin{aligned} \int_{x=0} \phi(\pi^{4k} i^* \det(a) \beta) |dx| &= \int_{x=0} \phi(\pi^{4k} i^* \lambda \beta Q_1(x)) |dx| \\ &= q^{-10} \text{ or } q^{-10} \cdot |\pi^{4k+2} i^*|^{-5} \end{aligned}$$

according as $k = \frac{1}{4}(m-1), \frac{1}{4}(m-2)$ or otherwise; the rest is clear. If $a_0 \equiv 0 \pmod{P}$, then we get

$$I_k(a_0, \beta_0) = q^{-28} \text{ or } (1-q^{-4})^{-1} ((1-q^{-9}) |i^*|^{-5} q^{20k-18} - q^{-4} (1-q^{-5}) |i^*|^{-9} q^{86k-1})$$

according as $k = \frac{1}{4}(m-1), \frac{1}{4}(m-2), \frac{1}{4}(m-3)$ or otherwise; this follows from the fact that

$$\int_{a_0} \phi(i^* \det(a)) |da| = (1-q^{-4})^{-1} ((1-q^{-9}) |i^*|^{-5} - q^{-4} (1-q^{-5}) |i^*|^{-9})$$

for every i^* in $K-R$; cf. Mars [9], p. 127. (Incidentally the left hand side is $F^*(i^*)$ for \det instead of J ; and the formula can be proved elementarily as we mentioned in [7]; it can also be proved by using Lemma 3.)

If $\alpha_0 \not\equiv 0 \pmod{P}$, then we get

$$II_k(\alpha_0, \beta_0) = \begin{cases} q^{-1} \phi\left(-\pi^{m-1} i^* \left(\frac{1}{2} \alpha_0 \beta_0\right)^2\right) & k = \frac{1}{4}(m-1) \\ 0 & \text{otherwise;} \end{cases}$$

this follows from the fact that the taking of squares is measure-preserving on $1+P$. Finally if $\alpha_0 \equiv 0 \pmod{P}$, then we get

$$II_k(\alpha_0, \beta_0) = \begin{cases} q^{-1} & k = \frac{1}{4}(m-1), \frac{1}{4}(m-2) \\ \gamma(i^*) |i^*|^{-1/2} q^{2k} & \text{otherwise,} \end{cases}$$

in which $\gamma(i^*)^4 = 1$; and this is classical. In fact we know that $\gamma(i^*) = 1$ for $m \equiv 0 \pmod{2}$ and if χ denotes the unique quadratic character of R^\times and

$$g_\chi = \int_{R^\times} \chi(\varepsilon) \phi(\pi^{-1} \varepsilon) |d\varepsilon|,$$

then

$$\gamma(i^*) = \chi(-\pi^m i^*) \cdot q^{1/2} g_x$$

for $m \equiv 1 \pmod{2}$.

4. Explicit formula for $F^*(i^*)$. We have reduced the problem to the calculation of $\mu(x_0)$ for some $x_0 \pmod{P}$. It will turn out that we have only to calculate $\mu(x_0)$ for $x_0 \equiv (u, 1), (0, 1) \pmod{P}$; and we shall use the remark after Lemma 3 for that purpose. We reduce $A^0, X^0, \mathcal{G} = G_R, \mathcal{A}$, etc. \pmod{P} and, just for this moment, denote the reduced finite sets simply by A, X, G, H , etc. Then H coincides with H_{F_q} for the group " H " in §2; and the condition to be verified becomes $Gx_0 \cap F = Hx_0$, in which $F = (A + F_q) \times (A + F_q^*)$. This can be verified as follows:

We observe that every x in F is H -equivalent to $x' = (a + \alpha, 1)$; cf. §2. Suppose that such an x is G -equivalent to $x_0 = (a_0, 1)$ for $a_0 = u$ or 0 ; then we get

$$J'(x') (= \text{grad}_{x'} J) = J'(x_0) = 0.$$

We can easily make this condition explicit and we get $a^* = 0, \alpha = 0$; hence $x' = (a, 1)$ with $a^* = 0$. We observe that x' is H -equivalent to x_0 ; cf. Lemma 1. Therefore the condition is satisfied.

According to the remark we have

$$\mu(x_0) = \text{card}(Gx_0) / \text{card}(Hx_0)$$

and we know $\text{card}(Gx_0)$ by Lemma 2. We can calculate $\text{card}(Hx_0)$ as follows: the stabilizer of H at x_0 can be identified with the stabilizer of $\text{Aut}(A, \det)$ at a_0 . Since

$$\text{card}(H) = \text{card}(\text{Aut}(A, \det)) \cdot q^{27}(q-1),$$

if $x_0 = (0, 1)$, then we get

$$\begin{aligned} \text{card}(Hx_0) &= q^{27}(q-1), \\ \mu(x_0) &= (1-q^{-1})^{-1}(1+q^{-5})(1+q^{-9})(1-q^{-14}); \end{aligned}$$

and if $x_0 = (u, 1)$, then by incorporating Lemma 1 we get

$$\begin{aligned} \text{card}(Hx_0) &= \text{card}(\text{Aut}(A, \det)u) \cdot q^{27}(q-1) \\ &= q^{45}(1-q^{-1})(1-q^{-4})^{-1}(1-q^{-9})(1-q^{-12}), \\ \mu(x_0) &= (1-q^{-1})^{-1}(1+q^{-9})(1-q^{-14}). \end{aligned}$$

We are ready to make $F^*(i^*)$ explicit.

We shall first calculate $F^*(i^*)$ for $m=1$ by a direct method: if we write $i^* = \pi^{-1}\varepsilon$ with ε in R^* , then by using Lemma 2 (and omitting the subscript F_q) we get

$$\begin{aligned}
 F^*(\pi^{-1}\varepsilon) &= q^{-56}(\text{card}(J^{-1}(0)) + \sum_{i \in \mathbb{F}_q^*} \text{card}(J^{-1}(i))\phi(ii^*)) \\
 &= q^{-28} + q^{-5-1/2}(1+q^{-4})(1-q^{-14})\gamma(\pi^{-1}\varepsilon).
 \end{aligned}$$

In the general case where $m \equiv 1 \pmod 4$ (and $m \geq 1$) we put $i^* = \pi^{-m}\varepsilon$; then we get $\gamma(i^*) = \gamma(\pi^{-1}\varepsilon)$. Moreover we have

$$F^*(i^*) = q^{-14(m+3)} + q^{-14(m-1)} \cdot I + \sum_{k=0}^{\langle m-1 \rangle/4-1} q^{-56k} \cdot I_k,$$

in which

$$I = I_{\langle m-1 \rangle/4} = q^{-29} \cdot \sum'_{\alpha_0, \alpha_0, \beta_0} \mu(\alpha_0 + \alpha_0, \beta_0) \phi(\pi^{-1}\varepsilon J(\alpha_0 + \alpha_0, \beta_0)).$$

Since I is independent of m , therefore, we get

$$I = F^*(\pi^{-1}\varepsilon) - q^{-56}$$

with $F^*(\pi^{-1}\varepsilon)$ as above. On the other hand we have

$$\begin{aligned}
 I_k &= \gamma(i^*) |i^*|^{-1/2} q^{2k} (|i^*|^{-5} q^{20k-18} \cdot \sum'_{\alpha_0, \beta_0} \mu(\alpha_0, \beta_0) \\
 &\quad + (1-q^{-4})^{-1} ((1-q^{-9}) |i^*|^{-5} q^{20k-13} \\
 &\quad - q^{-4} (1-q^{-5}) |i^*|^{-9} q^{36k-1}) \sum'_{\beta_0} \mu(0, \beta_0)),
 \end{aligned}$$

in which $\alpha_0^* \equiv 0$, $\alpha_0 \not\equiv 0 \pmod P$ and $\beta_0 \not\equiv 0 \pmod P$; hence

$$\begin{aligned}
 \sum'_{\alpha_0, \beta_0} \mu(\alpha_0, \beta_0) &= q^{18} (1-q^{-4})^{-1} (1-q^{-12}) (1-q^{-14}) (1-q^{-18}), \\
 \sum'_{\beta_0} \mu(0, \beta_0) &= q(1+q^{-5})(1+q^{-9})(1-q^{-14}).
 \end{aligned}$$

If we put these together, we will get an explicit formula for $F^*(i^*)$ in the present case, i.e., in the case where $m \equiv 1 \pmod 4$; we can similarly obtain explicit formulas for $F^*(i^*)$ in the other three cases. And the results can be stated as follows:

THEOREM 1. *Let i^* denote an element of $P^m - P^{m+1}$ for some $m \geq 1$ and define a fourth root of unity $\gamma(i^*)$ as*

$$\gamma(i^*) = \begin{cases} 1 & m \equiv 0 \pmod 2 \\ \chi(-\pi^m i^*) \cdot q^{-1/2} G_\chi & m \equiv 1 \pmod 2, \end{cases}$$

in which χ is the unique quadratic character of $R^* = R - P$ and

$$G_\chi = \sum_{\varepsilon \pmod P} \chi(\varepsilon) \phi(\pi^{-1}\varepsilon)$$

the corresponding Gaussian sum; then we have

$$\begin{aligned}
 F^*(i^*) &= \int_{x^0} \phi(i^*J(x))|dx| \\
 &= \frac{(1-q^{-14})(1-q^{-18})}{(1-q^{-4})(1-q^{-17})} \gamma(i^*) |i^*|^{-5-1/2} \\
 &\quad - q^{-4} \frac{(1-q^{-10})(1-q^{-14})}{(1-q^{-4})(1-q^{-9})} \gamma(i^*) |i^*|^{-9-1/2} \\
 &\quad + (q^{-14} + c(i^*)) |i^*|^{-14},
 \end{aligned}$$

in which

$$c(i^*) = \begin{cases} q^{-13} \frac{(1-q^{-1})(1+q^{-13})(1-q^{-14})}{(1-q^{-9})(1-q^{-17})} & m \equiv 0 \pmod{2} \\ q^{-17-1/2} \frac{(1-q^{-1})(1+q^{-4})(1-q^{-14})}{(1-q^{-9})(1-q^{-17})} \gamma(i^*) & m \equiv 1 \pmod{2}. \end{cases}$$

We know that F^* determines the “local singular series” $F(i)$ as

$$F(i) = \lim_{e \rightarrow \infty} \int_{P^{-e}} F^*(i^*) \phi(-ii^*) |di^*|$$

and F determines the “local zeta function” $Z(\omega)$ as

$$Z(\omega) = \int_{X^0 - J^{-1}(0)} \omega(J(x)) |dx| = \int_{K^\times} F(i) \omega(i) |di|,$$

in which ω is a quasi-character of K^\times ; cf. [6]. In this way we can transform Theorem 1 into the following equivalent statement:

COROLLARY. *Let χ denote the restriction of ω to R^\times , put $t = \omega(\pi)$, and assume that $|t| < 1$; then $Z(\omega)$ for $\chi = 1$ has*

$$(1 - q^{-1}t)(1 - q^{-11}t^2)(1 - q^{-19}t^2)(1 - q^{-28}t^2)$$

as its denominator and

$$\begin{aligned}
 &(1 - q^{-1})(1 - q^{-14})((1 + q^{-14}) - q^{-11}(1 + q^{-4} + q^{-8} - q^{-18})t \\
 &\quad + q^{-15}(1 - q^{-10} - q^{-14} - q^{-18})t^2 + q^{-30}(1 + q^{-14})t^3)
 \end{aligned}$$

as its numerator; $Z(\omega)$ for $\chi^2 = 1, \chi \neq 1$ is given by

$$\chi(-1)q^{-5} \frac{(1 - q^{-1})(1 + q^{-4})(1 - q^{-14})(1 - q^{-29}t^2)}{(1 - q^{-11}t^2)(1 - q^{-19}t^2)(1 - q^{-28}t^2)};$$

and $Z(\omega) = 0$ for $\chi^2 \neq 1$.

The above results give rise to some global theorems; of these we shall just explain the Poisson formula for J .

5. Poisson formula. Let k denote a global field, $f(x)$ a homogeneous polynomial in n variables x_1, x_2, \dots, x_n with coefficients in k such that $\text{char}(k)$ does

not divide $\text{deg}(f) \geq 2$, and X an n -space; for every i in k let $U(i)$ denote the k -open subset of the fiber $f^{-1}(i)$ defined by $f'(x) = \text{grad}_x f \neq 0$, $\theta_i(x)$ the residue of $(f(x) - i)^{-1} dx_1 \wedge \dots \wedge dx_n$ along $U(i)$. On the other hand let the subscript A denote the adelization relative to k and fix a nontrivial character ψ of k_A/k ; let $|\theta_i|_A$ denote the adelized measure on $U(i)_A$ associated with $\theta_i(x)$. Then the Poisson formula for $f(x)$ means the following identity:

$$\sum_{i \in k} |\theta_i|_A = \sum_{i^* \in k} \psi(i^* f(x))$$

of tempered distributions on X_A . This is a rather delicate formula: the restricted product measure $|\theta_i|_A$ may not exist; even if it exists, it may not be tempered; even if it is tempered, the sum of $|\theta_i|_A$ for all i in k may not be tempered; similarly the sum of $\psi(i^* f(x))$ for all i^* in k may not be tempered. We recall that any bounded measurable function ϕ on X_A is considered as a tempered distribution as

$$\phi(\Phi) = \int_{X_A} \phi(x) \Phi(x) dx|_A,$$

in which Φ is taken from the Schwartz-Bruhat space $S(X_A)$ of X_A and $dx|_A$ is the Haar measure on X_A such that X_A/X_k is of measure 1. We shall show that Theorem 1 and our result in [7] imply the following theorem:

THEOREM 2. *Let J denote the Freudenthal quartic associated with an exceptional simple Jordan algebra defined over an algebraic number field k ; then the Poisson formula is valid for J , i. e., we have*

$$\sum_{i \in k} \int_{U(i)_A} \Phi |\theta_i|_A = \sum_{i \in k} \int_{X_A} \psi(i^* J(x)) \Phi(x) dx|_A$$

for every Φ in $S(X_A)$; both series are absolutely convergent and the convergence is uniform if Φ is restricted to a compact subset of $S(X_A)$.

PROOF. We have only to show that the two conditions (C1), (C2) in op. cit., Theorem 1 are satisfied. (C1) requires that if S denotes the critical set of J defined by $J'(x) = 0$, then

$$\text{codim}_{J^{-1}(0)}(S) \geq 2.$$

Since the left hand side is 10, it is clearly satisfied. We shall recall (C2): let k_v denote the completion of k relative to a nonarchimedean absolute value $|\cdot|_v$ on k ; let \mathfrak{o}_v denote the ring of integers of k_v , \mathfrak{p}_v the maximal ideal of \mathfrak{o}_v , and $q = q_v$ the number of elements in $\mathfrak{o}_v/\mathfrak{p}_v$; the absolute value is extended to k_v and is normalized so that it is constant q^{-1} on $\mathfrak{p}_v - \mathfrak{p}_v^2$. Let ϕ_v denote the product of the canonical injection $k_v \rightarrow k_A$ and ϕ ; let $dx|_v$ denote the autodual measure on X_v relative to, e. g., the bicharacter $(x, x') \rightarrow \phi_v(E(x, x'))$ of $X_v \times X_v$, in which E is as in Lemma 2. We choose a basis for X from X_k and denote by X_v^0 the

compact open subgroup of X_v consisting of points with coordinates in \mathfrak{o}_v . Then (C2) requires the existence of $\sigma > 2$, which is independent of v , such that

$$\left| \int_{x_v^0} \phi_v(i^*J(x)) |dx|_v \right| \leq \max(1, |i^*|_v)^{-\sigma}$$

for every i^* in k_v and for almost all v . This is a consequence of Theorem 1 for the following reason:

We know that $\phi_v=1$ on \mathfrak{o}_v but not on \mathfrak{p}_v^{-1} , X_v^0 is of measure 1, the coefficients of $J(x)$ are in \mathfrak{o}_v , and the Jordan algebra, say A , by which J is defined has a good reduction mod \mathfrak{p}_v for almost all v . In particular A_v is k_v -reduced. Since every octonion algebra splits over a p -field, there exists an invertible linear transformation in X_v which transforms $J(x)$ into the classical expression. The point is that we can find such a linear transformation with coefficients in \mathfrak{o}_v ; and this follows from Hensel's lemma. Therefore we can apply Theorem 1 and we see that (C2) is satisfied, e. g., with $\sigma=5$. q. e. d.

We might mention that Theorem 2 remains valid even if we take as k a function field (of one variable with finite constant field) provided that $\text{char}(k) \neq 2, 3$; this follows from op. cit., Theorem 1 and from a result of Kempf on the desingularization of the singularities of $J=0$. And our last remark is the following: let J denote the quartic we have defined in the introduction, i. e., by the condition that the identity component of $\text{Aut}(X, J)$, where X is a 56 dimensional vector space, is a k -form of type E_7 ; then up to a constant factor it is the Freudenthal quartic associated with an exceptional simple Jordan algebra defined over k . This is one of the theorems in the classification theory of all "absolutely admissible representations" over k .

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(Received August 31, 1976)

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