

# Defect relations for equidimensional holomorphic maps

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The purpose of this paper is to state defect relations for equidimensional holomorphic maps from a ball in  $C^n$  into a projective algebraic manifold of dimension  $n$ , generalizing results of Carlson and Griffiths [1].

Denote by  $B[R]$  the ball of radius  $R$  ( $0 < R \leq +\infty$ ) in  $C^n$ . Let  $W$  be a projective algebraic manifold of dimension  $n$ . We shall consider holomorphic maps  $f: B[R] \rightarrow W$ . We assume  $f$  to be non-degenerate in the sense that the Jacobian of  $f$  does not vanish identically. For an effective divisor  $D$  on  $W$ , the defect  $\delta(D)$  is defined by

$$\delta(D) = 1 - \limsup_{r \rightarrow R} [N(D, r)/T(D, r)],$$

where  $N(D, r)$  is the counting function for  $D$  and  $T(D, r)$  is the order function for  $f$  with respect to the associated line bundle  $[D]$  (see §2). Then  $\delta(D) \leq 1$ , and  $\delta(D) = 1$  if  $f(B[R])$  does not meet  $D$ . Further if  $T(D, r) \rightarrow +\infty$  as  $r \rightarrow R$ , we have  $0 \leq \delta(D)$ . In case  $R = +\infty$ , the inequality  $0 \leq \delta(D) \leq 1$  always holds. If  $D$  consists of irreducible divisors  $D_1, \dots, D_k$ , then

$$\sum_{i=1}^k \{\liminf_{r \rightarrow R} [T(D_i, r)/T(D, r)]\} \delta(D_i) \leq \delta(D).$$

We say that  $D$  has *simple normal crossings* if each  $D_i$  is non-singular and  $D$  has normal crossings.

Let  $L$  be a line bundle on  $W$ . For any positive integer  $m$ , we mean by  $mL$  the tensor product  $L^{\otimes m}$ . We employ the notion of *L-dimension*  $\kappa(L, W)$  of  $W$  introduced by Iitaka [4] (see §1, for the definition). Roughly speaking  $\kappa(L, W)$  is the polynomial order of  $\dim H^0(W, \mathcal{O}(mL))$  as a function of  $m$ . In particular,  $\kappa(L, W) = n$  if and only if

$$\limsup_{m \rightarrow +\infty} m^{-n} \dim H^0(W, \mathcal{O}(mL)) > 0.$$

For a divisor  $D$ , we put  $\kappa(D, W) = \kappa([D], W)$ .

We shall prove the following defect relation in §4.

**THEOREM.** *Let  $W$  be a projective algebraic manifold of dimension  $n$  and let  $f: B[R] \rightarrow W$  be a non-degenerate holomorphic map. Let  $D$  be an effective divisor on  $W$ . Assume that  $D$  has simple normal crossings and  $\kappa(K_W + D, W) = n$ , where  $K_W$  is the canonical bundle of  $W$ . Then we have*

$$\delta(D) \leq \limsup_{r \rightarrow R} \frac{-T(K_W, r)}{T(D, r)} + \frac{1}{\lambda(D)},$$

where

$$\lambda(D) = \liminf_{r \rightarrow R} \left[ T(D, r) / \log \frac{1}{(R-r)^n} \right].$$

Here if  $R = +\infty$ , we understand that  $\lambda(D) = +\infty$ , and this result was announced in [11]. Moreover, we shall see that either if  $R = +\infty$ , or if  $\lambda(D) = +\infty$  in case  $R < +\infty$ , the strict inequality  $\delta(D) < 1$  holds. So there is no non-degenerate holomorphic map which omits  $D$  (cf. [10]). In case  $R = +\infty$ ,  $D = D_1 + \cdots + D_k$  and each  $D_i$  belongs to the complete linear system  $|L|$ , where  $L$  is a positive line bundle such that  $kL + K_W$  is also positive, the above defect relation was first obtained by Carlson and Griffiths [1].

**COROLLARY.** *Let  $f: B[R] \rightarrow P_n$  be a non-degenerate holomorphic map. Let  $H_1, \dots, H_k$  be hyperplanes in general position in  $P_n$ . Then*

$$\sum_{i=1}^k \delta(H_i) \leq n + 1 + \frac{1}{\lambda},$$

where  $\lambda = \liminf_{r \rightarrow R} \left[ T(H, r) / \log \frac{1}{(R-r)^n} \right]$ , with the hyperplane bundle  $H$ .

For  $n=1$ ,  $R=1$ , this result was given by Nevanlinna in [7], [8].

In §5, we shall deal with the case of singular divisors. In the above theorem, if the divisor  $D$  has general singularities, we must add a remainder term  $S(D)$  depending on the singularities of  $D$ , to the right hand side of the defect relation. After the author had written this paper, he learned that Shiffman [14] has also obtained a defect relation for singular divisors.

**1. Notations and preliminaries.** Let  $W$  be a projective algebraic manifold of dimension  $n$ . Cover  $W$  by coordinate neighborhoods  $\{U_\alpha\}$  with holomorphic coordinates  $(w_\alpha^1, \dots, w_\alpha^n)$  in  $U_\alpha$ . A holomorphic line bundle is given by transition functions  $\{l_{\alpha\beta}\}$  with respect to  $\{U_\alpha\}$ . Let  $L'$  be a line bundle given by  $\{l'_{\alpha\beta}\}$ . We define  $L \pm L'$  to be the line bundle determined by  $\{l_{\alpha\beta} l'_{\alpha\beta} \pm 1\}$ . A holomorphic section  $\sigma$  of  $L$  is given by holomorphic functions  $\sigma_\alpha$  in  $U_\alpha$  satisfying  $\sigma_\alpha = l_{\alpha\beta} \sigma_\beta$  in  $U_\alpha \cap U_\beta$ . Denote

by  $H^0(W, \mathcal{O}(L))$  the linear space consisting of all holomorphic sections of  $L$ . Moreover a holomorphic section  $\sigma$  defines a divisor  $(\sigma)$  on  $W$ . Denote by  $|L|$  the complete linear system of all effective divisors  $(\sigma)$  for  $\sigma \in H^0(W, \mathcal{O}(L))$ .

A metric in  $L$  is a collection of positive  $C^\infty$ -functions  $a_\alpha$  in  $U_\alpha$  such that  $a_\alpha = |l_{\alpha\beta}|^2 a_\beta$  in  $U_\alpha \cap U_\beta$ . Denote by  $d^c$  the real differential operator  $(\sqrt{-1}/4\pi)(\bar{\partial} - \partial)$ . Then the real  $(1, 1)$ -form  $dd^c \log a_\alpha$  belongs to the Chern class  $c_1(L) \in H^2(W, \mathbf{R})$  (de Rham cohomology). A real  $(1, 1)$ -form  $\omega = (\sqrt{-1}/2\pi) \sum_{i,j} g_{i\bar{j}} dw_\alpha^i \wedge d\bar{w}_\alpha^j$  is *positive (semi-positive)*, written  $\omega > 0$  ( $\omega \geq 0$ ), if the Hermitian matrix  $(g_{i\bar{j}})$  is positive definite (positive semi-definite) on  $W$ . We shall say that  $L$  is positive if there exists a positive real  $(1, 1)$ -form representing  $c_1(L)$ . The length  $\|\sigma\|$  of a holomorphic section  $\sigma$  of  $L$  is defined by  $\|\sigma\|^2 = |\sigma_\alpha|^2 / a_\alpha$  in  $U_\alpha$  with respect to a metric  $\{a_\alpha\}$  in  $L$ .

The *canonical bundle*  $K_W$  of  $W$  is defined by transition functions  $k_{\alpha\beta} = \det(\partial w_\beta^i / \partial w_\alpha^i)$ . A *volume form*  $\Omega$  is an  $(n, n)$ -form given by a metric  $\{b_\alpha\}$  of  $K_W$ . Namely we can write

$$(1) \quad \Omega = b_\alpha \prod_{i=1}^n (\sqrt{-1}/2\pi) dw_\alpha^i \wedge d\bar{w}_\alpha^i, \quad \text{in } U_\alpha,$$

where  $b_\alpha > 0$  and  $b_\alpha = |k_{\alpha\beta}|^2 b_\beta$  in  $U_\alpha \cap U_\beta$ .

Let  $D$  be a divisor on  $W$ . Denote by  $[D]$  the associated line bundle. We say that  $D$  has *normal crossings* if  $D$  is given by  $w_1 \cdots w_r = 0$  with local coordinates  $(w_1, \dots, w_n)$ . If moreover each irreducible component of  $D$  is non-singular, we say that  $D$  has *simple normal crossings*.

For a line bundle  $L$  on  $W$ , the *L-dimension*  $\kappa(L, W)$  of  $W$  is defined as follows (see Iitaka [4]). If there is a positive integer  $m_0$  such that  $\dim H^0(W, \mathcal{O}(m_0 L)) > 0$ , we have the following estimate

$$\alpha m^\kappa \leq \dim H^0(W, \mathcal{O}(m m_0 L)) \leq \beta m^\kappa,$$

for any large integer  $m$ , where  $\alpha, \beta$  are positive constants and  $\kappa$  a non-negative integer. Define  $\kappa(L, W) = \kappa$ . If  $\dim H^0(W, \mathcal{O}(mL)) = 0$  for every integer  $m$ , put  $\kappa(L, W) = -\infty$ . For a divisor  $D$ , we define  $\kappa(D, W)$  to be  $\kappa([D], W)$ . Note that  $\kappa(L, W)$  takes one of the values  $-\infty, 0, 1, \dots, n$ . In particular,  $\kappa(L, W) \geq 1$  if and only if there exists a positive integer  $m$  such that  $\dim H^0(W, \mathcal{O}(mL)) \geq 2$ . Moreover the equality  $\kappa(L, W) = n$  holds if and only if

$$\limsup_{m \rightarrow +\infty} m^{-n} \dim H^0(W, \mathcal{O}(mL)) > 0.$$

The *Kodaira dimension*  $\kappa(W)$  of  $W$  is by definition,  $\kappa(K_W, W)$ .

LEMMA 1. *Let  $L$  be a line bundle on  $W$  satisfying  $\kappa(L, W) = n$  and  $L_0$  an arbitrary line bundle on  $W$ . Then  $\dim H^0(W, \mathcal{O}(mL - L_0)) > 0$  for a large integer  $m$ .*

PROOF. Take a non-singular hyperplane section  $H$  on  $W$ . Then  $m[H] - L_0 - K_W$  is positive for every large integer  $m$ . By Kodaira vanishing theorem, there is a large integer  $m_0$  such that  $\dim H^0(W, \mathcal{O}(m_0[H] - L_0)) > 0$ . On the other hand, we have shown in [10], Lemma 2, that  $\dim H^0(W, \mathcal{O}(m_1L - [H])) > 0$  for a large integer  $m_1$ . Thus, letting  $m = m_0m_1$ , we obtain  $\dim H^0(W, \mathcal{O}(mL - L_0)) > 0$ . Q.E.D.

Let  $z = (z_1, \dots, z_n)$  be coordinates of  $\mathbf{C}^n$ . We shall use the following notations (cf. [1], [2]):

$$\|z\|^2 = |z_1|^2 + \dots + |z_n|^2,$$

$$\varphi = dd^c \|z\|^2, \quad \Phi = \varphi^n,$$

$$\phi = dd^c \log \|z\|^2,$$

$$\eta = d^c \log \|z\|^2 \wedge \phi^{n-1},$$

$$B[r] = \{z \in \mathbf{C}^n \mid \|z\| < r\},$$

$$\partial B[r] = \{z \in \mathbf{C}^n \mid \|z\| = r\},$$

$$X[r] = X \cap B[r], \quad \text{for a subset } X \text{ in } \mathbf{C}^n.$$

DEFINITION. For an integrable function  $g$  on  $\partial B[r]$ , define

$$\mathfrak{M}_r(g) = \int_{\partial B[r]} g \cdot \eta.$$

It is shown in [1] that  $\mathfrak{M}_r(1) = 1$ .

For a function  $g(r)$  on  $B[R]$ ,  $r < R$ , we mean by  $O(g(r))$ , any function  $h(r)$  such that the quotient  $|h(r)|/|g(r)|$  is bounded as  $r \rightarrow R$ .

**2. The first main theorem.** Let  $W$  be a projective algebraic manifold of dimension  $n$ . We shall consider equidimensional holomorphic maps  $f: B[R] \rightarrow W$ , with  $0 < R \leq +\infty$ . We say that  $f$  is *non-degenerate* if the Jacobian of  $f$  does not vanish identically. If  $f$  is non-degenerate, denote by  $R_f$  the *ramification divisor* of  $f$  defined by the Jacobian of  $f$ .

DEFINITION. Let  $f: B[R] \rightarrow W$  be a non-degenerate holomorphic map. Let  $D$  be a divisor on  $W$  and let  $\sigma$  be a holomorphic section of  $[D]$  which defines  $D$ . For  $0 < r < R$ , define

$$N(D, r) = \int_0^r \left( \int_{f^*D[t]} \phi^{n-1} \right) t^{-1} dt, \quad (\text{counting function})$$

$$m(D, r) = \mathfrak{M}_r(\log(1/f^*\|\sigma\|)),$$

$$N_1(r) = \int_0^r \left( \int_{R_f[t]} \phi^{n-1} \right) t^{-1} dt, \quad (\text{ramification term})$$

where  $f^*D$  is the divisor on  $C^n$  defined by  $\sigma \circ f$ .

In what follows in this paper, we assume that  $0 \notin f^*D$  and  $0 \notin R_f$ . Otherwise, some modifications are needed in the above definition. If  $D$  is an effective divisor, by multiplying a metric in  $[D]$  by a constant, we can make  $\|\sigma\| \leq 1$ . Then  $\log(1/\|\sigma\|) \geq 0$ , from which follows that  $m(D, r) \geq 0$ .

DEFINITION. Let  $L$  be a line bundle on  $W$  and  $\omega$  a real  $(1, 1)$ -form representing the Chern class  $c_1(L)$ . Let  $f: B[R] \rightarrow W$  be a holomorphic map. For  $0 < r < R$ , define

$$T(L, r) = \int_0^r \left( \int_{B[t]} f^*\omega \wedge \phi^{n-1} \right) t^{-1} dt \quad (\text{order function}).$$

For a divisor  $D$ , put  $T(D, r) = T([D], r)$ . Note that  $T(L, r)$  is well defined up to an  $O(1)$  term ([1], p. 573).

THEOREM 1 (First Main Theorem, see [1], [2] for a proof). *Let  $D$  be a divisor on  $W$  and let  $f: B[R] \rightarrow W$  be a non-degenerate holomorphic map. Then*

$$(2) \quad m(D, r) + N(D, r) = T(D, r) + O(1) \quad (0 < r < R)$$

where  $O(1)$  is a constant depending on  $D$  but not on  $r$ .

COROLLARY. *If  $D$  is effective, then*

$$(3) \quad N(D, r) \leq T(D, r) + O(1).$$

PROOF. Since  $D$  is effective, as noted above, we can make  $m(D, r) \geq 0$ .

LEMMA 2. *Let  $L_1, L_2$  be line bundles on  $W$ . Suppose that  $\dim H^0(W, \mathcal{O}(L_1 - L_2)) > 0$ . Let  $f: B[R] \rightarrow W$  be a non-degenerate holomorphic map. Then we have*

$$T(L_2, r) \leq T(L_1, r) + O(1).$$

PROOF. By hypothesis, there exists an effective divisor  $Z \in |L_1 - L_2|$ . Therefore we get  $0 \leq N(Z, r) \leq T(L_1 - L_2, r) + O(1)$ , which implies  $T(L_2, r) \leq T(L_1, r) + O(1)$ .

In case  $R = +\infty$ , we obtain the following

PROPOSITION 1. *Let  $D$  be an effective divisor on  $W$ . Let  $f: \mathbf{C}^n \rightarrow W$  be a non-degenerate holomorphic map such that  $f(\mathbf{C}^n) \cap D \neq \emptyset$ . Then*

$$(4) \quad \liminf_{r \rightarrow +\infty} [T(D, r) / \log r] > 0.$$

PROOF. Because  $f(\mathbf{C}^n) \cap D \neq \emptyset$ , we have  $f^*D \cap B[t_0] \neq \emptyset$ , for a large  $t_0$ . Hence

$$\int_{f^*D[t]} \phi^{n-1} \geq \int_{f^*D[t_0]} \phi^{n-1} = c_1 > 0, \quad \text{for } t > t_0.$$

It follows that

$$N(D, r) \geq c_1 \log r + c_2,$$

where  $c_2$  is a constant. Combining this with (3), we obtain the desired inequality.

COROLLARY. *Let  $L$  be a line bundle on  $W$  such that  $\kappa(L, W) \geq 1$ . Let  $f: \mathbf{C}^n \rightarrow W$  be a non-degenerate holomorphic map. Then*

$$(5) \quad \liminf_{r \rightarrow +\infty} [T(L, r) / \log r] > 0.$$

PROOF. Since  $\kappa(L, W) \geq 1$ , there is a positive integer  $m$  such that  $\dim H^0(W, \mathcal{O}(mL)) \geq 2$ . So there are two linearly independent sections  $\sigma_0, \sigma_1 \in H^0(W, \mathcal{O}(mL))$ . Choosing constants  $c_1, c_2$ , we can find an effective divisor  $Z = (c_0\sigma_0 + c_1\sigma_1)$  with  $f(\mathbf{C}^n) \cap Z \neq \emptyset$ . The assertion follows from Proposition 1. Q.E.D.

Let  $\Omega$  be a volume form on  $W$ . For a non-degenerate holomorphic map  $f: B[R] \rightarrow W$ , define a function  $\xi$  on  $B[R]$  by  $f^*\Omega = \xi \cdot \Phi$ .

PROPOSITION 2. *We have*

$$(6) \quad T(K_W, r) + N_1(r) = \mathfrak{M}_r(\log \sqrt{\xi}) + O(1).$$

PROOF. Writing  $\Omega$  in the form (1), we obtain the following current equation

$$dd^c \log \xi = R_f + f^*dd^c \log b_\alpha.$$

By integrating this twice, we obtain (6) (see [1], for details).

### 3. The second main theorem. In this section, we shall prove the following

THEOREM 2 (Second Main Theorem). *Let  $W$  be a projective algebraic manifold of dimension  $n$ . Let  $D_1, \dots, D_k$  be non-singular divisors on  $W$  such that  $D = D_1 + \dots + D_k$  has only normal crossings. Let  $L$  be a line bundle on  $W$  such that  $\kappa(L, W) = n$  and let  $f: B[R] \rightarrow W$  be a non-degenerate holomorphic map. Then*

*Case 1,  $R < +\infty$ . For given  $\nu > 1, \beta > 0$ , the inequality*

$$(7) \quad T(D, r) - N(D, r) + N_1(r) \leq -T(K_W, r) + O(\log T(L, r)) + O(1) \\ + \frac{1}{2}(\nu+1)(\beta+1) \log \frac{1}{(R-r)^\nu}$$

holds for  $r \notin E$ , where  $E$  is a union of intervals in  $[0, R)$  such that  $\int_E d(1/(R-r)^\beta) < +\infty$ .

Case 2,  $R = +\infty$ . For given  $\beta$ ,  $0 < \beta < 1$ , the inequality

$$(8) \quad T(D, r) - N(D, r) + N_1(r) \leq -T(K_W, r) + O(\log T(L, r)),$$

holds for  $r \notin E$ , where  $E$  is a union of intervals in  $[0, +\infty)$  such that  $\int_E d(r^\beta) < +\infty$ .

PROOF (For background, see Carlson and Griffiths [1], Kodaira [6]). It suffices to prove when  $L + [D]$  is positive. In fact, for a line bundle  $L_0$  such that  $L_0 + [D]$  is positive, since  $\kappa(L, W) = n$ , we obtain by Lemmas 1, 2,

$$T(L_0, r) \leq O(T(L, r)) + O(1).$$

Thus, if the inequalities (7), (8) are valid for  $L_0$ , these are valid for  $L$ .

Assume now that  $L + [D]$  is positive. Choose metrics  $\{a_{i,\alpha}\}$  of  $[D_i]$ , for each  $i$  and let  $\{\prod_{i=1}^k a_{i,\alpha}\}$  be a metric of  $[D]$  with respect to an open covering  $\{U_\alpha\}$  of  $W$ . Put  $\omega_i = dd^c \log a_{i,\alpha}$ , for each  $i$  and  $\omega_D = \omega_1 + \dots + \omega_k$ . Then we can find a real  $(1, 1)$ -form  $\omega$  representing  $c_1(L)$  such that  $\omega + \omega_D > 0$ .

DEFINITION. Let  $\sigma_i$  be a holomorphic section of  $[D_i]$  which defines  $D_i$ , for  $i = 1, \dots, k$ . Define

$$\rho_i = \rho_{D_i} = \frac{1}{\|\sigma_i\|^2 (\log \|\sigma_i\|^2)^2}, \quad \text{for } i = 1, \dots, k.$$

We put  $\rho_D = \prod_{i=1}^k \rho_i$  and  $\rho = c\rho_D$ , for a suitable constant  $c$ .

DEFINITION. Set

$$\tilde{\omega} = \omega + dd^c \log \rho,$$

$$\tilde{T}(r) = \int_0^r \left( \int_{B[t]} f^* \tilde{\omega} \wedge \phi^{n-1} \right) t^{-1} dt.$$

LEMMA 3. Let  $\Omega$  be a volume form on  $W$ . Letting the constant  $c$  sufficiently small, we have

$$\tilde{\omega}^n > \rho \Omega.$$

PROOF. By definition

$$dd^c \log \rho = dd^c \log \rho_D = \omega_D - \sum_{i=1}^k \left( 1/\log \frac{1}{\|\sigma_i\|} \right) \omega_i + \sum_{i=1}^k \tau_i,$$

where  $\tau_i = [\log \|\sigma_i\|^2]^{-2} dd^c \log (1/\|\sigma_i\|) \wedge dd^c \log (1/\|\sigma_i\|)$ . We can make

$$\omega_0 = \omega + \omega_D - \sum_{i=1}^k \left( 1/\log \frac{1}{\|\sigma_i\|} \right) \omega_i > 0,$$

by multiplying the metrics  $\{a_i\}$  by constants such that  $\|\sigma_i\|$  are sufficiently small for  $i=1, \dots, k$ , because  $\omega + \omega_D > 0$ . Note that  $\tau_i \geq 0$  and  $\tilde{\omega} = \omega_0 + \sum_{i=1}^k \tau_i$ . Since  $1/\rho_D > 0$  on  $W - D$ , it follows that  $(1/\rho_D)\tilde{\omega}^n > 0$  on  $W - D$ . Consider a point  $x \in D$ . Because  $D$  has simple normal crossings, we may assume that  $x \in D_i$ , for  $i=1, \dots, j$  and  $x \notin D_i$  for  $i=j+1, \dots, n$  and we can choose local coordinates  $(w_1, \dots, w_n)$  centered at  $x$  such that  $D_i = \{w_i = 0\}$ ,  $i=1, \dots, j$  at  $x$ . Hence

$$\tau_i/\rho_i = (\sqrt{-1}/\pi a_i(x)) dw_i \wedge d\bar{w}_i, \quad i=1, \dots, j.$$

Thus

$$(1/\rho_D)\tilde{\omega}^n = \frac{\binom{n}{j} j! \omega_0^{n-j}}{\rho_{j+1} \cdots \rho_k} (\tau_1/\rho_1) \wedge \cdots \wedge (\tau_j/\rho_j) > 0, \quad \text{at } x.$$

Therefore we get  $(1/\rho_D)\tilde{\omega}^n > 0$  on  $W$ . Taking the constant  $c$  sufficiently small, we obtain  $(1/\rho)\tilde{\omega}^n > \Omega$ . Q.E.D.

Lemma 3 gives  $(f^*\tilde{\omega})^n \geq f^*(\rho\Omega) = (\rho \circ f)\xi\Phi$ , where  $\xi$  is defined as in Proposition 2. If we write  $f^*\tilde{\omega} = \sum_{i,j} h_{ij}(\sqrt{-1}/2\pi) dz_i \wedge d\bar{z}_j$ , then  $(f^*\tilde{\omega})^n = (\det(h_{ij}))\Phi$ . Since  $\tilde{\omega}$  is semi-positive, it follows that  $\text{trace}(h_{ij}) \geq n(\det(h_{ij}))^{1/n}$ . Hence

$$(9) \quad f^*\tilde{\omega} \wedge \varphi^{n-1} = (\text{trace}(h_{ij}))\Phi \geq n(\det(h_{ij}))^{1/n}\Phi \geq n((\rho \circ f)\xi)^{1/n}\Phi.$$

DEFINITION. Set

$$\Psi(r) = \int_{B[r]} (n(\rho \circ f)\xi)^{1/n}\Phi,$$

$$E(r) = \int_0^r \Psi(t)t^{-(2n-1)} dt,$$

$$\mu(r) = 2n\mathfrak{M}_r(n((\rho \circ f)\xi)^{1/n}).$$

LEMMA 4. We have

$$d\Psi(r)/dr = r^{2n-1}\mu(r),$$



$$d\mathcal{E}(r)/dr = r^{-(2n-1)}\Psi(r).$$

PROOF. An easy computation shows that

$$\Phi = \varphi^n = \frac{nd\|z\|^2 \wedge d^c\|z\|^2}{\|z\|^2} \wedge \varphi^{n-1},$$

from which we have by Fubini's theorem,

$$\Psi(r) = 2n \int_0^r \left( \int_{\partial B[t]} (n((\rho \circ f)\xi)^{1/n} d^c\|z\|^2 \wedge \varphi^{n-1}) t^{-1} dt \right).$$

Since  $\eta = d^c \log \|z\|^2 \wedge \varphi^{n-1} = \|z\|^{-2n} d^c\|z\|^2 \wedge \varphi^{n-1}$  on  $\partial B[t]$ , we obtain

$$\Psi(r) = \int_0^r \mu(t) t^{2n-1} dt,$$

which implies the first equality in Lemma 4. The second equality is clear.

Next we shall prove the following inequality.

$$(10) \quad \mathcal{E}(r) \leq T(L, r) + T(D, r) + O(1).$$

By integrating (9) twice, we get  $\mathcal{E}(r) \leq \tilde{T}(r)$ . Using the fact

$$\tilde{\omega} = \omega + \omega_D - \sum_{i=1}^k dd^c \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right)^2,$$

we obtain

$$\begin{aligned} T(L, r) + T(D, r) - \tilde{T}(r) &= \sum_{i=1}^k \int_0^r \left( \int_{B[t]} dd^c \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right)^2 \wedge \varphi^{n-1} \right) t^{-1} dt \\ &= \sum_{i=1}^k \int_0^r \left( \int_{\partial B[t]} d^c \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right)^2 \wedge \varphi^{n-1} \right) t^{-1} dt \\ &= \sum_{i=1}^k \int_{B[r]} d \log \|z\|^2 \wedge d^c \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right) \wedge \varphi^{n-1} \\ &= \sum_{i=1}^k \int_{B[r]} d \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right) \wedge d^c \log \|z\|^2 \wedge \varphi^{n-1} \\ &= \sum_{i=1}^k \mathfrak{M}_r \left( \log \left( \log \left( \frac{1}{\|\sigma_i\|^2} \right) \right) \right). \end{aligned}$$

Making the metrics as  $\|\sigma_i\| \leq e^{-1}$ , by multiplying again by constants, the right hand side is non-negative. Combining these inequalities, we obtain (10).

We need the following

LEMMA 5 (Nevanlinna [7], p. 253). *Let  $g(t)$ ,  $h(t)$  and  $\alpha(t)$  be positive, continuous and increasing functions for  $0 < t < +\infty$  such that  $g'(t)$  and  $h'(t)$  are continuous and  $\int^{+\infty} dt/\alpha(t) < +\infty$ . Then the inequality*

$$g'(t) \leq h'(t)\alpha(g(t))$$

holds for  $r \notin E$ , where  $E$  is a union of intervals in  $[0, +\infty)$  such that  $\int_E dh < +\infty$ .

PROPOSITION 3. Case 1,  $R < +\infty$ . For given  $\nu > 1, \beta > 0$ , the inequality

$$(11) \quad \mu(r) \leq (R-r)^{-(\nu+1)(\beta+1)} R^{(\nu-1)(2n-1)} E(r)^{\nu^2}$$

holds for  $r \in E, E \subset [0, R)$  and  $\int_E d(1/(R-r)^\beta) < +\infty$ .

Case 2,  $R = +\infty$ . For given  $\beta, 0 < \beta < 1$ , the inequality

$$(12) \quad \mu(r) \leq E(r)^{\nu^2}, \quad \nu = (4n-2)/(2n-2+\beta) - 1$$

holds for  $r \in E, E \subset [0, +\infty)$  and  $\int_E d(r^\beta) < +\infty$ .

PROOF. In case  $R < +\infty$ , put  $s = (R-r)^{-1}$ . Then Lemma 4 implies

$$d\Psi(s)/ds = s^{-2}r^{2n-1}\mu(s), \quad dE(s)/ds = s^{-2}r^{-(2n-1)}\Psi(s),$$

where we write  $G(s) = G((sR-1)/s)$  for  $G = \Psi, E$  and  $\mu$ . Two usages of Lemma 5 yield, if we put  $h(s) = s^\beta/\beta, \alpha(s) = s$  and first  $g(s) = \Psi(s)$

$$d\Psi/ds \leq s^{\beta-1}\Psi^\nu,$$

and then  $g(s) = E(s)$

$$dE/ds \leq s^{\beta-1}E^\nu,$$

outside  $E$ . Combining these, we obtain (11).

In case  $R = +\infty$ , letting  $h(r) = r^\beta/\beta, \alpha(r) = r^\nu, \nu = (4n-2)/(2n-2+\beta) - 1$ , we can similarly obtain (12) (cf. [6]).

LEMMA 6. Case 1,  $R < +\infty$ . The inequality

$$(13) \quad \mathfrak{M}_r(\log \sqrt{(\rho \circ f)\xi}) \leq \{(\nu+1)(\beta+1)/2\} \log (1/(R-r)^n) + O(\log T(L, r)) + O(1)$$

holds outside  $E$ .

Case 2,  $R = +\infty$ . The inequality

$$(14) \quad \mathfrak{M}_r(\log \sqrt{(\rho \circ f)\xi}) \leq O(\log T(L, r))$$

holds outside  $E$ .

PROOF. Case 1. The left hand side of (13)

$$\begin{aligned}
 &= (n/2)\mathfrak{M}_r(\log n((\rho \circ f)\xi)^{1/n}) - (n/2) \log n \\
 &\leq (n/2) \log (\mathfrak{M}_r(n((\rho \circ f)\xi)^{1/n})) \\
 &\quad \text{(by concavity of logarithmic function)} \\
 &= (n/2) \log \mu(r) - (n/2) \log 2n \\
 &\leq (n/2)(\nu+1)(\beta+1) \log (1/(R-r)) + O(\log T(L+D, r) + O(1)) \\
 &\quad \text{(by (10), (11))} \\
 &\leq (n/2)(\nu+1)(\beta+1) \log (1/(R-r)) + O(\log T(L, r)) + O(1) \\
 &\quad \text{(by Lemmas 1, 2).}
 \end{aligned}$$

We can similarly prove Case 2.

Now we proceed to the proof of Theorem 2. We have by definition

$$\log \sqrt{\rho} = \log (1/\|\sigma\|) - \log \left( \prod_{i=1}^k \log (1/\|\sigma_i\|) \right) + \log \sqrt{c}/2.$$

It follows by integrating on  $\partial B[r]$ ,

$$m(D, r) = \mathfrak{M}_r(\log \sqrt{\rho \circ f}) + \mathfrak{M}_r(\log \left( \prod_{i=1}^k \log (1/f^*\|\sigma_i\|) \right)) + O(1).$$

Using the concavity of logarithmic function and (3), we easily get

$$m(D, r) \leq \mathfrak{M}_r(\log \sqrt{\rho \circ f}) + O(\log T(D, r)) + O(1).$$

Combining this with (2), Proposition 2 and Lemma 6, we obtain Theorem 2.

Q.E.D.

**4. Defect relations.** Let  $W$  be a projective algebraic manifold of dimension  $n$ . Let  $f : B[R] \rightarrow W$  be a non-degenerate holomorphic map.

DEFINITION. Let  $D$  be an effective divisor on  $W$ . Define the defect of  $D$  by

$$\delta(D) = 1 - \limsup_{r \rightarrow R} [N(D, r)/T(D, r)].$$

REMARK. It is clear that  $\delta(D) \leq 1$ . In particular, if  $f$  omits  $D$ , then  $\delta(D) = 1$ . In case  $\limsup_{r \rightarrow R} T(D, r) = +\infty$ , it follows from (2) that

$$\delta(D) = \liminf_{r \rightarrow R} [m(D, r)/T(D, r)],$$

from which follows  $0 \leq \delta(D) \leq 1$ . In case  $R = +\infty$ , the inequality  $0 \leq \delta(D) \leq 1$  always holds. In fact if  $f$  omits  $D$ , we have seen that  $\delta(D) = 1$  and if  $f(B[R]) \cap D \neq \emptyset$ , by Proposition 1,  $T(D, r) \rightarrow +\infty$  as  $r \rightarrow +\infty$  and then we have  $0 \leq \delta(D)$  from the above remark. If  $D = D_1 + \dots + D_k$  is the irreducible decomposition of  $D$ , we have shown

in [11] that

$$(15) \quad \sum_{i=1}^k \{ \liminf_{r \rightarrow R} [T(D_i, r)/T(D, r)] \} \delta(D_i) \leq \delta(D) .$$

DEFINITION. Let  $L$  be a line bundle on  $W$ . Define

$$\gamma_1(L) = \liminf_{r \rightarrow R} [N_1(r)/T(L, r)] ,$$

$$\lambda(L) = \liminf_{r \rightarrow R} \left[ T(L, r) / \log \frac{1}{(R-r)^n} \right] , \quad (\text{in case } R < +\infty) .$$

For a divisor  $D$ , we put  $\gamma_1(D) = \gamma_1([D])$  and  $\lambda(D) = \lambda([D])$ .

THEOREM 3 (Defect Relations). *Let  $W$  be a projective algebraic manifold of dimension  $n$ . Let  $D_1, \dots, D_k$  be non-singular divisors on  $W$  such that  $D = D_1 + \dots + D_k$  has normal crossings. Assume that  $\kappa(K_W + D, W) = n$ . Let  $f : B[R] \rightarrow W$  be a non-degenerate holomorphic map. Then*

Case 1,  $R < +\infty$ .

$$(16) \quad \delta(D) + \gamma_1(D) \leq \{ \limsup_{r \rightarrow R} [-T(K_W, r)/T(D, r)] \} + (1/\lambda(D)) .$$

Case 2,  $R = +\infty$ .

$$(17) \quad \delta(D) + \gamma_1(D) \leq \limsup_{r \rightarrow +\infty} [-T(K_W, r)/T(D, r)] .$$

PROOF. Case 1. If  $\lambda(D) = 0$ , the inequality (16) imposes no restriction on  $\delta(D)$ . So we may assume  $\lambda(D) > 0$  and then  $T(D, r) \rightarrow +\infty$  as  $r \rightarrow R$ . Let  $L = K_W + [D]$ . Since  $\kappa(L, W) = n$ , by Lemmas 1, 2, we see that  $T(L, r) \rightarrow +\infty$  as  $r \rightarrow R$ . Then by (7),

$$\begin{aligned} T(D, r) - N(D, r) + N_1(r) &\leq -T(K_W, r) + O(\log T(L, r)) + O(1) \\ &\quad + \frac{1}{2}(\nu+1)(\beta+1) \log (1/(R-r)^n) , \end{aligned}$$

for  $r \in E$ . Dividing this by  $T(D, r)$  and passing to the limit, we get

$$(18) \quad \begin{aligned} \delta(D) + \gamma_1(D) &\leq (-T(K_W, r)/T(D, r)) + \frac{1}{2}(\nu+1)(\beta+1)(1/\lambda(D)) \\ &\quad + O(\log T(L, r)/T(D, r)) + O(1/T(D, r)) . \end{aligned}$$

Given  $\epsilon > 0$ , letting  $r$  sufficiently close to  $R$ , we may assume

$$(\log T(L, r))/T(L, r) < \epsilon .$$

Note that  $T(L, r)/T(D, r) = (T(K_W, r)/T(D, r)) + 1$ . Hence we obtain

$$\delta(D) + \gamma_1(D) \leq (1 - \epsilon c_1)(-T(K_W, r)/T(D, r)) + \epsilon c_1 + c_2(1/T(D, r)) + \frac{1}{2}(\nu + 1)(\beta + 1)(1/\lambda(D)),$$

where  $c_1$  and  $c_2$  are constants. Taking the limit as  $\epsilon \rightarrow 0$  and  $r \rightarrow R$  ( $T(D, r) \rightarrow +\infty$ ) and letting  $\nu \rightarrow 1$ ,  $\beta \rightarrow 0$ , we obtain (16).

We can similarly prove Case 2 (cf. [11]). Q.E.D.

**COROLLARY.** *Under the hypothesis of Theorem 3, either if  $R = +\infty$  or if  $\lambda(D) = +\infty$  in case  $R < +\infty$ , then*

$$\delta(D) + \gamma_1(D) < 1.$$

**PROOF.** We prove the case in which  $R < +\infty$ . Assume that  $\delta(D) + \gamma_1(D) \geq 1$ . Then by (18), since  $\lambda(D) = +\infty$ , we have

$$T(D, r) \leq -T(K_W, r) + O(\log T(L, r)) + O(1),$$

and then

$$T(L, r) \leq O(\log T(L, r)) + O(1).$$

Since  $T(L, r) \rightarrow +\infty$  as  $r \rightarrow R$ , this is a contradiction.

**REMARK.** In case  $R < +\infty$ , if  $f$  omits  $D$ , then

$$(19) \quad \lambda(K_W + D) \leq 1.$$

In fact, as in the proof of the above theorem, putting  $\delta(D) = 1$ , we obtain

$$T(L, r) \leq \frac{1}{2}(\nu + 1)(\beta + 1) \log(1/(R - r)^\nu) + \epsilon c_1 T(L, r) + c_2,$$

from which we have the assertion. Note that in this case, we have shown in [10] that  $R < R_0$ , where  $R_0$  depends on  $W$ ,  $D$  and  $|J_f(0)|$  (the Jacobian of  $f$ ).

*Example 1.* Let  $W = P_n$  and  $D_i$  a non-singular hypersurface of degree  $d_i$ , for  $i = 1, \dots, k$  such that  $D = D_1 + \dots + D_k$  has normal crossings. Put  $d = d_1 + \dots + d_k$ . Let  $H$  be the hyperplane bundle of  $P_n$ . Then it is well known that  $K_{P_n} = -(n + 1)H$ ,  $[D_i] = d_i H$  and  $[D] = dH$ . If  $d > n + 1$ , clearly  $K_{P_n} + [D] = (d - n - 1)H$  is positive, and then  $\kappa(K_{P_n} + D, P_n) = n$ . Let  $f : B[R] \rightarrow W$  be a non-degenerate holomorphic map. Our defect relation becomes

$$\delta(D) + \gamma_1(D) \leq \frac{n + 1}{d} + (1/\lambda(D)).$$

By (15), we get  $d_1\delta(D_1) + \dots + d_k\delta(D_k) \leq d\delta(D)$ . Note that  $\gamma_1(D) = \gamma_1(H)/d$ ,  $\lambda(D) = d\lambda(H)$ .

Putting these together, we obtain

$$\sum_{i=1}^k d_i \delta(D_i) + \gamma_1(H) \leq n + 1 + (1/\lambda(H)).$$

If  $f$  omits  $D$ , then

$$\lambda(H) \leq 1/(d-n-1).$$

This is also a consequence of (19).

REMARK. As we have noted in [11], Theorem 3 holds under the hypothesis  $\kappa(q_0K_W + q_1D_1 + \dots + q_kD_k, W) = n$ , where  $q_0, \dots, q_k$  are rational numbers. In fact, it suffices to put  $L = q_0K_W + q_1[D_1] + \dots + q_k[D_k]$  in the above proof. In particular, either if  $R = +\infty$ , or if  $\lambda(D) = +\infty$  in case  $R < +\infty$ , the condition  $\kappa(K_W + qD, W) = n$  implies that  $\delta(D) < q$ . If  $\kappa(W) \geq 0$ , then  $\kappa(K_W + D, W) = \kappa(K_W + qD, W)$  for any positive rational number  $q$  (cf. [10], Lemma 5). Hence taking  $q \rightarrow 0$ , we conclude that  $\delta(D) = 0$ .

**5. Singular divisors.** Let  $W$  be a projective algebraic manifold of dimension  $n$  and  $D$  an effective divisor (reduced) on  $W$ . In this section, we shall study the situation in which  $D$  has general singularities. For simplicity's sake, we shall consider a non-degenerate holomorphic map  $f : C^n \rightarrow W$ . We use a desingularization  $\pi : W^* \rightarrow W$  of  $D$  satisfying

- (i)  $\pi$  is a composite of monoidal transformations,
- (ii) let  $D^*$  = the support of  $\pi^*D$ , then  $\pi : W^* - D^* \rightarrow W - D$  is biholomorphic,
- (iii)  $D^*$  has simple normal crossings.

We want to apply Theorem 3 to the map  $\tilde{f} = \pi^{-1} \circ f$ . Even if  $f$  is holomorphic,

$$\begin{array}{ccc} C^n & \xrightarrow{\tilde{f}} & W^* \\ & \searrow f & \downarrow \pi \\ & & W \end{array}$$

$\tilde{f}$  may be meromorphic. So we must prove Theorems 1 and 2 for meromorphic maps. This can be done along the line of Shiffman [13] and Noguchi [9]. Note that  $\tilde{f}$  is holomorphic outside an analytic subset  $S(\tilde{f})$  of codimension  $\geq 2$ . Then, for a divisor  $Z^*$  on  $W^*$ ,  $\tilde{f}^*Z^*$  becomes a divisor on  $C^n$  and the ramification divisor  $R_{\tilde{f}}$  can be defined naturally. For a real (1, 1)-form  $\alpha$  representing the Chern class  $c_1(L^*)$  of a line bundle  $L^*$  on  $W^*$ , the induced form  $\tilde{f}^*\alpha$  is locally integrable on  $C^n$  (cf. [13]). So we can define the functions  $N$ ,  $T$  and  $N_1$  for  $\tilde{f}$ , and we denote these by

$N_{\tilde{f}}, T_{\tilde{f}}, N_{1,\tilde{f}}$ , respectively.

LEMMA 7. *Let  $Z$  be a divisor on  $W$ . Then*

$$N(Z, r) = N_{\tilde{f}}(\pi^*Z, r).$$

PROOF. This follows from the equation

$$f^*Z = \tilde{f}^*\pi^*Z.$$

LEMMA 8. *Let  $L$  be a line bundle on  $W$ . Then*

$$T(L, r) = T_{\tilde{f}}(\pi^*L, r).$$

PROOF. Let  $\omega$  be a real  $(1,1)$ -form representing  $c_1(L)$ . Then outside  $S(\tilde{f})$ , we have  $f^*\omega = \tilde{f}^*\pi^*\omega$ . So these are equivalent as currents in  $C^n$ .

Let  $R_\pi$  be the ramification divisor of  $\pi$  determined by the Jacobian of  $\pi$ . We can easily show the following

LEMMA 9. 
$$R_f = R_{\tilde{f}} + \tilde{f}^*R_\pi,$$

$$K_{W^*} = \pi^*K_W + [R_\pi].$$

DEFINITION. Set  $\mathcal{E}_D = \pi^*D - D^* - R_\pi$ . Define

$$S(D) = \limsup_{r \rightarrow +\infty} [(T_{\tilde{f}}(\mathcal{E}_D, r) - N_{\tilde{f}}(\mathcal{E}_D, r)) / T(D, r)].$$

Note that  $[\mathcal{E}_D] = \pi^*(K_W + [D]) - (K_{W^*} + [D^*])$ .

Now we state our defect relation for singular divisors.

THEOREM 4. *Let  $W$  be a projective algebraic manifold of dimension  $n$  and  $D$  an effective divisor on  $W$ . Assume that  $\kappa(K_W + D, W) = n$ . Let  $f: C^n \rightarrow W$  be a non-degenerate holomorphic map. Then*

$$(21) \quad \delta(D) + \gamma_1(D) \leq \{ \limsup_{r \rightarrow +\infty} [-T(K_W, r) / T(D, r)] \} + S(D).$$

PROOF. Letting  $L = \pi^*(K_W + [D])$ , we apply Theorem 2 to the map  $\tilde{f}: C^n \rightarrow W^*$  and  $D^*$ , where  $\pi: W^* \rightarrow W$  is a desingularization of  $D$  as in (20). Then

$$T_{\tilde{f}}(D^*, r) - N_{\tilde{f}}(D^*, r) + N_{1,\tilde{f}}(r) \leq -T_{\tilde{f}}(K_{W^*}, r) + O(\log T_{\tilde{f}}(\pi^*(K_W + D), r))$$

holds for  $r \notin E$ . Using Lemma 2, we obtain

$$N_{1,\tilde{f}}(r) = N_{1,\tilde{f}}(r) + N_f(R_\pi, r),$$

$$T_{\tilde{f}}(K_{W^*}, r) = T_{\tilde{f}}(\pi^*K_W, r) + T_{\tilde{f}}(R_\pi, r).$$

Therefore,

$$T(D, r) - N(D, r) + N_1(r) \leq -T(K_W, r) + O(\log T(K_W + D, r)) + T_f(\mathcal{E}_D, r) - N_f(\mathcal{E}_D, r).$$

Here we use Lemmas 7, 8 freely. This gives the defect relation (20) similarly as in the proof of Theorem 3. Q.E.D.

*COROLLARY.* Under the hypothesis of Theorem 4, if  $\kappa(K_{W^*} + D^*, W^*) = n$ , then we have

$$\delta(D) < 1.$$

*PROOF.* As in the above proof, by putting  $L = K_{W^*} + [D^*]$ , we obtain

$$T(D, r) - N(D, r) + N_{1,f}(r) \leq -T(K_W, r) + O(\log T_f(L, r)) + T_f(\mathcal{E}_D, r) - N_f(\pi^*D - D^*, r).$$

Noting that  $N_{1,f}(r) \geq 0$  and  $N_f(\pi^*D - D^*, r) \geq 0$ , we get by passing to the limit,

$$\delta(D) \leq \{-T(K_W, r) + O(\log T_f(L, r)) + T_f(\mathcal{E}_D, r)\} / T(D, r).$$

Suppose that  $\delta(D) = 1$ , then we have

$$T(D, r) + T(K_W, r) - T_f(\mathcal{E}_D, r) \leq O(\log T_f(L, r)),$$

from which follows

$$T_f(L, r) \leq O(\log T_f(L, r)).$$

By (5), we see that  $T_f(L, r) = T_f(K_{W^*} + D^*, r) \rightarrow +\infty$  as  $r \rightarrow +\infty$ , a contradiction. Q.E.D.

*Example 2.* Let  $W = P_n$  and  $D_i$  a hypersurface of degree  $d_i$ , for  $i = 1, \dots, k$ . Put  $D = D_1 + \dots + D_k$  and  $d = d_1 + \dots + d_k$ . Then our defect relation becomes

$$\delta(D) + \gamma_1(D) \leq \frac{n+1}{d} + S(D),$$

and

$$\sum_{i=1}^k d_i \delta(D_i) \leq n + 1 + dS(D).$$

Now we see the process of the desingularization (20) precisely. We can find a sequence of monoidal transformations  $\pi_i : W_{i-1} \rightarrow W_{i-1}$  with non-singular centers  $C_{i-1}$ , for  $i = 1, \dots, l$  such that

- (i)  $W_0 = W, W_l = W^*$  and  $\pi = \pi_l \circ \dots \circ \pi_1$ ,
- (ii)  $D_0 = D$  and let  $D_i =$  the support of  $\pi_i^*(D_{i-1})$ ,
- (iii)  $D_l = D^*$  has simple normal crossings.

We use the following notations:  $\bar{D}_i =$  the strict transform of  $D_{i-1}$  by  $\pi_i$ ;  $E_i =$  the exceptional locus of  $\pi_i$ , i.e.,  $\pi_i^{-1}(C_{i-1})$ ;  $\delta_i =$  the codimension of  $C_{i-1}$  in  $W_{i-1}$ ;  $\nu_i =$  the



multiplicity of the singular locus of  $D_{i-1}$  along  $C_{i-1}$ .

Then we have

$$D_i = \bar{D}_i + E_i, \quad \pi_i^*(D_{i-1}) = \bar{D}_i + \nu_i E_i, \\ K_{W_i} = \pi_i^*(K_{W_{i-1}}) + (\delta_i - 1)[E_i].$$

Therefore

$$(22) \quad K_{W_i} + [D_i] = \pi_i^*(K_{W_{i-1}} + [D_{i-1}]) + (\delta_i - \nu_i)[E_i].$$

Let  $\pi_i = \pi_i \circ \dots \circ \pi_{i+1}$ . We put  $\tilde{E}_i = \pi_i^*(E_i)$  for  $1 \leq i \leq l-1$  and  $\tilde{E}_l = E_l$ .

$$R_\pi = \sum_{i=1}^l (\delta_i - 1)\tilde{E}_i, \\ \pi^*D = D^* + \sum_{i=1}^l (\nu_i - 1)\tilde{E}_i.$$

Thus we have

$$\mathcal{C}_D = \sum_{i=1}^l (\nu_i - \delta_i)\tilde{E}_i.$$

Consequently, we obtain

PROPOSITION 4.

$$S(D) \leq \sum_{i=1}^l \limsup_{r \rightarrow +\infty} [(\nu_i - \delta_i)\{T_f(\tilde{E}_i, r) - N_f(\tilde{E}_i, r)\}/T(D, r)].$$

COROLLARY. For  $y \in \mathbf{R}$ , denote by  $y^+ = \max\{y, 0\}$ . We have

$$(23) \quad S(D) \leq \sum_{i=1}^l (\nu_i - \delta_i)^+ \limsup_{r \rightarrow +\infty} [T_f(\tilde{E}_i, r)/T(D, r)].$$

DEFINITION. We say that  $D$  has *quasi-negligible singularities* if  $\delta_i \geq \nu_i$  holds for  $i=1, \dots, l$  ([10]).

COROLLARY. If  $D$  has *quasi-negligible singularities*, then

$$S(D) \leq 0,$$

and the defect relation (21) becomes the usual form

$$\delta(D) + \gamma_1(D) \leq \limsup_{r \rightarrow +\infty} [-T(K_W, r)/T(D, r)].$$

*Examples of quasi-negligible singularities.* (i) Normal crossing is quasi-negligible, (ii) a curve has quasi-negligible singularities if and only if its singularities are

only ordinary double points, (iii) the isolated singularity  $w_1^d + \dots + w_n^d = 0$  is quasi-negligible if  $d \leq n$ , (iv) on surfaces the singularity defined by  $w_1^2 + w_2^2 + w_3^2 = 0$  (type  $A_k$ ) is quasi-negligible.

PROPOSITION 5 (cf. Iitaka [5], Lemma 3). *We have the relation*

$$\kappa(K_{W^*} + D^*, W^*) \leq \kappa(K_W + D, W).$$

PROOF. Let  $\Gamma_i = K_{W_i} + [D_i]$ . It suffices to prove

$$(24) \quad \kappa(\Gamma_i, W_i) \leq \kappa(\Gamma_{i-1}, W_{i-1}),$$

for each  $i$ . By (22), we have  $\Gamma_i = \pi_i^*(\Gamma_{i-1}) + (\delta_i - \nu_i)[E_i]$ . If  $(\delta_i - \nu_i) \leq 0$ , the inequality (24) is obvious. If  $(\delta_i - \nu_i) > 0$ , it suffices to prove when  $\kappa(\Gamma_i, W_i) \geq 0$ . For an effective divisor  $X \in |m\Gamma_i|$ , we have  $Z = \pi_i^*(X) \in |m\Gamma_{i-1}|$ . So  $X - \pi_i^*(Z) \sim (\delta_i - \nu_i)E_i$ . Since  $E_i$  is exceptional, we get  $X = \pi_i^*(Z) + (\delta_i - \nu_i)E_i$ . Therefore the map  $\pi_i^*: |m\Gamma_i| \rightarrow |m\Gamma_{i-1}|$  is injective. Obviously this map is surjective. Therefore in this case we obtain  $\dim H^0(W_i, \mathcal{O}(m\Gamma_i)) = \dim H^0(W_{i-1}, \mathcal{O}(m\Gamma_{i-1}))$ . This proves (24). Q.E.D.

COROLLARY ([10]). *If  $D$  has quasi-negligible singularities, then*

$$\kappa(K_{W^*} + D^*, W^*) = \kappa(K_W + D, W).$$

REMARK. For related topics, see [12].

Finally, we estimate the term  $S(D)$  for some singular plane curves  $D$ .

Example 3. Let  $D$  be a curve of degree  $d$  in  $\mathbf{P}_2$  which has only ordinary singular points  $x_i$  with multiplicity  $\nu_i$  (with distinct  $\nu_i$  tangent) for  $i=1, \dots, l$ . In this case the desingularization  $\pi: W^* \rightarrow \mathbf{P}_2$  of  $D$  consists of blowing ups of each  $x_i$ . Put  $E_i = \pi^{-1}(x_i)$  and let  $\bar{D}$  be the strict transform of  $D$  by  $\pi$ . Then  $D^* = \bar{D} + E_1 + \dots + E_l$ . Let  $h$  be the least degree such that there exists a curve  $C$  of degree  $h$  which has  $x_i$  as a point of multiplicity at least  $\nu_i - 2$ . If  $h < d - 3$ , then  $\kappa(K_{W^*} + D^*, W^*) = 2$ . In fact, by the assumption, we see that  $\pi^*C = C' + \sum_{i=1}^l (\nu_i - 2)E_i$  with a curve  $C'$ . Hence from (22)

$$\pi^*C + (d - 3 - h)\pi^*H \in |K_{W^*} + D^*|,$$

for any line  $H$  in  $\mathbf{P}_2$ , which shows that  $\kappa(K_{W^*} + D^*, W^*) \geq \kappa(H, \mathbf{P}_2) = 2$ .

Let  $f: C^2 \rightarrow \mathbf{P}_2$  be a non-degenerate holomorphic map. Then

$$hT(H, r) = T(C, r) = T_f(\pi^*C, r) = T_f(C', r) + \sum_{i=1}^l (\nu_i - 2)T_f(E_i, r)$$

where  $\tilde{f} = \pi^{-1} \circ f$  as before. Therefore

$$\sum_{i=1}^l (\nu_i - 2) T_f(E_i, r) \leq hT(H, r) + O(1).$$

Noting that  $T(D, r) = dT(H, r)$ , this implies

$$\begin{aligned} S(D) &\leq \sum_{i=1}^l (\nu_i - 2) \{ \limsup_{r \rightarrow +\infty} [T_f(E_i, r) / T(D, r)] \} \\ &\leq \frac{h}{d}. \end{aligned}$$

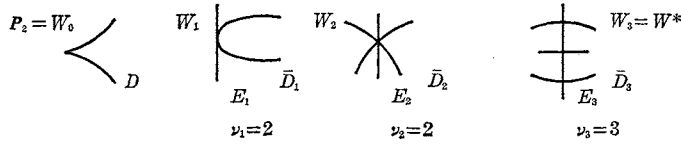
Thus we obtain the following defect relation

$$\delta(D) + \gamma_1(D) \leq \frac{3+h}{d}.$$

If  $D$  consists of irreducible components  $D_i$ , degree  $d_i$  for  $i=1, \dots, k$ . Then

$$\sum_{i=1}^k d_i \delta(D_i) + \gamma_1(H) \leq 3+h.$$

*Example 4.* Let  $D$  be a curve of degree 4 in  $P_2$  with one cusp. We represent the desingularization as follows.



In this case it can be shown that  $\kappa(K_{W^*} + D^*, W^*) = 2$ . Let  $f: C^2 \rightarrow P_2$  be a non-degenerate holomorphic map. Then we obtain the following defect relation

$$\delta(D) + \gamma_1(D) \leq \frac{7}{8}.$$

First we note that  $\bar{E}_1 - 2\bar{E}_3$  is effective, and then by (3)

$$2T_f(\bar{E}_3, r) \leq T_f(\bar{E}_1, r) + O(1).$$

Let  $H_0$  be a line which passes through the cusp. Then  $\pi^*H_0 = Z + \bar{E}_1$ , with a curve  $Z$  on  $W^*$ . It follows that

$$T_f(\bar{E}_1, r) \leq T(H_0, r) + O(1).$$

Putting these together, we obtain

$$2T_f(\bar{E}_3, r) \leq T(H_0, r) + O(1).$$

Since  $T(D, r) = 4T(H_0, r)$ , this implies

$$S(D) \leq \limsup_{r \rightarrow +\infty} [T_f(\tilde{E}_3, r)/T(D, r)] \leq \frac{1}{8}.$$

We obtain the desired result from Example 2.

REMARK. These examples also follow from Theorem 5.2 in Shiffman [14].

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