

## On the Theorem of Riemann-Roch.

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A. Weil [1] has discovered that there exists an intimate relation between the topological structure of the idèle ring  $\tilde{k}$  of the function field  $k$  and the Riemann-Roch theorem. He has given a completely new proof of this theorem, defining the differential as a continuous linear function defined on the module  $\tilde{k}/k$ . This shows an example of the beautiful harmony which reigns over the arithmetic and the theory of the algebraic function field. As is well known, the notions of idèles and additive idèles have turned out to be the most important in the present day arithmetic, and the standard way of expressing these matters by means of these notions seems to have been now established. The object of the present paper is first to give a proof of the Riemann-Roch theorem in this style, and then to generalize it to "vector spaces", so that it may be applied more conveniently to various cases. In § 4, we give an application of this result to semi-simple algebras, and obtain a theorem of E. Witt [1] and a formula of Hurwitz [1] in a generalized form. It is also not difficult to explain the method of F.K. Schmidt [1] from our standpoint, but this topic will be reserved to another occasion.

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### 1. Additive and multiplicative idèles.

Let  $k$  be a finite extension of a field  $\Sigma$  with the dimension 1, in which  $\Sigma$  is supposed to be algebraically closed. We shall then call  $k$  an *algebraic function field* over  $\Sigma$ . A *valuation* of  $k$  over  $\Sigma$  is a homomorphism of the multiplicative group  $k^*$  of the non-zero elements of  $k$  into the additive group of real numbers, satisfying the following conditions.

1.  $\nu(a+b) \geq \text{Min.}(\nu(a), \nu(b)) \quad (a+b \neq 0)$
2.  $\nu(a) = 0$  for  $a \in \Sigma$
3.  $\nu(k^*) \neq \{0\}$

Put further  $\nu(0) = +\infty$ , so that  $\nu$  is defined for all elements of  $k$ , and that the condition 1. is satisfied in general. Two valuations  $\nu, \nu'$

are called *equivalent*:  $\nu \sim \nu'$ , when there exists a positive number  $\lambda$  such that  $\nu'(a) = \lambda\nu(a)$  for all  $a \in k^*$ . A class of valuations according to this equivalence relation is called a *prime divisor*. We shall denote the prime divisors with the letters like  $P, Q$ . To each prime divisor  $P$  belongs a *normalized valuation*  $\nu_P$ , such that  $\nu_P(k^*)$  coincides with the set of all rational integers. Put  $\mathfrak{o} = \{a; a \in k, \nu_P(a) \geq 0\}$ ,  $\mathfrak{p} = \{a; a \in k, \nu_P(a) > 0\}$ .  $\mathfrak{o}$  is an integrity domain determined by  $P$ , and  $\mathfrak{p}$  is a prime ideal in  $\mathfrak{o}$ .  $\mathfrak{o}/\mathfrak{p}$  is an algebraic extension of  $\sum$  with a finite degree  $n(P)$ . Let  $\mathfrak{M}$  be the set of all prime divisors of  $k$ . A *divisor* of  $k$  is an element of the free abelian group  $D$  generated by  $\mathfrak{M}$ . We shall write  $E$  for the neutral element of  $D$ .

Put  $\nu_P(A) = \sum_{i=1}^r e_i$  and  $n(A) = \sum_{i=1}^r n(P)\nu_P(A)$  for  $A = P_1^{e_1} P_2^{e_2} \dots P_r^{e_r}$ . If  $a \in k^*$ , there are but finite number of prime divisors  $P$  with  $\nu_P(a) \neq 0$ . The divisor  $\prod_P P^{\nu_P(a)}$  is denoted by  $(a)$  and called a *principal divisor*. The mapping  $k^* \ni a \rightarrow (a)$  is a homomorphism of  $k^*$  into  $D$ . The map of  $k^*$  by this homomorphism, i.e. the group of all principal divisors is denoted by  $D_0$ . Since  $\sum_{i=1}^r n(P)\nu_P(a) = 0$  for  $a \in k^*$  by the "product formula" we have  $n(A) = 0$  for  $A \in D_0$ . The elements of  $D/D_0$  are called *divisor classes*.  $n(A)$  depends clearly only on the class to which  $A$  belongs. We shall write  $A \succ B$  for two divisors  $A, B$  with  $\nu_P(A) \geq \nu_P(B)$  for all  $P \in \mathfrak{M}$ , and call the *integral divisors* the divisors  $A$  such as  $A \succ E$ .

Let  $K$  be an extension of  $k$  with a finite degree, and  $\mathfrak{R}_1, \dots, \mathfrak{R}_g$  the extensions in  $K$  of a prime divisor  $P$  of  $k$ . Let  $p$  be an element of  $k$  with  $\nu_P(p) = 1$ . Put  $e_i = \nu_{\mathfrak{R}_i}(p)$  and  $f_i = n(\mathfrak{R}_i)/n(P)$ . Then we have  $\sum e_i f_i = [K:k]$ . An isomorphism of  $D$  into  $D_K$  (the divisor group of  $K$ ) is obtained by  $P \rightarrow \mathfrak{R}_1^{e_1} \dots \mathfrak{R}_g^{e_g}$ . In identifying  $P$  with  $\mathfrak{R}_1^{e_1} \dots \mathfrak{R}_g^{e_g}$ ,  $D$  is embedded in  $D_K: D \subset D_K$ .

Let  $k_P$  be the completion of  $k$  with respect to the valuation  $\nu_P$ , then  $\nu_P$  is extended to  $k_P$ .

The elements  $(a_P)$  of the direct sum  $\sum_P k_P$  will be called *vectors*, and denoted by  $\bar{a}, b, \dots, a_P, b_P, \dots$  are called the *p-components* of  $\bar{a}, b, \dots$ . They are added and multiplied as follows.

$$\bar{a} \pm b = (a_P \pm b_P)$$

$$\bar{a} \cdot b = (a_P \cdot b_P)$$

We shall write  $\nu_P(\bar{a})$  for  $\nu_P(a_P)$ . An additive idèle  $\bar{a}$  is a vector satisfying the condition:

$$\nu_P(\bar{a}) \geq 0 \text{ for all but a finite number of } P.$$

If  $\bar{a}$  and  $\bar{b}$  are additive idèles,  $\bar{a} \pm \bar{b}$  and  $\bar{a} \cdot \bar{b}$  are clearly also additive

idèles, and the set of all additive idèles forms a commutative ring  $\tilde{k}$ . We can associate to an element  $a$  of  $k$  an additive idèle whose  $P$ -component is  $a$  for each  $P$ . If we identify  $a$  with this additive idèle,  $k$  becomes a sub-field of  $\tilde{k}$ , and the unit element of  $k$  is also the unit element of  $k_P$ . Similarly, we can associate to  $a_P \in k_P$  an additive idèle whose  $P$ -component is  $a_P$  and  $P'$ -component is 0 for  $P' \neq P$ , then  $k_P$  becomes also a subring of  $\tilde{k}$ . We shall denote the unit element of  $k_P$  by  $1_P$ . An additive idèle having an inverse in  $\tilde{k}$ , will be called an *idèle* of  $k$ , and denoted by a small german letter. The set of all idèles forms a multiplicative group  $J_k$  in which  $k^*$  forms a subgroup. If  $\alpha = (\alpha_P)$  is an idèle, then  $\alpha_P \neq 0$  for all  $P$  and  $\nu_P(\alpha_P) = 0$  for all but a finite number of  $P$ , so that we can associate to each idèle  $\alpha$  a divisor  $(\alpha) = \sum P^{\nu_P(\alpha)}$ .  $\alpha \rightarrow (\alpha)$  is clearly a homomorphism of  $J_k$  onto  $D$ . Let  $\alpha$  be an idèle and  $\tilde{a}$  an additive idèle, then the product  $\alpha \tilde{a}$  is defined in  $\tilde{k}$ , so that  $J_k$  forms a group of operators on  $\tilde{k}$  as a  $\sum$ -module.

Let  $K$  be an algebraic extension of  $k$  with a degree  $n$ ,  $w_1, \dots, w_n$ , a basis of  $K/k$ , and  $\mathfrak{P}_1, \dots, \mathfrak{P}_g$  the extensions in  $K$  of a prime divisor  $P$  of  $k$ . If we consider  $K$  as a commutative algebra over  $k$ , the scalar extension  $K_P/k_P$  of  $K/k$  is isomorphic with  $K_{\mathfrak{P}_1} + \dots + K_{\mathfrak{P}_g}$ . For each  $\tilde{k} \ni \tilde{a} = (a_P)$  we associate an additive idèle  $\tilde{a}_K \in K$  whose  $\mathfrak{P}$ -component is equal to  $a_P$  for each  $\mathfrak{P}|P$ . Identifying  $\tilde{a}_K$  with  $\tilde{a}$ , we can regard  $\tilde{k}$  as a subring of  $K$  and  $\tilde{J}_K$  as a subgroup of  $J_K$ . It is easily proved by above remark that  $\tilde{K} = \tilde{k}w_1 + \dots + \tilde{k}w_n$ .

**2. Parallelotopes. Theorem of Riemann-Roch.**

Put  $\mathfrak{o}_P = \{a_P; a_P \in k_P, \nu_P(a_P) \geq 0\}$  and  $\mathfrak{o} = \sum_P \mathfrak{o}_P$ . Elements of  $\mathfrak{o}$  will be called (additive) *integral idèles*.

Let  $\alpha$  be an idèle of  $k$ . The sub-module  $\alpha^{-1}\mathfrak{o}$  of  $\tilde{k}$  depends clearly only on the divisor  $A = (\alpha)$ . We call this module *parallelotope* of the size  $A$ , and denote with  $\tilde{L}(A)$ . If we take the set of all  $\tilde{L}(A)$  as a system of neighbourhoods of 0 of  $\tilde{k}$ ,  $\tilde{k}$  becomes a topological ring. The necessary and sufficient condition for  $\mathfrak{b} \in \tilde{L}((\alpha))$ , is  $\alpha \cdot \mathfrak{b} \in \mathfrak{o}$ . So if  $n(A) < 0$ ,  $\tilde{L}(A)$  contains only one element 0 of  $\tilde{k}$  by the product formula, hence  $k$  is a discrete set of  $\tilde{k}$ . Put  $L(A) = \tilde{L}(A) \cap k$ , then  $L(A)$  is a  $\sum$ -module. We have clearly  $\tilde{L}(\mathfrak{b})A = \mathfrak{b}^{-1}L(A)$ , and  $L(A(x)) = x^{-1}L(A)$   $x \in k^*$ . Hence the rank  $l(A)$  of  $L(A)$  over  $\sum$  depends only on the divisor class of  $A$ .

Put  $\mathfrak{p}_P = \{a_P; a_P \in \mathfrak{o}_P, \nu_P(a_P) \geq 1\}$ . Then  $\mathfrak{p}_P$  is a prime ideal of  $\mathfrak{o}_P$  and  $\mathfrak{o}_P/\mathfrak{p}_P$  is an algebraic extension of  $\sum$  with the degree  $n(P)$ . Let  $w_1^P, \dots, w_{n(P)}^P$  be elements of  $k_P$ , whose residue classes mod.  $\mathfrak{p}_P$  are linearly independent over  $\sum$ , and  $p_P$  an element of  $k$  with  $\nu_P(p_P) = 1$ . We shall call a system of elements  $\{w_1^P, \dots, w_n^P; p_P\}$  a *uniformizing*

system at  $P$ , an  $p_P$  a prime element of  $P$ . Each element  $a_P$  of  $k_P$  has a unique expansion of the following form with respect to a uniformizing system  $\{w_1^P, \dots, w_n^P; P_P\}$ :

$$a_P = \sum_{j=\nu_P(a_P)}^{\infty} \sum_{i=1}^{n(P)} a_{ij} w_i^P p_P^j = \sum_{i,j} f_{ij}^P(a_P) w_i^P p_P^j$$

From now on, we shall consider a uniformizing system associated to each  $P$  as fixed once for all. Then we have functions  $f_{ij}^P$  for all  $P$  and  $1 \leq j \leq n(P)$ ,  $-\infty < i < +\infty$ . If  $\bar{a} = (a_P)$  is an additive idele, we shall write  $f_{ij}^P(\bar{a})$  instead of  $f_{ij}^P(a_P)$ .  $f_{ij}^P$  is then a linear function mapping  $\tilde{k}$  as a  $\Sigma$ -module into  $\Sigma$ , and if we introduce the discrete topology in  $\Sigma$ ,  $f_{ij}^P$  is a continuous function. It is easily proved that the set  $\{f_{ij}^P\}$  is a strong base in the sense of Chevalley of the space of all continuous linear functions of  $\tilde{k}$  in  $\Sigma$ . Now we can prove the following lemmas and propositions.

**Lemma 1.** If  $A \succ B$ , then  $\tilde{L}(A)/\tilde{L}(B)$  is a  $\Sigma$ -module with the rank  $n(A) - n(B)$ .

*Proof.* Let  $A$  be a divisor of  $k$ . Then  $\bar{a}$  is in  $\tilde{L}(A)$  if and only if  $f_{ij}^P(\bar{a}) = 0$  for  $i < -\nu_P(A)$ . Therefore if  $A \succ B$  and  $P_1, \dots, P_h$  are all the prime divisors such that  $\nu_{P_l}(A) > \nu_{P_l}(B)$ , then  $\tilde{L}(A) \ni \bar{a}$  is in  $\tilde{L}(B)$  if and only if  $f_{ij}^{P_l}(\bar{a}) = 0$  for  $n(A) - n(B)$  functions  $f_{ij}^{P_l}$ ,  $1 \leq l \leq h$ ,  $-\nu_{P_l}(A) \leq i < -\nu_{P_l}(B)$ ,  $1 \leq j \leq n(P_l)$ . Hence  $\tilde{L}(A)/\tilde{L}(B)$  has a rank  $n(A) - n(B)$  as a  $\Sigma$ -module.

**Proposition 1.**  $l(A)$  is finite for all  $A \in D$ .

*Proof.* Let  $A_1$  be a divisor such that  $A \succ A_1$ ,  $n(A_1) < 0$ . Then we have  $l(A_1) = \{0\}$  and  $l(B) = L(A) \cap \tilde{L}(B)$  for  $A \succ B$ , so that

$$l(A) \subset L(A) \cup \tilde{L}(A_1) / \tilde{L}(A_1) \subseteq \tilde{L}(A) / \tilde{L}(A_1)$$

Hence  $l(A) \leq n(A) - n(A_1) < \infty$ .

We shall call  $l(A)$  the dimension of the divisor  $A$ .

**Proposition 2.** If  $k$  is a purely transcendental extension  $\Sigma(x)$  of  $\Sigma$ , then  $\nu \circ k = \tilde{k}$ .

*Proof.* There is only one prime divisor  $P_\infty$  of  $k$  with  $\nu_{P_\infty}(x) < 0$ . We shall denote other prime divisor with  $P, Q, \dots$ . To each prime divisor  $P (\neq P_\infty)$  we associate uniquely an irreducible polynomial  $F_P(x) \in \Sigma[x]$  with highest coefficient 1 such that  $\nu_P(F_P(x)) = 1$ . Then obviously  $\nu_{P'}(F_P(x)) = 0$  for  $P' \neq P$ , and  $\nu_{P_\infty}(F_P(x)) = -n(P)$ . We can take the set  $\{1, x, \dots, x^{n(P)-1}; F_P(x)\}$  as a uniformizing system at  $P$ . If  $a_P$  is an element of  $k_P$  with  $\nu_P(a_P) = \mu < 0$ , we put

$$H_P(x) = \sum_{j=0}^{-1} \sum_{i=0}^{\nu_P} f_{ij}^{(P)}(a_P) x^{j+i} (F_P(x))^{\mu+i}$$

then  $\nu_P(a_P - H_P(x)/(F_P(x))^\mu) \geq 0$  and  $\nu_{P'}(H_P(x)/(F_P(x))^\mu) \geq 0$  for  $P' \neq P$ . As to  $P_\infty$ ,  $\nu_{P_\infty}(H_P(x)/(F_P(x))^\mu) = \mu n(P) - \deg H_P(x) > 0$ , hence  $a_P - H_P(x)/(F_P(x))^\mu \in \mathfrak{o}$ . On the other hand,  $1/x$  is a prime element of  $P_\infty$  and  $n(P_\infty) = 1$ , so  $\{1: 1/x\}$  is a uniformizing system at  $P_\infty$ . If  $a_{P_\infty}$  is an element of  $k_{P_\infty}$  with  $-\mu = \nu_{P_\infty}(a_{P_\infty}) < 0$ , we put

$$H_\infty = \sum_{i=0}^{-\mu} f_{ii}^{(P_\infty)}(a_{P_\infty}) x^{-i}$$

then it is easily proved as above that  $a_{P_\infty} - H_{P_\infty}(x) \in \mathfrak{o}$ .

Let  $\bar{a} = (a_P)$  be a non integral idèle, and  $P_1, \dots, P_h$  all the prime divisors such that  $\nu_{P_i}(\bar{a}) < 0$ . To each  $a_{P_i}$  we associate a polynomial  $H_{P_i}(x)$  as above and put

$$z = \sum_i H_{P_i}(x) / F_{P_i}(x)^{-\nu_{P_i}(\bar{a})} + H_{P_\infty}(x)$$

(The last term appears only when one of  $P_i$  is  $P_\infty$ .) Then  $\bar{a} - z$  is obviously an element of  $\mathfrak{o}$ , hence it follows that  $\bar{a} \in \mathfrak{o} \cup k$ . Our assertion is thereby proved.

**Proposition 3.** Let  $A$  be an arbitrary divisor of  $k$ , then

$$\bar{k} / \bar{L}(A) \cup k$$

is a  $\Sigma$ -module with a finite rank. If  $r(A)$  is its rank,  $n(A) - l(A) + r(A)$  is a constant depending only on  $k$ , and not on  $A$ .

*Proof.* Let  $x \in k$  be a transcendental element over  $\Sigma$ . Put  $k_0 = \Sigma(x)$ ,  $k$  is then an algebraic extension of  $k_0$ . Set  $n = [k; k_0]$ . Let  $w_1, \dots, w_n$  be a relative basis of  $k$  over  $k_0$ . We can take an integral divisor  $A_0$  such that  $A_0 \succ (w_i)^{-1}$  for  $1 \leq i \leq n$ , then clearly  $L(A_0) \ni w_1, \dots, w_n$ . So we have by Proposition 2:  $\bar{L}(A_0) \cup k_0 \supseteq \bar{k}_0$ . Hence  $\bar{L}(A_0) \cup k \supseteq \bar{k}_0 w_1 + \dots + \bar{k}_0 w_n = \bar{k}$ . Take a divisor  $A_1$  such that  $A_1 \succ A_0$ ,  $A_1 \succ A$ . Then we have obviously  $\bar{L}(A_1) \cup k = \bar{k}$ , and

$$\begin{aligned} \bar{k} / \bar{L}(A) \cup k &= \bar{L}(A_1) \cup k / \bar{L}(A) \cup k \\ &\simeq \bar{L}(A_1) / \bar{L}(A_1) \cap (\bar{L}(A) \cup k) = \bar{L}(A_1) / \bar{L}(A) \cup L(A_1), \\ \bar{L}(A) \cup L(A_1) / \bar{L}(A) &\simeq L(A_1) / \bar{L}(A) \cap L(A_1) = L(A_1) / L(A). \end{aligned}$$

Hence  $r(A) = n(A_1) - n(A) - (l(A_1) - l(A))$ .

If in particular  $\bar{L}(A) \cup k = \bar{k}$ , we have  $n(A_1) - l(A_1) = n(A) - l(A)$ .

So if  $\bar{L}(A_1) \cup k = \bar{k}$ ,  $\bar{L}(A_2) \cup k = \bar{k}$ , and  $A_1 \succ A_2$ ,  $A_1 \succ A_2$ ,

$$n(A_1) - l(A_1) = n(A_2) - l(A_2) = n(A_2) - l(A_2).$$

Therefore  $n(A_1) - l(A_1)$  is constant for all  $A_1$  satisfying  $\bar{L}(A_1) \cup k = \bar{k}$ .

So  $r(A) + n(A) - l(A)$  does not depend on  $A$  as

$$r(A) + n(A) - l(A) = n(A_0) - l(A_0)$$

Remark: From Proposition 3, we see that  $r(A)$  depends only on divisor class of  $A$ .

The constant value of  $r(A) + n(A) - l(A) + 1$  is called the genus of  $k$ , and denoted by  $g$ . Putting  $A = E$ , we see  $g \geq 0$ . Moreover if  $k$  is rational function field over  $\Sigma$ , i.e. the purely transcendental extension of  $\Sigma$ , the genus of  $k$  is equal to 0 by Proposition 2. We have clearly  $r(A) \geq 0$ . Further,  $r(A) \leq r(B)$  for  $A \succ B$ . A divisor  $A$  with  $r(A) = 0$  is called *non special*. As shown in the proof of the Proposition 3, there exists at least one non special divisor  $A_0$ .

**Proposition 4.** There is a constant  $m$ , such that all  $A$  with  $n(A) \geq m$  are non special.

Proof. Let  $A_0$  be a non special prime divisor. Put  $m = n(A_0) + g$ . If  $n(A) \geq m$ , we have  $l(A/A_0) \geq n(A/A_0) - g + 1 \geq 1$ . Hence there is an element  $x \neq 0$  in  $L(A/A_0)$ . Put  $B = (x)$ .  $A/A_0$ . So we have obviously  $B \succ E$  and  $r(A_0B) = 0$ . as  $A_0B \succ B$ . Hence

$$r(A) = r(A(x)) = r(A_0B) = 0.$$

Now a mapping  $f$  of  $\tilde{k}$  into  $\Sigma$  satisfying the following three conditions will be called a *differential* of  $k$ .

1.  $f$  is linear, i.e.  $\Sigma \ni \alpha, \beta$  and  $\tilde{k} \ni \bar{a}, \bar{b}$ , implies

$$f(\alpha\bar{a} + \beta\bar{b}) = \alpha f(\bar{a}) + \beta f(\bar{b})$$

2.  $f$  is continuous, i.e. there exists a divisor  $A$  such that

$$\tilde{L}(A) \ni \bar{a} \text{ implies } f(\bar{a}) = 0.$$

3.  $f$  maps  $k$  to 0.

Let  $A$  be a given divisor. Then the set of all differentials mapping all elements of  $\tilde{L}(A)$  to 0 forms a  $\Sigma$ -module, whose rank is obviously equal to the  $\text{rank } \tilde{k}/\tilde{L}(A) \cup k = r(A)$ . If we denote this  $\Sigma$ -module with  $\mathfrak{L}_A$ , the Proposition 3 may be expressed as follows.

$$l(A) = n(A) - g + 1 + \text{rank } \mathfrak{L}_A.$$

Now the set  $D$  of the divisors of  $k$  forms obviously a distributive lattice with the order relation  $A \succ B$ . We have clearly  $\tilde{L}(A \cup B) = \tilde{L}(A) \cup \tilde{L}(B)$ ,  $L(A \cap B) = \tilde{L}(A) \cap \tilde{L}(B)$ . If  $f$  is a differential  $\neq 0$ ,  $D_f = \{A; A \in D, L_A \ni f\}$  forms a sublattice of  $D$ . There is the maximal

value of  $n(A)$  for  $A \in \mathcal{Q}_A$ , as  $f \in \mathcal{Q}_A$  for  $n(A) \geq m$  by Prop. 4. Let  $n(C)$ ,  $C_y \in D_r$  be this maximal value. As we have clearly  $n(A) > n(B)$  for  $A > B$ ,  $A \neq B$ ,  $D_r \ni A$  implies  $C > A$ . Since this divisor is determined by the differential  $f \neq 0$ , we shall write  $C = (f)$ .

Let  $\mathcal{Q}$  be the module of all differentials. For  $f \in L$  we define a differential  $x \cdot f$  by

$$xf(\bar{a}) = f(x\bar{a})$$

so that  $\mathcal{Q}$  becomes a  $k$ -module. Clearly  $(xf) = (x) \cdot (f)$ .

**Proposition 5.**  $\mathcal{Q}$  is a 1-dimensional  $k$ -module.

*Proof.* Suppose  $f_1, f_2$  be two linearly independent differentials over  $k$ . Let  $\nu$  be a natural number, and  $P$  an arbitrary prime divisor. We have

$$\dim (P^\nu(f_1)) = r \geq \nu n(P) + n((f_1)) - g + 1$$

$$\dim (P^\nu(f_2)) = s \geq \nu n(P) + n((f_2)) - g + 1.$$

Let  $x_1, \dots, x_r \in L(P^\nu(f_1))$  and  $y_1, \dots, y_s \in L(P^\nu(f_2))$  be linearly independent over  $\sum$ , then  $x_1 f_1, \dots, x_r f_1, y_1 f_2, \dots, y_s f_2$ , are linearly independent over  $\sum$ , and  $P^{-\nu} \prec (x_i f_i)$  as  $x_i \in L(P^\nu(f_i))$ ,  $P^{-\nu} \prec (y_j f_j)$  as  $y_j \in L(P^\nu(f_j))$ , so that

$$r(P^{-\nu}) = \nu n(P) + g - 1 \geq r + s.$$

Hence

$$\nu \cdot n(P) + g - 1 \geq 2\nu \cdot n(P) + n((f_1)) + n((f_2)) - 2g + 2.$$

This leads to a contradiction, when  $\nu \rightarrow \infty$ , therefore  $\mathcal{Q}$  is 1-dimensional over  $k$ .

By the above proposition and the remark  $(xf) = (x)(f)$ , the set of divisors  $(f)$  ( $f \in L, f \neq 0$ ) forms a divisor class, which be called the *canonical class* of  $k$ .

**Theorem 1.** (Theorem of Riemann-Roch). Let  $A$  be a divisor and  $f_0$  a differential  $\neq 0$ . Then it follows

$$l(A) = n(A) - g + 1 + l((f_0)A^{-1}).$$

*Proof.* We have only to show  $\dim L_A = l((f_0)A^{-1})$ .  $\mathcal{Q}_A \ni f$  means  $(f) > A$ . We may write  $f = x \cdot f_0$  according to Proposition 4. This is equivalent with  $(x) > A - (f_0)$ . The number of such linearly independent  $x$ 's are clearly  $l((f_0)A^{-1})$ .

**Corollary.**  $l((f_0)) = g, n((f_0)) = 2g - 2$ .

### § 3. Vector spaces.

Let  $M$  be an  $n$ -dimensional vector space over  $k$ , and  $e_1, \dots, e_n$  a

basis of  $M$  over  $k$ . Then the "completion"  $\tilde{M} = \tilde{k}e_1 + \dots + \tilde{k}e_n$  of  $M$  is determined by  $M$ , independently of the basis  $e_1, \dots, e_n$ .  $\tilde{M}$  is a  $k\text{-}\sum$ -module, containing  $M$  and  $M_P = k_P e_1 + \dots + k_P e_n$ , as submodules.

Now take for each prime divisor  $P$  of  $k$  a submodule  $\Pi_P$  of  $M_P$  satisfying the following conditions.

- 1)  $\Pi_P$  is a finite  $\mathfrak{o}_P$ -module, containing a  $k_P$ -basis of  $M_P$ .
- 2)  $\Pi_P = \mathfrak{o}_P e_1 + \dots + \mathfrak{o}_P e_n$ , except for a finite number of  $P$ .

Then a submodule  $H$  of  $M$  of the form  $H = \sum_P \Pi_P$  is called a *parallelotope*. In this definition a basis  $e_1, \dots, e_n$  of  $M$  over  $k$  is used, but obviously it is independent of the choice of basis whether a submodule  $H$  of  $M$  is or is not a parallelotope. The parallelotope may be also defined as follows:

A submodule  $H$  of  $M$  is a parallelotope, when it satisfies the following conditions.

- 1')  $H$  is an  $\mathfrak{o}$ -module.
- 2')  $A, A_i$  being certain divisors,  $\tilde{L}(A)e_1 + \dots + \tilde{L}(A)e_n \subset H \subset \tilde{L}(A')e_1 + \dots + \tilde{L}(A')e_n$ .

It is clear that these two definitions of the parallelotopes are equivalent. A module of the form  $\tilde{L}(A)e_1 + \dots + \tilde{L}(A)e_n$  satisfies 1), 2), then this module is a parallelotope.  $\Pi_P$  is called the *P-component* of a parallelotope  $H = \sum \Pi_P$ . When  $H', H''$  are parallelotopes, so are also  $H' \cup H'', H' \cap H''$ .

Let  $H = \sum_P \Pi_P$  be a parallelotope. Let  $\mathfrak{a}_P^1, \dots, \mathfrak{a}_P^n$  be an  $\mathfrak{o}_P$ -basis of  $\Pi_P$  and put  $\mathfrak{a}_i^P = \sum \alpha_{ij}^P e_j$ ,  $\alpha_{ij}^P \in k_P$ . Denote with  $\alpha = (\alpha_P)$  the idèle with the component  $\alpha_P = |\alpha_{ji}^P|$ . The divisor  $(\alpha)$  is determined by  $H$  independently of the basis  $\mathfrak{a}_i^P$ . The divisor  $(\alpha)$  is called the *norm* of  $H$  and denoted by  $N(H)$ . Obviously  $H > H'$  implies  $N(H) < N(H')$ . If  $e'_1, \dots, e'_n$  is another basis of  $M$  over  $k$ , and  $e_i = \sum \alpha_{ji} e'_j$ , the norm  $N'(H)$  of  $H$  formed with the basis  $e'_1, \dots, e'_n$  instead of  $e_1, \dots, e_n$  is obtained from  $N(H)$  by  $N'(H) = (\alpha) N(H)$  where  $a = |\alpha_{ji}|$ . Then  $V(H) = n(N(H))^{-1}$  is independent of the choice of basis.  $V(H)$  is called the *volume* of the parallelotope  $H$ . The following lemma is proved the same way as the lemma 1 of § 3.

**Lemma 2.** If  $H > H'$ , the rank of the  $\sum$ -module  $H/H'$  is equal to  $V(H) - (H')$ .

In particular, we have  $V(\tilde{L}(A)e_1 + \dots + \tilde{L}(A)e_n) = n \cdot n(A)$ . Now we shall write  $M/H(H) = H \cup M$ . Then we have.

**Proposition 6.**  $M(H)$  and  $M(H) \cup M$  are finite  $\sum$ -modules.

If  $\lambda(H)$ ,  $\rho(H)$  are their ranks respectively, it holds

$$\lambda(H) = V(H) - n(g - 1) + \rho(H).$$

Proof. Take two divisors  $A_0, A_1$  such that  $H_0 = \tilde{L}(A_0) \mathbf{e}_1 + \dots + \tilde{L}(A_0) \mathbf{e}_n \subset H \subset \tilde{L}(A_1) \mathbf{e}_1 + \dots + \tilde{L}(A_1) \mathbf{e}_n = H_1$ . We may suppose also  $r(A_1) = 0$  in virtue of Proposition 4.  $M(H_0)$  is a  $\Sigma$ -module of dimension  $n \cdot l(A_0)$ . Furthermore we have

$$M(H) / M(H_0) \cong M(H) \cup H_0 / H_0 \subset H / H_0$$

so that  $M(H)$  is a  $\Sigma$ -module whose dimension  $nl(A) + V(H) - n \cdot n(A)$ . As  $r(A_1) = 0$ , we have  $L(A_1) \cup k = \tilde{k}$ ,  $H_1 \cup M = M$ , so that

$$M / H \cup k = H_1 \cup k / H \cup k \cong H_1 / H_1 \cap (H \cup k) = H_1 / H \cup M(H_1).$$

hence

$$\rho(H) = V(H_1) - V(H) - (\lambda(H_1) - \lambda(H))$$

so that

$$l(H) = V(H) - n(g - 1) + \rho(H)$$

A mapping of  $\tilde{M}$  into  $\Sigma$  is called a *differential* of  $M$ , when it satisfies the following conditions.

- 1)  $\tilde{M} \ni \tilde{\mathbf{a}}, \tilde{\mathbf{b}}$  and  $\Sigma \ni \alpha, \beta$  implies  $\phi(\alpha \cdot \tilde{\mathbf{a}} + \beta \tilde{\mathbf{b}}) = \alpha \phi(\tilde{\mathbf{a}}) + \beta \phi(\tilde{\mathbf{b}})$
- 2) There is a certain parallelotope  $H$  so that  $\phi(\tilde{\mathbf{a}}) = 0$  for all  $\tilde{\mathbf{a}} \in H$ .
- 3)  $\phi(\mathbf{a}) = 0$  for all  $\mathbf{a} \in M$ .

Now, let  $H$  be a fixed Parallelotope, the set of differentials  $\phi$ , such that  $\phi(\tilde{\mathbf{a}}) = 0$  for all  $\tilde{\mathbf{a}} \in H$ , a  $\Sigma$ -module, which will be denoted by  $L_H$ . We have by Proposition 5;  $\dim L_H = \rho(H)$ .

A differential  $\phi$  of  $M$  determines  $n$  differentials  $f_1, \dots, f_n$  of  $k$ , by  $f_i(\tilde{\mathbf{a}}) = \phi(\tilde{\mathbf{a}} \mathbf{e}_i)$  for  $\tilde{\mathbf{a}} \in k$ . Conversely  $n$  differentials  $f_1, \dots, f_n$  of  $k$  determine a differential  $\phi$  of  $M$  by  $\phi(\sum \tilde{\mathbf{a}}_i \mathbf{e}_i) = \sum f_i(\tilde{\mathbf{a}}_i)$ . If a basis  $\mathbf{e}_1, \dots, \mathbf{e}_n$  of  $M$  over  $k$  is fixed, this correspondence between  $\phi$  and  $\{f_1, \dots, f_n\}$  is obviously one-to-one. Hence the set of all differentials forms an  $n$ -dimensional  $k$ -module.

Now, we shall define the inner product  $(\mathbf{a}, \mathbf{b})$  for  $\mathbf{a}, \mathbf{b} \in M$ .

It is a  $k$ -valued function of  $\mathbf{a}, \mathbf{b} \in M$  satisfying the following conditions.

1.  $(\mathbf{a}, \mathbf{b}) = (\mathbf{b}, \mathbf{a})$
2.  $(a \mathbf{a} + a' \mathbf{a}', \mathbf{b}) = a(\mathbf{a}, \mathbf{b}) + a'(\mathbf{a}', \mathbf{b})$  for  $a, a' \in k$ .
3. If  $\mathbf{a} \neq 0$ , there exists  $\mathbf{b} \in M$  such that  $(\mathbf{a}, \mathbf{b}) \neq 0$ .

Then we can take for a given basis  $\mathbf{e}_1, \dots, \mathbf{e}_n$  of  $M$  over  $k$ , the "dual basis"  $\mathbf{e}_1^*, \dots, \mathbf{e}_n^*$  such that  $(\mathbf{e}_i, \mathbf{e}_j^*) = \delta_{ij}$ . The domain of definition of the inner product can be extended to  $\tilde{M}$  by

$$(\sum_i \bar{a}_i \mathbf{e}_i, \sum_i \bar{b}_i \mathbf{e}_i^*) = \sum_i \bar{a}_i b_i$$

Let  $H$  be a parallelotope. We shall define the *dual parallelotope*  $H^*$  of  $H$  by

$$H^* = \{\bar{\mathbf{a}}; \bar{\mathbf{a}} \in \tilde{M}(\mathbf{a}, \bar{\mathbf{h}}) \in \mathfrak{D} \text{ for all } \bar{\mathbf{h}} \in H\}.$$

It can be proved without difficulty that  $H^*$  is a parallelotope satisfying

1.  $(H^*)^* = H$
2.  $V(H^*) = -V(H)$

Let  $f$  be a differential of  $k$  and  $\mathbf{a} \in M$ . We shall define a differential  $\mathbf{a} \cdot f$  of  $M$  by

$$\mathbf{a} \cdot f(\bar{\mathbf{b}}) = f((\mathbf{a}, \bar{\mathbf{b}})).$$

Suppose  $f_0 \neq 0$ , let  $\phi$  be an arbitrary differential of  $M$ ,  $f_1, \dots, f_n$  the differentials of  $k$  corresponding to  $\phi$  as defined above. We can write  $f_i = \tilde{a}_i f_0$  in virtue of Proposition 4. Then

$$\phi(\sum_i \bar{\mathbf{b}}_i \cdot \mathbf{e}_i) = f_0(\sum_i a_i b_i) = [\sum_i a_i \mathbf{e}_i^*] f_0(\sum_i \bar{\mathbf{b}}_i \cdot \mathbf{e}_i)$$

so that every differential of  $M$  is expressible in the form  $\mathbf{a} \cdot f_0$ . It is easily shown that  $\phi = \mathbf{a} f_0 \in \mathfrak{Q}_H$ ,  $H$  being a given parallelotope, if and only if  $(\mathbf{a}, \bar{\mathbf{h}}) \in \tilde{L}((f_0))$  for all  $\bar{\mathbf{h}} \in H$ . On the other hand, let  $\mathfrak{d}_0$  be an idèle of  $k$  such that  $(f_0) = \mathfrak{d}_0$ . Then we have  $(\mathbf{a}, \bar{\mathbf{b}}) \in L(\mathfrak{d}_0)$  if and only if  $\mathbf{a} \in M(\mathfrak{d}_0^{-1} H^*)$ . From all this follows the following "Theorem of Riemann-Roch for vector spaces".

**Theorem 2.**  $\lambda(H) = V(H) - n(g-1) + \lambda(\mathfrak{d}_0^{-1} H^*)$ .

#### 4. Separable semi-simple algebras.

$k$  being a function field as before, let  $S$  be a separable semi-simple algebra over  $k$  with a rank  $n$ . If  $S \ni \omega_1, \dots, \omega_n$  are linearly independent over  $k$ , the structure of  $\tilde{S}$  is determined by  $n^2$  "constants of structure"  $c_{ij} \in k$ :

$$\omega_i \cdot \omega_j = \sum_l c_{ij}^l \omega_l \quad *$$

Considering  $S$  as a vector space over  $k$ , we shall use the same notations as in § 3.

Put  $\tilde{S} = \tilde{k}\omega_1 + \dots + \tilde{k}\omega_n$ . Extending the relations (\*) to  $\tilde{S}$ , we can regard  $\tilde{S}$  as a ring, which is generally non commutative. An element of  $S$  will be called an *additive idèle* of  $S$ , an element of  $S$  with an inverse in  $\tilde{S}$  an *idèle* of  $S$ , and the multiplicative group of all idèles, idèle group  $J$  of  $S$ .

We can naturally regard  $S, S_r, k, k_r, \tilde{k}$  and  $J_k$  as subsets of  $S$ . If

$J_S \ni \mathfrak{A}$ , then  $\mathfrak{A} \cdot \omega_j = \sum \tilde{a}_{ij} \omega_i$  with  $\tilde{a}_{ij} \in \hat{k}$ , and

$$\mathfrak{A} = |\tilde{a}_{ij}|$$

is in  $J_k$ . We shall call  $\mathfrak{a}$  the *norm* of  $\mathfrak{A}$  and denote by

$$N_{S/k}(\mathfrak{A}) = \mathfrak{a}$$

**Proposition 7.**  $\nu_P \omega_1 + \dots + \nu_P \omega_n$  is a maximal domain of integrity of  $S_P$  except for a finite number of  $P$ .

*Proof.* We have  $\nu_P(c'_{ij}) \geq 0$ ,  $1 \leq i, j, \leq n$  for all but a finite number of  $P$ . So  $\nu_P \omega_1 + \dots + \nu_P \omega_n$  is a domain of integrity of  $S_P$ . Let  $T \cdot \zeta$  be principal trace of  $\zeta \in S$ , i.e. the sum of the traces of matrices corresponding to  $\zeta$  in all inequivalent absolutely irreducible representations of  $S$ . So

$$D(\omega) = |T \cdot \omega_i \omega_j| \neq 0$$

because  $S$  is separable over  $k$ .  $\nu_P(D(\omega))$  is 0 for all but a finite number of  $P$ . If  $\nu_P(c'_{ij}) \geq 0$ ,  $1 \leq i, j, l \leq n$ , and  $\nu_P(D(\omega)) = 0$ ,  $\nu_P \omega_1 + \dots + \nu_P \omega_n$  is clearly a maximal domain of integrity of  $S_P$ .

If every  $P$ -component  $O_P$  of parallelotope  $O$  of  $S$  are maximal domain of integrity, we shall call  $O$  a *principal parallelotope*. In the following, we shall consider a fixed principal parallelotope. Every principal parallelotope  $O'$  of  $S$  can be then expressed in the following form with a suitable idèle  $\mathfrak{A}$ .

$$O' = \mathfrak{A}^{-1} O \mathfrak{A}$$

To each  $P$ , we determine a minimal basis  $\eta_1^P, \dots, \eta_n^P$  of  $O_P$  over  $\mathfrak{o}_P$  and set

$$d_P = D(\eta^P) = |T \eta_i^P \cdot \eta_j^P|$$

Then the vector  $\mathfrak{d}$  of  $k$  whose  $P$ -component is  $d_P$  for each  $P$  is clearly an idèle of  $k$ , and the divisor  $(\mathfrak{d})$  depends only on  $S$  and not on the choice of  $O$ . We shall call  $D = (\mathfrak{d})$  the *discriminant* of  $S$ .

**Proposition 8.** Let  $d = n(\mathfrak{d})$ , then the volume of every principal parallelotope is  $-\frac{1}{2}d$ .

*Proof.* Let  $\eta_j^P = \sum_i c_{ij}^P \omega_i$ ,  $c_{ij}^P \in k_P$ . then

$$\begin{aligned} D(\eta^P) &= |c_{ij}^P|^2 D(\omega) \\ d &= n(D) = 2 \sum_P n(P) \nu_P(|c_{ij}^P|) = -2V(O). \end{aligned}$$

Put  $(\xi, \eta) = T\xi \cdot \eta$  for  $\xi, \eta \in S$ , then  $(\xi, \eta)$  satisfies the conditions for the inner product in § 3, and can be regarded as such.

Put

$$J_P = \{\xi_P; \xi_P \in S, (\xi_P, \zeta_P) \in \mathfrak{o}_P \text{ for all } \zeta_P \in \mathfrak{o}_P\}$$

$J_P^{-1}$  is then "Differente" of  $O_P$ , and  $\sum_P J_P = O^*$  is a parallelotope of  $S$  which is dual to  $O$  with respect to the above defined inner product. Put  $J_P = \delta_P O_P$ . The idèle  $\mathfrak{D} = (\delta_P)$  will be called the *Differente idèle* of  $O$ . It is obvious that  $O^* = \mathfrak{D}^{-1} O = O \mathfrak{D}^{-1}$ , and the discriminant of  $S$  coincides with  $(N_{S/k} \mathfrak{D})$ .

Let  $\mathfrak{A}$  be an arbitrary idèle of  $S$ , then the volume of the parallelotope  $\mathfrak{A} \cdot O$  is obviously  $-n((N_{S/k} \mathfrak{A})) + V(O)$ , and the dual of  $\mathfrak{A} \cdot O$  is  $O^* \mathfrak{A}^{-1} = O \mathfrak{D}^{-1} \mathfrak{A}^{-1}$ .

Put

$$\begin{aligned} \lambda(\mathfrak{A}^{-1} O) &= l(\mathfrak{A}), \quad \lambda(O \cdot \mathfrak{A}^{-1}) = l(\mathfrak{A}) \\ n(N_{S/k}(\mathfrak{A})) &= n(\mathfrak{A}) \end{aligned}$$

Let  $f_v$  be a differential  $\neq 0$  of  $k$  and  $\mathfrak{d}_v$  an idèle of  $k$  with  $(\mathfrak{d}_v) = (f_v)$ . Then applying the Theorem 2, we obtain the following theorem.

**Theorem 3.** (Theorem of Riemann-Roch for  $S$ )

$$l(\mathfrak{A}) = n(\mathfrak{A}) - n(g-1) - \frac{1}{2} d + l(\mathfrak{A}^{-1} J \mathfrak{d}_v)$$

Each differential  $\varphi$  of  $S$  is defined by  $f_v, \xi \in S$  as follows.

$$\varphi(\eta) = \xi \cdot f_v(\eta) = f_v(T\xi \cdot \eta), \quad \xi \in S, \eta \in \mathfrak{S}.$$

If  $S$  coincides in particular with an algebraic extension  $K$  of  $k$ , then Theorem 3 coincides with  $R$ - $R$ -Theorem on  $K$ , and genus  $G$  of  $K$  can be expressed by  $g$  as follows.

$$2G - 2 = n(2g - 2) + d$$

This is the well known formula of Hurwitz.

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