

On Gross's Refined Class Number Formula for Elementary Abelian Extensions

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Abstract. In this paper we consider the conjecture of Gross on the special values of abelian L -functions when the Galois group G is an elementary abelian l -group. Under some restrictions, we prove that the conjecture holds when the class number of the base field is prime to l .

1. Introduction

Suppose L/K is an abelian extension of global fields and let $G = \text{Gal}(L/K)$. In [3], B. Gross has conjectured a congruence relation involving the Stickelberger element in $\mathbb{Z}[G]$, class number of K and the generalized regulator. The relation can be thought of as a generalization of the classical class number formula which describes the leading term of the Taylor expansion of $\zeta_K(s)$ at $s = 0$ in terms of the class number and the regulator of K . In this paper we consider the case when G is an elementary abelian l -group. Our main result is Theorem 3, which states that the conjecture holds when the class number of K is prime to l and (when K contains a primitive l -th root of unity) T contains a place whose degree is prime to l . This improves the result that Gross obtained when G is cyclic of prime order (see [3]).

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2. The conjecture of Gross

Let L/K be an abelian extension of global fields with Galois group G . Let S be a finite non-empty set of places of K which contains all archimedean places and places ramified in L , and let T be a finite non-empty set of places

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of K which is disjoint from S . Let $n = |S| - 1$. For a finite place v of K , let \mathbb{F}_v be the residue field of v .

For a complex character $\chi \in \widehat{G} = \text{Hom}(G, \mathbb{C}^*)$, the associated modified L -function is defined as

$$(1) \quad L_{S,T}(\chi, s) = \prod_{v \in T} (1 - \chi(g_v) \mathbf{N}v^{1-s}) \prod_{v \notin S} (1 - \chi(g_v) \mathbf{N}v^{-s})^{-1},$$

where $g_v \in G$ is the Frobenius element for v .

The Fourier inversion formula tells us that there is a unique element $\theta_G \in \mathbb{C}[G]$ which satisfies

$$(2) \quad \chi(\theta_G) = L_{S,T}(\chi, 0)$$

for all $\chi \in \widehat{G}$. In fact, $\theta_G \in \mathbb{Z}[G]$ by works of Weil, Siegel, Deligne-Ribet and Cassou-Noguès (see [3] for more information).

Let Y be the free \mathbb{Z} -module generated by the places $v \in S$ and $X = \{\sum_{v \in S} a_v \cdot v \mid \sum a_v = 0\}$ the subgroup of elements of degree zero in Y . Let U_T denote the group of S -units which are congruent to 1 (mod T) (in other words, S -units which are congruent to 1 (mod v) for all $v \in T$). Then U_T is a free \mathbb{Z} -module of rank n if K is a function field, and to ensure that the same is true if K is a number field we require that T either contains places of different residue characteristics or contains a place v whose absolute ramification index e_v is strictly less than $(p-1)$, where p is the characteristic of \mathbb{F}_v . This assumption makes U_T a free \mathbb{Z} -module.

Let J denote the idele group of K , and $f : J \rightarrow G$ be the Artin reciprocity map. Let λ_G be the homomorphism

$$(3) \quad \begin{aligned} \lambda_G : U_T &\longrightarrow G \otimes X \\ \varepsilon &\longmapsto \sum_S f(1, 1, \dots, \varepsilon_v, \dots, 1) \cdot v. \end{aligned}$$

We choose bases $\langle \varepsilon_1, \dots, \varepsilon_n \rangle$ and $\langle x_1, \dots, x_n \rangle$ for U_T and X . With respect to the chosen bases, we obtain an $n \times n$ matrix $((g_{ij}))$ for λ_G with entries in G .

Let $I \subset \mathbb{Z}[G]$ be the augmentation ideal, which is defined as the kernel of the ring homomorphism

$$(4) \quad \begin{aligned} \mathbb{Z}[G] &\longrightarrow \mathbb{Z} \\ g &\longmapsto 1. \end{aligned}$$

It is well known that the map $g \mapsto g - 1 \pmod{I^2}$ gives an isomorphism $G \cong I/I^2$ of abelian groups. We may therefore consider the matrix for λ_G as having entries $\eta_{ij} = g_{ij} - 1$ in I/I^2 . We define

$$(5) \quad \begin{aligned} \det \lambda_G &= \sum_{\sigma \in \text{Sym}(n)} \text{sign}(\sigma) \eta_{1\sigma(1)} \eta_{2\sigma(2)} \cdots \eta_{n\sigma(n)} \\ &\in I^n / I^{n+1}. \end{aligned}$$

Now we can state the main conjecture.

CONJECTURE 1 (Gross). $\theta_G \equiv m \cdot \det \lambda_G \pmod{I^{n+1}}$.

Here $m = \pm h_{S,T}$ is the modified class number of the S -integers of K and the sign depends on the choice of ordered bases of X and U_T (see [3]).

We summarize some basic facts on Conjecture 1.

PROPOSITION 2. (a) Suppose $S \subset S'$ and $T \subset T'$. If Conjecture 1 holds for the set S and T , it holds for S' and T' .

(b) Suppose H is a subgroup of G . The natural map $\mathbb{Z}[G] \rightarrow \mathbb{Z}[G/H]$ maps θ_G and $\det \lambda_G$ to $\theta_{G/H}$ and $\det \lambda_{G/H}$ respectively. Hence Conjecture 1 holds for G/H if it holds for G .

(c) Conjecture 1 holds for G if and only if it holds for all its p -Sylow quotients.

(d) If S contains a place v that splits completely in L , then $\theta_G \equiv m \cdot \det \lambda_G \equiv 0 \pmod{I^{n+1}}$.

(e) If $n = 0$ then $\det \lambda_G = 1$, $m = h_{S,T}$, $I^n / I^{n+1} = \mathbb{Z}$, and conjecture 1 holds because it is equivalent to the classical class number formula.

See [3, 8] for (a) and (b). (c) was pointed out by J. Tate. For (d) we note that the Euler factor for v is zero, so $\theta_G = 0$, and also the row of the matrix of λ_G which correspond to v is zero and hence $\det \lambda_G \equiv 0 \pmod{I^{n+1}}$. (e) follows from the definitions of the related quantities.

In [3], B. Gross proved that the Conjecture 1 holds when S consists of the archimedean places of K . He also treated the case when $G \cong \mathbb{Z}/l\mathbb{Z}$ is cyclic of prime order. In this case, $I^n / I^{n+1} \cong \mathbb{Z}/l\mathbb{Z}$ for $n \geq 1$, and Gross proved that his conjecture is true up to an element of $(\mathbb{Z}/l\mathbb{Z})^*$, in the sense that θ_G always belongs to I^n (hence we are comparing two elements in I^n / I^{n+1}) and that $\theta_G \in I^{n+1}$ if and only if $m \cdot \det \lambda_G \in I^{n+1}$. In [9],

M. Yamagishi treated the case when $K = \mathbb{Q}$ and got some partial result, and N. Aoki proved that the conjecture is true for $K = \mathbb{Q}$ in [1]. D. Hayes proved a refined version of the Stark conjecture (conjectured by Gross) for function fields in [4], which implies Conjecture 1 for $n = 1$. In [6], K.-S. Tan proved the case when K is a function field of characteristic p and G is a p -group.

3. The main theorem

Let l be a prime. Our goal is to prove the following theorem.

THEOREM 3. *Suppose G is an elementary abelian l -group. If K is a function field suppose also that h_K , the number of divisor classes of degree 0 of K , is prime to l , and, in case K contains a primitive l -th root of unity, that T contains a place whose degree is prime to l . Then conjecture 1 holds.*

If K is a number field, the existence of the archimedean places assures that Conjecture 1 is true when $l \geq 3$ since the archimedean places split completely in L , and when $l = 2$ Conjecture 1 follows from the work of Gross and corollary 5 below. Therefore we may assume that K is a function field. Also, since Tan proved Conjecture 1 for p -groups ([6]), we may assume that l is different from the characteristic of K . Hence we will be dealing only with tame ramification. Also we may assume that T consists of a single place whose degree is prime to l if K contains a primitive l -th root of unity, via proposition 2.

Let $S = \{v_0, v_1, \dots, v_n\}$, $n = |S| - 1$, and $T = \{v_T\}$. Let K_S be the maximal extension of K unramified outside of S whose Galois group is an elementary abelian l -group. Let $G_S = \text{Gal}(K_S/K)$, and for $i = 0, \dots, n$, let $I_i \subset G_S$ be the inertia group of v_i . Let D_T be the decomposition group of v_T . Notice that I_i is cyclic because K_S/K has only tame ramification, and that D_T is also cyclic because v_T is unramified in K_S and its residue field is finite. It follows from proposition 2 that we may assume that $n \geq 1$. We can also assume without loss of generality that $L = K_S$, and that all the places in S are ramified in K_S .

Here is our strategy for proving Theorem 3. We first discuss the structure of I^n/I^{n+1} , and we find a homogeneous polynomial f of degree n with

coefficients in \mathbb{F}_l which may be viewed as a function on $\widehat{G_S}$ with values in \mathbb{F}_l , such that the validity of the conjecture is equivalent to the vanishing of f on $\widehat{G_S}$. Next we study the structure of G_S in section 5., and we show that I_0, \dots, I_n generate a subgroup of G_S of rank n or $n+1$, depending on whether K contains a primitive l -th root of unity or not. We also show that I_0, \dots, I_n, D_T generate a subgroup of G_S of rank $n+1$ when K contains a primitive l -th root of unity. In section 6. we prove that if a polynomial function on $\widehat{G_S}$ vanishes on $n+1$ linearly independent subspaces of codimension 1 and its degree is bounded by n , then it must vanish on $\widehat{G_S}$. It turns out that this is exactly what we need in order to make the induction on $n = |S| - 1$ work, and the induction is carried out in section 7..

4. The structure of I^n/I^{n+1}

Choose a primitive l -th root of unity $\zeta_l \in \mathbb{C}^*$, and let $\lambda = \zeta_l - 1$. (λ) is a prime ideal in $\mathbb{Z}[\zeta_l]$ whose residue field is isomorphic to $\mathbb{Z}/l\mathbb{Z}$, and we have $(l) = (\lambda)^{l-1}$. Also note that a character $\chi \in \widehat{G}$ can be extended by linearity to a ring homomorphism $\chi : \mathbb{Z}[G] \rightarrow \mathbb{C}$.

LEMMA 4 (Passi-Vermani). *Suppose G is an elementary abelian l -group. If $\xi \in I$, then, for each integer $k \geq 1$, $\xi \in I^k$ if and only if $\lambda^k \mid \chi(\xi)$ for every complex character $\chi \in \widehat{G}$.*

PROOF. See [3] for the case when $G \cong \mathbb{Z}/l\mathbb{Z}$, and [5, 7] for elementary abelian case. \square

As we discussed in section 2., Gross proved that both θ_G and $m \cdot \det \lambda_G$ are in I^n when G is cyclic of prime order, which, together with Lemma 4, implies that both θ_G and $m \cdot \det \lambda_G$ are in I^n when G is an elementary abelian group.

COROLLARY 5. *Suppose $G = \text{Gal}(L/K)$ is an elementary abelian l -group. Then the conjecture holds for L/K if and only if it holds for L'/K for all cyclic subextensions L'/K of L/K .*

PROOF. Set $\xi = \theta_G - m \cdot \det \lambda_G$ and apply lemma 4. \square

Let $N = \dim_{\mathbb{F}_l} \widehat{G} - 1$ and choose a basis $\{\chi_0, \dots, \chi_N\}$ of \widehat{G} . In general, we have

$$(6) \quad \zeta_l^m - 1 = (\zeta_l - 1)(\zeta_l^{m-1} + \dots + 1) \equiv m(\zeta_l - 1) \pmod{\lambda^2}.$$

Hence, given $\chi = \prod_{i=0}^N \chi_i^{m_i} \in \widehat{G}$ and $\sigma \in G$, we may write

$$(7) \quad \chi(\sigma - 1) = \zeta_l^{\sum a_i m_i} - 1 \equiv \sum a_i m_i \cdot \lambda \pmod{\lambda^2},$$

where $a_i \in \mathbb{F}_l$ is defined by $\chi_i(\sigma) = \zeta_l^{a_i}$.

If $\xi \in I^n$, then since ξ can be written as a linear combination of $\prod_{j=1}^n (\tau_j - 1)$ where $\tau_j \in G$ for all j , we have

$$(8) \quad \chi(\xi) \equiv p(m_0, \dots, m_N) \cdot \lambda^n \pmod{\lambda^{n+1}},$$

where $p(X_0, \dots, X_N) \in \mathbb{F}_l[X_0, \dots, X_N]$ is a homogeneous polynomial of degree n . We can see from Lemma 4 that $\xi \in I^{n+1}$ if and only if $p = 0$ as a function on \widehat{G} .

For $\chi \in \widehat{G}$, define

$$(9) \quad f(\chi) = \frac{\chi(\theta_G - m \cdot \det \lambda_G)}{\lambda^n} \pmod{\lambda}.$$

The above argument shows that f can be represented by a homogeneous polynomial of degree n . Let K_χ be the fixed field of $\ker \chi$. Then $f(\chi) = 0$ if and only if the conjecture holds for K_χ/K with respect to S and T .

We also note that if K contains an l -th root of unity and T contains a place v that splits completely in L , then the modifying Euler factor for v is $(1 - \mathbf{N}v)$ which is divisible by l . Since $l \cdot \xi \in I^{m+(l-1)}$ whenever $\xi \in I^m$, which follows from lemma 4, θ_G will be in I^{n+1} . With the work of Gross, that implies $m \cdot \det \lambda_G \in I^{n+1}$. As a result, Conjecture 1 holds trivially (in the sense that the conjecture becomes $0 = 0$) when K contains an l -th root of unity and T contains a place that splits completely in L .

5. The structure of G_S

In this section, we study the structure of G_S and the inertia groups of S in G_S using class field theory. (reference:[2])

Let \mathbb{F}_q be the exact field of constants of K . For each place v of K , let K_v be the completion of K at v , U_v the set of local units in K_v , and $U_v^1 \subset U_v$ the local units which are congruent to 1 (mod v).

Let J_0 be the set of ideles of degree 0. It is easy to see that J is (non-canonically) isomorphic to $\mathbb{Z} \times J_0$, because K is known to have a divisor (not necessarily prime) of degree 1.

There is an exact sequence

$$(10) \quad 0 \rightarrow \left(\prod_{v \in S} \mathbb{F}_v^* \right) / \mathbb{F}_q^* \rightarrow J/K^* \cdot \prod_{v \notin S} U_v \cdot \prod_{v \in S} U_v^1 \rightarrow J/K^* \cdot \prod_v U_v \rightarrow 0.$$

If we let K_{unr} be the maximal unramified abelian extension of K , and K'_S the maximal abelian extension of K unramified outside of S and tamely ramified in S , then $J/K^* \cdot \prod_{v \notin S} U_v \cdot \prod_{v \in S} U_v^1$ and $J/K^* \cdot \prod_v U_v$ have dense images in $\text{Gal}(K'_S/K)$ and $\text{Gal}(K_{unr}/K)$ respectively, via the Artin reciprocity map.

Observe that $J/K^* \cdot \prod_v U_v$ is isomorphic to $\mathbb{Z} \times H$, where $H = J_0/K^* \cdot \prod_v U_v$ and since we assume that $h_K = |H|$ is not divisible by l , we have $(\mathbb{Z} \times H) \otimes \mathbb{Z}/l\mathbb{Z} = \mathbb{Z}/l\mathbb{Z}$ and $\text{Tor}(\mathbb{Z} \times H, \mathbb{Z}/l\mathbb{Z}) = 0$. Hence tensoring the exact sequence with $\mathbb{Z}/l\mathbb{Z}$ preserves the exactness;

$$(11) \quad 0 \rightarrow \left(\prod_{v \in S} \mathbb{F}_v^* / \mathbb{F}_v^{*l} \right) / \widetilde{\mathbb{F}_q^*} \rightarrow J/J^l \cdot K^* \cdot \prod_{v \notin S} U_v \cdot \prod_{v \in S} U_v^1 \rightarrow \mathbb{Z}/l\mathbb{Z} \rightarrow 0,$$

where $\widetilde{\mathbb{F}_q^*}$ is the image of \mathbb{F}_q^* in $\prod_{v \in S} \mathbb{F}_v^* / \mathbb{F}_v^{*l}$. Class field theory tells us that G_S is isomorphic to the middle term of the exact sequence, hence G_S is isomorphic to $\mathbb{Z}/l\mathbb{Z} \times (\prod_{v \in S} \mathbb{F}_v^* / \mathbb{F}_v^{*l}) / \widetilde{\mathbb{F}_q^*}$ and I_i is the image of $\mathbb{F}_{v_i}^* / \mathbb{F}_{v_i}^{*l}$ in G_S .

If we look at the map

$$(12) \quad \mathbb{F}_q^* \hookrightarrow \prod_{v \in S} \mathbb{F}_v^* \rightarrow \prod_{v \in S} \mathbb{F}_v^* / \mathbb{F}_v^{*l},$$

then since \mathbb{F}_q^* is cyclic and $\prod_{v \in S} \mathbb{F}_v^* / \mathbb{F}_v^{*l}$ is killed by l , $\widetilde{\mathbb{F}_q^*}$ is either 0 or cyclic of order l . It is clear that $\widetilde{\mathbb{F}_q^*} = 0$ when $q \not\equiv 1 \pmod{l}$. When $q \equiv 1 \pmod{l}$, we can see, for example by using Kummer theory, that \mathbb{F}_q^* is contained in $(\mathbb{F}_v^*)^l$ if and only if $\deg v$ is divisible by l . Hence $\widetilde{\mathbb{F}_q^*}$ is non-trivial only when $q \equiv 1 \pmod{l}$ and there is a place $v \in S$ such that l does not divide $\deg v$.

For each $i = 0, \dots, n$, let $\sigma_i \in G_S$ be a generator of I_i , and σ_T a generator of D_T . When $\widehat{\mathbb{F}}_q^* = 0$, $\{\sigma_i\}_{i=0}^n$ are linearly independent, viewing G_S as a vector space over \mathbb{F}_l , and $\dim_{\mathbb{F}_l} G_S = n + 2$. On the other hand, when $\widehat{\mathbb{F}}_q^* \neq 0$, it gives a non-trivial linear relation among σ_j 's for j such that $l \nmid \deg v_j$, and hence $\dim_{\mathbb{F}_l} G_S = n + 1$. As we have seen before, this case happens only when K contains a primitive l -th root of unity and there is a place in S whose degree is prime to l . In that case, we may assume that l does not divide $\deg v_0$, then $\{\sigma_i\}_{i=1}^n$ are linearly independent. Furthermore, with the assumption $l \nmid \deg v_T$, v_T does not split completely in $K \cdot \mathbb{F}_{q^l}$, which is the maximal unramified extension in K_S by class field theory and the assumption $l \nmid h$. Hence $\sigma_T \notin \langle \sigma_0, \dots, \sigma_n \rangle$, which implies that $\{\sigma_T, \sigma_1, \dots, \sigma_n\}$ are linearly independent. Hence we have proved the following theorem.

THEOREM 6. (a) *If K does not contain a primitive l -th root of unity, then the inertia groups of places in S are linearly independent in G_S .*
 (b) *If K contains a primitive l -th root of unity, then the inertia groups of places in S generate a subgroup of G_S of rank at least n , and the decomposition group of v_T is not contained in the subgroup as long as $\deg v_T$ is prime to l .*

REMARK. This argument shows that the assumption on T is necessary only when $\widehat{\mathbb{F}}_q^*$ is non-trivial, i.e. when K contains an l -th root of unity and S contains a place whose degree is prime to l .

6. Functions on the \mathbb{F}_l -vector space

Let V be a \mathbb{F}_l -vector space of dimension $N + 1$. Choose a basis $\{w_0, \dots, w_N\}$ of V , and for $i = 0, \dots, N$ define $X_i \in \text{Hom}(V, \mathbb{F}_l)$ by $X_i(w_j) = \delta_{ij}$. We may view a polynomial $f \in \mathbb{F}_l[X_0, \dots, X_N]$ as a function on V via the above identification.

The goal of this section is to prove the following theorem, which will be used in proving Theorem 3.

THEOREM 7. *Suppose $f \in \mathbb{F}_l[X_0, \dots, X_N]$ is a polynomial of degree $\leq n$, which we view as a function on V , and $\{V_i\}_{i=0}^n$ are $n + 1$ linearly independent subspaces of codimension 1 in V . If f vanishes on V_i for all i , then f vanishes on V .*

DEFINITION. We say that a polynomial $p(X_0, \dots, X_N) \in \mathbb{F}_l[X_0, \dots, X_N]$ is *reduced* if for each X_i , $\deg_{X_i} p(X_0, \dots, X_N) < l$.

LEMMA 8. *Every function on V with values in \mathbb{F}_l can be uniquely expressed as a reduced polynomial in $\mathbb{F}_l[X_0, \dots, X_N]$.*

PROOF. This is a well-known result, and we give a short proof here.

Observe that for $a_i \in \mathbb{F}_l$, $i = 0, \dots, N$, we have

$$(13) \quad \prod_{i=0}^N (1 - (x_i - a_i)^{l-1}) = \begin{cases} 1 & \text{if } x_i = a_i \text{ for all } i, \\ 0 & \text{otherwise.} \end{cases}$$

By taking linear combination, we see that any function on V can be represented by a reduced polynomial. Uniqueness follows from counting such polynomials. \square

For each polynomial $p(X_0, \dots, X_N) \in \mathbb{F}_l[X_0, \dots, X_N]$, we can associate the reduced polynomial $p_r(X_0, \dots, X_N)$ of $p(X_0, \dots, X_N)$, which is reduced and defines the same function on V as $p(X_0, \dots, X_N)$. We can get $p_r(X_0, \dots, X_N)$ from $p(X_0, \dots, X_N)$ by using the relations $X_i^l = X_i$ for all i to replace X_i^m by $X_i^{m-(l-1)}$ until $m < l$. Notice that for each i , $\deg_{X_i} p_r \leq \deg_{X_i} p$, and hence $\deg p_r \leq \deg p$.

LEMMA 9. *Suppose $p(X_0, \dots, X_N)$ is a reduced polynomial. If $p(0, x_1, \dots, x_N) = 0$ for all $(x_1, \dots, x_N) \in \mathbb{F}_l^N$, then $X_0 \mid p(X_0, \dots, X_N)$.*

PROOF. Write $p(X_0, \dots, X_N) = X_0 \cdot q(X_0, \dots, X_N) + r(X_1, \dots, X_N)$. For all $(x_1, \dots, x_N) \in \mathbb{F}_l^N$, $r(x_1, \dots, x_N) = p(0, x_1, \dots, x_N) - 0 \cdot q(0, x_1, \dots, x_N) = 0$. Since r is also reduced, we conclude that $r = 0$. \square

PROOF OF THEOREM 7. By change of coordinates, we may assume that for each $i = 0, \dots, n$, V_i is given by the equation $X_i = 0$. Let f_r be the reduced polynomial of f . According to Lemma 9, f_r is divisible by X_i for all i and since we have unique factorization, it follows that f_r is divisible by $\prod_{i=0}^n X_i$. Since we have $\deg f_r \leq \deg f \leq n$, it follows that $f_r = 0$, and hence f vanishes on V . \square

7. The induction step

We prove Theorem 3 by induction on n . When $n = 0$, the conjecture holds as noted in Proposition 2.

Suppose $n \geq 2$. For each $i = 0, \dots, n$, let $S_i = S \setminus \{v_i\}$. Then $\widehat{G_{S_i}}$ is the orthogonal of I_i , hence is a subspace of codimension 1 in $\widehat{G_S}$ since we assumed that v_i is ramified in K_S . Note that v_i is unramified in K_{S_i} .

By induction we can assume that for all $i = 0, \dots, n$, Conjecture 1 holds for K_{S_i}/K with respect to S_i and T . Then Proposition 2 shows that Conjecture 1 holds for K_{S_i}/K with respect to S and T . Hence $f|_{\widehat{G_{S_i}}} = 0$. When K does not contain a primitive l -th root of unity, it follows from Theorem 6 and Theorem 7 that $f = 0$ on $\widehat{G_S}$.

If K contains a primitive l -th root of unity, we let $G_T = G_S/D_T$. Then the place v_T will split completely in K_χ for all $\chi \in \widehat{G_T}$ which implies, as we discussed at the end of section 4., that we have $f|_{\widehat{G_T}} = 0$. Again, it follows from Theorem 6 and Theorem 7 that $f = 0$ on $\widehat{G_S}$, and hence $\theta_{G_S} \equiv m \cdot \det \lambda_{G_S} \pmod{I^{n+1}}$ in all cases. \square

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