

博士論文

Finite Symplectic Actions on the $K3$ Lattice

($K3$ 格子への有限シンプレクティック作用)

橋本 健治

Finite Symplectic Actions on the $K3$ Lattice

Kenji Hashimoto*

December 6, 2010

Abstract

In this paper, we study finite symplectic actions on $K3$ surfaces X , i.e. actions of finite groups G on X which act $H^{2,0}(X)$ trivially. We show that the action on the $K3$ lattice $H^2(X, \mathbb{Z})$ which is induced by a symplectic action of G on X depends only on G up to isomorphism, except for five groups.

0 Introduction

A compact complex surface X is called a $K3$ surface if it is simply connected and has a nowhere vanishing holomorphic 2-form ω_X . An automorphism g of X is said to be symplectic if $g^*\omega_X = \omega_X$. Nikulin [15] studied symplectic actions of finite groups on $K3$ surfaces. In particular, he showed the following result:

Theorem 0.1 ([15]). *There exist exactly 14 finite abelian groups G ($G = C_2, C_3, \dots$) which act on $K3$ surfaces faithfully and symplectically. Moreover, for each G , the action of G on the $K3$ lattice which is induced by a symplectic action of G on a $K3$ surface is unique up to isomorphism.*

In this paper, we prove that the above uniqueness holds for any finite groups except for five groups. We use the same notations for groups as in [26] (cf. Table 10.2).

Main Theorem. *Let G be a finite group such that $G \neq Q_8, T_{24}, \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$. Then the action of G on the $K3$ lattice which is induced by a faithful and symplectic action of G on a $K3$ surface is unique up to isomorphism. More precisely, if $G_i \cong G$ acts on a $K3$ surface X_i faithfully and symplectically ($i = 1, 2$), then there exists an isomorphism $\alpha : H^2(X_1, \mathbb{Z}) \rightarrow H^2(X_2, \mathbb{Z})$ preserving the intersection forms such that $\alpha \circ G_1 \circ \alpha^{-1} = G_2$ in $\mathrm{GL}(H^2(X_2, \mathbb{Z}))$.*

*This work was supported by Grant-in-Aid for JSPS Fellows No. 20-56181.

As a corollary, we have the following by a similar argument in [15] (see [25] for a detailed argument).

Corollary 0.2. *Let G be a finite group which is not the exceptional cases listed above. If G acts on a $K3$ surface X_i faithfully and symplectically ($i = 1, 2$), then there exists a connected family \mathcal{X} of $K3$ surfaces with an action of G which satisfies the following conditions:*

- (1) X_1, X_2 are fibers of \mathcal{X} ;
- (2) the restriction of the action of G on \mathcal{X} to the fiber X_i coincides with the given one ($i = 1, 2$);
- (3) the action of G on each fiber of \mathcal{X} is symplectic.

If two $K3$ surfaces X_1 and X_2 with actions of G satisfy the conclusions of Corollary 0.2, X_1 and X_2 are said to be G -deformable.

We recall known results on finite symplectic actions on $K3$ surfaces. After a result of Nikulin [15], Mukai [14] completely classified finite groups which act on $K3$ surfaces faithfully and symplectically by listing the eleven maximal groups (see Theorem 2.4). Xiao [26] gave another proof of Mukai's result by studying the singularities of the quotient $G \backslash X$ for a $K3$ surface X with a symplectic action of a finite group G . Moreover, he showed the following:

Theorem 0.3 ([26]). *Let G be a finite group. Suppose that $G \neq Q_8, T_{24}$. Then, for any $K3$ surface X with a faithful and symplectic action of G , the quotient $G \backslash X$ has the same A - D - E -configuration of the singularities.*

Considering his result, one may expect that the uniqueness as in Theorem 0.1 holds for most of non-abelian finite groups as well. This paper is motivated by this expectation. We follow Kondō's approach [10] with which he gave another proof of Mukai's result. His method is to embed the coinvariant lattice $H^2(X, \mathbb{Z})_G = (H^2(X, \mathbb{Z})^G)^\perp$ into a Niemeier lattice N , and to describe a symplectic action as an action on N . Here a Niemeier lattice is a negative definite even unimodular lattice of rank 24 which is not isomorphic to the Leech lattice. By looking this action more carefully, we prove Main Theorem. For some finite groups, their symplectic actions on $K3$ surfaces were studied by several authors [11, 18, 9, 17, 27, 6, 25, 7]. We use computer algebra systems GAP [8] and Maxima [12] for the computations of permutation groups and lattices.

The paper proceeds as follows. In Section 1, we recall basic facts on lattices, which are used through the paper. We recall results on finite symplectic actions on $K3$ surfaces in Section 2. Using these results, we can take a lattice theoretic approach to study finite symplectic actions on $K3$ surfaces. We introduce the notion of "finite symplectic actions on the $K3$ lattice Λ ," taking account of Nikulin's characterization of symplectic actions on $K3$ surfaces

(see Definition 2.5 and Proposition 2.6). The set of finite symplectic actions $G \subset O(\Lambda)$ on Λ is denoted by \mathcal{L} . For $G \in \mathcal{L}$, there exist a K3 surface X , a symplectic action of G on X and a G -equivalent isomorphism $\Lambda \cong H^2(X, \mathbb{Z})$. Section 3 is the key of the paper. By Kondō's lemma (see Lemma 3.2), the coinvariant lattice Λ_G for $G \in \mathcal{L}$ can be embedded into a Niemeier lattice N primitively. Since the action of G on Λ_G is extended to that on N such that $N_G = \Lambda_G$, we can study G as an automorphism group of N . Applying the classification of Niemeier lattices, we classify the primitive embeddings of Λ_G into Niemeier lattices. To prove Main Theorem, we first prove the uniqueness of Λ_G and Λ^G . In Section 4 and 6, we show the uniqueness of Λ_G and Λ^G respectively, by using the result in Section 3. Next, we show the uniqueness of the glueing data of Λ^G and Λ_G to Λ . In Section 5 and 7, we show that either $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$ or $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$ holds for any G . This implies the uniqueness of the glueing data. Finally, in Section 8, we prove Main Theorem by using the results in the previous sections. Some applications of Main Theorem are given in Section 9.

Acknowledgement

The author would like to express his thanks to the supervisor Professor Tomohide Terasoma for contributing useful comments on a manuscript. The author also wishes to thank S. Kondō, V. Nikulin, S. Mukai and U. Whitcher for comments and encouragements.

1 Basic facts on lattices

1.1 Definitions

A lattice $L = (L, \langle \cdot, \cdot \rangle)$ is a free \mathbb{Z} -module L of finite rank equipped with an integral symmetric bilinear form $\langle \cdot, \cdot \rangle$. We identify a lattice L with its Gramian matrix $(\langle v_i, v_j \rangle)$ under an integral basis (v_i) of L . The discriminant $\text{disc}(L)$ of L is defined as the determinant of the Gramian matrix of L . If $\text{disc}(L) \neq 0$ (resp. $= \pm 1$), a lattice L is said to be non-degenerate (resp. unimodular). Let $t_{(+)}$ (resp. $t_{(-)}$) be the number of positive (resp. negative) eigenvalues of the Gramian matrix of L . We call $(t_{(+)}, t_{(-)})$ the signature of L and write

$$\text{sign } L = (t_{(+)}, t_{(-)}). \quad (1.1)$$

If $\langle v, v \rangle \equiv 0 \pmod{2}$ for all $v \in L$, a lattice L is said to be even. We denote by $L(\lambda)$ the \mathbb{Z} -module L equipped with λ times the bilinear form $\langle \cdot, \cdot \rangle$, i.e. $(L, \lambda \langle \cdot, \cdot \rangle)$. A sublattice K of L is said to be primitive if L/K is torsion-free. An automorphism of L is defined as a \mathbb{Z} -automorphism of L preserving $\langle \cdot, \cdot \rangle$. We denote by $O(L)$ the group of automorphisms of L . For a subset $S \subset L$,

we write

$$O(L, S) = \{g \in O(L) \mid g \cdot S = S\}. \quad (1.2)$$

We assume that an action of a group G on L preserves $\langle \cdot, \cdot \rangle$. If a group G acts on L , we define the invariant lattice L^G and the coinvariant lattice L_G by

$$L^G = \{v \in L \mid g \cdot v = v \ (\forall g \in G)\}, \quad L_G = (L^G)_L^\perp. \quad (1.3)$$

Definition 1.1. A lattice L with an action of G is called a G -lattice if G is a subgroup of $O(L)$ and is denoted as (G, L) . An isomorphism of G -lattices is defined naturally, i.e., $(G, L) \cong (G', L')$ if there exists an isomorphism $\alpha : L \rightarrow L'$ such that

$$\alpha \circ G \circ \alpha^{-1} = G'. \quad (1.4)$$

We recall some basic properties on discriminant forms of lattices for the sake of reader's convenience. See [16] for details. Let L be a non-degenerate even lattice. The discriminant group $A(L)$ is a finite abelian group defined by

$$A(L) = L^\vee / L, \quad L^\vee = \{v \in L \otimes \mathbb{Q} \mid \langle v, L \rangle \subset \mathbb{Z}\}. \quad (1.5)$$

Here we extend the bilinear form $\langle \cdot, \cdot \rangle$ on L to that on $L \otimes \mathbb{Q}$ linearly. We have

$$|A(L)| = |\text{disc}(L)|. \quad (1.6)$$

The discriminant form $q(L)$ of L is defined by

$$q(L) : A(L) \rightarrow \mathbb{Q}/2\mathbb{Z}; \ x \bmod L \mapsto \langle x, x \rangle \bmod 2\mathbb{Z}, \quad (1.7)$$

which is well-defined. We write simply $q(L)$ instead of $(A(L), q(L))$. For a prime number p , let $A(L)_p$ and $q(L)_p$ denote the p -components of $A(L)$ and $q(L)$, respectively. We have

$$A(L) = \bigoplus_p A(L)_p, \quad q(L) = \bigoplus_p q(L)_p. \quad (1.8)$$

We can consider $q(L)_p$ as the discriminant form of $L \otimes \mathbb{Z}_p$. (The discriminant group and form for a non-degenerate even lattice over \mathbb{Z}_p are similarly defined. Note that any lattice over \mathbb{Z}_p is even if $p \neq 2$.) An automorphism of $q(L)$ is defined as an automorphism of a finite abelian group $A(L)$ preserving $q(L)$. We denote the group of automorphisms of $q(L)$ by $O(q(L))$. An automorphism $\varphi \in O(L)$ induces an automorphism $\overline{\varphi} \in O(q(L))$. This correspondence gives the natural homomorphism

$$O(L) \rightarrow O(q(L)). \quad (1.9)$$

We define

$$O_0(L) = \text{Ker}(O(L) \rightarrow O(q(L))) \quad (1.10)$$

and

$$\overline{O(L)} = \text{Im}(O(L) \rightarrow O(q(L))). \quad (1.11)$$

1.2 Facts

We use the following facts. We refer the reader to [16].

Lemma 1.2 ([16]). *Let L_1, L_2 be non-degenerate even lattices. We define*

$$\text{Isom}(q(L_1), -q(L_2)) = \{\gamma : q(L_1) \xrightarrow{\sim} q(L_2)\}. \quad (1.12)$$

If $\gamma \in \text{Isom}(q(L_1), -q(L_2))$, the lattice Γ_γ defined by

$$\Gamma_\gamma = \{x \oplus y \in L_1^\vee \oplus L_2^\vee \mid \gamma(x \bmod L_1) = y \bmod L_2\} \quad (1.13)$$

is an even unimodular lattice which contains L_1 and L_2 primitively. This correspondence gives a one-to-one correspondence between $\text{Isom}(q(L_1), -q(L_2))$ and the set of even unimodular lattices $\Gamma \subset L_1^\vee \oplus L_2^\vee$ which contain L_1 and L_2 primitively. Moreover, let $\gamma' \in \text{Isom}(q(L_1), -q(L_2))$ and $\varphi_i \in O(L_i)$. Then, $\varphi_1 \oplus \varphi_2 \in O(L_1 \oplus L_2)$ is extended to an isomorphism $\Gamma_\gamma \rightarrow \Gamma_{\gamma'}$ if and only if $\gamma' \circ \overline{\varphi}_1 \circ \gamma^{-1} = \overline{\varphi}_2$ in $O(q(L_2))$.

Lemma 1.3. *Let Γ be a non-degenerate even lattice and L a non-degenerate primitive sublattice of Γ .*

- (1) *If $g \in O_0(L)$, the action of g on L is extended to that on Γ whose restriction to $(L)_{\Gamma}^\perp$ is trivial.*
- (2) *Suppose that Γ is unimodular. If G is a subgroup of $O(\Gamma, L)$ and the action of G on $(L)_{\Gamma}^\perp$ is trivial, then the induced action of G on $A(L)$ is trivial.*
- (3) *Suppose that Γ is unimodular. If a group G acts on Γ and Γ_G is non-degenerate, then the induced action of G on $A(\Gamma_G)$ is trivial.*

To determine the discriminant form of a lattice, it is convenient to localize it, i.e., consider it over \mathbb{Z}_p . First we consider the case $p \neq 2$. In this case, any lattice can be diagonalized over \mathbb{Z}_p .

Proposition 1.4 (cf. [4, 16, 5]). *Let p be an odd prime and $\varepsilon_p \in \mathbb{Z}_p^\times$ a non-square p -adic unit. If $L^{(p)}$ is a non-degenerate lattice over \mathbb{Z}_p ,*

$$L^{(p)} \cong \bigoplus_{k \geq 0} (\langle p^k \rangle^{\oplus n_k} \oplus \langle \varepsilon_p p^k \rangle^{\oplus m_k}), \quad (1.14)$$

where $n_k \geq 0$ and $m_k \in \{0, 1\}$ are uniquely determined. Hence

$$q(L^{(p)}) \cong \bigoplus_{k \geq 1} \left(q_+^{(p)}(p^k)^{\oplus n_k} \oplus q_-^{(p)}(p^k)^{\oplus m_k} \right), \quad (1.15)$$

where

$$q_+^{(p)}(p^k) = \langle 1/p^k \rangle \text{ on } \mathbb{Z}/p^k\mathbb{Z}, \quad (1.16)$$

$$q_-^{(p)}(p^k) = \langle \varepsilon_p/p^k \rangle \text{ on } \mathbb{Z}/p^k\mathbb{Z}. \quad (1.17)$$

In (1.15), the n_k and m_k are also uniquely determined.

Let L be a non-degenerate lattice. We can determine $q(L)_p$ as follows. Let $\mathbb{Z}_{(p)}$ be a localization of \mathbb{Z} by the prime ideal (p) , which is considered as a subring of \mathbb{Z}_p . Then L can be diagonalized over $\mathbb{Z}_{(p)}$. This is similar to the determination of the elementary divisors of integral matrices. Then we can write

$$L \cong \bigoplus_{k \geq 0} L_k^{(p)}(p^k) \quad (1.18)$$

over $\mathbb{Z}_{(p)}$, where $L_k^{(p)}$ are lattices over $\mathbb{Z}_{(p)}$ such that $L_k^{(p)} = 0$ or $\text{disc}(L_k^{(p)}) \in \mathbb{Z}_{(p)}^\times / (\mathbb{Z}_{(p)}^\times)^2$. (The discriminant of a lattice over a ring R is defined modulo $(R^\times)^2$.) The n_k and m_k for $L \otimes \mathbb{Z}_p$ in the above proposition are determined by

$$(n_k, m_k) = \begin{cases} (0, 0) & \text{if } L_k^{(p)} = 0, \\ (\text{rank } L_k^{(p)}, 0) & \text{if } \text{disc}(L_k^{(p)}) \in (\mathbb{Z}_p^\times)^2 / (\mathbb{Z}_{(p)}^\times)^2, \\ (\text{rank } L_k^{(p)} - 1, 1) & \text{otherwise.} \end{cases} \quad (1.19)$$

Next we consider the more complicated case $p = 2$.

Proposition 1.5 (cf. [4, 16, 5]). *Let $L^{(2)}$ be a non-degenerate lattice over \mathbb{Z}_2 . Then $L^{(2)}$ can be written as an orthogonal sum of the following lattices:*

$$\langle \varepsilon 2^k \rangle, \begin{pmatrix} 0 & 2^k \\ 2^k & 0 \end{pmatrix}, \begin{pmatrix} 2^{k+1} & 2^k \\ 2^k & 2^{k+1} \end{pmatrix}, \quad (1.20)$$

where $k \geq 0$ and $\varepsilon \in \{1, 3, 5, 7\}$. Hence, if $L^{(2)}$ is even, $q(L^{(2)})$ can be written as an orthogonal sum of the following:

$$q_\varepsilon^{(2)}(2^k) = \langle \varepsilon/2^k \rangle \text{ on } \mathbb{Z}/2^k\mathbb{Z}, \quad (1.21)$$

$$u^{(2)}(2^k) = \begin{pmatrix} 0 & 1/2^k \\ 1/2^k & 0 \end{pmatrix} \text{ on } (\mathbb{Z}/2^k\mathbb{Z})^{\oplus 2}, \quad (1.22)$$

$$v^{(2)}(2^k) = \begin{pmatrix} 1/2^{k-1} & 1/2^k \\ 1/2^k & 1/2^{k-1} \end{pmatrix} \text{ on } (\mathbb{Z}/2^k\mathbb{Z})^{\oplus 2}. \quad (1.23)$$

In the case $p = 2$, the uniqueness as in Proposition 1.4 does not hold. Although there is a complete system of invariants of a non-degenerate lattice over \mathbb{Z}_2 (see [5]), we only recall the unimodular case.

Proposition 1.6 (cf. [5]). *For a non-degenerate lattice $L^{(2)}$ over \mathbb{Z}_2 with $\text{disc}(L^{(2)}) \in \mathbb{Z}_2^\times$, a quadruple (r, d, t, e) defined as follows is a complete system of invariants of $L^{(2)}$. If*

$$L^{(2)} \cong \bigoplus_i \langle \varepsilon_i \rangle \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^{\oplus n} \oplus \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}^{\oplus m}, \quad (1.24)$$

the invariants r, d, t, e are defined by

$$r = \text{rank } L^{(2)}, \quad (1.25)$$

$$d = \begin{cases} +1 & \text{if } \text{disc}(L^{(2)}) \in \pm(\mathbb{Z}_2^\times)^2/(\mathbb{Z}_2^\times)^2, \\ -1 & \text{otherwise,} \end{cases} \quad (1.26)$$

$$t = \sum_i \varepsilon_i \bmod 8\mathbb{Z}_2 \in \mathbb{Z}_2/8\mathbb{Z}_2, \quad (1.27)$$

$$e = \begin{cases} \text{I} & \text{if } L^{(2)} \text{ is odd,} \\ \text{II} & \text{otherwise.} \end{cases} \quad (1.28)$$

For example, we can directly check that

$$\langle 1 \rangle^{\oplus 3} \cong \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \oplus \langle 3 \rangle \quad (1.29)$$

over \mathbb{Z}_2 . We actually have $(r, d, t, e) = (3, +1, \bar{3}, \text{I})$ for both lattices. Using Proposition 1.6, we can determine $q(L)_2$ for a non-degenerate even lattice L similarly to the case $p \neq 2$. We can find an orthogonal decomposition

$$L \cong \bigoplus_{k \geq 0} L_k^{(2)}(2^k) \quad (1.30)$$

over \mathbb{Z}_2 , where $L_k^{(2)}$ is of the form (1.24). Then we can write $q(L)_2$ as the corresponding orthogonal sum of (1.21)–(1.23). For relations between (1.21)–(1.23), see [16].

For a finite abelian group A , let $l(A)$ denote the minimum number of generators of A . Let L be a non-degenerate even lattice. Since $\text{rank } L^\vee = \text{rank } L$ (see (1.5)), we have

$$l(A(L)) \leq \text{rank } L. \quad (1.31)$$

The following theorem is a reformulation of Eichler's result in a view-point of discriminant forms.

Theorem 1.7 ([16]). *Let L be an indefinite even lattice of rank ≥ 3 . Suppose that the following conditions are satisfied:*

- (1) For each $p \neq 2$, either $\text{rank } L \geq l(A(L)_p) + 2$, or $n_k + m_k \geq 2$ for some k in the orthogonal decomposition (1.15), i.e.,

$$q(L)_p \cong q_p \oplus q_{\pm}^{(p)}(p^k) \oplus q_{\pm}^{(p)}(p^k) \quad (1.32)$$

for some q_p and $k > 0$.

- (2) Either $\text{rank } L \geq l(A(L)_p) + 2$, or

$$q(L)_2 \cong q_2 \oplus q'_2 \quad (1.33)$$

for some q_2 and q'_2 , where q'_2 is one of the following:

$$u^{(2)}(2^k), \quad k > 0, \quad (1.34)$$

$$v^{(2)}(2^k), \quad k > 0, \quad (1.35)$$

$$q_{\varepsilon_1}^{(2)}(2^k) \oplus q_{\varepsilon_2}^{(2)}(2^k) \oplus q_{\varepsilon_3}^{(2)}(2^{k'}), \quad \varepsilon_i \in \mathbb{Z}_2^\times, k, k' > 0, |k - k'| \leq 1. \quad (1.36)$$

Then any non-degenerate even lattice L' such that $\text{sign } L' = \text{sign } L$ and $q(L') \cong q(L)$ is isomorphic to L .

We use the following facts in Section 7.

Theorem 1.8 ([16]). *Let L be an indefinite even lattice of rank ≥ 3 . If the following conditions are satisfied, $\overline{O(L)} = O(q(L))$.*

- (1) For each $p \neq 2$, $\text{rank } L \geq l(A(L)_p) + 2$.

- (2) Either $\text{rank } L \geq l(A(L)_p) + 2$, or

$$q(L)_2 \cong q_2 \oplus u^{(2)}(2) \quad \text{or} \quad q_2 \oplus v^{(2)}(2) \quad (1.37)$$

for some q_2 .

Remark 1.9. The conditions of Theorem 1.8 are stronger than those of Theorem 1.7.

Theorem 1.10 ([16]). *If $L^{(p)}$ is a non-degenerate even lattice over \mathbb{Z}_p , we have $\overline{O(L^{(p)})} = O(q(L^{(p)}))$.*

2 Finite symplectic actions on the K3 lattice Λ

A compact complex surface X is called a K3 surface if it is simply connected and has a nowhere vanishing holomorphic 2-form ω_X .

Definition 2.1. For a K3 surface X , an automorphism g of X is said to be symplectic if $g^*\omega_X = \omega_X$.

We are concerned with faithful and symplectic actions of finite groups on $K3$ surfaces.

Notation 2.2. We identify abstract groups (notation: \mathfrak{G}, \dots) which are isomorphic to each other. For a group G acting on an object, the abstract group (forgetting its action) is denoted by $[G]$.

Definition 2.3. We denote by $\mathfrak{G}_{K3}^{\text{symp}}$ the set of finite abstract groups $\mathfrak{G} \neq 1$ which can be realized as faithful and symplectic actions of groups on $K3$ surfaces.

Mukai determined $\mathfrak{G}_{K3}^{\text{symp}}$ completely by listing the eleven maximal groups in $\mathfrak{G}_{K3}^{\text{symp}}$.

Theorem 2.4 ([14]). A finite abstract group $\mathfrak{G} \neq 1$ is an element in $\mathfrak{G}_{K3}^{\text{symp}}$ if and only if \mathfrak{G} is a subgroup of the following eleven groups:

$$T_{48}, N_{72}, M_9, \mathfrak{S}_5, L_2(7), H_{192}, T_{192}, \mathfrak{A}_{4,4}, \mathfrak{A}_6, F_{384}, M_{20}.$$

There are exactly 79 groups in $\mathfrak{G}_{K3}^{\text{symp}}$. See Table 10.2 for all elements in $\mathfrak{G}_{K3}^{\text{symp}}$. We use Xiao's notation [26].

For a $K3$ surface X , the second integral cohomology group $H^2(X, \mathbb{Z})$ with its intersection form is isomorphic to the $K3$ lattice Λ defined by

$$\Lambda = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}^{\oplus 3} \oplus E_8(-1)^{\oplus 2}, \quad (2.1)$$

which is the unique even unimodular lattice of signature $(3, 19)$ up to isomorphism (see Theorem 1.7). Here E_8 is the root lattice of type E_8 . The Néron–Severi group $\text{NS}(X)$ of X is considered as a sublattice of $H^2(X, \mathbb{Z})$. If a group G acts on X , the action of G induces a left action on $H^2(X, \mathbb{Z})$ by

$$g \cdot v = (g^{-1})^* v, \quad g \in G, v \in H^2(X, \mathbb{Z}). \quad (2.2)$$

Note that if the action of G is faithful, so is the induced action of G on $H^2(X, \mathbb{Z})$ by the global Torelli theorem (see [2]). Hence, if we take an isomorphism $\alpha : H^2(X, \mathbb{Z}) \rightarrow \Lambda$, the action of G on X induces a subgroup $\alpha \circ G \circ \alpha^{-1} \subset O(\Lambda)$, which is isomorphic to G as an abstract group.

We define the notion of “finite symplectic actions on the $K3$ lattice.”

Definition 2.5. A finite subgroup $G \neq 1$ of $O(\Lambda)$ is called a finite symplectic action on the $K3$ lattice Λ , if the following conditions are satisfied:

- (1) Λ_G is negative definite;
- (2) $\langle v, v \rangle \neq -2$ for all $v \in \Lambda_G$.

We denote the set of finite symplectic actions on the K3 lattices Λ by \mathcal{L} . Note that the finiteness of G follows from the condition (1).

Definition 2.5 is justified due to the following:

Proposition 2.6 ([15]). *If a finite group G acts on a K3 surface X faithfully and symplectically, then $H^2(X, \mathbb{Z})_G \subset \text{NS}(X)$ and the induced subgroup of $O(\Lambda)$ is an element in \mathcal{L} . Conversely, any element in \mathcal{L} is induced by a symplectic action of a finite group on a K3 surface.*

A K3 surface which admits a symplectic action of a finite group is characterized by coinvariant lattices Λ_G of $G \in \mathcal{L}$.

Proposition 2.7 ([15]). *Let $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$. A K3 surface X admits a symplectic action of \mathfrak{G} if and only if there exists a primitive embedding $\Lambda_G \hookrightarrow \text{NS}(X)$ for some $G \in \mathcal{L}$ such that $[G] = \mathfrak{G}$.*

Now we consider extensions of symplectic actions.

Proposition 2.8. *Suppose that a finite group G acts on a K3 surface X faithfully and symplectically. Then the action of G on X is extended to a faithful and symplectic action of $G' := O_0(H^2(X, \mathbb{Z})_G)$.*

Proof (cf. [15]). By Lemma 1.3(1), the action of G on $H^2(X, \mathbb{Z})$ is extended to that of G' such that

$$H^2(X, \mathbb{Z})^G = H^2(X, \mathbb{Z})^{G'}. \quad (2.3)$$

By the definition of a symplectic action, we have $\omega_X \in H^2(X, \mathbb{C})^G$. Since G is a finite group, there exists a G -invariant Kähler $(1, 1)$ -form $\kappa \in H^2(X, \mathbb{R})^G$. By (2.3), the action of G' also fixes ω_X and κ . By the global Torelli theorem for K3 surfaces, the action of G' on $H^2(X, \mathbb{Z})$ is induced by that on X . Since the action of G' fixes ω_X , the action of G' on X is symplectic. \square

Definition 2.9. For $G \in \mathcal{L}$, we define $\text{Clos}(G)$ by

$$\text{Clos}(G) = O_0(\Lambda_G). \quad (2.4)$$

By Lemma 1.3(1), the action of G on Λ is extended to that of $\text{Clos}(G)$ such that $\Lambda_G = \Lambda_{\text{Clos}(G)}$, and $\text{Clos}(G)$ is considered as an element in \mathcal{L} (see Definition 2.5). We define the subset $\mathcal{L}_{\text{clos}}$ of \mathcal{L} by

$$\mathcal{L}_{\text{clos}} = \{G \in \mathcal{L} \mid \text{Clos}(G) = G\}. \quad (2.5)$$

By the following proposition, $\text{rank } \Lambda_G$ depends only on the structure of G as an abstract group.

Proposition 2.10 ([15, 14]). *Let g be an element in $O(\Lambda)$ such that the group $\langle g \rangle$ generated by g is an element in \mathcal{L} . Then $\text{ord}(g) \leq 8$ and $\text{Tr}(g; \Lambda) = \chi(g) - 2$, where*

$$\chi(g) = 24, 8, 6, 4, 4, 2, 3, 2 \quad \text{if} \quad \text{ord}(g) = 1, 2, 3, 4, 5, 6, 7, 8. \quad (2.6)$$

Hence, for $G \in \mathcal{L}$,

$$\text{rank } \Lambda_G = c(G) := 24 - \frac{1}{|G|} \sum_{g \in G} \chi(g). \quad (2.7)$$

In particular, $c(G) = c(\text{Clos}(G))$.

3 Embeddings of Λ_G into Niemeier lattices

In this paper, a Niemeier lattice is a negative definite even unimodular lattice of rank 24 which is not isomorphic to the negative Leech lattice. Here the negative Leech lattice is the unique negative definite even unimodular lattice of rank 24 which has no vector v such that $\langle v, v \rangle = -2$ (cf. [5]). In this section, We study primitive embeddings of Λ_G into Niemeier lattices.

Definition 3.1. Let \mathcal{N} denote the set of isomorphism classes of G -lattices (G, N) which satisfy the following conditions:

- (1) $G \neq 1$ and N is a Niemeier lattice;
- (2) there exists a vector $v \in N^G$ such that $\langle v, v \rangle = -2$;
- (3) there exists no vector $v \in N_G$ such that $\langle v, v \rangle = -2$;
- (4) there exists a primitive embedding $N_G \hookrightarrow \Lambda$.

Lemma 3.2 ([10]). *For any $G \in \mathcal{L}$, $(G, \Lambda_G) \cong (G', N_{G'})$ for some $(G', N) \in \mathcal{N}$. Conversely, if $(G', N) \in \mathcal{N}$, then there exists an element $G \in \mathcal{L}$ such that $(G, \Lambda_G) \cong (G', N_{G'})$.*

Remark 3.3. In the above lemma, we write (G, Λ_G) instead of $(G|_{\Lambda_G}, \Lambda_G)$ (cf. Definition 1.1). We use the same notation in what follows.

By Lemma 3.2, the study of (G, Λ_G) for $G \in \mathcal{L}$ is reduced to that of \mathcal{N} . In the following subsections, we present how to make a complete list of \mathcal{N} . Some consequences from the list are given in Subsection 3.4.

3.1 Some facts on Niemeier lattices

The following theorem is standard.

Theorem 3.4 (cf. [5]). *There exist exactly 23 isomorphism classes of Niemeier lattices. The isomorphism class of a Niemeier lattice N is determined by the root sublattice of N , whose type is given in Table 10.1. Here the root sublattice of N is the sublattice generated by vectors $v \in N$ such that $\langle v, v \rangle = -2$.*

Let N be a Niemeier lattice. A vector $d \in N$ is called a root if $\langle d, d \rangle = -2$. Let Δ denote the set of roots of N . A Weyl chamber \mathcal{C} is a connected component of $N \otimes \mathbb{R} - \cup_{d \in \Delta} d^\perp$. The set of positive roots Δ^+ corresponding to \mathcal{C} is defined by

$$\Delta^+ = \{d \in \Delta \mid \langle d, \mathcal{C} \rangle \subset \mathbb{R}_{>0}\}. \quad (3.1)$$

We have $\Delta = \Delta^+ \sqcup -\Delta^+$. The set of simple roots $R(N, \Delta^+)$ corresponding to Δ^+ is the set of positive roots $d \in \Delta^+$ such that there exists no decomposition $d = d_1 + d_2$ with $d_i \in \Delta^+$. It is known that $R(N, \Delta^+)$ becomes a Dynkin diagram of rank 24. The automorphism group of the Dynkin diagram $R(N, \Delta^+)$ is denoted by $\text{Aut}(R(N, \Delta^+))$. Let $W(N)$ denote the subgroup of $O(N)$ which is generated by reflections of $d \in \Delta$. The action of $W(N)$ on the set of Weyl chambers is free and transitive. The group $O(N, \Delta^+)$ (see (1.2)) is considered as a subgroup of $\text{Aut}(R(N, \Delta^+))$. We have $O(N) = W \rtimes O(N, \Delta^+)$.

3.2 Method for making the list of \mathcal{N}

We use the above result to construct a complete list of \mathcal{N} . For the proof of the following lemma, see [10].

Lemma 3.5 ([10]). *Let N be a Niemeier lattice and G a subgroup of $O(N)$. Then the condition (3) in Definition 3.1 is satisfied if and only if there exists a G -invariant set of positive roots.*

Let N_1, \dots, N_{23} be all Niemeier lattices and Δ_i^+ a set of positive roots of N_i . Let $G \subset O(N_i)$ be a subgroup satisfying the condition (3) in Definition 3.1. By the above lemma, we may assume that G preserves Δ_i^+ by replacing G by $\gamma G \gamma^{-1}$ for some $\gamma \in W(N_i)$ if necessary. Hence we may only consider subgroups of $O(N_i, \Delta_i^+)$. Using GAP, we can make a complete list of subgroups G_{i1}, \dots, G_{ij} of $O(N_i, \Delta_i^+)$ such that $[G_{ij}] \in \mathfrak{G}_{K3}^{\text{symp}}$ up to conjugacy¹. Since $O(N_i, \Delta_i^+)$ is realized as a subgroup of $\text{Aut}(R(N_i, \Delta_i^+))$, so is G_{ij} . To decide whether $(G_{ij}, N_i) \in \mathcal{N}$ or not, we should check conditions (2)–(4) in Definition 3.1 for (G_{ij}, N_i) .

¹Note that conjugacy in $O(N_i, \Delta_i^+)$ is equivalent to conjugacy in $O(N_i)$, which is a property of semi-direct product groups.

3.3 Example

The condition (2) can be checked directly. For example, if N_i is of type $A_1^{\oplus 24}$, the condition (2) is equivalent to the existence of a G_{ij} -fixed element in $R(N_i, \Delta_i^+)$. By Lemma 3.5, the condition (3) is already satisfied.

To confirm the condition (4), it is sufficient to show that there exists an even lattice L such that

$$\text{sign } L = (3, 19 - c(G_{ij})), \quad q(L) \cong -q(N_{G_{ij}}) \quad (3.2)$$

by Lemma 1.2 and Proposition 2.10. We can compute the Gramian matrix of $N^{G_{ij}}$ by using the orbit decomposition of $R(N_i, \Delta_i^+)$ which is obtained from the list of (G_{ij}, N_i) . From the Gramian matrix of $N^{G_{ij}}$, we can determine $A(N^{G_{ij}})$ and $q(N^{G_{ij}})$ (cf. Section 1). Since $q(N_{G_{ij}}) \cong -q(N^{G_{ij}})$ by Lemma 1.2, we obtain the list of $q(N_{G_{ij}})$. From the list, we have the following:

Lemma 3.6. *For (G_{ij}, N_i) satisfying the condition (2) in Definition 3.1, the condition (4) is equivalent to the inequality*

$$l(A(N^{G_{ij}})) \leq 22 - c(G_{ij}) = \text{rank } N^{G_{ij}} - 2. \quad (3.3)$$

Here $l(A)$ denotes the minimum number of generators of a finite abelian group A .

Proof. For each case satisfying the inequality (3.3), we can find a lattice L satisfying (3.2). See Tables 10.2 and 10.3 for $q(N_{G_{ij}})$ and L in each case respectively. Conversely, the existence of L implies that

$$l(A(N^{G_{ij}})) = l(A(N_{G_{ij}})) = l(A(L)) \leq \text{rank } L = 22 - c(G_{ij}) \quad (3.4)$$

by Lemma 1.2 and (1.31). \square

By the above argument, the set which consists of (G_{ij}, N_i) satisfying the condition (2) and the inequality (3.3) becomes a complete list of \mathcal{N} .

3.3 Example

We consider the case of the cyclic group C_8 of order 8 as an example. We make the list of $(G, N) \in \mathcal{N}$ with $[G] = C_8$. Since $c(C_8) = 18$, we have $\text{rank } N_G = 18$ and $\text{rank } N^G = 6$. Using GAP, we can make a complete list of subgroups $G \subset O(N, \Delta^+)$ such that $[G] = C_8$ up to conjugacy for each Niemeier lattice N . The result is as follows.

case	(I)	(II)	(III)	(IV)	(V)	(VI)
root type of N	$E_6^{\oplus 4}$	$A_5^{\oplus 4} \oplus D_4$	$A_3^{\oplus 8}$	$A_2^{\oplus 12}$	$A_2^{\oplus 12}$	$A_1^{\oplus 24}$
number of stable components of $R(N, \Delta^+)$	0	1	0	2	0	2
$(G, N) \in \mathcal{N}?$	no	yes	no	yes	no	yes

3.3 Example

If the condition (2) in Definition 3.1 holds, then at least one component of the Dynkin diagram $R(N, \Delta^+)$ is stable under the action of G . In the case (I), the action of G as a permutation group of the components E_6 of $R(N, \Delta^+)$ is transitive. Therefore, we have $(G, N) \notin \mathcal{N}$ in the case (I). Similarly, we have $(G, N) \notin \mathcal{N}$ in the cases (III) and (V). In fact, we have $(G, N) \in \mathcal{N}$ in the cases (II), (IV) and (VI), as we will see below. Let g be a generator of G .

The case (II). There exists a numbering of $R(N, \Delta^+) = \{v_1, \dots, v_{24}\}$ as in Figure 1 such that

$$g \cdot v_i = v_{\sigma(i)}, \quad (3.5)$$

where

$$\sigma = (1, 6, 11, 16, 5, 10, 15, 20)(2, 7, 12, 17, 4, 9, 14, 19)(3, 8, 13, 18)(23, 24). \quad (3.6)$$

Hence $N^G \otimes \mathbb{Q}$ is generated by

$$\begin{aligned} w_1 &= \sum_{i=0}^3 (v_{1+5i} + v_{5+5i}), \quad w_2 = \sum_{i=0}^3 (v_{2+5i} + v_{4+5i}), \\ w_3 &= \sum_{i=0}^3 v_{3+5i}, \quad w_4 = v_{21}, \quad w_5 = v_{22}, \quad w_6 = v_{23} + v_{24} \end{aligned} \quad (3.7)$$

over \mathbb{Q} . From the explicit description of $G \subset O(N, \Delta^+)$, we find that N^G is generated by the above vectors and $(w_1 + w_3)/2$ over \mathbb{Z} . Therefore,

$$w_1, w_2, (w_1 + w_3)/2, w_4, w_5, w_6 \quad (3.8)$$

form a basis of N^G over \mathbb{Z} . The Gramian matrix of N^G under the basis (3.8) is

$$\begin{pmatrix} -16 & 8 & 0 & 0 & 0 & 0 \\ 8 & -16 & 8 & 0 & 0 & 0 \\ 0 & 8 & -8 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2 & 1 & 0 \\ 0 & 0 & 0 & 1 & -2 & 2 \\ 0 & 0 & 0 & 0 & 2 & -4 \end{pmatrix}. \quad (3.9)$$

We can determine $A(N^G)$ and $q(N^G)$ from (3.9) (cf. Section 1):

$$A(N^G) \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z} \oplus (\mathbb{Z}/8\mathbb{Z})^{\oplus 2}, \quad (3.10)$$

$$q(N^G) \cong \langle 1/2 \rangle \oplus \langle 1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix}. \quad (3.11)$$

Since $q(N_G) \cong -q(N^G)$ by Lemma 1.2, we have

$$q(N_G) \cong \langle -1/2 \rangle \oplus \langle -1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix}. \quad (3.12)$$

3.3 Example

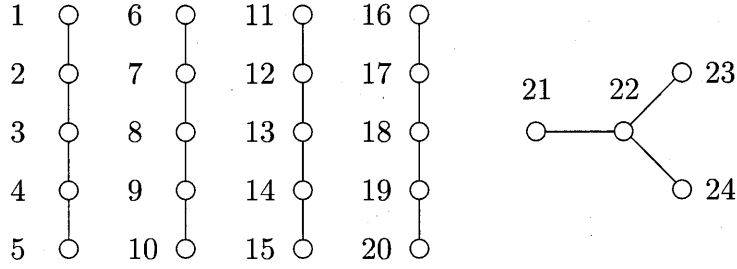


Figure 1: $A_5^{\oplus 4} \oplus D_4$

The case (IV). Similarly, there exists a numbering of $R(N, \Delta^+)$ as in Figure 2 such that $g \cdot v_i = v_{\sigma(i)}$, where

$$\sigma = (3, 4)(5, 7, 6, 8)(9, 11, 13, 15, 17, 19, 21, 23)(10, 12, 14, 16, 18, 20, 22, 24). \quad (3.13)$$

Moreover, $N^G \otimes \mathbb{Q}$ is generated by

$$\begin{aligned} w_1 &= v_1, \quad w_2 = v_2, \quad w_3 = v_3 + v_4, \quad w_4 = \sum_{i=5}^8 v_i, \\ w_5 &= \sum_{i=0}^7 v_{9+2i}, \quad w_6 = \sum_{i=0}^7 v_{10+2i} \end{aligned} \quad (3.14)$$

over \mathbb{Q} , and N^G is generated by

$$w_1, w_2, w_3, w_4, w_5, \frac{1}{3}(w_1 - w_2 + w_5 - w_6) \quad (3.15)$$

over \mathbb{Z} . The Gramian matrix of N^G under the basis (3.15) is

$$\begin{pmatrix} -2 & 1 & 0 & 0 & 0 & -1 \\ 1 & -2 & 0 & 0 & 0 & 1 \\ 0 & 0 & -2 & 0 & 0 & 0 \\ 0 & 0 & 0 & -4 & 0 & 0 \\ 0 & 0 & 0 & 0 & -16 & -8 \\ -1 & 1 & 0 & 0 & -8 & -6 \end{pmatrix}. \quad (3.16)$$

From (3.16), we can check that $q(N_G)$ is isomorphic to (3.12).

The case (VI). There exists a numbering of $R(N, \Delta^+)$ as in Figure 3 such that $g \cdot v_i = v_{\sigma(i)}$, where

$$\sigma = (3, 4)(5, 6, 7, 8)(9, 10, 11, 12, 13, 14, 15, 16)(17, 18, 19, 20, 21, 22, 23, 24). \quad (3.17)$$

3.3 Example



Figure 2: $A_2^{\oplus 12}$

Moreover, $N^G \otimes \mathbb{Q}$ is generated by

$$\begin{aligned} w_1 = v_1, \quad w_2 = v_2, \quad w_3 = \sum_{i=3}^4 v_i, \quad w_4 = \sum_{i=5}^8 v_i, \\ w_5 = \sum_{i=9}^{16} v_i, \quad w_6 = \sum_{i=17}^{24} v_i \end{aligned} \quad (3.18)$$

over \mathbb{Q} , and N^G is generated by

$$w_1, w_2, w_3, \frac{1}{2}(w_1 + w_2 + w_3 + w_4), \frac{1}{2}(w_4 + w_5), \frac{1}{2}(w_4 + w_6) \quad (3.19)$$

over \mathbb{Z} . The Gramian matrix of N^G under the basis (3.19) is

$$\begin{pmatrix} -2 & 0 & 0 & -1 & 0 & 0 \\ 0 & -2 & 0 & -1 & 0 & 0 \\ 0 & 0 & -4 & -2 & 0 & 0 \\ -1 & -1 & -2 & -4 & -2 & -2 \\ 0 & 0 & 0 & -2 & -6 & -2 \\ 0 & 0 & 0 & -2 & -2 & -6 \end{pmatrix}. \quad (3.20)$$

From (3.20), we can check that $q(N_G)$ is isomorphic to (3.12).

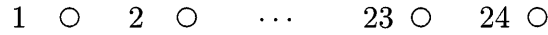


Figure 3: $A_1^{\oplus 24}$

The type of the root sublattice of N^G , i.e. the sublattice generated by vectors $v \in N^G$ such that $\langle v, v \rangle = -2$, in each case is as follows.

case	(II)	(IV)	(VI)
root type	A_3	$A_1 \oplus A_2$	$A_1^{\oplus 2}$

(3.21)

3.4 Consequences from the list of \mathcal{N}

Hence the condition (2) in Definition 3.1 is satisfied. The condition (3) is satisfied by Lemma 3.5. By the above argument, we have

$$q(N_G) \cong \langle -1/2 \rangle \oplus \langle -1/4 \rangle \oplus \begin{pmatrix} 0 & 1/8 \\ 1/8 & 0 \end{pmatrix} \quad (3.22)$$

in each case. Let L be a lattice defined by

$$L = \langle 2 \rangle \oplus \langle 4 \rangle \oplus \begin{pmatrix} 0 & 8 \\ 8 & 0 \end{pmatrix}. \quad (3.23)$$

Then we have $\text{sign } L = (3, 1)$ and $q(L) \cong -q(N_G)$. By Lemma 1.2, there exists a primitive embedding $N_G \hookrightarrow \Lambda$ such that $(N_G)_{\Lambda}^{\perp} \cong L$. Thus the condition (4) is satisfied. Therefore, we have $(G, N) \in \mathcal{N}$ in the cases (II), (IV) and (VI).

3.4 Consequences from the list of \mathcal{N}

Let \mathcal{Q} denote the set defined by

$$\mathcal{Q} = \{(\mathfrak{G}, q) \mid \exists G \in \mathcal{L} \text{ such that } \mathfrak{G} = [G], q \cong q(\Lambda_G)\}. \quad (3.24)$$

By Lemma 3.2, we have

$$\mathcal{Q} = \{(\mathfrak{G}, q) \mid \exists (G, N) \in \mathcal{N} \text{ such that } \mathfrak{G} = [G], q \cong q(N_G)\}. \quad (3.25)$$

Let \sim denote the natural equivalence relation on \mathcal{Q} , i.e., $(\mathfrak{G}, q) \sim (\mathfrak{G}', q')$ when $\mathfrak{G} = \mathfrak{G}'$ and $q \cong q'$. By (3.25) and the list of $q((N_i)^{G_{ij}})$ for $(G_{ij}, N_i) \in \mathcal{N}$, we have the following:

Proposition 3.7. *For $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$, we have*

$$\#(\{q \mid (\mathfrak{G}, q) \in \mathcal{Q}\} / \text{isom}) = \begin{cases} 1 & \text{if } \mathfrak{G} \neq Q_8, T_{24}, \\ 2 & \text{if } \mathfrak{G} = Q_8, T_{24}. \end{cases} \quad (3.26)$$

Remark 3.8. From the Xiao's list [26], we have $\#\mathfrak{G}_{K3}^{\text{symp}} = 79$. By the above proposition, $\#(\mathcal{Q} / \sim) = 81$. In Table 10.2, we list a complete representative $\{(\mathfrak{G}_n, q_n)\}$ of \mathcal{Q} / \sim . Our numbering coincides with that in [26].

By (3.25), we have the natural map

$$\pi : \mathcal{N} \rightarrow \mathcal{Q}; \quad (G, N) \mapsto ([G], q(N)). \quad (3.27)$$

In Table 10.6, the type of the root sublattice of N^G for each $(G, N) \in \mathcal{N}$ is given. From the table, we have the following:

Proposition 3.9. *Let \mathcal{Q}° denote the subset of \mathcal{Q} which is defined by*

$$\mathcal{Q}^\circ = \{(\mathfrak{G}, q) \in \mathcal{Q} \mid \mathfrak{G} \neq \mathfrak{G}_{58}\}. \quad (3.28)$$

There exists a section $\sigma : \mathcal{Q}^\circ \rightarrow \pi^{-1}(\mathcal{Q}^\circ)$ of π with the following properties. Here we denote $\sigma(\mathcal{Q}^\circ)$ by \mathcal{N}' .

- (1) *Let $(G, N) \in \mathcal{N}$ and $(G', N') \in \mathcal{N}'$. If $\pi(G, N) = \pi(G', N')$ and $N^G \cong (N')^{G'}$, then $(G, N) \cong (G', N')$.*
- (2) *Let $(G, N) \in \mathcal{N}'$. If $[G] \neq \mathfrak{G}_3$, then N is of type $A_1^{\oplus 24}$.*

Proof. For each $(\mathfrak{G}, q) \in \mathcal{Q}^\circ$, we can choose $\sigma(\mathfrak{G}, q) \in \mathcal{N}$ case by case. As an example, we consider the case of $C_8 = \mathfrak{G}_{14}$ (see Subsection 3.3). By the table (3.21), the root types of N^G for $(G, N) \in \mathcal{N}$ with $[G] = C_8$ are different from each other. Therefore, N^G are not isomorphic to each other. Hence we can choose (G, N) of the case (VI), in which N is of type $A_1^{\oplus 24}$, as $\sigma(\mathfrak{G}_{14}, q_{14})$. Similarly, for $(G, N) \in \mathcal{N}$ with $\pi(G, N) = (\mathfrak{G}_n, q_n)$, the isomorphism classes of N^G can be distinguished by looking the root types except for the cases $n = 32, 41, 56$. For the cases $n = 32, 41, 56$, we can distinguish them by looking the root types and the numbers of vectors $v \in N^G$ such that $\langle v, v \rangle = -4$. As a consequence, we can choose (G, N) enclosed by boxes in Table 10.6. The choice of σ is not unique. \square

4 Uniqueness of coinvariant lattices Λ_G

Let \mathcal{S} denote the set of G -lattices which is defined by

$$\mathcal{S} = \{(G, S) \mid \exists G' \in \mathcal{L} \text{ such that } (G, S) \cong (G', \Lambda_{G'})\}. \quad (4.1)$$

Note that $G \subset \text{O}_0(S)$ by Lemma 1.3(3). In this section, we apply the result in the previous section to prove the following:

Theorem 4.1. *The natural map $\varphi : \mathcal{S}/\text{isom} \rightarrow \mathcal{Q}/\sim$ is bijective.*

Proof. The surjectivity of φ is trivial. We shall show the injectivity. Let $(\mathfrak{G}, q) \in \mathcal{Q}$. Suppose that $(G, S) \in \mathcal{S}$, $[G] = \mathfrak{G}$ and $q(S) \cong q$. We show that (G, S) is uniquely determined up to isomorphism.

(1) The case $\mathfrak{G} \neq \mathfrak{G}_{58}$. By Proposition 3.9, there exists an element $(\Gamma, N) \in \mathcal{N}'$ such that $[\Gamma] = \mathfrak{G}$ and $q(N_\Gamma) \cong q$. We show that $(G, S) \cong (\Gamma, N_\Gamma)$. By Lemma 1.2, $q(S) \cong q \cong q(N_\Gamma) \cong -q(N^\Gamma)$. Again by Lemma 1.2, there exists a primitive embedding $S \hookrightarrow N'$ of S into a Niemeier lattice N' such that $(S)_{N'}^\perp \cong N^\Gamma$. By Lemma 1.3, the action of G on S is extended to that on N' such that $(N')_G = S$ and $(N')^G \cong N^\Gamma$. Thus $(G, N') \in \mathcal{N}$ (see Definition 3.1). By Proposition 3.9, we have $(G, N') \cong (\Gamma, N)$. Hence $(G, S) = (G, (N')_G) \cong (\Gamma, N_\Gamma)$.

(2) The case $\mathfrak{G} = \mathfrak{G}_{58}$. From Table 10.4, we find that $\mathfrak{G}_{43} \subsetneq \mathfrak{G}_{58}$ and $c(\mathfrak{G}_{43}) = c(\mathfrak{G}_{58})$. Hence there exists a subgroup G'_{43} of G such that $[G'_{43}] = \mathfrak{G}_{43}$. Since $c(\mathfrak{G}_{43}) = c(\mathfrak{G}_{58})$, we have $(G'_{43}, S) \in \mathcal{S}$. Let $G_{43} \in \mathcal{L}$ be as in Lemma 8.8. By (1) and Proposition 3.7, $(G', S') \in \mathcal{S}$ such that $[G'] = \mathfrak{G}_{43}$ is unique up to isomorphism. Therefore, we have $(G'_{43}, S) \cong (G_{43}, \Lambda_{G_{43}})$. By the condition (2) in Lemma 8.8, there exists a unique subgroup G_{58} of $O_0(\Lambda_{G_{48}})$ such that $[G_{58}] = \mathfrak{G}_{58}$ up to conjugacy in $O(\Lambda_{G_{48}})$. Hence $(G, S) \cong (G_{58}, \Lambda_{G_{43}})$. \square

Definition 4.2. Let $(\mathfrak{G}, q) \in \mathcal{Q}$. By Theorem 4.1, there exists a unique element $(G, S) \in \mathcal{S}$ such that $[G] = \mathfrak{G}$ and $q(S) \cong q$ up to isomorphism. The lattice S determined by this conditions is denoted by $S(\mathfrak{G}, q)$. Since $G \subset O_0(S)$, \mathfrak{G} is a subgroup of $[O_0(S(\mathfrak{G}, q))]$.

By the definition of $S(\mathfrak{G}, q)$, we have

$$\Lambda_G \cong S([G], q(\Lambda_G)) \quad (4.2)$$

for $G \in \mathcal{L}$.

Corollary 4.3. Let $(\mathfrak{G}, q), (\mathfrak{G}', q') \in \mathcal{Q}$. If $\mathfrak{G} \subset \mathfrak{G}'$, $q \cong q'$ and $c(\mathfrak{G}) = c(\mathfrak{G}')$, then $S(\mathfrak{G}, q) \cong S(\mathfrak{G}', q')$.

Proof. Let $G' \in \mathcal{L}$ such that $[G'] = \mathfrak{G}'$ and $q(\Lambda_{G'}) \cong q'$. Then $\Lambda_{G'} \cong S(\mathfrak{G}', q')$. Let G be the subgroup of G' which corresponds to the subgroup \mathfrak{G} of \mathfrak{G}' . Since $c(G) = c(G')$, we have $S(\mathfrak{G}, q) \cong \Lambda_G = \Lambda_{G'} \cong S(\mathfrak{G}', q')$. \square

Remark 4.4. In Table 10.4, we give the trees of

$$T_S = \{\mathfrak{G}_n \mid S(\mathfrak{G}_n, q_n) \cong S\} \quad (4.3)$$

for T_S with $\#T_S \geq 2$. From Tables 10.2 and 10.4, we find that there exist exactly 40 isomorphism classes of lattices $S(\mathfrak{G}_n, q_n)$ (or Λ_G for $G \in \mathcal{L}$). Also, we can check that the natural map

$$\{S(\mathfrak{G}, q) \mid (\mathfrak{G}, q) \in \mathcal{Q}\} / \text{isom} \rightarrow \{q \mid (\mathfrak{G}, q) \in \mathcal{Q}, q \cong q(S(\mathfrak{G}, q))\} / \text{isom} \quad (4.4)$$

is bijective.

Definition 4.5. Let $(\mathfrak{G}, q) \in \mathcal{Q}$. We define $\text{Clos}(\mathfrak{G}, q)$ by

$$\text{Clos}(\mathfrak{G}, q) = ([O_0(S(\mathfrak{G}, q))], q). \quad (4.5)$$

Note that \mathfrak{G} is a subgroup of $[O_0(S(\mathfrak{G}, q))]$ (see Definition 4.2).

For $(\mathfrak{G}, q) \in \mathcal{Q}$, there exists an element $G \in \mathcal{L}$ such that $([G], q(\Lambda_G)) \sim (\mathfrak{G}, q)$. Since $S([G], q(\Lambda_G)) \cong \Lambda_G$, we have

$$\text{Clos}(\mathfrak{G}, q) = ([O_0(\Lambda_G)], q) = ([\text{Clos}(G)], q) \quad (4.6)$$

(see Definition 2.9). In particular, we have $\text{Clos}(\mathfrak{G}, q) \in \mathcal{Q}$. Let $\mathcal{Q}_{\text{clos}}$ denote the subset of \mathcal{Q} which is defined by

$$\mathcal{Q}_{\text{clos}} = \{(\mathfrak{G}, q) \in \mathcal{Q} \mid \text{Clos}(\mathfrak{G}, q) = (\mathfrak{G}, q)\}. \quad (4.7)$$

For $G \in \mathcal{L}$, we have $G \in \mathcal{L}_{\text{clos}}$ if and only if $([G], q(\Lambda_G)) \in \mathcal{Q}_{\text{clos}}$.

Corollary 4.6. *The map*

$$\mathcal{Q}_{\text{clos}} / \sim \rightarrow \{\Lambda_G \mid G \in \mathcal{L}\} / \text{isom} \quad (4.8)$$

which is induced by the correspondence $(\mathfrak{G}, q) \mapsto S(\mathfrak{G}, q)$ is bijective.

Proof. The inverse map of (4.8) is the map induced by the correspondence $S \mapsto ([O_0(S)], q(S))$. \square

Corollary 4.7. *Let $(\mathfrak{G}, q) \in \mathcal{Q}$. Then we have $\text{Clos}(\mathfrak{G}, q) = (\mathfrak{G}', q)$, where \mathfrak{G}' is the unique maximal element in*

$$\{\mathfrak{G}'' \in \mathfrak{G}_{K3}^{\text{symp}} \mid (\mathfrak{G}'', q'') \in \mathcal{Q}, \mathfrak{G} \subset \mathfrak{G}'', q \cong q'', c(\mathfrak{G}) = c(\mathfrak{G}'')\}. \quad (4.9)$$

Moreover, we have the following.

- (1) *If $\mathfrak{G} \in \{Q_8, T_{24}\}$, i.e., $(\mathfrak{G}, q) \sim (\mathfrak{G}_n, q_n)$ for $n \in \{12, 13, 37, 38\}$, then we have the following table.*

n	$\mathfrak{G} = \mathfrak{G}_n$	m	$\mathfrak{G}' = \mathfrak{G}_m$
12	Q_8	12	Q_8
13	Q_8	40	$Q_8 * Q_8$
37	T_{24}	77	T_{192}
38	T_{24}	54	T_{48}

Here m is determined by $(\mathfrak{G}_m, q_m) \sim \text{Clos}(\mathfrak{G}, q)$.

- (2) *If $\mathfrak{G} \notin \{Q_8, T_{24}\}$, then \mathfrak{G}' is the unique maximal element in*

$$\{\mathfrak{G}'' \in \mathfrak{G}_{K3}^{\text{symp}} \mid \mathfrak{G} \subset \mathfrak{G}'', c(\mathfrak{G}) = c(\mathfrak{G}'')\}. \quad (4.10)$$

Proof. For any element \mathfrak{G}'' in (4.9), we have $S(\mathfrak{G}, q) \cong S(\mathfrak{G}'', q'')$ by Corollary 4.3. Hence $\mathfrak{G}'' \subset \mathfrak{G}' = [O_0(S(\mathfrak{G}, q))]$. Therefore, the former part of the corollary follows. We can check the latter part by Proposition 3.7 and Table 10.4. \square

5 Property $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$

This section is devoted to prove the following theorem, which gives a sufficient condition for $G \in \mathcal{L}$ such that $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$.

Theorem 5.1. *Let $G \in \mathcal{L}$ with $c(G) = \text{rank } \Lambda_G \geq 17$ (see Proposition 2.10). Then $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$ if and only if $[\text{Clos}(G)] \in \{\mathfrak{G}_{48}, \mathfrak{G}_{51}\}$. In particular, if $c(G) = \text{rank } \Lambda_G = 19$, then $\overline{O(\Lambda_G)} = O(q(\Lambda_G))$.*

Since $c(\mathfrak{G}_{48}) = c(\mathfrak{G}_{51}) = 18$ by Table 10.2, the latter part of the theorem follows from the former part.

5.1 Criterion of $\overline{O(L)} = O(q(L))$

We prepare for a criterion of the property $\overline{O(L)} = O(q(L))$.

Lemma 5.2. *Let H be a group and K_1, K_2 subgroups of H . If $K_1 \subset K_2$ and $\#K_1 \backslash H/K_2 = 1$, then $K_2 = H$.*

Proof. By the second assumption, any element in H is of the form $k_1 k_2$ with $k_i \in K_i$. Hence $K_2 = H$ by the first assumption. \square

Proposition 5.3. *Let L_1 be a non-degenerate even lattice. Then $\overline{O(L_1)} = O(q(L_1))$ if and only if there exists a non-degenerate even lattice L_2 satisfying the following conditions.*

- (1) *There exists an essentially unique even unimodular lattice $\Gamma \subset L_1^\vee \oplus L_2^\vee$ which contains L_i primitively. Here the uniqueness of Γ means that for another Γ' , there exist isomorphisms $\varphi_i \in O(L_i)$ for $i = 1, 2$ such that $\varphi_1 \oplus \varphi_2$ induces an isomorphism $\Gamma \rightarrow \Gamma'$.*
- (2) *The restriction map $O(\Gamma, L_2) \rightarrow O(L_2)$ is surjective (see (1.2)).*

Proof. Assume that there exists L_2 satisfying the conditions (1) and (2). Let $\gamma \in \text{Isom}(q(L_1), -q(L_2))$ be the isomorphism corresponding to Γ (see Lemma 1.2). The condition (1) implies that

$$\overline{O(L_2)} \backslash \text{Isom}(q(L_1), -q(L_2)) / \overline{O(L_1)} \cong \gamma^{-1} \circ \overline{O(L_2)} \circ \gamma \backslash O(q(L_1)) / \overline{O(L_1)} \quad (5.1)$$

is a one point set by Lemma 1.2. On the other hand, the condition (2) implies that for any $\varphi_2 \in O(L_2)$, there exists an automorphism $\varphi_1 \in O(L_1)$ such that $\gamma \circ \varphi_1 \circ \gamma^{-1} = \varphi_2$ by Lemma 1.2. Hence $\gamma^{-1} \circ \overline{O(L_2)} \circ \gamma \subset \overline{O(L_1)}$. By Lemma 5.2, we have $\overline{O(L_1)} = O(q(L_1))$.

Conversely, assume that $\overline{O(L_1)} = O(q(L_1))$. Then any non-degenerate even lattice L_2 with $q(L_2) \cong -q(L_1)$ satisfies the conditions (1) and (2) by Lemma 1.2. For example, we can take $L_1(-1)$ as L_2 . \square

5.2 Proof of Theorem 5.1

Now we apply Proposition 5.3 to prove Theorem 5.1. Let $G_0 \in \mathcal{L}$. We may assume that $G_0 \in \mathcal{L}_{\text{clos}}$. By Lemma 3.2, $\Lambda_{G_0} \cong N_G$ for some $(G, N) \in \mathcal{N}'$ such that $[G_0] = [G]$. To prove Theorem 5.1, it is sufficient to show that the conditions (1) and (2) in Proposition 5.3 are satisfied for $L_1 = N_G$ and $L_2 = N^G$.

We check that the condition (1) is satisfied as follows. Let $N' \subset (N_G)^\vee \oplus (N^G)^\vee$ be a Niemeier lattice which contains N_G and N^G primitively. By Lemma 1.3, the action of G on N_G is extended to that on N' such that $(N')^G = N^G$. We have $(G, N') \in \mathcal{N}$ by Definition 3.1. By Proposition 3.9, $(G, N) \cong (G, N')$. The uniqueness of N is shown.

Before showing the condition (2), we prepare for a couple of lemmas.

Lemma 5.4. *Let Γ be an even unimodular lattice and L_1 a primitive non-degenerate sublattice of Γ . Then the kernel of the restriction map $\pi : \text{O}(\Gamma, (L_1)^\perp_\Gamma) \rightarrow \text{O}((L_1)^\perp_\Gamma)$ coincides with $\text{O}_0(L_1)$, which is considered as a subgroup of $\text{O}(\Gamma, (L_1)^\perp_\Gamma)$.*

Proof. By Lemma 1.3(1), we have $\text{O}_0(L_1) \subset \text{Ker}(\pi)$. The converse follows from Lemma 1.3(2). \square

Let Δ^+ be a set of positive roots of N which is stable under the action of G . Since N is of type $A_1^{\oplus 24}$, $\text{O}(N, \Delta^+) \cong M_{24}$ and the Weyl group W of N is isomorphic to C_2^{24} .

Lemma 5.5. *In the above setting, we have a semi-direct product*

$$\text{O}(N, N^G) = C_2^n \rtimes N_{M_{24}}(G) \subset \text{O}(N) = W \rtimes M_{24}, \quad (5.2)$$

where $n = \text{rank } N^G = 24 - c(G)$ and $N_{M_{24}}(G)$ is the normalizer subgroup of G in M_{24} .

Proof. Set $\{v_1, \dots, v_{24}\} = R(N, \Delta^+)$ and $W' = \text{O}(N, N^G) \cap W$. The action of G decomposes $R(N, \Delta^+)$ into n orbits O_1, \dots, O_n . The invariant lattice N^G is generated by $\sum_{v \in O_j} v$ over \mathbb{Q} . Let $w \in W$. Then w is of the form

$$w = \prod_{i=1}^{24} T(v_i)^{e_i}, \quad e_i \in \{0, 1\}, \quad (5.3)$$

where $T(v)$ is the reflection of v . Since

$$w \left(\sum_{i=1}^{24} a_i v_i \right) = \sum_{i=1}^{24} (-1)^{e_i} a_i v_i, \quad (5.4)$$

W' is generated by $\prod_{v \in O_j} T(v)$ and $W' \cong C_2^n$. By Lemma 5.4, we have $G \triangleleft \text{O}(N, N^G)$. Hence $\text{O}(N, N^G)/W' \subset N_{M_{24}}(G)$. For $g \in N_{M_{24}}(G)$, we

have $gG \cdot v_i = Gg \cdot v_i$. Therefore, for any j , $g \cdot O_j = O_{j'}$ for some j' , and $N_{M_{24}}(G) \subset O(N, N^G)$. The assertion follows from this. \square

Now we check the condition (2) of Proposition 5.3. By the above lemma, we can determine the order of H from the order of $N_{M_{24}}(G)$. We can compute the order of $N_{M_{24}}(G)$ by using GAP. On the other hand, we can also determine the order of $O(N^G)$ as follows: Let $B = (b_{ij}) \in M_n(\mathbb{Z})$ be the Gramian matrix of N^G . Then $O(N^G)$ is identified with the matrix group M consisting of $P \in M_n(\mathbb{Z})$ such that ${}^tPBP = B$. Let S denote the set consisting of column vectors $v \in \mathbb{Z}^n$ such that ${}^tvBv = b_{ii}$ for some i . Then any element $P \in M$ is of the form $(v_1 \cdots v_n)$ with $v_i \in S$. Since N^G is negative definite, there exists a positive number λ such that $-M - \lambda \cdot 1_n$ is positive definite. For any $v = (v_j) \in S$, we can see that $|v_j| < (\max\{|b_{ii}|\}/\lambda)^{1/2}$ for all j . Thus we can enumerate all elements in S and M in finite steps. Practically, we should take M with smaller $|b_{ii}|$ (cf. the reduction theory of quadratic forms). Also, we should take larger λ . For example, we start with $\lambda = 1$. If $-M - 1_n$ is not positive definite, then we try $\lambda = 99/100, 98/100, \dots$. Finally, we get λ such that $-M - \lambda \cdot 1_n$ is positive definite. For our N^G , whose rank is $\leq 24 - 17 = 7$ by the assumption of the theorem, we can determine the order of $O(N^G)$ in practical time by this method. The author used Maxima for this computation. The result is the following:

Proposition 5.6. *For $(G, N) \in \mathcal{N}$ such that $G = O_0(N_G)$, $c(G) \geq 17$, $[G] \neq \mathfrak{G}_{48}, \mathfrak{G}_{51}$ and N is of type $A_1^{\oplus 24}$, we have $|O(N, N^G)|/|G| = |O(N^G)|$.*

For example, we consider the case #80, in which $\mathfrak{G} = F_{384}$. There exists exactly one element $(G, N) \in \mathcal{N}$ such that $[G] = F_{384}$. The Niemeier lattice N is of type $A_1^{\oplus 24}$. We have $|N_{M_{24}}(G)/G| = 2$ and $O(N^G) = 64$. Since $c(G) = 19$, we have $|O(N, N^G)|/|G| = |O(N^G)| = 64$ by Lemma 5.5.

We shall finish the proof of Theorem 5.1. Since $G = O_0(N_G)$, the restriction map $O(N, N^G) \rightarrow O(N^G)$ induces an injective map $O(N, N^G)/G \hookrightarrow O(N^G)$. By the above proposition, this map is actually bijective, i.e., the restriction map $O(N, N^G) \rightarrow O(N^G)$ is surjective, and the condition (2) is satisfied. Now we have checked the conditions (1) and (2), and it follows that $\overline{O(N_G)} = O(q_{N_G})$.

6 Uniqueness of invariant lattices Λ^G

This section is devoted to prove the following:

Proposition 6.1. *Set $E = \{\mathfrak{G}_5, L_2(7), \mathfrak{A}_6\}$. For $(\mathfrak{G}, q) \in \mathcal{Q}$ (see (3.24)), we have*

$$\sharp(\{\Lambda^G \mid G \in \mathcal{L}, [G] = \mathfrak{G}, q(\Lambda_G) \cong q\}/\text{isom}) = \begin{cases} 2 & \text{if } \mathfrak{G} \in E, \\ 1 & \text{otherwise.} \end{cases} \quad (6.1)$$

The Gramian matrices of Λ^G are given in Table 10.3.

Proof. Let $G \in \mathcal{L}$ such that $[G] = \mathfrak{G}$ and $q(\Lambda_G) \cong q$. By Lemma 1.2, $q(\Lambda^G) \cong -q(\Lambda_G) \cong -q$.

First we consider the case $\text{rank } \Lambda^G > 3$. Since $\text{sign } \Lambda = (3, 19)$ and Λ_G is negative definite, Λ^G is indefinite in this case. From Table 10.3, we can check that the conditions (1) and (2) in Theorem 1.7 for Λ^G are satisfied. Hence the assertion follows from Theorem 1.7. We can directly find the Gramian matrices of Λ^G with the given signature and discriminant form for each case.

Next we consider the case $\text{rank } \Lambda^G = 3$. In this case, Λ^G is positive definite. From the table of definite ternary forms [20], we can check that there exists a unique positive definite even lattice K of rank 3 such that $q(K) \cong -q$ up to isomorphism, except for the cases $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$. If $\mathfrak{G} = \mathfrak{S}_5, L_2(7), \mathfrak{A}_6$, there exist exactly two positive definite even lattices K_1, K_2 of rank 3 such that $q(K_i) \cong -q$ up to isomorphism. For each $i = 1, 2$, there exists a primitive embedding $\Lambda_G \rightarrow \Lambda$ such that $(\Lambda_G)_\Lambda^\perp \cong K_i$ by Lemma 1.2. By Lemma 1.3, the action of G on Λ_G is extended to that on Λ such that $\Lambda^G \cong K_i$. This action is an element in \mathcal{L} by Definition 2.5. Therefore, the assertion follows. \square

7 Property $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$

This section is devoted to prove the following:

Theorem 7.1. *Let $G \in \mathcal{L}$. If $\text{rank } \Lambda^G \geq 4$, or equivalently, $c(G) \leq 18$ (see Proposition 2.10), then $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$.*

We may assume that $G \in \mathcal{L}_{\text{clos}}$ by replacing G by $\text{Clos}(G)$ if necessary. Then $\Lambda_G \cong S(\mathfrak{G}_n, q_n)$ for some $(\mathfrak{G}_n, q_n) \in \mathcal{Q}_{\text{clos}}$ (see Section 4). We can check that Λ^G satisfies the conditions (1) and (2) in Theorem 1.8 from Table 10.3, except for the following nine cases:

$$n = 26, 30, 32, 33, 40, 46, 48, 56, 61. \quad (7.1)$$

Hence we have $\overline{O(\Lambda^G)} = O(q(\Lambda^G))$ except for these nine cases.

For example, in the case $n = 65$, we find that

$$\Lambda^G \cong \begin{pmatrix} 4 & 2 \\ 2 & 4 \end{pmatrix} \oplus \langle 4 \rangle \oplus \langle -8 \rangle, \quad (7.2)$$

$$q(\Lambda^G) \cong -q_{65} \cong v^{(2)}(2) \oplus q_1^{(2)}(4) \oplus q_7^{(2)}(8) \oplus q_+^{(3)}(3) \quad (7.3)$$

from Table 10.3. Since

$$\text{rank } \Lambda^G = 4 > l(A(\Lambda^G)_3) + 2 = 3, \quad (7.4)$$

the condition (1) is satisfied. On the other hand, since $v^{(2)}(2)$ appears in the orthogonal decomposition (7.3) of $q(\Lambda^G)$, the condition (2) is satisfied.

7.1 Preparation for the cases (7.1)

Before studying the cases (7.1), we recall some properties of the spinor norm (see e.g. [4]). Let L be a non-degenerate lattice. For any $\varphi \in O(L \otimes \mathbb{Q})$, φ is written as a composition of reflections:

$$\varphi = \prod_{i=1}^r T(v_i), \quad v_i \in L \otimes \mathbb{Q}, \quad \langle v_i, v_i \rangle \neq 0. \quad (7.5)$$

Here $T(v) \in O(L \otimes \mathbb{Q})$ is the reflection of v , which is defined by

$$T(v) \cdot w = w - \frac{2\langle v, w \rangle}{\langle v, v \rangle} v. \quad (7.6)$$

The spinor norm $\theta(\varphi)$ of φ is defined by

$$\theta(\varphi) = \prod_{i=1}^r \langle v_i, v_i \rangle \bmod (\mathbb{Q}^\times)^2 \in \mathbb{Q}^\times / (\mathbb{Q}^\times)^2, \quad (7.7)$$

which is independent of the choice of the expression (7.5). We define a map f and a subgroup $O'(L) \subset O(L)$ by

$$f = \det \times \theta : O(L) \rightarrow \{\pm 1\} \times \mathbb{Q}^\times / (\mathbb{Q}^\times)^2 \quad (7.8)$$

and $O'(L) = \text{Ker}(f)$. Note that if $L = L_1 \oplus L_2$, then $f(O(L_i)) \subset f(O(L))$. We can define the spinor norm $\theta_p(\varphi_p) \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ of $\varphi_p \in O(L \otimes \mathbb{Q}_p)$ in a similar way. Moreover, we define

$$f_p = \det \times \theta_p : O(L_p) \rightarrow \{\pm 1\} \times \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2 \quad (7.9)$$

and $O'(L_p) = \text{Ker}(f_p)$, where $L_p = L \otimes \mathbb{Z}_p$.

To deal with the cases (7.1), we use the following proposition, which is a consequence of Strong Approximation Theorem of quadratic forms (cf. [4]).

Proposition 7.2. *Let L be an indefinite even lattice of rank ≥ 3 . We set $O_0(L_p) = \text{Ker}(O(L_p) \rightarrow O(q(L_p)))$ and $d = \text{disc}(L)$. If the natural map*

$$O(L) \rightarrow \prod_{p|d} \frac{f_p(O(L_p))}{f_p(O_0(L_p))} \quad (7.10)$$

is surjective, then $\overline{O(L)} = O(q(L))$.

Proof. We have a natural commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & O'(L) & \rightarrow & O(L) & \rightarrow & f(O(L)) \rightarrow 0 \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma \\ 0 & \rightarrow & \prod_{p|d} \frac{O'(L_p)}{O_0(L_p)} & \rightarrow & \prod_{p|d} \frac{O(L_p)}{O_0(L_p)} & \rightarrow & \prod_{p|d} \frac{f_p(O(L_p))}{f_p(O_0(L_p))} \rightarrow 0 \end{array} \quad (7.11)$$

where $O'_0(L_p) = O'(L_p) \cap O_0(L_p)$. The rows in (7.11) are exact. Since

$$O(q(L)) = \prod_{p|d} O(q(L)_p) \cong \prod_{p|d} \frac{O(L_p)}{O_0(L_p)} \quad (7.12)$$

by Theorem 1.10, it is sufficient to show that β is surjective. Since $[O'(L_p) : O'_0(L_p)] < \infty$, each coset of $O'(L_p)/O'_0(L_p)$ is open dense subset of $O'(L_p)$ in p -adic topology. By Strong Approximation Theorem of quadratic forms (cf. [4]), the image of $O'(L)$ in $\prod_{p|d} O'(L_p)$ is dense. Therefore, α is surjective. On the other hand, γ is surjective by the assumption. By chasing the diagram, β is surjective. \square

For $f(O(L))$ and $f_p(O_0(L_p))$, we have the following:

Lemma 7.3. *Let $L^{(p)}$ be a non-degenerate even lattice over \mathbb{Z}_p .*

- (1) *If $v \in L^{(p)}$ satisfies $a = \langle v, v \rangle \in \mathbb{Z}_p^\times \cup 2\mathbb{Z}_p^\times$, then $T(v) \in O_0(L^{(p)})$ and $f_p(T(v)) = (-1, \bar{a}) \in f_p(O_0(L_p))$.*
- (2) *If $L^{(p)}$ contains $U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ as a sublattice, then*

$$f_p(O_0(L^{(p)})) \supset \begin{cases} J_2 := \langle (1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2), (-1, \bar{2}) \rangle & \text{if } p = 2, \\ J_p := \{\pm 1\} \times \mathbb{Z}_p^\times / (\mathbb{Z}_p^\times)^2 & \text{otherwise.} \end{cases} \quad (7.13)$$

- (3) *If $p = 2$ and $L^{(2)}$ contains $V = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$ as a sublattice, then*

$$f_2(O_0(L^{(2)})) \supset J_2. \quad (7.14)$$

Proof. Let v, a be as in (1). Since $T(v) \cdot w = w - (2\langle v, w \rangle / a)v$ and $2/a \in \mathbb{Z}_p^\times$, we have $T(v) \cdot w \in L^{(p)}$ for $w \in L^{(p)}$. Hence $T(v) \in O(L^{(p)})$. If $w \in (L^{(p)})^\vee$, then $\langle v, w \rangle \in \mathbb{Z}_p$, thus $T(v) \cdot w \equiv w \pmod{L^{(p)}}$. Hence $T(v) \in O_0(L^{(p)})$. Since the determinant of any reflection is equal to -1 , we have $f_p(T(v)) = (-1, \bar{a})$. This proves (1).

Let (e_1, e_2) be a basis of U such that $\langle e_i, e_i \rangle = 0$ and $\langle e_1, e_2 \rangle = 1$. For $x \in \mathbb{Z}_p^\times$, set $v_x = e_1 + xe_2$. We have $\langle v_x, v_x \rangle = 2x \in 2\mathbb{Z}_p^\times$. By (1), $T(v_x) \in O_0(L^{(p)})$ and $f_p(T(v_x)) = (-1, \bar{2x})$. We can check that the group generated by elements of the form $(-1, \bar{2x})$ is J_2 (resp. J_p) if $p = 2$ (resp. $p \neq 2$).

The proof of (3) is similar to (2), and we omit it. \square

Lemma 7.4. *Let L be a non-degenerate even lattice.*

- (1) $f(-1_L) = ((-1)^{\text{rank } L}, \overline{\text{disc}(L)})$.
- (2) $f(O(U(t))) = \langle (-1, \pm \bar{2t}) \rangle$, where $U(t) = \begin{pmatrix} 0 & t \\ t & 0 \end{pmatrix}$.

7.2 Proof of Theorem 7.1 for the cases (7.1)

Proof. Let (e_1, \dots, e_r) be an orthogonal basis of $L \otimes \mathbb{Q}$, where $r = \text{rank } L$. Then, $-1_L = \prod_{i=1}^r T(e_i)$ and $\prod_{i=1}^r \langle e_i, e_i \rangle \equiv \text{disc}(L) \pmod{(\mathbb{Q}^\times)^2}$. Therefore, $f(-1_L) = ((-1)^r, \text{disc}(L))$. This proves (1).

Let (e_1, e_2) be a basis of $U(t)$ such that $\langle e_i, e_i \rangle = 0$ and $\langle e_1, e_2 \rangle = t$. Then, $O(U(t)) \cong (\mathbb{Z}/2\mathbb{Z})^2$ is generated by $T(e_1 \pm e_2)$. Therefore, $f(O(U(t))) = \langle (-1, \pm 2t) \rangle$. This proves (2). \square

7.2 Proof of Theorem 7.1 for the cases (7.1)

We set $L = \Lambda^G$, $r = \text{rank } L$ and $d = \text{disc}(L)$. We shall show that the map (7.10) is surjective in each case in (7.1). In other words, we show that $\prod_{p|d} f_p(O(L_p))$ is generated by the images of $O(L)$ and $\prod_{p|d} f_p(O_0(L_p))$. In fact, we have $f_p(O(L_p)) = N_p$ except for the case $n = 61$, where

$$N_p = \{\pm 1\} \times \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2. \quad (7.15)$$

Recall that the map $(a, b, c) \mapsto (-1)^a 3^b 2^c$ induces an isomorphism $(\mathbb{Z}/2\mathbb{Z})^3 \rightarrow \mathbb{Q}_2^\times / (\mathbb{Q}_2^\times)^2$. Moreover, the map $(a, b) \mapsto \varepsilon_p^a p^b$ induces an isomorphism $(\mathbb{Z}/2\mathbb{Z})^2 \rightarrow \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2$ if $p \neq 2$, where ε_p is a non-square p -adic unit. Let (e_1, \dots, e_r) be a basis of L whose Gramian matrix is given by Table 10.3. We say a is represented by L if there exists a vector $v \in L$ such that $\langle v, v \rangle = a$. We denote $f(O(L))$ and $f_p(O_0(L_p))$ by I and I_p , respectively.

(1) The case $n = 26$. We have

$$L \cong \begin{pmatrix} 0 & 8 \\ 8 & 0 \end{pmatrix} \oplus \langle 2 \rangle \oplus \langle 4 \rangle, \quad d = -2^9. \quad (7.16)$$

Since 2 and 6 are represented by L , we have $(-1, \bar{2}), (-1, \bar{6}) \in I_2$ by Lemma 7.3(1). By Lemma 7.4(2), $(-1, \pm \bar{16}) = (-1, \pm \bar{1}) \in I$. We can check that the images of these four elements generate N_2 . (In what follows, we omit “the image(s) of” for simplicity.)

(2) The case $n = 30$. We have

$$L \cong \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix}^{\oplus 2} \oplus \begin{pmatrix} 2 & 3 \\ 3 & 0 \end{pmatrix}, \quad d = -3^6. \quad (7.17)$$

By Lemma 7.4(2), $(-1, \pm \bar{6}) \in I$. Since $T(e_5) \in O(L)$, we have $f(T(e_5)) = (-1, \bar{2}) \in I$. We can check that these three elements generate N_3 .

(3) The case $n = 32$. We have

$$L \cong \begin{pmatrix} 0 & 5 \\ 5 & 0 \end{pmatrix} \oplus \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}, \quad d = -2^2 \cdot 5^3. \quad (7.18)$$

Since L_2 contains U , we have $J_2 \subset I_2$ by Lemma 7.3(2). Since 4 is represented by L , we have $(-1, \bar{4}) = (-1, \bar{1}) \in I_5$ by Lemma 7.3(1). By Lemma 7.4(2),

7.2 Proof of Theorem 7.1 for the cases (7.1)

$(-1, \pm\bar{10}) \in I$. Since $T(e_3) \in O(L)$, we have $f(T(e_1)) = (-1, \bar{4}) = (-1, \bar{1}) \in I$. Let $L' = \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}$. By Lemma 7.4(1), $f(-1_{L'}) = (1, \bar{20}) = (1, \bar{5}) \in I$. Therefore, the images of I, I_2, I_5 contain the following elements.

	image in $N_2 \times N_5$
I_2	$(1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2) \times (1, \bar{1}), (-1, \bar{2}) \times (1, \bar{1})$
I_5	$(1, \bar{1}) \times (-1, \bar{1})$
I	$(-1, \pm\bar{10}) \times (-1, \pm\bar{10}), (-1, \bar{1}) \times (-1, \bar{1}), (1, \bar{5}) \times (1, \bar{5})$

From this, we can check that I, I_2, I_5 generate $N_2 \times N_5$.

(4) The case $n = 33$. We have

$$L \cong \begin{pmatrix} 0 & 7 \\ 7 & 0 \end{pmatrix} \oplus \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{pmatrix}, \quad d = -7^3. \quad (7.19)$$

By Lemma 7.4(2), $(-1, \pm\bar{14}) \in I$. Since $T(e_3) \in O(L)$, we have $(-1, \bar{2}) \in I$. We can check that these three elements generate N_7 .

(5) The case $n = 40$. We have

$$L \cong \langle 4 \rangle^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}, \quad d = 2^{10}. \quad (7.20)$$

Let $\varphi = T(e_1)T(e_1 + 2e_2) \in O(L_2)$. Then, modulo L_2 , we have

$$\varphi \cdot \frac{e_1}{4} = T(e_1) \cdot \left(\frac{e_1}{4} - \frac{2}{20}(e_1 + 2e_2) \right) \equiv T(e_1) \cdot \frac{3}{4}e_1 \equiv \frac{e_1}{4}, \quad (7.21)$$

$$\varphi \cdot \frac{e_2}{4} = T(e_1) \cdot \left(\frac{e_2}{4} - \frac{4}{20}(e_1 + 2e_2) \right) \equiv T(e_1) \cdot \frac{e_2}{4} = \frac{e_2}{4}. \quad (7.22)$$

Hence $\varphi \in O_0(L_2)$ and $f_2(\varphi) = (-1, \bar{4}) \cdot (-1, \bar{20}) = (1, \bar{5}) \in I_2$. Since $T(e_1), T(e_4), T(e_1 + e_2) \in O(L)$, we have $(-1, \pm\bar{4}), (-1, \bar{8}) \in I$. We can check that these four elements generate N_2 .

(6) The case $n = 46$. We have

$$L \cong \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix} \oplus \langle 6 \rangle \oplus \langle -18 \rangle, \quad d = -2^2 \cdot 3^4. \quad (7.23)$$

Since L_2 contains V , we have $J_2 \subset I_2$ by Lemma 7.3(3). Since $T(e_3 + e_4) \in O_0(L_2)$, we have $f_2(T(e_3 + e_4)) = (-1, -\bar{12}) = (-1, \bar{3}) \in I_2$. Hence $I_2 = N_2$. Since $T(e_1), T(e_3), T(e_4) \in O(L)$, $(-1, \bar{2}), (-1, \bar{6}), (-1, -\bar{18}) \in I$. From this, we can check that I, I_2 generate $N_2 \times N_3$.

(7) The case $n = 48$. We have

$$L \cong \begin{pmatrix} 12 & 6 \\ 6 & 12 \end{pmatrix} \oplus \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix}, \quad d = -2^2 \cdot 3^5. \quad (7.24)$$

Since L_2 contains U , $\langle (1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2), (-1, \bar{2}) \rangle \subset I_2$ by Lemma 7.3(2). By Lemma 7.4(2), $(-1, \pm \bar{6}) \in I$. Since $T(e_1), T(e_1 + e_2) \in O(L)$, $(-1, \bar{12}), (-1, \bar{36}) \in I$. Therefore, the images of I, I_2 contains the following elements.

	image in $N_2 \times N_3$
I_2	$(1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2) \times (1, \bar{1}), (-1, \bar{2}) \times (1, \bar{1})$
I	$(-1, \pm \bar{6}) \times (-1, \pm \bar{6}), (-1, \bar{3}) \times (-1, \bar{3}), (-1, \bar{1}) \times (-1, \bar{1})$

From this, we can check that I, I_2 generate $N_2 \times N_3$.

(8) The case $n = 56$. We have

$$L \cong \langle 4 \rangle^{\oplus 3} \oplus \langle -8 \rangle, \quad d = -2^9. \quad (7.25)$$

By the argument in the case $n = 40$, $\varphi = T(e_1)T(e_1 + 2e_2) \in O_0(L_2)$ and $f_2(\varphi) = (1, \bar{5}) \in I_2$. Since $T(e_1), T(e_4), T(e_1 + e_2) \in O(L)$, $(-1, \bar{4}), (-1, -\bar{8}), (-1, \bar{8}) \in I$. We can check that these four elements generate N_2 .

(9) The case $n = 61$. We have

$$L \cong \begin{pmatrix} 8 & 4 \\ 4 & 8 \end{pmatrix} \oplus \begin{pmatrix} 0 & 3 \\ 3 & 0 \end{pmatrix}, \quad d = -2^4 \cdot 3^3. \quad (7.26)$$

Since L_2 contains U , $J := \langle (1, \mathbb{Z}_2^\times / (\mathbb{Z}_2^\times)^2), (-1, \bar{2}) \rangle \subset I_2$ by Lemma 7.3(2). By Theorem 3.14(1) of [1], $f_2(O(L_2)) \subset J$, thus $I_2 = f_2(O(L_2)) = J$. Since $T(e_1) \in O(L)$, $(-1, \bar{8}) = (-1, \bar{2}) \in I$. By Lemma 7.4(2), $(-1, \pm \bar{6}) \in I$. From this, we can check that I, I_2 generate $f_2(O(L_2)) \times N_3$.

Thus, we have proved Theorem 7.1.

8 Uniqueness of symplectic actions on the $K3$ lattice

In this section, we use the results in the previous sections to prove Main Theorem.

8.1 The case $c(G) \leq 18$

Proposition 8.1. *The natural map*

$$\{G \in \mathcal{L} \mid c(G) \leq 18\} / \text{conj} \rightarrow \{(G, S) \in \mathcal{S} \mid c(G) \leq 18\} / \text{isom} \quad (8.1)$$

is bijective.

Proof. The surjectivity follows from the definition of \mathcal{S} (see (4.1)). Let $(G, S) \in \mathcal{S}$ such that $c(G) \leq 18$. Suppose that $G_i \in \mathcal{L}$ and $(G_i, \Lambda_{G_i}) \cong (G, S)$ for $i = 1, 2$. To prove the injectivity, it is sufficient to show that G_1 and G_2 are conjugate in $O(\Lambda)$. By Proposition 6.1, $\Lambda^{G_1} \cong \Lambda^{G_2}$. By Theorem 7.1,

8.2 The case $c(G) = 19$

$\overline{O(\Lambda^{G_1})} = O(q(\Lambda^{G_1}))$. Therefore, a primitive embedding $\Lambda_{G_1} \rightarrow \Lambda$ such that $(\Lambda_{G_1})_{\Lambda}^{\perp} \cong \Lambda^{G_1}$ is unique up to isomorphism and the restriction map

$$O(\Lambda, \Lambda_{G_1}) \rightarrow O(\Lambda_{G_1}) \quad (8.2)$$

is surjective by Lemma 1.2. Thus we may assume that $\Lambda_{G_1} = \Lambda_{G_2}$ after replacing G_1 by $\varphi G_1 \varphi^{-1}$ for some $\varphi \in O(\Lambda)$ if necessary. Since $(G_1, \Lambda_{G_1}) \cong (G_2, \Lambda_{G_2})$, G_1 and G_2 are conjugate as subgroups of $O(\Lambda_{G_1})$. Since the restriction map (8.2) is surjective, G_1 and G_2 are conjugate in $O(\Lambda)$. \square

8.2 The case $c(G) = 19$

Lemma 8.2. *Let $G_1, G_2 \in \mathcal{L}$ such that $[G_1] = [G_2]$, $\text{Clos}(G_1) = \text{Clos}(G_2)$ and $c(G_i) = 19$. If $[\text{Clos}(G_i)] \neq \mathcal{A}_{4,4}, F_{384}$, then G_1 and G_2 are conjugate in $\text{Clos}(G_i)$.*

Proof. It is sufficient to consider the case $G_i \subsetneq \text{Clos}(G_i)$. By Tables 10.2 and 10.4, we find that $\mathfrak{H} = [\text{Clos}(G_i)] = T_{48}, H_{192}, T_{192}, M_{20}$. Using GAP, we can check that there exists a unique subgroup \mathfrak{G} of \mathfrak{H} up to conjugacy in \mathfrak{H} such that $\mathfrak{G} = [G_i]$. The assertion follows from this. \square

Now we consider subgroups \mathfrak{G} of $\mathcal{A}_{4,4}$ or F_{384} such that $c(\mathfrak{G}) = 19$. In [14], Mukai constructed $K3$ surfaces which admit finite maximal symplectic actions. We use two $K3$ surfaces from [14], on which $\mathcal{A}_{4,4}$ or F_{384} acts symplectically.

Let X be a surface in \mathbb{P}^5 which is defined by the following equations:

$$x^2 + y^2 + z^2 = \sqrt{3}u^2, \quad (8.3)$$

$$x^2 + \zeta y^2 + \zeta^2 z^2 = \sqrt{3}v^2, \quad (8.4)$$

$$x^2 + \zeta^2 y^2 + \zeta z^2 = \sqrt{3}w^2, \quad (8.5)$$

where $\zeta = \exp(2\pi\sqrt{-1}/3)$ and x, y, z, u, v, w are homogeneous coordinates of \mathbb{P}^5 . Since X is a smooth complete intersection of type $(2, 2, 2)$ in \mathbb{P}^5 , X is a $K3$ surface. Let G denote the subgroup of $\text{PGL}(6, \mathbb{C})$ which is generated by

$$(x : y : z : u : v : w) \mapsto (-x : -y : z : u : v : w), \quad (8.6)$$

$$(x : y : z : u : v : w) \mapsto (x : y : z : -u : -v : w), \quad (8.7)$$

$$(x : y : z : u : v : w) \mapsto (y : z : x : u : \zeta v : \zeta^2 w), \quad (8.8)$$

$$(x : y : z : u : v : w) \mapsto (x : \zeta^2 y : \zeta z : v : w : u), \quad (8.9)$$

$$(x : y : z : u : v : w) \mapsto (-x : -z : -y : u : w : v). \quad (8.10)$$

Then G acts on X symplectically and $[G] = \mathcal{A}_{4,4}$. Moreover, let \tilde{G} denote the group generated by G and

$$g : (x : y : z : u : v : w) \mapsto (u : v : w : x : z : y). \quad (8.11)$$

Then \tilde{G} acts on X and $g^*\omega_X = \sqrt{-1}\omega_X$. Using GAP, we can prove the following:

Lemma 8.3. *Suppose that $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$ is a subgroup of $\mathfrak{A}_{4,4}$ and $c(\mathfrak{G}) = 19$. Then there exists a unique subgroup K of G such that $[K] = \mathfrak{G}$ up to conjugacy in \tilde{G} .*

Let Y be a surface in \mathbb{P}^3 which is defined by the following equation:

$$x^4 + y^4 + z^4 + t^4 = 0, \quad (8.12)$$

where x, y, z, t are homogeneous coordinates of \mathbb{P}^3 . Since Y is a smooth quartic surface in \mathbb{P}^3 , Y is a $K3$ surface. Let H denote the subgroup of $\text{PGL}(4, \mathbb{C})$ which is generated by

$$(x : y : z : t) \mapsto (ix : -iy : z : t), \quad (8.13)$$

$$(x : y : z : t) \mapsto (y : x : z : t), \quad (8.14)$$

$$(x : y : z : t) \mapsto (y : z : t : x), \quad (8.15)$$

where $i = \sqrt{-1}$. Then H acts on Y symplectically and $[H] = F_{384}$. Moreover, let \tilde{H} denote the group generated by H and

$$h : (x : y : z : t) \mapsto (ix : y : z : t). \quad (8.16)$$

Then \tilde{H} acts on Y and $h^*\omega_Y = i\omega_Y$. Again using GAP, we can prove the following:

Lemma 8.4. *Suppose that $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$ is a subgroup of F_{384} and $c(\mathfrak{G}) = 19$. Then there exists a unique subgroup K of H such that $[K] = \mathfrak{G}$ up to conjugacy in \tilde{H} .*

Remark 8.5. Since GAP is good at handling permutation groups, we realize \tilde{G} and \tilde{H} as quotients of permutation groups in GAP. For example, the subgroup of $\text{PGL}(2, \mathbb{C})$ which is generated by $(x : y) \mapsto (\zeta x : y)$ and $(x : y) \mapsto (y : x)$ is realized as

$$\langle (1, 2, 3), (1, 4)(2, 5)(3, 6) \rangle / \langle (1, 2, 3)(4, 5, 6) \rangle. \quad (8.17)$$

Remark 8.6. By a similar argument in [7], we can show that the projective automorphism groups of X and Y are \tilde{G} and \tilde{H} , respectively. However, since X and Y have Picard number 20, the automorphism groups of X and Y are infinite groups by [23].

By considering induced actions on $H^2(X, \mathbb{Z})$ and $H^2(Y, \mathbb{Z})$, we have the following:

Lemma 8.7. *Consider G and H as a subgroup of $O(\Lambda)$. Suppose that \mathfrak{G} is a subgroup of $\mathfrak{A}_{4,4}$ (resp. F_{384}) such that $c(\mathfrak{G}) = 19$. Then there exists a unique subgroup K of G (resp. H) up to conjugacy in $O(\Lambda)$ such that $[K] = \mathfrak{G}$.*

We use the following lemma in the proof of Theorem 4.1.

Lemma 8.8. *There exists an element $G_{43} \in \mathcal{L}$ which satisfies the following:*

1. $[G_{43}] = \mathfrak{G}_{43}$;
2. *There exists a unique subgroup G_{58} of $O_0(\Lambda_{G_{43}})$ up to conjugacy in $O(\Lambda_{G_{43}})$ such that $[G_{58}] = \mathfrak{G}_{58}$.*

Proof. Fix an identification $H^2(Y, \mathbb{Z}) = \Lambda$. By Table 10.4, there exists a subgroup G_{43} of H such that $[G_{43}] = \mathfrak{G}_{43}$. Since $c(\mathfrak{G}_{43}) = c(H) = 19$, we have $\Lambda_{G_{43}} = \Lambda_H$. Hence $O_0(\Lambda_{G_{43}}) = H$. Since $H \triangleleft \tilde{H}$, we have $\tilde{H} \subset O(\Lambda, \Lambda_{G_{43}})$. By Lemma 8.4 and Table 10.4, the condition (2) is satisfied. \square

We have the following by the above lemmas.

Proposition 8.9. *Set $E = \{\mathfrak{G}_5, L_2(7), \mathfrak{A}_6\} \subset \mathfrak{G}_{K3}^{\text{symp}}$. The natural map*

$$\{G \in \mathcal{L} \mid c(G) = 19, [G] \notin E\} / \text{conj} \rightarrow \{(G, S) \in \mathcal{S} \mid c(G) = 19, [G] \notin E\} / \text{isom} \quad (8.18)$$

is bijective.

Proof. The surjectivity follows from the definition of \mathcal{S} (see (4.1)). Let $(G, S) \in \mathcal{S}$ such that $c(G) = 19$ and $[G] \notin E$. Suppose that $G_i \in \mathcal{L}$ and $(G_i, \Lambda_{G_i}) \cong (G, S)$ for $i = 1, 2$. To prove the injectivity, it is sufficient to show that G_1 and G_2 are conjugate in $O(\Lambda)$. By Proposition 6.1, $\Lambda^{G_1} \cong \Lambda^{G_2}$. By Theorem 5.1, $O(\Lambda_{G_1}) = O(q(\Lambda_{G_1}))$. Therefore, a primitive embedding $\Lambda_{G_1} \rightarrow \Lambda$ such that $(\Lambda_{G_1})_{\Lambda}^{\perp} \cong \Lambda^{G_1}$ is unique up to isomorphism by Lemma 1.2. Thus we may assume that $\Lambda_{G_1} = \Lambda_{G_2}$ after replacing G_1 by $\varphi G_1 \varphi^{-1}$ for some $\varphi \in O(\Lambda)$ if necessary. Hence $[\text{Clos}(G_1)] = [\text{Clos}(G_2)]$.

(1) The case $[\text{Clos}(G_i)] \neq \mathfrak{A}_{4,4}, F_{384}$. By Lemma 8.2, G_1 and G_2 are conjugate in $\text{Clos}(G_i) (\subset O(\Lambda))$.

(2) The case $[\text{Clos}(G_i)] = \mathfrak{A}_{4,4}$ (resp. F_{384}). By the above argument, we have $\Lambda_{G_i} = \Lambda_G$ (resp. Λ_H) for some identification $\Lambda = H^2(X, \mathbb{Z})$ (resp. $H^2(Y, \mathbb{Z})$). Hence $\text{Clos}(G_i) = G$ (resp. H). By Lemma 8.7, G_1 and G_2 are conjugate in $O(\Lambda)$. \square

Proposition 8.10. *For $\mathfrak{G} = \mathfrak{G}_5, L_2(7), \mathfrak{A}_6$, there exist exactly two elements G_1, G_2 in \mathcal{L} up to conjugacy in $O(\Lambda)$ such that $[G_i] = \mathfrak{G}$. We have $\Lambda_{G_1} \cong \Lambda_{G_2}$, $q(\Lambda^{G_1}) \cong q(\Lambda^{G_2})$ and $\Lambda^{G_1} \not\cong \Lambda^{G_2}$.*

8.3 Proof of the Main Theorem

Proof. By Proposition 3.7 and Theorem 4.1, there exists a unique element $(G_0, S) \in \mathcal{S}$ up to isomorphism such that $[G_0] = \mathfrak{G}$. Since \mathfrak{G} is a maximal element in $\mathfrak{G}_{K3}^{\text{symp}}$, $O_0(S) = G_0$. By Theorem 5.1, $\overline{O(S)} = O(q(S))$. By Lemma 1.2 and Proposition 6.1, there exist exactly two primitive sublattices Λ_1, Λ_2 of Λ up to $O(\Lambda)$ such that $\Lambda_i \cong S$. Let $G \in \mathcal{L}$ such that $[G] = \mathfrak{G}$. Then $\Lambda_G \cong S$. Hence, we may assume that $\Lambda_G = \Lambda_i$ ($i \in \{1, 2\}$) after replacing G by $\varphi G \varphi^{-1}$ for some $\varphi \in O(\Lambda)$ if necessary. This implies the assertion. \square

8.3 Proof of the Main Theorem

Theorem 8.11. *Let $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$.*

- (1) *If $\mathfrak{G} = Q_8, T_{24}$, there exist exactly two elements G_1, G_2 in \mathcal{L} up to conjugacy in $O(\Lambda)$ such that $[G_i] = \mathfrak{G}$. We have the following table, by changing numbering of G_1, G_2 if necessary (see Corollary 4.7).*

\mathfrak{G}	case	$[\text{Clos}(G_1)]$	$\text{disc}(\Lambda_{G_1})$	case	$[\text{Clos}(G_2)]$	$\text{disc}(\Lambda_{G_2})$
Q_8	#12	Q_8	-512	#40	$Q_8 * Q_8$	-1024
T_{24}	#77	T_{192}	-192	#54	T_{48}	-384

- (2) *If $\mathfrak{G} = \mathfrak{G}_5, L_2(7), \mathfrak{A}_6$, there exist exactly two elements G_1, G_2 in \mathcal{L} up to conjugacy in $O(\Lambda)$ such that $[G_i] = \mathfrak{G}$. We have $\Lambda_{G_1} \cong \Lambda_{G_2}$, $q(\Lambda^{G_1}) \cong q(\Lambda^{G_2})$ and $\Lambda^{G_1} \not\cong \Lambda^{G_2}$.*
- (3) *Otherwise, there exists a unique $G \in \mathcal{L}$ up to conjugacy in $O(\Lambda)$ such that $[G] = \mathfrak{G}$.*

Proof. By Theorem 4.1, $(G, S) \in \mathcal{S}$ is determined uniquely up to isomorphism by $[G]$ and $q(S)$. The assertions (1) and (3) follow from Propositions 8.1, 8.9 and Table 10.2. The assertion (2) is the same as Proposition 8.10. \square

9 Applications

Combining Xiao's result (Theorem 0.3), the following theorem is a direct consequence of Theorem 8.11 and global Torelli theorem for $K3$ surfaces.

Theorem 9.1. *Let $\mathfrak{G} \in \mathfrak{G}_{K3}^{\text{symp}}$. Set $E_1 = \{Q_8, T_{24}\}$, $E_2 = \{\mathfrak{G}_5, L_2(7), \mathfrak{A}_6\}$.*

- If $\mathfrak{G} \notin E_1 \cup E_2$, then the moduli of $K3$ surfaces with \mathfrak{G} -actions is connected.*
- If X_i is a $K3$ surface with a symplectic \mathfrak{G}_i -action ($i = 1, 2$) such that $\mathfrak{G}_i \notin E_2$ and $\mathfrak{G}_1 \setminus X_1$ and $\mathfrak{G}_2 \setminus X_2$ have the same A-D-E-configuration of the singularities, then $\mathfrak{G}_1 = \mathfrak{G}_2$ and X_1 and X_2 are G -deformable.*

3. Let $\mathfrak{G} \in E_2$. Then there exist K3 surfaces X_1 and X_2 with symplectic \mathfrak{G} -actions such that X_1 and X_2 are not \mathfrak{G} -deformable.
4. If a K3 surface admits a symplectic action of type $(\mathfrak{G}, q) \in \mathcal{Q}$, then the action is extended to $\text{Clos}(\mathfrak{G}, q)$.

10 Tables

10.1 Niemeier lattices

i	root type	$ \text{O}(N_i, \Delta_i^+)_1 $	$\text{O}(N_i, \Delta_i^+)_2$	$ \text{O}(N_i, \Delta_i^+) $
1	D_{24}	1	1	1
2	$D_{16} \oplus E_8$	1	1	1
3	$E_8^{\oplus 3}$	1	\mathfrak{S}_3	6
4	A_{24}	2	1	2
5	$D_{12}^{\oplus 2}$	1	\mathfrak{S}_2	2
6	$A_{17} \oplus E_7$	2	1	2
7	$D_{10} \oplus E_7^{\oplus 2}$	1	\mathfrak{S}_2	2
8	$A_{15} \oplus D_9$	2	1	2
9	$D_8^{\oplus 3}$	1	\mathfrak{S}_3	6
10	$A_{12}^{\oplus 2}$	2	\mathfrak{S}_2	4
11	$A_{11} \oplus D_7 \oplus E_6$	2	1	2
12	$E_6^{\oplus 4}$	2	\mathfrak{S}_4	48
13	$A_9^{\oplus 2} \oplus D_6$	2	\mathfrak{S}_2	4
14	$D_6^{\oplus 4}$	1	\mathfrak{S}_4	24
15	$A_8^{\oplus 3}$	2	\mathfrak{S}_3	12
16	$A_7^{\oplus 2} \oplus D_5^{\oplus 2}$	2	$\mathfrak{S}_2 \times \mathfrak{S}_2$	8
17	$A_6^{\oplus 4}$	2	\mathfrak{A}_4	24
18	$A_5^{\oplus 4} \oplus D_4$	2	\mathfrak{S}_4	48
19	$D_4^{\oplus 6}$	3	\mathfrak{S}_6	2160
20	$A_4^{\oplus 6}$	2	\mathfrak{S}_5	240
21	$A_3^{\oplus 8}$	2	$\mathbb{F}_2^3 \rtimes \text{GL}(3, \mathbb{F}_2)$	2688
22	$A_2^{\oplus 12}$	2	M_{12}	190080
23	$A_1^{\oplus 24}$	1	M_{24}	244823040

10.2 Abstract groups and discriminant forms

We give the list of a complete representative $\{(Q_n, q_n)\}$ of \mathcal{Q}/\sim . Recall that

$$\begin{aligned} \mathcal{Q} &= \{(\mathfrak{G}, q) \mid \exists G \in \mathcal{L} \text{ such that } \mathfrak{G} = [G], q \cong q(\Lambda_G)\} \\ &= \{(\mathfrak{G}, q) \mid \exists (G, N) \in \mathcal{N} \text{ such that } \mathfrak{G} = [G], q \cong q(N_G)\} \end{aligned}$$

and $(\mathfrak{G}, q) \sim (\mathfrak{G}', q')$ when $\mathfrak{G} = \mathfrak{G}'$, $q \cong q'$ (see Subsection 3.4). For $q : A(q) \rightarrow \mathbb{Q}/2\mathbb{Z}$, we denote the order of $A(q)$ by $|q|$. We use the following notation (cf. [5]):

$$\begin{aligned} a^{+n} &= q_+^{(p)}(a)^{\oplus n}, \quad a^{-n} = q_+^{(p)}(a)^{\oplus n-1} \oplus q_-^{(p)}(a), \\ b_{\text{II}}^{+n} &= u^{(2)}(b)^{\oplus n}, \quad b_{\text{II}}^{-n} = u^{(2)}(b)^{\oplus n-1} \oplus v^{(2)}(b), \quad b_t^{dr} = q(L_{r,d,t,\text{I}}^{(2)}(b)), \end{aligned}$$

where p is an odd prime, $a = p^k$, $b = 2^k$ and $L_{r,d,t,e}^{(2)}$ is a (unique) unimodular lattice over \mathbb{Z}_2 which has the invariants r, d, t, e defined in Proposition 1.6 (see Section 1). For example,

$$\begin{aligned} A(q_{63}) &\cong (\mathbb{Z}/2)^{\oplus 3} \oplus \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/9\mathbb{Z}, \\ q_{63} &\cong \langle -1/2 \rangle \oplus \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} \oplus \langle 2/3 \rangle \oplus \langle 2/9 \rangle. \end{aligned}$$

In the list, e.g. q_5 is isomorphic to q_{16} .

n	$ \mathfrak{G}_n $	\mathfrak{G}_n	$ q_n $	q_n	$c(\mathfrak{G}_n)$
1	2	C_2	256	2_{II}^{+8}	8
2	3	C_3	729	3^{+6}	12
3	4	C_2^2	1024	$2_{\text{II}}^{-6}, 4_{\text{II}}^{-2}$	12
4	4	C_4	1024	$2_2^{+2}, 4_{\text{II}}^{+4}$	14
5	5	C_5	625	$\#16$	16
6	6	D_6	972	$2_{\text{II}}^{-2}, 3^{+5}$	14
7	6	C_6	1296	$\#18$	16
8	7	C_7	343	$\#33$	18
9	8	C_2^3	1024	$2_{\text{II}}^{+6}, 4_2^{+2}$	14
10	8	D_8	1024	4_1^{+5}	15
11	8	$C_2 \times C_4$	1024	$\#22$	16
12	8	Q_8	512	$2_7^{-3}, 8_{\text{II}}^{-2}$	17
13	8	Q_8	1024	$\#40$	17
14	8	C_8	512	$\#26$	18
15	9	C_3^2	729	$\#30$	16
16	10	D_{10}	625	5^{+4}	16
17	12	\mathfrak{A}_4	576	$2_{\text{II}}^{-2}, 4_{\text{II}}^{-2}, 3^{+2}$	16
18	12	D_{12}	1296	$2_{\text{II}}^{+4}, 3^{+4}$	16
19	12	$C_2 \times C_6$	1728	$\#61$	18
20	12	Q_{12}	432	$\#61$	18
21	16	C_2^4	512	$2_{\text{II}}^{+6}, 8_1^{+1}$	15
22	16	$C_2 \times D_8$	1024	$2_{\text{II}}^{+2}, 4_0^{+4}$	16
23	16	Γ_{2c_1}	512	$\#39$	17
24	16	$Q_8 * C_4$	1024	$\#40$	17
25	16	C_4^2	1024	$\#75$	18
26	16	SD_{16}	512	$2_7^{+1}, 4_7^{+1}, 8_{\text{II}}^{+2}$	18
27	16	$C_2 \times Q_8$	256	$\#75$	18
28	16	Γ_{2d}	256	$\#80$	19
29	16	Q_{16}	256	$\#80$	19
30	18	$\mathfrak{A}_{3,3}$	729	$3^{+4}, 9^{-1}$	16
31	18	$C_3 \times D_6$	972	$\#48$	18
32	20	$\text{Hol}(C_5)$	500	$2_6^{-2}, 5^{+3}$	18
33	21	$C_7 \times C_3$	343	7^{+3}	18
34	24	\mathfrak{S}_4	576	$4_3^{+3}, 3^{+2}$	17
35	24	$C_2 \times \mathfrak{A}_4$	576	$\#51$	18
36	24	$C_3 \times D_8$	432	$\#61$	18
37	24	T_{24}	192	$\#77$	19
38	24	T_{24}	384	$\#54$	19
39	32	$2^4 C_2$	512	$2_{\text{II}}^{+2}, 4_0^{+2}, 8_7^{+1}$	17
40	32	$Q_8 * Q_8$	1024	4_7^{+5}	17

41	32	$\Gamma_7 a_1$	512	#56	18
42	32	$\Gamma_4 c_2$	256	#75	18
43	32	$\Gamma_7 a_2$	256	#80	19
44	32	$\Gamma_3 e$	256	#80	19
45	32	$\Gamma_6 a_2$	256	#80	19
46	36	$3^2 C_4$	324	$2_6^{-2}, 3^{+2}, 9^{-1}$	18
47	36	$C_3 \times \mathfrak{A}_4$	432	#61	18
48	36	$\mathfrak{S}_{3,3}$	972	$2_{II}^{-2}, 3^{+3}, 9^{-1}$	18
49	48	$2^4 C_3$	384	$2_{II}^{-4}, 8_1^{+1}, 3^{-1}$	17
50	48	$4^2 C_3$	256	#75	18
51	48	$C_2 \times \mathfrak{S}_4$	576	$2_{II}^{+2}, 4_2^{+2}, 3^{+2}$	18
52	48	$2^2(C_2 \times C_6)$	288	#78	19
53	48	$2^2 Q_{12}$	288	#78	19
54	48	T_{48}	384	$2_7^{+1}, 8_{II}^{-2}, 3^{-1}$	19
55	60	\mathfrak{A}_5	300	$2_{II}^{-2}, 3^{+1}, 5^{-2}$	18
56	64	$\Gamma_{25} a_1$	512	$4_5^{+3}, 8_1^{+1}$	18
57	64	$\Gamma_{13} a_1$	256	#75	18
58	64	$\Gamma_{22} a_1$	256	#80	19
59	64	$\Gamma_{23} a_2$	256	#80	19
60	64	$\Gamma_{26} a_2$	256	#80	19
61	72	$\mathfrak{A}_{4,3}$	432	$4_{II}^{-2}, 3^{-3}$	18
62	72	N_{72}	324	$4_1^{+1}, 3^{+2}, 9^{-1}$	19
63	72	M_9	216	$2_7^{-3}, 3^{-1}, 9^{-1}$	19
64	80	$2^4 C_5$	160	#81	19
65	96	$2^4 D_6$	384	$2_{II}^{-2}, 4_7^{+1}, 8_1^{+1}, 3^{-1}$	18
66	96	$2^4 C_6$	384	#76	19
67	96	$4^2 D_6$	256	#80	19
68	96	$2^3 D_{12}$	288	#78	19
69	96	$(Q_8 * Q_8) \rtimes C_3$	192	#77	19
70	120	\mathfrak{S}_5	300	$4_3^{-1}, 3^{+1}, 5^{-2}$	19
71	128	F_{128}	256	#80	19
72	144	\mathfrak{A}_4^2	288	#78	19
73	160	$2^4 D_{10}$	160	#81	19
74	168	$L_2(7)$	196	$4_1^{+1}, 7^{+2}$	19
75	192	$4^2 \mathfrak{A}_4$	256	$2_{II}^{-2}, 8_6^{-2}$	18
76	192	H_{192}	384	$4_4^{-2}, 8_7^{+1}, 3^{-1}$	19
77	192	T_{192}	192	$4_7^{-3}, 3^{+1}$	19
78	288	$\mathfrak{A}_{4,4}$	288	$2_{II}^{+2}, 8_1^{+1}, 3^{+2}$	19
79	360	\mathfrak{A}_6	180	$4_5^{-1}, 3^{+2}, 5^{+1}$	19
80	384	F_{384}	256	$4_7^{+1}, 8_6^{+2}$	19
81	960	M_{20}	160	$2_{II}^{-2}, 8_1^{+1}, 5^{-1}$	19

10.3 Invariant lattices Λ^G

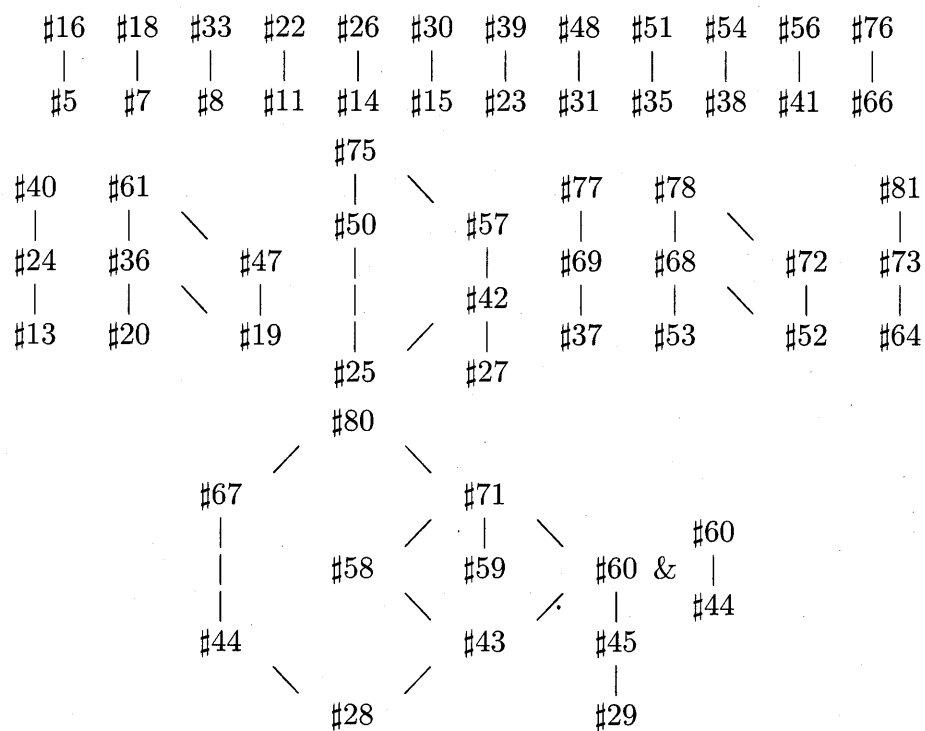
$$U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad (10.1)$$

For abelian $G \in \mathcal{L}$, the Gramian matrices of Λ^G are determined in [6].

#	r	d	q	Gramian matrix
1	14	-256	2_{II}^{+8}	$U^{\oplus 3} \oplus E_8(-2)$
2	10	-729	3^{+6}	$U \oplus U(3)^{\oplus 2} \oplus A_2(-1)^{\oplus 2}$
3	10	-1024	$2_{\text{II}}^{-6}, 4_{\text{II}}^{-2}$	$U \oplus U(2)^{\oplus 2} \oplus D_4(-2)$
4	8	-1024	$2_6^{+2}, 4_{\text{II}}^{+4}$	$U \oplus U(4)^{\oplus 2} \oplus \langle -2 \rangle^{\oplus 2}$
6	8	-972	$2_{\text{II}}^{-2}, 3^{-5}$	$U(3) \oplus A_2(2) \oplus A_2(-1)^{\oplus 2}$
9	8	-1024	$2_{\text{II}}^{+6}, 4_6^{+2}$	$U(2)^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}$
10	7	1024	4_7^{+5}	$U \oplus \langle 4 \rangle^{\oplus 2} \oplus \langle -4 \rangle^{\oplus 3}$
12	5	512	$2_1^{-3}, 8_{\text{II}}^{-2}$	$\begin{pmatrix} 6 & 2 & 2 \\ 2 & 6 & -2 \\ 2 & -2 & 6 \end{pmatrix} \oplus \langle -2 \rangle^{\oplus 2}$
16	6	-625	5^{+4}	$U \oplus U(5)^{\oplus 2}$
17	6	-576	$2_{\text{II}}^{-2}, 4_{\text{II}}^{-2}, 3^{+2}$	$U \oplus A_2(2) \oplus A_2(-4)$
18	6	-1296	$2_{\text{II}}^{+4}, 3^{+4}$	$U \oplus U(6)^{\oplus 2}$
21	7	512	$2_{\text{II}}^{+6}, 8_7^{+1}$	$U(2)^{\oplus 3} \oplus \langle -8 \rangle$
22	6	-1024	$2_{\text{II}}^{+2}, 4_0^{+4}$	$U(2) \oplus \langle 4 \rangle^{\oplus 2} \oplus \langle -4 \rangle^{\oplus 2}$
26	4	-512	$2_1^{+1}, 4_1^{+1}, 8_{\text{II}}^{+2}$	$U(8) \oplus \langle 2 \rangle \oplus \langle 4 \rangle$
30	6	-729	$3^{+4}, 9^{+1}$	$U(3)^{\oplus 2} \oplus \begin{pmatrix} 2 & 3 \\ 3 & 0 \end{pmatrix}$
32	4	-500	$2_2^{-2}, 5^{+3}$	$U(5) \oplus \begin{pmatrix} 4 & 2 \\ 2 & 6 \end{pmatrix}$
33	4	-343	7^{-3}	$U(7) \oplus \begin{pmatrix} 2 & 1 \\ 1 & 4 \end{pmatrix}$
34	5	576	$4_5^{+3}, 3^{+2}$	$U \oplus A_2(2) \oplus \langle -12 \rangle$
39	5	512	$2_{\text{II}}^{+2}, 4_0^{+2}, 8_1^{+1}$	$U(2) \oplus \langle 4 \rangle \oplus \langle -4 \rangle \oplus \langle 8 \rangle$
40	5	1024	4_1^{+5}	$\langle 4 \rangle^{\oplus 3} \oplus \langle -4 \rangle^{\oplus 2}$

46	4	-324	$2_2^{-2}, 3^{+2}, 9^{+1}$	$A_2 \oplus \langle 6 \rangle \oplus \langle -18 \rangle$
48	4	-972	$2_{II}^{-2}, 3^{-3}, 9^{+1}$	$U(3) \oplus A_2(6)$
49	5	384	$2_{II}^{-4}, 8_7^{+1}, 3^{+1}$	$U(2) \oplus A_2(2) \oplus \langle -8 \rangle$
51	4	-576	$2_{II}^{+2}, 4_6^{+2}, 3^{+2}$	$U(2) \oplus \langle 12 \rangle^{\oplus 2}$
54	3	384	$2_1^{+1}, 8_{II}^{-2}, 3^{+1}$	$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 16 & 8 \\ 0 & 8 & 16 \end{pmatrix}$
55	4	-300	$2_{II}^{-2}, 3^{-1}, 5^{-2}$	$U \oplus A_2(10)$
56	4	-512	$4_3^{+3}, 8_7^{+1}$	$\langle 4 \rangle^{\oplus 3} \oplus \langle -8 \rangle$
61	4	-432	$4_{II}^{-2}, 3^{+3}$	$U(3) \oplus A_2(4)$
62	3	324	$4_7^{+1}, 3^{+2}, 9^{+1}$	$\begin{pmatrix} 6 & 3 & 3 \\ 3 & 6 & 3 \\ 3 & 3 & 12 \end{pmatrix}$
63	3	216	$2_1^{-3}, 3^{+1}, 9^{+1}$	$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 12 & 6 \\ 0 & 6 & 12 \end{pmatrix}$
65	4	-384	$2_{II}^{-2}, 4_1^{+1}, 8_7^{+1}, 3^{+1}$	$A_2(2) \oplus \langle 4 \rangle \oplus \langle -8 \rangle$
70	3	300	$4_5^{-1}, 3^{-1}, 5^{-2}$	$\begin{pmatrix} 4 & 1 & 0 \\ 1 & 4 & 0 \\ 0 & 0 & 20 \end{pmatrix}, \begin{pmatrix} 4 & 2 & 2 \\ 2 & 6 & 1 \\ 2 & 1 & 16 \end{pmatrix}$
74	3	196	$4_7^{+1}, 7^{+2}$	$\begin{pmatrix} 2 & 1 & 0 \\ 1 & 4 & 0 \\ 0 & 0 & 28 \end{pmatrix}, \begin{pmatrix} 4 & 2 & 2 \\ 2 & 8 & 1 \\ 2 & 1 & 8 \end{pmatrix}$
75	4	-256	$2_{II}^{-2}, 8_2^{-2}$	$\begin{pmatrix} 4 & 0 & 2 & 0 \\ 0 & 4 & 2 & 0 \\ 2 & 2 & 4 & 4 \\ 0 & 0 & 4 & 0 \end{pmatrix}$
76	3	384	$4_4^{-2}, 8_1^{+1}, 3^{+1}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 0 \\ 0 & 0 & 12 \end{pmatrix}$
77	3	192	$4_1^{-3}, 3^{-1}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 4 \\ 0 & 4 & 8 \end{pmatrix}$
78	3	288	$2_{II}^{+2}, 8_7^{+1}, 3^{+2}$	$\begin{pmatrix} 8 & 4 & 4 \\ 4 & 8 & 2 \\ 4 & 2 & 8 \end{pmatrix}$
79	3	180	$4_3^{-1}, 3^{+2}, 5^{+1}$	$\begin{pmatrix} 2 & 1 & 0 \\ 1 & 8 & 0 \\ 0 & 0 & 12 \end{pmatrix}, \begin{pmatrix} 6 & 0 & 3 \\ 0 & 6 & 3 \\ 3 & 3 & 8 \end{pmatrix}$
80	3	256	$4_1^{+1}, 8_2^{+2}$	$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 8 & 0 \\ 0 & 0 & 8 \end{pmatrix}$
81	3	160	$2_{II}^{-2}, 8_7^{+1}, 5^{-1}$	$\begin{pmatrix} 4 & 0 & 2 \\ 0 & 4 & 2 \\ 2 & 2 & 12 \end{pmatrix}$

10.4 Trees of groups with common invariant lattices



10.5 Extensions

maximal: 54, 62, 63, 70, 74, 76, 77, 78, 79, 80, 81

n	extensions
1	3, 4, 6, 9, 10, 12, 16, 17, 18, 21, 22, 26, 30, 32, 34, 39, 40, 46, 48, 49, 51, 54, 55, 56, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
2	6, 17, 18, 30, 33, 34, 46, 48, 49, 51, 54, 55, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
3	9, 10, 17, 18, 21, 22, 26, 34, 39, 40, 48, 49, 51, 54, 55, 56, 61, 62, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
4	10, 12, 22, 26, 32, 34, 39, 40, 46, 51, 54, 56, 61, 62, 63, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
6	18, 30, 34, 46, 48, 51, 54, 55, 61, 62, 63, 65, 70, 74, 76, 77, 78, 79, 80, 81
9	21, 22, 39, 40, 49, 51, 56, 65, 75, 76, 77, 78, 80, 81
10	22, 26, 34, 39, 40, 51, 54, 56, 61, 62, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
12	26, 54, 63, 75, 80, 81
16	32, 55, 70, 79, 81
17	34, 49, 51, 55, 61, 65, 70, 74, 75, 76, 77, 78, 79, 80, 81
18	48, 51, 54, 61, 62, 70, 76, 77, 78
21	39, 49, 56, 65, 75, 76, 77, 78, 80, 81
22	39, 40, 51, 56, 65, 75, 76, 77, 78, 80, 81
26	54, 80
30	46, 48, 61, 62, 63, 78, 79
32	70
33	74
34	51, 61, 65, 70, 74, 76, 77, 78, 79, 80, 81
39	56, 65, 75, 76, 77, 78, 80, 81
40	56, 76, 77, 80
46	62, 63, 79
48	62
49	65, 75, 76, 78, 80, 81
51	76, 77, 78
55	70, 79, 81
56	76, 77, 80
61	78
65	76, 78, 80, 81
75	80, 81

10.6 Root types of N^G

We give the type of the root sublattice of N^G , which is generated by vectors $v \in N^G$ with $\langle v, v \rangle = -2$, for $(G, N) \in \mathcal{N}$ such that $[G] = \mathfrak{G}_n$ and $q(N_G) \cong q_n$ (see Table 10.2). In the list, elements in \mathcal{N}' are enclosed by boxes (see Proposition 3.9) and the number of vectors $v \in N^G$ with $\langle v, v \rangle = -4$ are given

10.6 Root types of N^G

for the cases $n = 32, 41, 56$. As for Niemeier lattices $N = N_i$, see Table 10.1.

$n = 1$

i	3	6	7	8	9
type	E_8	$A_1^{\oplus 9} \oplus E_7$	D_9	$A_1^{\oplus 8} \oplus D_8$	D_8
i	11	12	12	13	14
type	$A_1^{\oplus 6} \oplus D_4 \oplus D_6$	$D_4^{\oplus 4}$	$D_4 \oplus E_6$	$A_1^{\oplus 10} \oplus D_6$	$D_5^{\oplus 2}$
i	15	16	16	16	18
type	A_8	$A_1^{\oplus 8} \oplus D_4^{\oplus 2}$	$A_1^{\oplus 4} \oplus A_7$	$D_4 \oplus D_5$	$A_1^{\oplus 12} \oplus D_4$
i	18	19	19	20	21
type	$A_1^{\oplus 3} \oplus A_3 \oplus A_5$	$A_3^{\oplus 4}$	$D_4^{\oplus 2}$	$A_4^{\oplus 2}$	$A_1^{\oplus 16}$
i	21	22	23		
type	$A_1^{\oplus 4} \oplus A_3^{\oplus 2}$	$A_2^{\oplus 4}$	$A_1^{\oplus 8}$		

$n = 2$

i	12	14	17	18	19	19	21	22	23
type	E_6	D_6	A_6	$A_2 \oplus A_5$	$A_2^{\oplus 6}$	$D_4 \oplus A_2^{\oplus 2}$	$A_3^{\oplus 2}$	$A_2^{\oplus 3}$	$A_1^{\oplus 6}$

$n = 3$

i	12	16	16	18	19	19	21
type	$D_4^{\oplus 2}$	$A_1^{\oplus 8}$	$D_4^{\oplus 2}$	$A_1^{\oplus 6} \oplus A_3$	$A_3^{\oplus 2}$	$D_4^{\oplus 2}$	$A_1^{\oplus 4}$
i	21	21	21	22	23	23	
type	$A_1^{\oplus 8}$	$A_3 \oplus A_1^{\oplus 6}$	$A_3^{\oplus 2}$	$A_2^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$	

$n = 4$

i	13	18	19	20	21	22	23
type	D_5	D_4	$A_3^{\oplus 2}$	$A_1^{\oplus 2} \oplus A_4$	$A_1^{\oplus 2} \oplus A_3$	$A_1^{\oplus 2} \oplus A_2^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 5, 16$

i	19	20	22	23
type	D_4	A_4	$A_2^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 6$

i	12	12	14	18	18	19
type	D_4	E_6	D_5	$A_1^{\oplus 3} \oplus A_2$	$A_2 \oplus A_5$	$A_2^{\oplus 4}$
i	19	19	21	22	22	23
type	$A_2^{\oplus 2} \oplus A_3$	D_4	$A_1^{\oplus 2} \oplus A_3$	A_2	$A_2^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 7, 18$

i	12	18	19	19	21	22	23
type	D_4	$A_1^{\oplus 3} \oplus A_2$	$A_2^{\oplus 2}$	A_3	$A_1^{\oplus 4}$	A_2	$A_1^{\oplus 2}$

10.6 Root types of N^G

$n = 8, 33$

i	21	23
type	A_3	$A_1^{\oplus 3}$

$n = 9$

i	21	21	23	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$

$n = 10$

i	18	19	21	21	22	23	23
type	A_3	$A_3^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 2} \oplus A_3$	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 11, 22$

i	21	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$

$n = 12$

i	18	22	23
type	D_4	$A_1^{\oplus 3} \oplus A_2$	$A_1^{\oplus 4}$

$n = 13, 24, 28, 29, 37, 40, 43, 44, 45, 59, 60, 67, 69, 71, 77, 80$

i	23
type	$A_1^{\oplus 2}$

$n = 14, 26$

i	18	22	23
type	A_3	$A_1 \oplus A_2$	$A_1^{\oplus 2}$

$n = 15, 30$

i	19	22	23
type	$A_2^{\oplus 3}$	$A_2^{\oplus 3}$	$A_1^{\oplus 3}$

$n = 17$

i	19	19	21	21	22	23	23	23
type	$A_2^{\oplus 2}$	$A_2 \oplus D_4$	A_3	$A_3^{\oplus 2}$	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 5}$

$n = 19, 20, 36, 47, 61$

i	19	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$

$n = 21$

i	23	23
type	$A_1^{\oplus 4}$	$A_1^{\oplus 8}$

10.6 Root types of N^G

$n = 23, 39$

i	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	$A_1^{\oplus 4}$

$n = 25, 27, 42, 50, 57, 75$

i	23
type	$A_1^{\oplus 4}$

$n = 31$

i	19	19	22	23
type	A_2	A_2	A_2	A_1

$n = 32$

i	19	20	20	22	23
type	A_3	$A_1^{\oplus 2}$	A_4	$A_1 \oplus A_2$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	14				22

$n = 34$

i	19	19	21	21	21
type	$A_2^{\oplus 2}$	$A_2 \oplus A_3$	$A_1^{\oplus 2}$	$A_1^{\oplus 2} \oplus A_3$	A_3
i	22	23	23	23	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 35, 51$

i	21	21	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 4}$	A_1	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$

$n = 38, 54$

i	18	22	23
type	A_2	A_2	A_1

$n = 41$

i	23	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	26	26	42

$n = 46$

i	22	22	23
type	$A_1^{\oplus 2} \oplus A_2$	$A_1 \oplus A_2^{\oplus 2}$	$A_1^{\oplus 3}$

$n = 48$

i	19	22	23
type	A_2	A_2	A_1

$n = 49$

i	23	23	23
type	A_1	$A_1^{\oplus 4}$	$A_1^{\oplus 5}$

10.6 Root types of N^G

$n = 52, 53, 68, 72, 78$

i	23	23
type	A_1	$A_1^{\oplus 2}$

$n = 55$

i	19	22	22	23	23
type	D_4	A_2	$A_2^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 56$

i	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$
$\#\{v \in N^G \mid \langle v, v \rangle = -4\}$	26	42

$n = 58$

i	23	23
type	$A_1^{\oplus 2}$	$A_1^{\oplus 2}$

$n = 62$

i	22	23
type	A_2	A_1

$n = 63$

i	22	22	23
type	$A_1^{\oplus 3}$	$A_1^{\oplus 2} \oplus A_2$	$A_1^{\oplus 3}$

$n = 64, 73, 81$

i	23	23
type	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 65$

i	23	23	23	23
type	A_1	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$	$A_1^{\oplus 4}$

$n = 66, 76$

i	23	23	23
type	A_1	A_1	$A_1^{\oplus 2}$

$n = 70$

i	19	22	23	23
type	A_3	A_2	A_1	$A_1^{\oplus 2}$

$n = 74$

i	21	23	23
type	A_3	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$

$n = 79$

i	22	23	23
type	$A_2^{\oplus 2}$	$A_1^{\oplus 2}$	$A_1^{\oplus 3}$

References

- [1] A. G. Earnest, J. S. Hsia, Spinor norms of local integral rotations. II. Pacific J. Math. 61 (1975), no. 1, 71–86.
- [2] W. Barth, K. Hulek, C. Peters and A. Van de Ven, Compact complex surfaces, Second edition, Springer-Verlag, Berlin, 2004.
- [3] D. Burns and M. Rapoport, On the Torelli problem for kählerian $K3$ surfaces, Ann. Sci. Ecole Norm. Sup. (4) 8 (1975), no. 2, 235–273.
- [4] J. W. S. Cassels, Rational quadratic forms, London Mathematical Society Monographs, 13. Academic Press, Inc., London-New York, 1978.
- [5] J. H. Conway and N. J. Sloane, Sphere packings, lattices and groups, Third edition, Springer-Verlag, New York, 1999.
- [6] A. Garbagnati and A. Sarti, Elliptic fibrations and symplectic automorphisms on $K3$ surfaces, Comm. Algebra 37 (2009), no. 10, 3601–3631.
- [7] K. Hashimoto, Period map of a certain $K3$ family with an \mathfrak{S}_5 -action, to appear in J. Reine Angew. Math.
- [8] The GAP Group, GAP – Groups, Algorithms, and Programming, Version 4.4.12, 2008, <http://www.gap-system.org>.
- [9] J. Keum, K. Oguiso and D.-Q. Zhang, The alternating group of degree 6 in the geometry of the Leech lattice and $K3$ surfaces, Proc. London Math. Soc. (3) 90 (2005), no. 2, 371–394.
- [10] S. Kondō, Niemeier lattices, Mathieu groups, and finite groups of symplectic automorphisms of $K3$ surfaces (with an appendix by S. Mukai), Duke Math. J. 92 (1998), 593–603.
- [11] S. Kondō, The maximum order of finite groups of automorphisms of $K3$ surfaces, Amer. J. Math. 121 (1999), 1245–1252.
- [12] Maxima.sourceforge.net, Maxima, a Computer Algebra System, Version 5.17.0, 2009, <http://maxima.sourceforge.net/>.
- [13] D. R. Morrison, Some remarks on the moduli of $K3$ surfaces, Classification of algebraic and analytic manifolds (Katata, 1982), 303–332, Progr. Math., 39, Birkhäuser Boston, Boston, MA, 1983.
- [14] S. Mukai, Finite groups of automorphisms of $K3$ surfaces and the Mathieu group, Invent. Math. 94 (1988), 183–221.

REFERENCES

- [15] V. V. Nikulin, Finite groups of Kählerian surfaces of type $K3$ (English translation), Trans. Moscow Math. Soc. 38 (1980), 71–137.
- [16] V. V. Nikulin, Integral Symmetric bilinear forms and some of their applications (English translation), Math. USSR Izv. 14 (1980), 103–167.
- [17] K. Oguiso, A characterization of the Fermat quartic $K3$ surface by means of finite symmetries, Compos. Math. 141 (2005), no. 2, 404–424.
- [18] K. Oguiso and D.-Q. Zhang, The simple group of order 168 and $K3$ surfaces, Complex geometry (Göttingen, 2000), 165–184, Springer, Berlin, 2002.
- [19] I. I. Pjateckiĭ-Šapiro and I. R. Šafarevič, Torelli’s theorem for algebraic surfaces of type $K3$ (Russian), Izv. Akad. Nauk SSSR Ser. Mat. 35 (1971), 530–572.
- [20] A. Schiemann, The Brandt-Intrau-Schiemann table of even ternary quadratic forms,
http://www.research.att.com/~njas/lattices/Brandt_2.html.
- [21] J.-P. Serre, Cours d’arithmétique, Presses Univ. France, Paris, 1970.
- [22] J.-P. Serre, Arbres, amalgames, SL_2 , Asterisque, No. 46, Societe Mathematique de France, Paris, 1977.
- [23] T. Shioda and H. Inose, On singular $K3$ surfaces, Complex analysis and algebraic geometry, 119–136, Iwanami Shoten, Tokyo, 1977.
- [24] SINGULAR, <http://www.singular.uni-kl.de/>.
- [25] U. Whitcher, Symplectic automorphisms and the Picard group of a $K3$ surface, arXiv:0902.0601.
- [26] G. Xiao, Galois covers between $K3$ surfaces, Ann. Inst. Fourier (Grenoble) 46 (1996), no. 1, 73–88.
- [27] D.-Q. Zhang, The alternating groups and $K3$ surfaces, J. Pure Appl. Algebra 207 (2006), no. 1, 119–138.