

Rational Retractions onto Ample Divisors

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Introduction

This paper is a continuation of the studies in [S1], [S2], [F1], [F3] and [B]. Here we are interested in the following problem: *Let D be an ample effective divisor on a normal variety V . Then, does there exist a rational mapping $\rho: V \rightarrow D$ defined on an open set U containing D such that the restriction of ρ to D is the identity?*

If the answer is Yes, we can show that V must be a cone over D under certain mild conditions (see §3). In particular, V cannot be non-singular in this case. Motivated by these observations, we will give several sufficient conditions for the affirmative answer to the above problem (see §2). It turns out that our method works well for various types of manifolds D (see (3.4)).

§1. Preliminaries

Basically we employ the notation as in [F1] and [F3].

(1.1) Throughout in this paper we will study the following situation: V is a normal complete variety of dimension $n \geq 3$ defined over an algebraically closed field \mathbb{R} of any characteristic. L is a line bundle on V and D is a member of $|L|$. We assume that V is non-singular along D and that the restriction of L to D is ample. The formal completion of V along D will be denoted by \hat{V} .

(1.2) Lemma. *Let F be a line bundle on V such that $FC \geq 0$ for every curve C in V . Then there exists an integer k such that $H^i(V, -F - tL) = 0$ for any $t \geq k$.*

Proof. $|F + sL| \neq \emptyset$ for some $s > 0$ by [F5; (6.5)], since $L^n = L_D^{n-1}\{D\} > 0$. Taking a member E of $|F + sL|$, we apply [F7; (7.5)].

(1.3) Theorem. *There exists a birational morphism $\pi: V \rightarrow V'$ onto a normal variety V' such that π is an isomorphism on a neighborhood of D and $D' = \pi(D)$ is an ample divisor on V' .*

For a proof, see [H; p. 110].

(1.4) Corollary. *If $\text{char}(\mathbb{R}) = 0$ and if V is non-singular, then $H^q(V, \mathcal{O}_V) \cong H^q(D, \mathcal{O}_D)$ for any $q < n - 1$.*

Indeed, $H^i(V, -L) = 0$ for $i < n$ by Ramanujam's theorem [R].

(1.5) Corollary. *If $\text{char}(\mathbb{R}) = 0$ and both V and D are smooth, then the*

natural mapping $\alpha: \text{Alb}(D) \rightarrow \text{Alb}(V)$ and $r: \text{Pic}^0(V) \rightarrow \text{Pic}^0(D)$ are isomorphisms.

Proof. Both are étale by (1.4). Suppose that $F \in \text{Ker}(r)$. From the exact sequence $0 \rightarrow \mathcal{O}_V[F-L] \rightarrow \mathcal{O}_V[F] \rightarrow \mathcal{O}_D \rightarrow 0$ we infer $h^1(V, F-tL) \leq h^1(V, F-(t+1)L)$ for every $t \geq 1$. Hence $h^1(V, F-L) \leq h^1(V, F-tL)$ for any $t > 1$, while the latter vanishes for $t \gg 0$ by (1.3). So $H^1(V, F-L) = 0$ and $h^0(V, F) \geq h^0(D, \mathcal{O}_D) = 1$. This implies $F \cong \mathcal{O}_V$ since $F \in \text{Pic}^0(V)$. Thus we see that r is injective and is an isomorphism. Hence so is α .

(1.6) Theorem. *The effective Lefschetz condition $\text{Leff}(V, D)$ is satisfied. This means the following:*

1) *For any open set $U \supset D$ and any locally free sheaf E on U , there exists an open set U' with $D \subset U' \subset U$ such that the mapping $H^0(U', E) \rightarrow H^0(\hat{V}, \hat{E})$ is bijective, where \hat{E} is the restriction of E to \hat{V} .*

2) *For any locally free sheaf E on \hat{V} , there exist an open set $U \supset D$ and a locally free sheaf E on U such that $\hat{E} = E$.*

For a proof, see [G; Exposé X] or consult [H; § 4]. Note that we may assume that L is ample by virtue of (1.3).

§ 2. Existence of a rational retraction

(2.1) A rational mapping $\rho: V \rightarrow D$ is called a *rational retraction* of V onto D if ρ is defined on a neighborhood U of D and if the restriction of ρ to D is the identity.

(2.2) Proposition. *Let F be a line bundle on D with $\text{Bs}|F| = \emptyset$ and let $f: D \rightarrow W \subset \mathbf{P}^N$ ($N = \dim|F|$) be the morphism defined by the linear system $|F|$. Suppose that $H^q(D, F-tL_D) = 0$ for every $t \geq 1$, $q \leq 1$ and that F comes from $\text{Pic}(\hat{V})$. Then there is a rational mapping ρ of V onto \mathbf{P}^N defined on a neighborhood of D such that the restriction of ρ to D is the morphism $f: D \rightarrow \mathbf{P}^N$. Moreover, if $\dim W < n-1$, then $\text{Im}(\rho) = W$.*

Proof. By (1.6) we have a line bundle \tilde{F} on an open set U containing D such that $\tilde{F}_D = F$ and $H^0(U, \tilde{F}) \cong H^0(\hat{V}, \hat{F}) \cong H^0(D, F)$. Hence $|\tilde{F}|$ gives a rational mapping ρ with the desired property. To prove the second assertion, we may assume that ρ is defined everywhere on V by replacing V by the normalization of the graph of ρ . Then we infer $\rho(V) = W$ by the same technique as in [F1; (2.7)].

(2.3) Corollary. *When $D \cong \mathbf{P}^{n-1}$, there exists a rational retraction of V onto D unless $n = \text{char}(\mathbb{R}) = 3$ and $L_D = \mathcal{O}(3s)$ for some $s \in \mathbb{Z}$.*

Proof. The obstruction of extending $F = \mathcal{O}_D(1)$ to $\text{Pic}(\hat{V})$ lies in the \mathbb{R} -vector spaces $\{H^2(D, -tL_D)\}_{t=1,2,\dots}$. On the other hand, L_D and $\omega_D = \mathcal{O}_D(-n-1)$ come from $\text{Pic}(\hat{V})$ because V is non-singular along D . Hence F comes from $\text{Pic}(\hat{V})$ except the case described above. So (2.2) applies if $L_D \neq F$. If $L_D = F$, use [F4; (4.3)].

(2.4) Corollary. *Let $f: D \rightarrow S$ be a morphism onto a projective variety S with $\dim S \leq \dim D - 2 = n - 3$, $f_*\mathcal{O}_D = \mathcal{O}_S$. Assume that $\text{char}(\mathbb{R}) = 0$ and D is non-singular. Then f extends to a rational mapping $\rho: V \rightarrow S$ defined in a neighborhood of D .*

Proof. We may assume $n \geq 4$. So, for a very ample line bundle H on S , we infer that $F = f^*H$ come from $\text{Pic}(\hat{V})$. By the technique in [F1; (2.9)], we see that (2.2) applies.

(2.5) Corollary. *Suppose that $D \cong X \times Y$ for some varieties X, Y such that $\dim X \geq \dim Y \geq 2$, $h^1(X_y, -tL_y) = h^1(Y_x, -tL_x) = 0$ for every $t \geq 1$ and for every general point x, y on X, Y respectively, where X_y (resp. Y_x) is the fiber over y (resp. x) of the projection $D \rightarrow Y$ (resp. X) and L_y (resp. L_x) is the restriction of L to it. Assume further that $H^2(D, -tL_D) = 0$ for every $t \geq 1$. Then there exists a rational retraction of V onto D .*

Proof. $\text{Pic}(\hat{V}) \rightarrow \text{Pic}(D)$ is surjective by the last assumption. Let A be a very ample line bundle on X and let $p: D \rightarrow X$ be the projection. For every $t \geq 1$ we infer $H^1(D, p^*A - tL_D) = 0$ by the method in [F1; (2.5)]. Applying (2.2) to $F = p^*A$ we extend p to a rational mapping $V \rightarrow X$ defined on a neighborhood of D . Similarly we extend $D \rightarrow Y$. Combining these extensions we obtain a rational retraction, as desired.

Remark. If $\text{char}(\mathbb{K}) = 0$ and X, Y are non-singular, then the assumptions on cohomology groups are valid by the vanishing theorem of Kodaira. This remark applies also to the following

(2.6) Corollary. *Suppose that $D \cong X \times Y \times Z$ for some varieties X, Y, Z such that $\dim X \geq \dim Y \geq \dim Z \geq 1$, $h^1(D_x, -tL_x) = h^1(D_y, -tL_y) = h^1(D_z, -tL_z) = 0$ for every $t \geq 1$ and any general point x, y, z on X, Y, Z respectively, where D_x (resp. D_y, D_z) is the fiber over x (resp. y, z) of the projection $D \rightarrow X$ (resp. Y, Z) and L_x (resp. L_y, L_z) is the restriction of L to it. Assume further that $H^2(D, -tL_D) = 0$ for every $t \geq 1$. Then there exists a rational retraction of V onto D .*

Proof is similar to that in (2.5).

(2.7) Proposition. *Suppose that $D \cong G_{m,r}$, the Grassmann variety parametrizing r -dimensional vector subspaces of \mathbb{K}^m . Then there exists a rational retraction of V onto D unless $r=1, m-r=1$ or $r=m-r=2$.*

Proof. Similarly as in [F2], we can extend the universal bundle E on D to a vector bundle \hat{E} on \hat{V} such that $H^0(\hat{V}, \hat{E}) \cong H^0(D, E)$. By (1.6) \hat{E} extends further to a vector bundle \tilde{E} on an open set U containing D such that $H^0(U, \tilde{E}) \cong H^0(\hat{V}, \hat{E})$. So, by the standard method for defining a rational mapping to $G_{m,r}$, we obtain a desired rational retraction.

(2.8) From now on, in this section, we consider the case in which $\text{char}(\mathbb{K}) = 0$ and D is non-singular.

Proposition. *Suppose that D is an abelian variety. Then there exists a rational retraction of V onto D .*

Proof. By the desingularization theory we may assume that V is non-singular. Then the Albanese mapping of V gives a desired retraction by (1.5).

Warning. One might think that this method works if D is birationally equivalent to an Abelian variety. But this is not true. The Albanese mapping gives a rational mapping $\rho: V \rightarrow D$, but ρ is not necessarily defined in a neighborhood of D . To construct a counterexample, take an Abelian surface A and a very ample line bundle H on A . Let $V = A \times \mathbb{P}^1$, $L = p_1^*H \otimes p_2^*\mathcal{O}_{\mathbb{P}^1}(1)$ and let D be a general member of $|L|$. Then L is very ample on V and D is a

blowing-up of A with center being H^2 points. But one easily sees that there is no rational retraction of V onto D .

(2.9) Theorem. *Suppose that $\text{char}(\mathbb{K})=0$, D is non-singular and that $H^1(D, T^p[-tL_D])=0$ for every $t \geq 1$, where T^p is the tangent bundle of D . Then there exists a rational retraction of V onto D .*

Proof. By the desingularization theory we may assume that V is non-singular. Let T be the tangent bundle of V . Similarly as in [F3; (1.2)], we infer $H^1(D, T[-tL_D])=0$ for any $t \geq 2$, which implies that $H^0(\hat{V}, \hat{T}[-\hat{L}]) \rightarrow H^0(D, T[-L_D])$ is surjective. Letting N be the normal bundle of D in V , we infer that the natural matting $H^0(D, T[-L_D]) \rightarrow H^0(D, N[-L_D]) \cong H^0(D, \mathcal{O}_D)$ is surjective because $H^1(D, T^p[-L_D])=0$ by assumption. So we have $\theta \in H^0(\hat{V}, \hat{T}[-\hat{L}])$ which is mapped to $1 \in H^0(D, \mathcal{O}_D)$.

Let \mathcal{J} be the ideal of D in V and set $\mathcal{O}_m = \mathcal{O}_V / \mathcal{J}^{m+1}$ for every $m \geq 0$. Then, of course, $\hat{\mathcal{O}} = \text{proj. lim } \mathcal{O}_m$ is the structure sheaf of \hat{V} . Note that $\hat{\mathcal{J}} = \mathcal{J}\hat{\mathcal{O}}$ is an $\hat{\mathcal{O}}$ -ideal and $\mathcal{O}_m \cong \hat{\mathcal{O}} / \hat{\mathcal{J}}^{m+1}$. Since $\theta \in H^0(\hat{V}, \hat{T}[-\hat{L}]) \cong H^0(\hat{V}, \hat{\mathcal{J}}[\hat{T}])$, θ can be viewed as a \mathbb{K} -derivation of the sheaf $\hat{\mathcal{O}}$ of \mathbb{K} -algebras such that $\theta(\hat{\mathcal{O}}) \subset \hat{\mathcal{J}}$. So $\theta(\hat{\mathcal{J}}^k) \subset \hat{\mathcal{J}}^k$ for every k and θ induces a derivation θ'_m of \mathcal{O}_m and an endomorphism θ'_m of $\hat{\mathcal{J}}^m / \hat{\mathcal{J}}^{m+1}$ for every $m \geq 1$. We easily check that θ'_m is actually \mathcal{O}_D -linear. Moreover, $\theta'_1 \in \text{End}(\hat{\mathcal{J}} / \hat{\mathcal{J}}^2) \cong H^0(D, \mathcal{O}_D)$ is nothing but the image of θ of by the foregoing natural surjective mapping. Hence θ'_1 is an isomorphism. Since $\text{char}(\mathbb{K})=0$, we also see that θ'_m is an isomorphism for every $m \geq 1$. On the other hand, $\theta'_0=0$ is clear.

Now, for any affine open set U in D and any $\phi \in H^0(U, \mathcal{O}_{m-1})$ with $\theta_{m-1}(\phi)=0$, we claim, there exists one and only one element ϕ_m of $H^0(U, \mathcal{O}_m)$ such that $\theta_m(\phi_m)=0$ and ϕ_m is mapped to ϕ by the natural homomorphism $\mathcal{O}_m \rightarrow \mathcal{O}_{m-1}$. To see this, take $\phi' \in H^0(U, \mathcal{O}_m)$ which is mapped to ϕ . Then $\theta_m(\phi') \in \hat{\mathcal{J}}^m / \hat{\mathcal{J}}^{m+1} \subset \mathcal{O}_m$ since $\theta_{m-1}(\phi)=0$. By the surjectivity of θ'_m we have $\delta \in H^0(U, \hat{\mathcal{J}}^m / \hat{\mathcal{J}}^{m+1})$ such that $\theta'_m(\delta) = \theta_m(\phi')$. Then $\phi_m = \phi' - \delta$ has the desired property. The uniqueness of ϕ_m follows from the injectivity of θ'_m .

Given any $\varphi \in H^0(U, \mathcal{O}_D)$, we apply the above claim repeatedly to obtain $\{\varphi_m\} \in H^0(U, \hat{\mathcal{O}})$ such that $\varphi_0 = \varphi$ and $\theta_m(\varphi_m)=0$ for every $m \geq 0$. By the uniqueness we infer that this construction gives rise to a homomorphism $\theta^*: \mathcal{O}_D \rightarrow \hat{\mathcal{O}}$ of sheaf of rings.

Take a very ample line bundle H on D . We define $\theta^*H \in \text{Pic}(\hat{V})$ in the obvious way. Moreover, we have a mapping $\theta^*: H^0(D, H) \rightarrow H^0(\hat{V}, \theta^*H)$ such that $\theta^*\zeta_D = \zeta$ for any $\zeta \in H^0(D, H)$. Using (1.6), we take a neighborhood V_0 of D in V and a line bundle \tilde{H} on V_0 such that the restriction of \tilde{H} to \hat{V} is θ^*H and $H^0(V_0, \tilde{H}) \cong H^0(\hat{V}, \theta^*H)$. Take a basis $\zeta_0, \zeta_1, \dots, \zeta_M$ of $H^0(D, H)$ and let $\xi_j \in H^0(V_0, \tilde{H})$ be the extension of $\theta^*\zeta_j \in H^0(\hat{V}, \theta^*H)$. Then ξ_j 's define a linear system \tilde{A} on V_0 such that $\tilde{A}_D = |H|$. Moreover, for any relation $R(\zeta_0, \dots, \zeta_M)=0$ in $\bigoplus_{t \geq 0} H^0(D, tH)$, we have $R(\theta^*\zeta_0, \dots, \theta^*\zeta_M)=0$ on \hat{V} and hence $R(\xi_0, \dots, \xi_M)=0$ in $\bigoplus_{t \geq 0} H^0(V_0, t\tilde{H})$. Therefore \tilde{A} gives a rational mapping onto $D \subset \mathbb{P}^M$, which is a desired rational retraction.

(2.10) Remark. There are various types of polarized manifolds (D, L_D) which satisfy the condition in (2.9) (see [F3]). For example we have:

a) Abelian varieties of dimension ≥ 2 .

- b) Kummer manifolds of dimension ≥ 3 .
- c) Grassmann varieties $G_{m,r}$ unless $r=1$, $m-r=1$ or $r=m-r=2$.
- d) Non-trivial product $D=D_1 \times D_2 \times D_3$.
- e) $D=D_1 \times D_2$ with $\dim D_1 \geq \dim D_2 \geq 2$.
- f) $D=D_1 \times D_2$, the cotangent bundles of both D_1 and D_2 are generated by global sections.
- g) $D=D_1 \times D_2$, $\dim D_1 \geq 2$ and the cotangent bundle of D_1 is generated by global sections.
- h) Fiber bundle $D \rightarrow S$, with fiber satisfying the condition (2.9).
 - i) Manifolds which are isogenous to a manifold satisfying the condition (2.9). Here, M and M' are said to be isogenous to each other if they are dominated by a common manifold via étale morphisms.
 - j) Blowing-ups of manifolds of dimension ≥ 3 as above with center being finitely many points.
- k) Any small deformation of polarized manifolds (D, L_D) satisfying the condition (2.9).

In particular, (2.9) gives a new proof of (2.8).

(2.11) Remark. The argument in (2.9) proves also the following: *If the natural mapping $H^0(\hat{V}, \hat{T}[-\hat{L}]) \rightarrow H^0(D, N[-L_D]) \cong H^0(D, \mathcal{O}_D)$ is surjective, then there exists a rational retraction of V onto D .*

(2.12) Proposition. *Suppose that $\text{char}(\mathbb{K})=0$, D is non-singular and that the cotangent bundle Ω^p of D is a direct sum of two vector bundles E, F of positive rank which are generated by global sections. Then there exists a rational retraction of V onto D .*

Proof. The Albanese mapping $\alpha_D: D \rightarrow \text{Alb}(D)=A$ is étale over the normalization W of the image $\alpha_D(D)$ because $\alpha_D^* \Omega^A \rightarrow \Omega^p$ is surjective by assumption. In particular W is smooth and $T^p \cong \alpha_D^* T^W$. To prove the assertion, we may assume that V is non-singular. Then $\text{Alb}(V) \cong A$ by (1.5). Moreover, using (1.4), we infer $\alpha_V(V) = \alpha_D(D)$ by the same method in [S1; Proposition I]. Hence we obtain a morphism $f: V \rightarrow W$ which is an extension of $D \rightarrow W$. Restricting the homomorphism $f_*: T^V \rightarrow f^* T^W$ to D , we get a splitting of the exact sequence $0 \rightarrow T^p \rightarrow T_D^V \rightarrow N \rightarrow 0$, since $T^p \cong T_D^W$. Therefore $F_D \cong N \cong L_D$ for $F = \text{Ker}(f_*)$. Since $H^1(D, [F - tL]_D) = 0$ for every $t \geq 2$, we infer that $H^0(\hat{V}, \hat{F} - \hat{L}) \rightarrow H^0(D, \mathcal{O}_D)$ is surjective. This implies $\hat{F} = \hat{L}$ in $\text{Pic}(\hat{V})$. Now, the inclusion $F \rightarrow T^V$ gives $\theta \in H^0(\hat{V}, \hat{T}[-\hat{L}])$ as in (2.9). So, by (2.11), we obtain the conclusion.

§ 3. Characterization of cones

(3.1) Theorem. *Suppose that there exists a rational retraction ρ of V onto D and that $H^1(D, -tL_D) = 0$ for every $t \geq 1$. Then the graded algebra $G(V, L) = \bigoplus_{t \geq 0} H^0(V, tL)$ is isomorphic to the polynomial algebra with one variable of degree one over the graded algebra $G(D, L_D) = \bigoplus_{t \geq 0} H^0(D, tL_D)$.*

Proof. Replacing V by the normalization of the graph of the rational mapping ρ , we may assume that $\rho: V \rightarrow D$ is a morphism. Then we claim $H^1(V, -\rho^* L_D) = 0$.

Indeed, by the exact sequence $H^1(V, -\rho^*L_D - tL) \rightarrow H^1(V, -\rho^*L_D - (t-1)L) \rightarrow H^1(D, -tL_D) = 0$ for $t \geq 1$, we obtain $h^1(V, -\rho^*L_D) \leq h^1(V, -\rho^*L_D - tL)$ for every $t \geq 0$. The last term vanishes for $t \gg 0$ by (1.2). So $H^1(V, -\rho^*L_D) = 0$.

This claim implies that $H^0(V, L - \rho^*L_D) \rightarrow H^0(D, \mathcal{O}_D)$ is surjective. So we have $\varepsilon \in H^0(V, L - \rho^*L_D)$ such that ε_D induces the isomorphism $L_D \cong (\rho^*L_D)_D$. Now, setting $\Phi_t(\varphi) = \varepsilon^t \otimes \rho^*\varphi$ for $\varphi \in H^0(D, tL_D)$, we obtain a \mathbb{R} -algebra homomorphism $\Phi: G(D, L_D) \rightarrow G(V, L)$. Clearly $r_t \cdot \Phi_t$ is the identity for the restriction mapping $r_t: H^0(V, tL) \rightarrow H^0(D, tL_D)$. Therefore $H^0(V, tL) \cong \text{Im}(\Phi_t) \oplus \text{Ker}(r_t)$. Take $\delta \in H^0(V, L)$ such that D is the zero divisor of δ . Then $\text{Ker}(r_t) = \delta H^0(V, (t-1)L)$. From these observations we infer that $G(V, L)$ is the polynomial algebra over $\text{Im}(\Phi) \cong G(D, L_D)$ generated by δ . Thus we complete the proof.

(3.2) Corollary. *If in addition L is ample on V , then V is isomorphic to the cone obtained by contracting a section of $P = P_D(L_D \oplus \mathcal{O}_D)$ with normal bundle $\cong [-L_D]$ to a normal point. In particular, V is not smooth unless $(D, L_D) \cong (\mathbb{P}^{n-1}, \mathcal{O}(1))$.*

(3.3) Corollary. *If L is very ample, then V is a projective cone over D . In particular, (D, L_D) is projectively normal since V is normal.*

Proof. Let ζ_1, \dots, ζ_M be a basis of $H^0(D, L_D)$ and set $\xi_j = \Phi(\zeta_j)$ for $j=1, \dots, M$. Then $\delta, \xi_1, \dots, \xi_M$ is a basis of $H^0(V, L)$. For any relation $R(\zeta_1, \dots, \zeta_M) = 0$ in $G(D, L_D)$, we have $R(\xi_1, \dots, \xi_M) = 0$ in $G(V, L)$. Hence V is a cone over D .

(3.4) By virtue of results in §2, (3.1) applies if (D, L_D) is a polarized manifold of the following types:

- a) \mathbb{P}^{n-1} unless $n = \text{char}(\mathbb{R}) = 3$.
- b) Grassmann variety $G_{m,r}$ unless $r = m - r = 2$, $r = 1$ or $m - r = 1$.
- c) Product of them.
- d) Those of the types in (2.10) and (2.12), when $\text{char}(\mathbb{R}) = 0$.

(3.5) Remark. Combining (2.11) and (3.1), we obtain the following result in case $\mathbb{R} = \mathbb{C}$.

Suppose that D is non-singular, L is ample and that $H^0(\hat{V}, \hat{T}[-\hat{L}]) \rightarrow H^0(D, \mathcal{O}_D)$ is surjective. Then V is the cone over D as in (3.2).

This follows also from J. Wahl's result [W; Theorem 2]. Indeed, we have $\theta \in H^0(V, \hat{T}[\hat{L}])$ as in (2.9). Let θ be the sheaf of \mathbb{R} -derivations of \mathcal{O}_V . By (1.6), θ extends to a section $\tilde{\theta}$ of θ on an open neighborhood U of D in V . $V - U$ is a finite set since D is ample. So $\tilde{\theta}$ extends to a section of θ on the whole space V because θ is reflexive and has depth ≥ 2 . Hence J. Wahl's theorem applies.

It is amusing to note that the same phenomenon can be interpreted in different ways.

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