

On Some Algorithm of the Number of the Branch Points
and Their Degrees Starting from
the Distance Matrix of a Given Tree

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Introduction

It is well-known that a tree T is characterized by the so-called distance matrix $D=(d_{ij})$, $1 \leq i, j \leq n$, defined by

$$d_{ij}=d(e_i, e_j)$$

where $\{e_1, e_2, \dots, e_n\}$ is the totality of the extreme points of the tree T and $d(e_i, e_j)$ is the distance between e_i and e_j (cf. [1], [2]).

Thus in principle one should be able to obtain all the invariants associated to the given tree T in terms of the distance matrix $D=(d_{ij})$. In particular given two trees T_1, T_2 with distance matrices D_1 and D_2 respectively, one should be able to give a criterion for the topological equivalence of T_1 and T_2 (i.e. the existence of a homeomorphism f from T_1 onto T_2). Let us write $T_1 \cong T_2$ if T_1 and T_2 are isomorphic as trees, i.e. if there exists a bijective mapping σ from the set V_1 of all vertices of T_1 onto the set V_2 of all vertices of T_2 such that $(\sigma(x), \sigma(y))$ is an edge of T_2 if and only if (x, y) is an edge of T_1 .

Now let us write $T_1 \simeq T_2$ if T_1 and T_2 are homeomorphic as topological spaces. It is obvious that if $f: T_1 \rightarrow T_2$ is a homeomorphism, then f maps the extreme points of T_1 onto those of T_2 and the branch points of T_1 onto those of T_2 . Moreover, if β is a branch point of T_1 of degree k (i.e. k is the number of edges issuing from the branch point β), $f(\beta)$ is a branch point of T_2 of degree k . This observation suggests the following notion of "the reduced tree \tilde{T} of T is defined as follows. Let $E=E(T)$ be the totality of the extreme points of T and let $B=B(T)$ be the totality of the branch points of T . Then the disjoint union

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$\tilde{V} = E \cup B$ is the set of vertices of \tilde{T} . Now let v_1, v_2 be points of \tilde{V} . We say that a pair (v_1, v_2) is an arc in \tilde{T} if and only if $v_1 \neq v_2$ and the path $P_T(v_1, v_2)$ in T connecting v_1 and v_2 satisfies $P_T(v_1, v_2) \cap \tilde{V} = \{v_1, v_2\}$. Then it is not difficult to verify that \tilde{T} is a tree homeomorphic to T .

Also it is not difficult to verify that for trees T_1 and T_2 , T_1 and T_2 are homeomorphic if and only if the reduced trees \tilde{T}_1 and \tilde{T}_2 are isomorphic. Thus, all the topological invariants of a tree T must be obtained from the distance matrix $D(\tilde{T})$ of the reduced tree \tilde{T} .

In this paper we give an algorithm to give $D(\tilde{T})$ starting from the distance matrix $D(T)$ of a given tree T . Moreover in order to get the number of branch points of T together with their degrees we introduce an operation called "cut-off" for the reduced tree \tilde{T} (in §1).

The explicit results for these algorithms are given in Theorem 4.1 and Theorem 4.11.

§0 Notations

We denote by $T = T(V, A)$ a tree with the vertex-set V and the arc-set A . Thus A is a subset of $V \times V$. For a vertex $v \in V$, we denote by $A(v)$ the subset of V defined by

$$A(v) = \{u \in V \mid (v, u) \in A\}$$

$A(v)$ is called the neighborhood of v in T . Noting by $\#M$ or by $|M|$ the cardinality of a set M , $v \in V$ is an extreme point of T iff $\#A(v) = 1$. Also $v \in V$ is a branch point of T iff $\#A(v) \geq 3$.

In the following we denote by E the subset of V consisting of all extreme points of T and by B the subset of V consisting of all branch points of T . We put

$$\begin{aligned} E &= \{e_1, \dots, e_n\}, \quad n = |E|, \\ B &= \{\beta_1, \dots, \beta_m\}, \quad m = |B|. \end{aligned}$$

A tree $T(V, A)$ has no branch point (i.e. $|B| = 0$) iff $|E| = 2$, and then this is the case where $T(V, A)$ is a segment-like tree. Therefore in the following we consider the case where $|E| \geq 3$.

Given two vertices u, v ($u \neq v$) of the tree T , there exists uniquely a sequence v_0, v_1, \dots, v_p of vertices such that

- (i) $u = v_0, v_p = v$
- (ii) $|\{v_0, v_1, \dots, v_p\}| = p + 1$
- (iii) $(v_i, v_{i+1}) \in A$ (for $i = 0, 1, \dots, p - 1$).

We denote then the subset $\{v_0, v_1, \dots, v_p\}$ by $P_T(u, v)$ and we call it the path in T connecting u and v . Also we call p the distance between u, v in T and denote it by $p = d(u, v)$. Thus we have $P_T(u, v) = P_T(v, u)$ and $d(u, v) = d(v, u)$. We define

$P_T(u, u)$ to be the empty set ϕ and put $d(u, u)$ to be zero.

Given three distinct extreme points e_i, e_j, e_k , the intersection $P_T(e_i, e_j) \cap P_T(e_j, e_k) \cap P_T(e_k, e_i)$ consists of a single vertex β in B . We denote this point β by β_{ijk} . Thus β_{ijk} is independent of the permutations of i, j, k .

§1 Distance matrix of a tree T and of the reduced tree

Given a tree $T(V, A)$, we define an $n \times n$ symmetric matrix $D=(d_{ij})$ (where $n=|E|$) with non-negative integral entries d_{ij} as follows:

$$d_{ij}=d(e_i, e_j) \text{ for } e_i, e_j \in E$$

The matrix $D=(d_{ij})$ is called the distance matrix of the given tree T .

It is well-known (cf. [1]) that the distance matrix D characterizes the original tree T up to isomorphism. Moreover given an $n \times n$ matrix $S=(s_{ij})$ with non-negative integral entries s_{ij} , a necessary and sufficient condition for S to be the distance matrix of some tree is also known. (cf. [1], [2])

This condition is given as follows:

$$(1.1) \quad s_{ii}=0, \text{ for } i=1, \dots, n$$

$$(1.2) \quad s_{ij}=s_{ji} > 0, \text{ for } i \neq j$$

$$(1.3) \quad s_{ij}+s_{jk}-s_{ik} \text{ is an even positive integer if } \#\{i, j, k\}=3$$

$$(1.4) \text{ If } \#\{i, j, p, q\}=4 \text{ then exactly one of the following cases occurs:}$$

$$(a) \quad s_{ij}+s_{pq} < s_{ip}+s_{jq} = s_{iq}+s_{jp}$$

$$(b) \quad s_{ip}+s_{jq} < s_{iq}+s_{jp} = s_{ij}+s_{pq}$$

$$(c) \quad s_{iq}+s_{jp} < s_{ij}+s_{pq} = s_{ip}+s_{jq}$$

$$(d) \quad s_{ij}+s_{pq} = s_{ip}+s_{jq} = s_{iq}+s_{jp}$$

Now given a tree $T=T(V, A)$, let us define a reduced tree $\tilde{T}=T(\tilde{V}, \tilde{A})$ of T as follows.

(i) The set \tilde{V} of all vertices of \tilde{T} is defined to be a subset of V as follows.

$$(1.5) \quad \tilde{V} = \{v \in V \mid \#A(v)=1 \text{ or } \#A(v) \geq 3\}$$

i.e.

$$(1.5)^* \quad \tilde{V} = \{v \in V \mid \#A(v) \neq 2\}$$

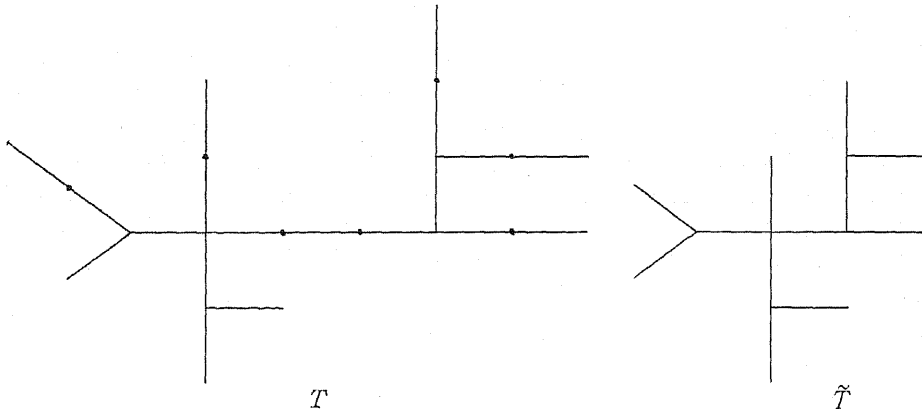
Thus \tilde{V} is the set of all vertices of T which are extreme points or branch points.

(ii) The set \tilde{A} of all arcs of \tilde{T} is defined to be a subset of $\tilde{V} \times \tilde{V}$ as follows:

$$(1.6) \quad \tilde{A} = \{(x, y) \in \tilde{V} \times \tilde{V} \mid P_T(x, y) \cap \tilde{V} = \{x, y\}\}$$

Then it is easy to verify that $T(\tilde{V}, \tilde{A})$ satisfies the definition of a tree; i.e. for every two distinct points x, y in \tilde{V} there exists uniquely a subset $P_T(x, y)$ of \tilde{V} (see Notation).

We denote by \tilde{T} the tree $T(\tilde{V}, \tilde{A})$ thus obtained and we call \tilde{T} the reduced tree of T . In other words, the reduced tree \tilde{T} is obtained from T by ignoring the ordinary points (see Fig. 1.1).



(Fig. 1.1)

It is easy to see that if T_1 and T_2 are trees, then T_1 and T_2 are homeomorphic as topological spaces if and only if the corresponding reduced trees \tilde{T}_1 and \tilde{T}_2 are isomorphic as non-oriented graphs.

We also note that the set \tilde{E} of all extreme points of \tilde{T} coincides with the set E of all extreme points of T . So we denote \tilde{E} also by E . Thus the set $\tilde{V} - E$ coincides with the set B of all branch points of T .

Since a tree \tilde{T} is characterized by its distance matrix \tilde{D} (up to the transformation $P \cdot \tilde{D} \cdot P^{-1}$ by a permutation matrix P), we may conclude that, given trees T_1 and T_2 , then T_1 and T_2 are homeomorphic if and only if there exists a permutation matrix P such that $P \cdot \tilde{D}_1 \cdot P^{-1} = \tilde{D}_2$, where \tilde{D}_i is the distance matrix of the reduced tree \tilde{T}_i ($i=1, 2$).

In the following we fix our notation once for all as follows.

Let $T = T(V, A)$ be a given tree with the distance matrix $D = (d_{ij})$. Let $\tilde{T} = T(\tilde{V}, \tilde{A})$ be the corresponding reduced tree of T .

Denoting by $\tilde{d}(u, v)$ the distance between $u, v \in \tilde{V}$ in \tilde{T} , we note that the distance matrix $\tilde{D} = (\tilde{d}_{ij})$ of the reduced tree \tilde{T} is given by the following.

PROPOSITION 1.1 $\tilde{d}_{ij} = \tilde{d}(e_i, e_j) = \nu(i, j) + 1$ where $\tilde{d}(e_i, e_j)$ is the distance between e_i, e_j in \tilde{T} and the number $\nu(i, j)$ is defined as follows:

$$\nu(i, j) = |P_T(e_i, e_j) \cap B|$$

Proof. We consider the map g

$$g : P_T(e_i, e_j) \cap B \rightarrow N \text{ (the set of positive integers)}$$

defined by $g(\beta) = d(e_i, \beta)$.

Clearly the map g is injective. Furthermore for every $\beta \in P_T(e_i, e_j) \cap B$ there exists an extreme point $e_p \in E - \{e_i, e_j\}$ such the $\beta = \beta_{i_j p}$. Thus we have

$$\#\{d(e_i, \beta_{i_j p}) | e_p \in E - \{e_i, e_j\}\} = |P_T(e_i, e_j) \cap B| = \nu(i, j), \quad \text{Q.E.D.}$$

PROPOSITION 1.2 *Suppose $i \neq j, 1 \leq i, j \leq n$. Then we have the following equality:*

$$\nu(i, j) = \#\{d_{i_p} - d_{j_p} | e_p \in E - \{e_i, e_j\}\}$$

Proof. We have easily the following equality for any distinct extreme points e_i, e_j, e_p

$$d_{i_j} + d_{i_p} - d_{j_p} = 2 \cdot d(e_i, \beta_{i_j p})$$

where d_{i_j} 's are the entries of the distance matrix D of the tree T . Hence we have

$$d(e_i, \beta_{i_j p}) = \frac{1}{2} \{d_{i_j} + d_{i_p} - d_{j_p}\}$$

Then our assertion is an immediate consequence of the above equality, Q.E.D.

§2. An equivalence relation on E defined by mapping ϕ

DEFINITION. We define a map $\phi : E \rightarrow B$ as follows:

$$\bar{d}(e, \phi(e)) = 1 \text{ for } e \in E$$

i.e. $\phi(e)$ is the nearest branch point in \bar{T} from e .

The map ϕ defines an equivalence relation \sim on E , i.e. $e, e' \in E$ are equivalent (in notation $e \sim e'$) iff $\phi(e) = \phi(e')$. Thus, recalling the definition of $\bar{D} = (\bar{d}_{i_j}), e_i \sim e_j$ is equivalent to either (i) $e_i = e_j$ or (ii) $\nu(i, j) = 1$.

PROPOSITION 2.1. *Let $\phi(e_i) = \beta, \phi(e_j) = \beta'$. Then $P_{\bar{T}}(e_i, e_j) \cap B = P_{\bar{T}}(\beta, \beta') \cap B$.*

Proof. Put $P_{\bar{T}}(e_i, e_j) \cap B = \{\beta_1, \dots, \beta_\nu\}$, $\nu = \nu(i, j)$ where we may assume that

$$\bar{d}(e_j, \beta_1) < \dots < \bar{d}(e_i, \beta_\nu).$$

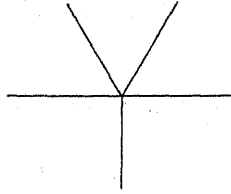
Then $\phi(e_i) = \beta, \phi(e_j) = \beta'$ imply that $\beta_1 = \beta, \beta_\nu = \beta'$. Hence $P_{\bar{T}}(\beta, \beta') \cap B = \{\beta_1, \dots, \beta_\nu\}$, Q.E.D.

COLLOLLARY 2.2. Suppose $e_i \sim e_i, e_j \sim e_j$. Then $\bar{d}_{ij} = \bar{d}_{i,j}$.

Proof. It is obvious from the definition of \bar{d}_{ij} and Prop. 2.1, Q.E.D.

We denote the ϕ -equivalence class containing e by $[e]_0$. Thus $[e]_0 = \phi^{-1}(\phi(e))$. We fix a subset F_1 of E which forms a complete representative system of the ϕ -equivalence classes. We call such a set F_1 the representative set of E/\sim .

If $|F_1|=1$, then $|B|=1$ and \tilde{T} is the form of Fig. 2.1. So in the following, we consider the case where $|F_1| \geq 2$.



(Fig. 2.1)

DEFINITION. Given $e_i \in E$ and $e_j \in E - [e_i]_0$. We define an integer $f_{e_j}(e_i)$ by

$$f_{e_j}(e_i) = \text{Minimum}_{e_p \in E - ([e_i]_0 \cup [e_j]_0)} \bar{d}(e_i, \beta_{i,jp})$$

PROPOSITION 2.3. $f_{e_j}(e_i)$ is independent of $e_j \in E - [e_i]_0$ and is determined solely by the extreme point e_i . Thus we denote it by $f(e_i)$.

Proof. It is enough to show that if e_i, e_j, e_k are distinct extreme points, then

$$f_{e_j}(e_i) = f_{e_k}(e_i).$$

We take e_p, e_q such that the minimums are attained:

$$\begin{aligned} (1) \quad & f_{e_j}(e_i) = \bar{d}(e_i, \beta_{i,jp}), \\ (2) \quad & f_{e_k}(e_i) = \bar{d}(e_i, \beta_{i,kq}). \end{aligned}$$

Then $\beta_{i,jk}$ satisfies the following inequalities:

$$\begin{aligned} \bar{d}(e_i, \beta_{i,jk}) &\geq \bar{d}(e_i, \beta_{i,jp}), \\ \bar{d}(e_i, \beta_{i,jk}) &\geq \bar{d}(e_i, \beta_{i,kq}). \end{aligned}$$

Hence we have

$$\beta_{i,jp} \in P_{\tilde{T}}(e_i, \beta_{i,jk}) \subset P_{\tilde{T}}(e_i, e_k).$$

This means $\bar{d}(e_i, \beta_{i,kq}) \leq \bar{d}(e_i, \beta_{i,jp})$. Also we have $\beta_{i,kq} \in P_{\tilde{T}}(e_i, \beta_{i,jk}) \subset P_{\tilde{T}}(e_i, e_j)$, which means $\bar{d}(e_i, \beta_{i,jp}) \leq \bar{d}(e_i, \beta_{i,kq})$. Thus we have

$$f_{e_j}(e_i) = \bar{d}(e_i, \beta_{i_jq}) = \bar{d}(e_i, \beta_{ikq}) = f_{e_k}(e_i), \text{ Q.E.D.}$$

PROPOSITION 2.4. $f(e_i)$ is equal to 1 or 2. Also $f(e_i)$ is independent of the choice of e_i in $[e_i]_0$.

Proof. Let $e_j \in E - [e_i]_0$. Then we have $\bar{d}(e_i, \beta_{i_jk}) \cong \bar{d}(e_i, \psi(e_i)) = 1$ for every $e_k \in E - ([e_i]_0 \cup \{e_j\})$. On the other hand there exists a point $e_p \in E - ([e_i]_0 \cup \{e_j\})$ satisfying $\bar{d}(e_i, \beta_{i_jp}) = 2$. Thus we get $f(e_i) = 1$ or 2.

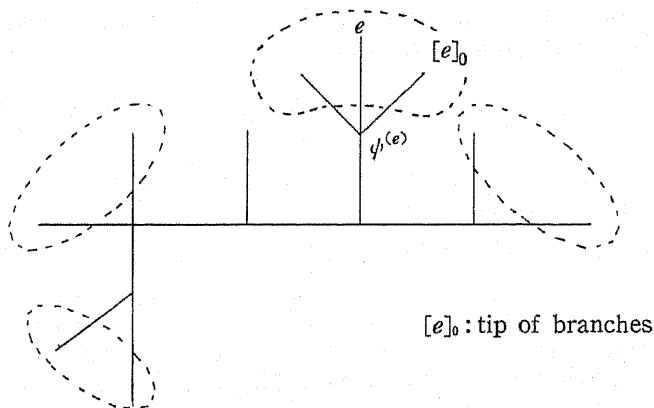
Let e_q be a point such that $e_i \sim e_q$. Then we have $\beta_{i_jp} = \beta_{q_jp}$ for any two points e_p, e_j in $E - [e_i]_0$. Therefore $f(e_i)$ is independent of the choice of e_i in $[e_i]_0$, Q.E.D.

§ 3. A bud, a tip of branches, and some algorithm

DEFINITION. An extreme point $e \in F_1$ is called a bud of \tilde{T} iff either

- (i) $|F_1| = 1, F_1 = \{e\}$
- or (ii) $|F_1| \geq 2$ and $f(e) = 2$.

DEFINITION. Suppose $e \in F_1$ is a bud of \tilde{T} . Then the equivalence class $[e]_0$ is called a tip of branches of \tilde{T} (see Fig. 3.1).



(Fig. 3.1)

PROPOSITION 3.1. If $|F_1| = 2$, then F_1 consists of only buds of \tilde{T} .

Proof. Since $|B| = 2$ in this case, put $B = \{\beta_1, \beta_2\}$ and $F_1 = \{e_1, e_2\}$. Let $\psi(e_1) = \beta_1, \psi(e_2) = \beta_2$. It is clear that $E - [e_1]_0 = [e_2]_0$. Then we have

$$f(e_1) = \bar{d}(e_1, \beta_2) = 2.$$

This means e_i is a bud or \tilde{T} . Similarly e_2 is a bud of \tilde{T} , Q.E.D.

In order to show the existence of buds of \tilde{T} for $|F_1| \geq 3$, let us prove the following first.

PROPOSITION 3.2. *A point e_i in F_1 is a bud of \tilde{T} iff*

- (i) $\#[e_i]_0 \geq 2$ and
- (ii) for some $e_k \in [e_i]_0$ if $\phi(e_i) = \beta_{ijk}$ implies $e_j \in [e_i]_0$.

Proof. (\Rightarrow) Let us assume $e_j \sim e_i$ for e_j satisfying $\phi(e_i) = \beta_{ijk}$. Since $e_j, e_k \in E - [e_i]_0$, by definition of $f(e_i)$, we have

$$f(e_i) \leq \bar{d}(e_i, \beta).$$

On the other hand, by the definition of ϕ , we get $f(e_i) = 1$. This means e_i is not a bud of \tilde{T} , which is a contradiction.

(\Rightarrow) Suppose now, $\phi(e_i) = \beta = \beta_{ijk}$ implies, $e_k \sim e_i, e_j \sim e_i$. Take e_p which attains $f(e_i)$, i.e.

$$f(e_i) = f_{e_k}(e_i) = \bar{d}(e_i, \beta_{ikp}).$$

By definition of $f(e_i)$, $e_p \sim e_i$, then we have $\beta \neq \beta_{ikp}$ and $\bar{d}(e_i, \beta) < \bar{d}(e_i, \beta_{ikp})$. This means $f(e_i) = 2$ and e_i is a bud of \tilde{T} , Q.E.D.

DEFINITION. We call the diameter of a tree T the maximum entry of the distance matrix $D = (d_{ij})$ of T , and denote it by $d(T)$.

THEOREM 3.3. *If $|F_1| \geq 2$, then F_1 contains at least two buds.*

Proof. By Prop. 3.1, we may prove the theorem only for the case $|F_1| \geq 3$. Let $d_0 = d(\tilde{T})$ and put $d_0 = \bar{d}_{i_0 j_0} = \nu(i_0, j_0) + 1$. Denote $\nu_0 = \text{Max}_{1 \leq i, j \leq n} \nu(i, j) = \nu(i_0, j_0)$. Let us show that e_{i_0}, e_{j_0} are both buds of \tilde{T} . Put

$$E(e_{i_0}) = \{e_p \in E - \{e_{i_0}, e_{j_0}\} \mid \phi(e_{i_0}) = \beta_{i_0 j_0 p}\}.$$

Clearly $E(e_{i_0}) \neq \emptyset$. $e_p \in E(e_{i_0})$ implies $e_{i_0} \sim e_p$. In fact, if we assume that $|P_{\tilde{T}}(e_p, \beta_{i_0 j_0 p}) \cap B| \geq 2$, then we get $\nu(p, j_0) > \nu(i_0, j_0) = \nu_0$ which is impossible. Thus $|P_{\tilde{T}}(e_p, \beta_{i_0 j_0 p}) \cap B| = 1$. Hence $P_{\tilde{T}}(e_p, e_{i_0}) \cap B = \{\beta_{i_0 j_0 p}\}$. We have $e_{i_0} \sim e_p$. Thus e_{i_0} is a bud of \tilde{T} by Prop. 3.2. Similarly e_{j_0} is a bud of \tilde{T} , Q.E.D.

Thus we have shown that $|F_1| \geq 2$ implies that the subset S_1 of F_1 consisting of all buds of \tilde{T} satisfies $|S_1| \geq 2$. We then define a subset E_1 of E by

$$E_1 = \left(E - \bigcup_{e \in S_1} [e]_0 \right) \cup S_1.$$

Since $e \in S_1$ implies $\#[e]_0 \geq 2$, we have $E \supseteq E_1$. We then define a subtree $T_1 = T(V_1, A_1)$ of \tilde{T} as follows.

$$V_1 = \tilde{V} - \bigcup_{e \in S_1} ([e]_0 - \{e\})$$

$$A_1 = \tilde{A} - \bigcup_{e \in S_1} \bigcup_{e' \in [e]_0 - \{e\}} (\phi(e), e')$$

Then it is easy to see that $T_1 = T(V_1, A_1)$ forms a subtree of $T(\tilde{V}, \tilde{A})$. Furthermore the set of all extreme points of T_1 is E_1 . Let us call this operation to get a new tree T_1 out of \tilde{T} "cut-off tip of branches" of the tree \tilde{T} . Also let us call T_1 the cut-off tree of \tilde{T} .

Let us now describe the distance matrix D_1 of the tree T_1 . It is easy to see that $D_1 = (d_{ij}^{(1)})$ is a submatrix of $\tilde{D} = (\tilde{d}_{ij})$ corresponding to $e_i, e_j \in E_1$. Also the set B_1 of all branch points of T_1 is given by

$$B_1 = B - \{\phi(e) | e \in S_1\}.$$

Thus we get $|B_1| = |B| - |S_1|$. Now the distance matrix $\tilde{D}_1 = (\tilde{d}_{ij}^{(1)})$ of the reduced tree \tilde{T}_1 of T_1 i.e. $\tilde{d}_{ij}^{(1)} = \nu_1(i, j) + 1$, where $\nu_1(i, j) = |P_{T_1}(e_i, e_j) \cap B_1|$ for $e_i, e_j \in E_1$, is given by the following:

THEOREM 3.4. For $e_i, e_j \in E_1$, we have

$$\nu_1(i, j) = \nu(i, j) - |\{e_i, e_j\} \cap S_1|.$$

Proof. If $|E_1| = n_1 = 2$, then T_1 is a segment and our assertion is trivial. So assume that $|E_1| = n_1 \geq 3$. Put $P_{\tilde{T}}(e_i, e_j) \cap B = P_T(e_i, e_j) \cap B = \{\beta_1, \beta_2, \dots, \beta_\nu\}$, where $\nu = \nu(i, j)$. We assume

$$\tilde{d}(e_i, \beta_1) > \tilde{d}(e_i, \beta_2) < \dots < \tilde{d}(e_i, \beta_\nu).$$

Then $\phi(e_i) = \beta_1$ and $\phi(e_j) = \beta_\nu$ by definition of the map ϕ .

Now we distinguish three cases according to

$$|\{e_i, e_j\} \cap S_1| = 2, 1, 0.$$

Case I. $|\{e_i, e_j\} \cap S_1| = 2$.

$\nu(i, j) \geq 2$ since $e_i \sim e_j$. Let us show $\nu(i, j) \geq 3$. In fact assume that $\nu(i, j) = 2$. Then putting $P_T(e_i, e_j) \cap B = \{\beta_1, \beta_2\}$ one has $\beta_{ijk} = \beta_1$ or $\beta_{ijk} = \beta_2$ for every $e_k \in E_1 - \{e_i, e_j\}$, which is a contradiction to $|E_1| \geq 3$. Thus we have $\nu(i, j) \geq 3$.

Now by definition

$$\nu_1(i, j) = |P_{T_1}(e_i, e_j) \cap B_1| = |P_T(e_i, e_j) \cap B_1|.$$

But since $\beta_1 \notin B_1, \beta_\nu \notin B_1$, we get

$$\nu_1(i, j) = \nu(i, j) - 2 = \nu(i, j) - |\{e_i, e_j\} \cap S_1|.$$

Case II. $|\{e_i, e_j\} \cap S_1| = 1$.

Let $e_i \in S_1$ and $e_j \notin S_1$. Then we see that this implies $\beta_1 \notin B_1$ and $\beta_\nu \in B_1$. Thus

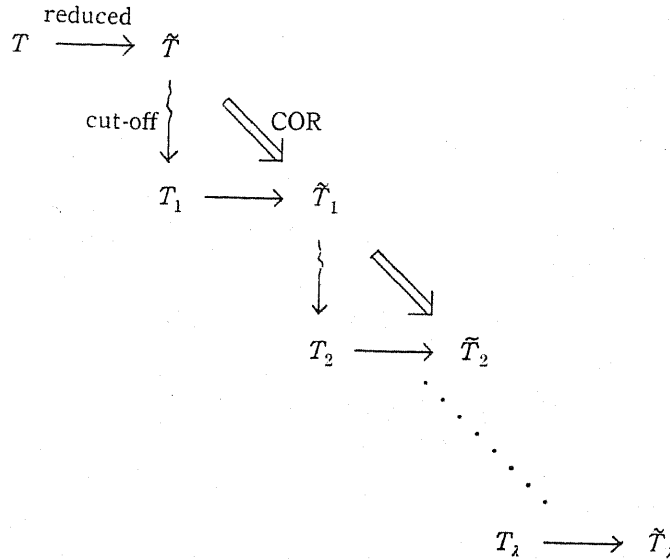
we get $\nu_1(i, j) = |P_{T_1}(e_i, e_j) \cap B_1| = |\{\beta_2, \beta_3, \dots, \beta_\nu\}| = \nu(i, j) - 1 = \nu(i, j) - |e_i, e_j \cap S_1|$.

Case III. $|e_i, e \cap S_1| = 0$.

We have in this case $\beta_1 \in B_1$ and $\beta_\nu \in B_1$. Thus $\nu_1(i, j) = |P_{T_1}(e_i, e_j) \cap B_1| = |P_{T_1}(e_i, e_j) \cap B| = \nu(i, j)$, Q.E.D.

Thus we have established an algorithm starting from a tree T (given in terms of its distance matrix D) to get firstly the reduced tree \tilde{T} of T and then the cut-off tree T_1 of the tree \tilde{T} . Let \tilde{T}_1 be the reduced tree of the tree T_1 and let \tilde{D}_1 be the distance matrix of \tilde{T}_1 . Our algorithm gives \tilde{D}_1 starting from D . Note that the size of \tilde{D}_1 is smaller than that of D . We denote \tilde{T}_1 by $\text{COR}(\tilde{T})$.

Therefore by iteration of those operations "reduced" and "cut-off tip of branches" we get a series of trees $\tilde{T}, \tilde{T}_1, \tilde{T}_2, \dots, \tilde{T}_\lambda$ where $\tilde{T}_1 = \text{COR}(\tilde{T})$, $\tilde{T}_{i+1} = \text{COR}(\tilde{T}_i)$ ($i=1, 2, \dots, \lambda-1$). (see Fig. 3.2)



(Fig. 3.2)

It is obvious by the operations that the set E_i of the extreme points of \tilde{T}_i satisfies

$$E \supseteq E_1 \supseteq E_2 \supseteq \dots \supseteq E_\lambda$$

with $|E_i| = 1$ or 2 .

If $|E_i| = 1$, then \tilde{T}_i consists of a single vertex and if $|E_i| = 2$, then \tilde{T}_i is a segment of diameter 1.

Let S_α be the set of buds of the tree $\tilde{T}_{\alpha-1}$ and for a bud $e \in S_\alpha$ denoted by $[e]_{\alpha-1}$, the equivalence class (corresponding to $[e]_0$ in \tilde{T}) i.e. a tip of branches of $\tilde{T}_{\alpha-1}$ with a bud e .

THEOREM 3.5 *Given a tree $T=T(V, A)$. Let \tilde{T} be its reduced tree and put $\tilde{T}_1=\text{COR}(\tilde{T})$. Then $d(\tilde{T})$ and $d(\tilde{T}_1)$ satisfy*

$$d(\tilde{T}_1)=d(\tilde{T})-2$$

Proof. Put $d(\tilde{T})=\bar{d}_{i_0j_0}=\nu(i_0, j_0)+1$ and $d(\tilde{T}_1)=\bar{d}_{i_1j_1}^{(1)}=\nu(i_1, j_1)+1$. Let us put $\nu_0=\nu(i_0, j_0)$ and $\nu_0^{(1)}=\nu_1(i_1, j_1)$. Then our theorem claims that $\nu_0^{(1)}=\nu_0-2$. By the proof of Theorem 3.3, we may assume that e_{i_0}, e_{j_0} are in S_1 . So one may assume that $e_{i_0}, e_{j_0} \in E_1$. Thus

$$\nu_1(i_0, j_0)=\nu_0-2$$

which implies

$$(1) \quad \nu_0^{(1)} \geq \nu_0 - 2$$

Hence it is enough to show $\nu_0^{(1)} \leq \nu_0 - 2$.

We distinguish three cases according to the values

$$|\{e_{i_1}, e_{j_1}\} \cap S_1| = 0, 1, 2.$$

Case I. $|\{e_{i_1}, e_{j_1}\} \cap S_1| = 0$

Put $\beta = \psi(e_{i_1}), \beta' = \psi(e_{j_1})$. Since $e_{i_1} \notin S_1$ and $e_{j_1} \notin S_1$, there exists e_p, e_q in E such that

$$\beta = \beta_{i_1j_1p}, \quad e_p \sim e_{i_1}$$

$$\beta' = \beta_{i_1j_1q}, \quad e_q \sim e_{i_1}$$

by Prop. 3.2. Hence $|P_T(\beta, e_p) \cap B| \geq 2, |P_T(\beta', e_q) \cap B| \geq 2$. Therefore we have $\nu(p, q) \geq \nu(i_1, j_1) + 2$. By Theorem 3.4, we have $\nu_1(i_1, j_1) = \nu(i_1, j_1)$ and one gets $\nu(p, q) \geq \nu_0^{(1)} + 2$. On the other hand, because of the choice of ν_0 one has $\nu_0 \geq \nu(p, q)$. Thus we have $\nu_0 - 2 \geq \nu_0^{(1)}$.

Case II. $|\{e_{i_1}, e_{j_1}\} \cap S_1| = 1$.

Let $e_{i_1} \in S_1, e_{j_1} \notin S_1$. Then there exists an extreme point e_q satisfying $\psi(e_{j_1}) = \beta' = \beta_{i_1j_1q}$ and $e_{j_1} \sim e_q$ by Prop. 3.2. Thus we have

$$\begin{aligned} \nu_1(i_1, q) &= |P_T(e_{i_1}, e_q) \cap B_1| = |P_{T_1}(e_{i_1}, \beta') \cap B_1| + |P_{T_1}(\beta', e_q) \cap B_1| - |\{\beta'\}| \geq \nu_1(i_1, j_1) + 1 \\ &= \nu_0^{(1)} + 1. \end{aligned}$$

On the other hand, $\nu_1(i_1, q) \leq \nu(i_1, q) - 1$ by Theorem 3.4. Combining these inequalities we get

$$\nu(i_1, q) - 1 \geq \nu_1(i_1, q) \geq \nu_0^{(1)} + 1.$$

Hence

$$\nu_0 \geq \nu(i_1, q) \geq \nu_0^{(1)} + 2.$$

Case III. $\{|e_{i_1}, e_{j_1}\} \cap S_i| = 2$.

We have $\nu_1(i_1, j_1) = \nu(i_1, j_1) - 2$. So we get $\nu_0^{(1)} \leq \nu_0 - 2$, Q.E.D.

THEOREM 3.6. *Let T be a tree. Let $\tilde{T}_1, \tilde{T}_2, \dots, \tilde{T}_\lambda$ be a sequence of trees defined by $\tilde{T}_1 = \text{COR}(\tilde{T})$, $\tilde{T}_{i+1} = \text{COR}(\tilde{T}_i)$ ($i=1, 2, \dots, \lambda-1$). Let E_i be the set of extreme points of \tilde{T}_i and suppose $|E_i| = 1$ or 2 . (Note that $E_1 \cong E_2 \cong \dots \cong E_\lambda$). Then λ and the value $|E_i|$ are determined from $d(\tilde{T})$ as following:*

- (i) if $d(\tilde{T}) = 2t$ then $\lambda = t$, $|E_i| = 2$,
- (ii) if $d(\tilde{T}) = 2t - 1$ then $\lambda = t$, $|E_i| = 1$.

(Thus $\lambda = \left\lceil \frac{d(\tilde{T}) + 1}{2} \right\rceil$).

Proof. The diameters of the trees $\tilde{T}_1, \tilde{T}_2, \dots, \tilde{T}_{\lambda-1}$ are given by $d(\tilde{T}) - 2$, $d(\tilde{T}) - 4$, \dots , $d(\tilde{T}) - 2(\lambda - 1)$ respectively by Theorem 3.5. Thus if $d(\tilde{T}) = 2t$, then we get $\lambda = t$ and $d(\tilde{T}_{\lambda-1}) = d(\tilde{T}) - 2(\lambda - 1) = 2$, and if $d(\tilde{T}) = 2t - 1$ then we get $\lambda = t$ and $d(\tilde{T}_{\lambda-1}) = d(\tilde{T}) - 2(\lambda - 1) = 1$. Thus for the tree \tilde{T}_λ , the representative set F_λ of $E_{\lambda-1} / \sim$ must satisfy $|F_\lambda| = 2$ or 1 . If $|F_\lambda| = 2$, then $|E_\lambda| = 2$ and \tilde{T}_λ is a segment. If $|F_\lambda| = 1$, then $|E_\lambda| = 1$ and \tilde{T}_λ is a single point, Q.E.D.

§4. A formula of the number of branch points and their degrees

THEOREM 4.1 *For a tree $T(V, A)$, the number $|B|$ of the branch point of T is given by*

$$|B| = \sum_{\alpha=1}^{\lambda} |S_\alpha|$$

where $\tilde{T}_1 = \text{COR}(\tilde{T})$, $\tilde{T}_{i+1} = \text{COR}(\tilde{T}_i)$ ($i=1, 2, \dots, \lambda-1$), $\lambda = \left\lceil \frac{d(\tilde{T}) + 1}{2} \right\rceil$, and S_α is the set of buds of the tree $\tilde{T}_{\alpha-1}$ (we put $\tilde{T}_0 = \tilde{T}$) for $\alpha=1, 2, \dots, \lambda$. (Note that the final tree \tilde{T}_λ has no buds).

Proof. Let B_α be the set of branch points of the tree \tilde{T}_α ($\alpha=1, \dots, \lambda$). Then we have $|B_1| = |B| - |S_1|$, and similarly $|B_\alpha| = |B_{\alpha-1}| - |S_\alpha|$, for $\alpha=2, 3, \dots, \lambda$. Since $|B_\lambda| = 0$, we get $|B| = \sum_{\alpha=1}^{\lambda} |S_\alpha|$, Q.E.D.

We now give an algorithm giving the degree $\#A(\beta)$ of the branch point $\beta \in B$ using only the distance matrix $D = (d_{ij})$ of the tree T .

Put $\#A(\beta) = r$, where $A(\beta) = \{v_1, v_2, \dots, v_r\}$ is the neighbourhood of β in T . We associate to β an r -dimensional vector $M(\beta)$ by

$$M(\beta) = (\mu(v_1), \mu(v_2), \dots, \mu(v_r))$$

where

$$\mu(v_i) = \text{Maximum}_{\substack{e \in E \\ \beta \in P_T(v_i, e)}} |P_T(v_i, e) \cap B|.$$

Thus $\mu(v_i)$ is the largest number of the branch points on the path of T connecting v_i to the extreme points without passing through β . It is clear that every v_i satisfies

$$0 \leq \mu(v_i) \leq \nu_0 - 1, \text{ where } \nu_0 = \text{Maximum}_{1 \leq k, l \leq n} \nu(k, l)$$

We index the v_i 's in such a way that

$$\mu(v_1) \leq \mu(v_2) \leq \dots \leq \mu(v_r)$$

Let us show that $\mu(v_i)$ can be obtained also from the distance matrix D . Since β is a branch point, there exist $e_a, e_b, e_c \in E$ such that $\beta = \beta_{abc}$. Fixing two points in $\{e_a, e_b, e_c\}$, say e_a, e_b , put $P_T(e_a, e_b) \cap B = \{\beta_1, \dots, \beta_\nu\}$ indexed as follows:

$$d(e_a, \beta_1) < d(e_a, \beta_2) < \dots < d(e_a, \beta_\nu)$$

where $\nu = \nu(a, b)$. Then for each β_t ($1 < t < \nu$) put

$$E_{ab}(\beta_t) = \{e_p \in E \mid \beta_t = \beta_{abp}\}.$$

PROPOSITION 4.2. Put $E_{ab}^*(\beta_1) = E_{ab}(\beta_1) \cup \{e_a\}$ and $E_{ab}^*(\beta_\nu) = E_{ab}(\beta_\nu) \cup \{e_b\}$. Then E is partitioned as follows:

$$(**) \quad E = E_{ab}^*(\beta_1) \amalg \dots \amalg E_{ab}(\beta_t) \amalg \dots \amalg E_{ab}^*(\beta_\nu).$$

Proof. Clearly every term on the right hand side of (**) is not empty. The mutual disjointness is also obvious by the fact that any three extreme points e_p, e_q, e_s satisfy

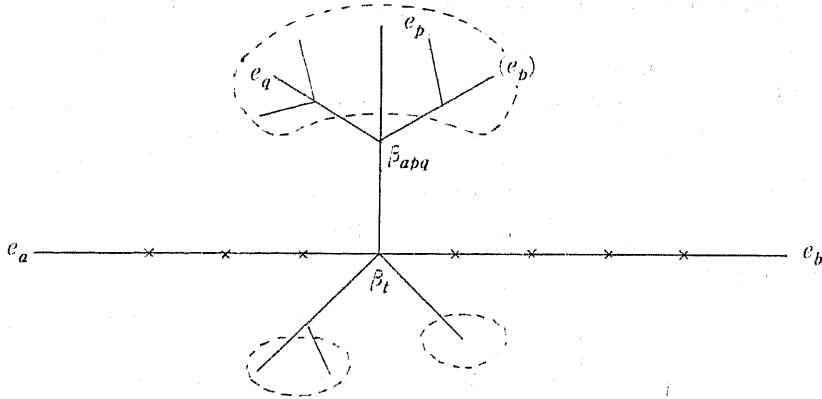
$$|P_T(e_p, e_q) \cap P_T(e_q, e_s) \cap P_T(e_s, e_p)| = 1.$$

We have to show that every $e_p \in E$ belongs to the right hand side of (**). This can be seen as follows: $\beta_{abp} \in P_T(e_a, e_b)$ implies the existence of some t such that $\beta_{abp} = \beta_t$. Thus we have $e_p \in E_{ab}(\beta_t)$, Q.E.D.

We now define an equivalence relation \simeq in $E_{ab}(\beta_t)$ for each t (fixing e_a, e_b).

DEFINITION. Let $e_p, e_q \in E_{ab}(\beta_t)$. Then we write $e_p \simeq e_q$ either (i) $e_p = e_q$ or (ii) $d(e_a, \beta_t) < d(e_a, \beta_{apq})$.

Since $d(e_a, \beta_t) < d(e_a, \beta_{apq})$ is equivalent to the fact $P_T(e_a, \beta_t) \subset P_T(e_a, \beta_{apq})$, it is easy to see that the relation \simeq is an equivalence relation (see Fig. 4.1).



(Fig. 4.1).

We denote the \simeq -equivalence class of e_p in $E_{ab}(\beta_t)/\simeq$ by (e_p) .

PROPOSITION 4.3. *The equivalence relation \simeq holds iff the following distance-inequality holds:*

$$e_p \simeq e_q \iff d_{pq} + d_{ab} < d_{ap} + d_{bq} = d_{aq} + d_{bp}.$$

Proof. (\implies) $d(e_a, \beta_t) < d(e_a, \beta_{apq})$ implies $\beta_t, \beta_{apq} \in P_T(e_a, e_p)$ and $d(\beta_t, \beta_{apq}) > 0$.

Hence

$$\begin{aligned} d_{ap} &= d(e_a, \beta_t) + d(\beta_t, \beta_{apq}) + d(\beta_{apq}, e_p), \\ d_{bq} &= d(e_b, \beta_t) + d(\beta_t, \beta_{apq}) + d(\beta_{apq}, e_q) \end{aligned}$$

and we get

$$d_{ap} + d_{bq} = d_{ab} + d_{pq} + 2d(\beta_t, \beta_{apq}) > d_{ab} + d_{pq}.$$

(\impliedby) Let us derive a contradiction assuming $\beta_t = \beta_{apq}$.

$$\begin{aligned} d_{ap} &= d(e_a, e_p) = d(e_a, \beta_t) + d(\beta_t, e_p), \\ d_{bq} &= d(e_b, e_q) = d(e_b, \beta_t) + d(\beta_t, e_q) \end{aligned}$$

implies $d_{ap} + d_{bq} = d_{ab} + d_{pq}$ which is not compatible with the inequality above, Q.E.D.

COROLLARY 4.4. $(e_p) \sim (e)$ iff $\beta_t = \beta_{apq}$.

Remark. It is easy to see that the equivalence relation \simeq is also expressed by \tilde{D} , the distance matrix of the reduced tree \tilde{T} .

$$e_p \simeq e_q \iff \bar{d}(e_p, e_q) + \bar{d}(e_a, e_b) < \bar{d}(e_a, e_p) + \bar{d}(e_b, e_q) = \bar{d}(e_a, e_q) + \bar{d}(e_b, e_p).$$

DEFINITION. Suppose $e_a, e_b \in E$. Put $P_T(e_a, e_b) \cap B = \{\beta_1, \dots, \beta_\nu\}$, $\nu = \nu(a, b)$ and $d(e_a, \beta_1) < d(e_a, \beta_2) < \dots < d(e_a, \beta_\nu)$. Then the subset $\tilde{A}_{ab}(\beta_t)$ of V is defined as follows: Put

$$\begin{aligned} P_T(\beta_t, e_a) \cap A(\beta_t) &= \{v_{ta}\}, \\ P_T(\beta_t, e_b) \cap A(\beta_t) &= \{v_{tb}\}. \end{aligned}$$

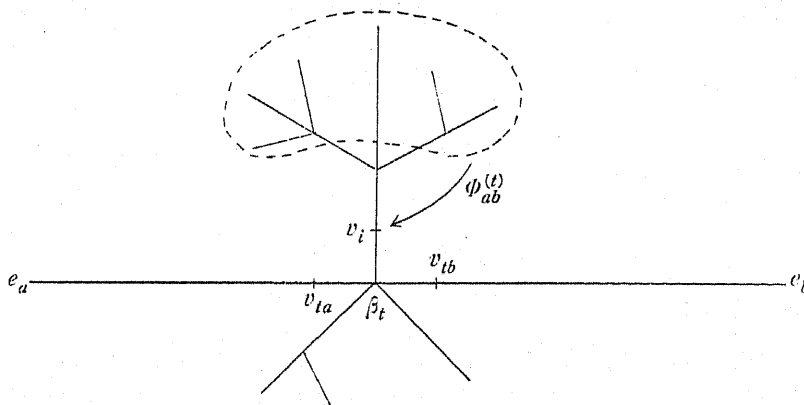
Then we define

$$\tilde{A}_{ab}(\beta_t) = \begin{cases} A(\beta_t) & \text{if } \nu = 1 \\ A(\beta_t) - \{v_{tb}\} & \text{if } \nu > 1 \text{ and } t = 1 \\ A(\beta_t) - \{v_{ta}\} & \text{if } \nu > 1 \text{ and } t = \nu \\ A(\beta_t) - \{v_{ta}, v_{tb}\} & \text{if } 1 < t < \nu. \end{cases}$$

Then we have for every \simeq -class (e_p) that $|P_T(\beta_t, e) \cap \tilde{A}_{ab}(\beta_t)| = 1$ for any $e \in (e_p)$. Thus we may define an injective map

$$\phi_{ab}^{(t)}: E_{ab}(\beta_t) / \simeq \longrightarrow \tilde{A}_{ab}(\beta_t)$$

by $\phi_{ab}^{(t)}((e_p)) = v$, where $\{v\} = P_T(\beta_t, e) \cap \tilde{A}_{ab}(\beta_t)$, for $e \in (e_p)$ (see Fig. 4.2).



(Fig. 4.2).

The map $\phi_{ab}^{(t)}$ is a bijection between $E_{ab}(\beta_t) / \simeq$ and $\tilde{A}_{ab}(\beta_t)$, since for every $v \in \tilde{A}_{ab}(\beta_t)$ there exists $e \in E$ such that $v \in P_T(\beta_t, e)$ and one has $\phi_{ab}^{(t)}((e)) = v$. We denote $(\phi_{ab}^{(t)})^{-1}$ by $\tilde{\phi}_{ab}^{(t)}$.

PROPOSITION 4.5. *Let v be a point of $\tilde{A}_{ab}(\beta_t)$. Then*

$$\mu(v) = \text{Maximum}_{\substack{e \in B \\ \beta_t \in \mathcal{P}_T(v, e)}} |P_T(v, e) \cap B|$$

is obtained from the distance matrix \tilde{D} of \tilde{T} as follows:

$$\begin{aligned} \mu(v) &= \text{Maximum}_{e \in \tilde{\phi}_{ab}^{(v)}} |P_T(v, e) \cap B| \\ &= \text{Maximum}_{e_p \in \tilde{\phi}_{ab}^{(v)}} \nu(a, p) - t. \end{aligned}$$

Moreover t is obtained as follows:

$$t = \frac{1}{2}(\bar{d}_{ab} + \bar{d}_{ap} - \bar{d}_{bp}),$$

where e_p attains the value $\mu(v)$.

Proof. For $e_p \in \tilde{\phi}_{ab}^{(v)}$, we have a disjoint union $P_T(e_a, e_p) \cap B = (P_T(e_a, \beta_t) \cap B) \sqcup (P_T(v, e_p) \cap B)$. Thus comparing the cardinalities it follows:

$$\nu(a, p) = t + |P_T(v, e_p) \cap B|.$$

Hence

$$\begin{aligned} \mu(v) &= \text{Maximum}_{e_p \in \tilde{\phi}_{ab}^{(v)}} |P_T(v, e_p) \cap B| \\ &= \text{Maximum}_{e_p \in \tilde{\phi}_{ab}^{(v)}} \nu(a, p) - t \\ &= \text{Maximum}_{e_p \in \tilde{\phi}_{ab}^{(v)}} \bar{d}_{ab} - 1 - t. \end{aligned}$$

Since $\nu(a, b)$ gives essentially the distance matrix of the tree \tilde{T} , it is easy to see $t = \bar{d}(e_a, \beta_t)$ in \tilde{T} . Hence we have

$$t = \frac{1}{2}\{\bar{d}_{ab} + \bar{d}_{ap} - \bar{d}_{bp}\}$$

for $e_p \in \tilde{\phi}_{ab}^{(v)}$, Q.E.D.

Thus the vector $M(\beta_t)$ can be given explicitly by using \tilde{D} for the case $\tilde{A}_{ab}(\beta_t) = A(\beta_t)$. Let us then consider the remaining cases where $\tilde{A}_{ab}(\beta_t) \neq A(\beta_t)$. We have only to determine the vector components $\mu(v_{ia})$ and $\mu(v_{ib})$.

CASE I. $|E_{ab}(\beta_t)| \simeq |\geq 2$.

Let $(e), (e') \in E_{ab}(\beta_t) \simeq$ and $(e) \neq (e')$. Take $e_p \in (e), e_q \in (e')$. Then $(e_a), (e_b) \in E_{pq}(\beta_t) \simeq$ implies

$$\mu(v_{ia}) = \text{Maximum}_{e_k \in (e_a)} \nu(p, k) - s,$$

$$\mu(v_{tb}) = \text{Maximum}_{e_k \in (e_p)} \nu(q, k) - s'$$

where s, s' are given by $s = \bar{d}(e_p, \beta_t), s' = \bar{d}(e_q, \beta_t)$ in \tilde{T} respectively.

CASE II. $|E_{ab}(\beta_t)/\simeq| = 1$.

Let e_p be a representative of the unique class in $E_{ab}(\beta_t)/\simeq$. Then $(e_v) \in E_{ap}(\beta_t)/\simeq$.

Hence

$$\mu(v_{tb}) = \text{Maximum}_{e_k \in (e_p)} \nu(p, k) - s'$$

We have now $(e_a) \in E_{vp}(\beta_t)/\simeq$. Thus

$$\mu(v_{ta}) = \text{Maximum}_{e_k \in (e_a)} \nu(p, k) - s,$$

where s, s' are given in Case I.

Thus let $\beta = \beta_{abc}$ for given β . Let us give an ordering for $\{\beta_1, \dots, \beta_{v(a,b)}\} = P_T(e_a, e_b) \cap B$ by

$$d(e_a, \beta_1) < d(e_a, \beta_2) < \dots < d(e_a, \beta_{v(a,b)}).$$

Then β determines the number t by $d(e_a, \beta) = d(e_a, \beta_t)$.

Now let us return to the r -dimensional vector $M(\beta) = (\mu(v_1), \mu(v_2), \dots, \mu(v_r))$. Take $e_i \in E$ which attains the value $\mu(v_i)$ for $i = 1, 2, \dots, r$. Then we have the following:

PROPOSITION 4.6. *If $\mu(v_i) > 0$, then e_i is a bud of \tilde{T} .*

Proof. Put $\phi(e_i) = \beta'$. Since $\mu(v_i) = |P_T(v_i, e_i) \cap B| > 0$, we see that $d(\beta, e_i) > d(\beta', e_i)$ and every e_p with $\phi(e_p) = \beta'$ satisfies $e_p \sim e_i$. Hence by Prop. 3.2, we have $e_i \in S_1$, Q.E.D.

PROPOSITION 4.7. *In the tree T_1 , the vector*

$$M_{T_1}(\beta) = (\mu^{(1)}(v_1), \mu^{(1)}(v_2), \dots, \mu^{(1)}(v_r))$$

satisfies

$$\mu^{(1)}(v_i) = \begin{cases} \mu(v_i) - 1 & \text{if } \mu(v_i) > 0 \\ 0 & \text{if } \mu(v_i) = 0. \end{cases}$$

Proof. If $e_j \in S_1$, then the branch point $\phi(e_i)$ in the tree T is not a branch point in the tree T_1 . Hence by Prop. 4.6, $\mu(v_i) > 0$ implies $\mu^{(1)}(v_i) = \mu(v_i) - 1$. Now $\mu(v_i) = 0$ implies $e_i \notin S_1$. Since $P_T(\beta, e_i) \cap B = P_{\tilde{T}}(\beta, e_i) \cap B = \{\beta\}$, we have $v_i = e_i$ and $\mu^{(1)}(v_i) = 0$, Q.E.D.

COROLLARY 4.8. In the tree T_α , the vector $M_{T_\alpha}(\beta) = (\mu^{(\alpha)}(v_1), \mu^{(\alpha)}(v_2), \dots, \mu^{(\alpha)}(v_r))$ satisfies

$$\mu^{(\alpha)}(v_i) = \begin{cases} \mu(v_i) - \alpha & \text{if } \mu(v_i) \geq \alpha \\ 0 & \text{if } \mu(v_i) < \alpha. \end{cases}$$

PROPOSITION 4.9. Let $M_{T_\alpha}(\beta) = (\mu^{(\alpha)}(v_1), \mu^{(\alpha)}(v_2), \dots, \mu^{(\alpha)}(v_r))$ and suppose that α is the least value such that

$$\mu^{(\alpha)}(v_1) = \mu^{(\alpha)}(v_2) = \dots = \mu^{(\alpha)}(v_{r-1}) = 0.$$

Then e_1, \dots, e_{r-1} are ϕ -equivalent in E_α and $[e_1]_\alpha$ is a tip of branches in \tilde{T}_α .

Proof. $\mu^{(\alpha)}(v_i) = \mu^{(\alpha)}(v_j) = 0$ means $e_i \sim e_j$ in E_α . Also by Prop. 3.2, $\mu^{(\alpha)}(v_1) = \mu^{(\alpha)}(v_2) = \dots = \mu^{(\alpha)}(v_{r-1}) = 0$ implies that for any three points e_i, e_j, e_k in E_α satisfying $\beta_{i_jk} = \beta = \phi(e_i)$ one has $e_i \sim e_j, e_i \sim e_k, e_j \sim e_k$. Hence $e_i \in S_{\alpha+1}$. Q.E.D.

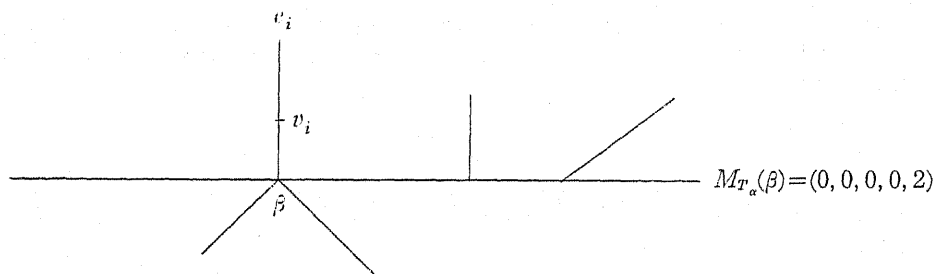
Now let us consider the converse of Prop. 4.9.

PROPOSITION 4.10. Let $[e]_\alpha$ be a tip of branches in T_α . Put $\#[e]_\alpha = r_0$, $\phi(e) = \beta$ ($\beta \in B_\alpha$) and $\#A(\beta) = r$. Then we have $r_0 = r$ or $r_0 = r - 1$. In other words, the vector

$$M_{T_\alpha}(\beta) = (\mu^{(\alpha)}(v_1), \mu^{(\alpha)}(v_2), \dots, \mu^{(\alpha)}(v_r))$$

satisfies $\mu^{(\alpha)}(v_1) = \dots = \mu^{(\alpha)}(v_{r-1}) = 0$.

Proof. Put $[e]_\alpha = \{e_1, e_2, \dots, e_{r_0}\}$. Since $|P_{T_\alpha}(e_i, \beta) \cap A(\beta)| = 1$ for $i = 1, 2, \dots, r_0$, we define a map h from $[e]_\alpha$ to $A(\beta)$ as follows: $h(e_i) = v_i$ (see Fig. 4.3).



(Fig. 4.3)

It is easy to see that the map h is injective because $P_{T_\alpha}(e_i, e_j) \cap B_\alpha = \{\beta\}$ for $i \neq j$. Then we have $r_0 \leq r$. Moreover in the components of $M_{T_\alpha}(\beta)$ we get

$$\mu^{(\alpha)}(v_i) = 0 \text{ for } i = 1, \dots, r_0.$$

If $r_0 = r$, then $|E_\alpha| = 1$. Therefore $\alpha = \lambda$ and $\beta_{i-1} = \{\beta\}$. Suppose now $r_0 < r - 1$, then

we have

$$\begin{aligned} \mu^{(\alpha)}(v_i) &= 0 \quad (1 \leq i \leq r_0), \\ \mu^{(\alpha)}(v_{r_0+1}) &> 0, \quad \mu^{(\alpha)}(v_{r_0+2}) > 0. \end{aligned}$$

Take points e_{r_0+1}, e_{r_0+2} which attain $\mu^{(\alpha)}(v_{r_0+1}), \mu^{(\alpha)}(v_{r_0+2})$ respectively. Then $e_{r_0} \sim e_{r_0+1}, e_{r_0} \sim e_{r_0+2}$ and satisfies $\beta = \beta_{r_0, r_0+1, r_0+2}$ which contradicts $[e]_\alpha$ to be a tip of branches in T_α . Hence $r_0 = r - 1$, Q.E.D.

Now we are ready to give the degree $\#A(\beta)$ of $\beta \in B$.

THEOREM 4.11. *Using the notations $T, T_1, T_2, \dots, T_\lambda$ and $E \supset E_1 \supset E_2 \supset \dots \supset E_\lambda$ of the trees. One has the following: for any branch point $\beta \in B$ there exists uniquely an integer α in $[0, \lambda - 1]$ and a bud $e \in S_{\alpha+1}$ such that $\#A(\beta) = \#[e]_\alpha + 1$. In particular, if $|E_\lambda| = 1$, then putting $[e]_{\lambda-1} = E_{\lambda-1}$ and $\phi_{\lambda-1}(e) = \beta$, we have $\#A(\beta) = \#[e]_{\lambda-1}$.*

Proof. Take the vector $M(\beta) = (\mu(v_1), \mu(v_2), \dots, \mu(v_r)), r = \#A(\beta)$. Take also the points $e_i \in E$ which attain $\mu(v_i)$'s. Put $\mu(v_{r-1}) = \alpha$. Suppose $\mu(v_r) > \alpha$, then

$$M_{T_\alpha}(\beta) = (\mu^{(\alpha)}(v_1), \dots, \mu^{(\alpha)}(v_r))$$

satisfies $\mu^{(\alpha)}(v_i) = 0$ for $i = 1, \dots, r - 1$ and $\mu^{(\alpha)}(v_r) > 0$. Hence by Prop. 4.9 $\{e_1, e_2, \dots, e_{r-1}\}$ constitutes a tip of branches in \tilde{T}_α . Let e_1 be the representative of this class. Then $e_1 \in S_{\alpha+1}, \phi(e_1) = \beta$. Thus we have $r = \#A(\beta) = \#[e_1]_\alpha + 1$ by the construction of the vector $M_{T_\alpha}(\beta)$. In the case $|E_\lambda| = 1$ it is an immediate consequence from Prop. 4.10, Q.E.D.

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