Category Theory not based upon Set Theory

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[AXIOMS AND SCHEME OF gcat]

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0. Introduction

At the present time, if we want to construct a category theory, we first construct a certain appropriate set theory and prepare many set-theoretical tools, and after that we define category as a model of ABSTRACT CATEGORY THEORY (in which the scope of quantifiers is one category)*). More precisely,

^{*)} The important exceptions are Lawvere's [2] and [3].

we define the formula CATEGORY (C), for a term (i. e. a set) C.

But this way of construction of a category theory is very unsatisfactory to categorists who regard categories as fundamental objects of mathematics instead of sets. In other words, the following *DOGMA of Set Theory* dominates the category theory of this type.

The DOGMA of Set Theory

- [S1] All materials we recognize are sets.
- [S2] ∈ is the only atomic predicate symbol other than =.
- [S3] All small relations, operations, etc. are constructed set-theoretically. (by determining their elements)

Taking all these facts into consideration, we propose a new category theory which has the following *DOGMA*; the PAN-CATEGORISM.

The DOGMA of Category Theory~PAN-CATEGORISM

- [C1] All materials we recognize are categories.
- [C2] \triangleleft is the only atomic predicate symbol other than =.
- [C3] All small relations, operations, etc. are constructed category-theoretically. (The constructions we can do in set theory can be performed similarly.)

Here, \triangleleft is a predicate symbol where $x \triangleleft C$ means "x is a triangle of a category C."

In the present paper, we describe a theory \mathcal{I}_{Cat} as a realization of this PAN-CATEGORISM, in which *all terms are categories*, and which intuitively includes Bourbaki's set theory.

We proceed as follows.

In Chapter 1, we define equalitarian theory with ordered pair. We define category in this very general frame in Chapter 2. At this step, we cannot define the formula CATEGORY (C) for a term C. In fact, we have no notion of x being a morphism or an element or a triangle of a term C. Therefore, we define the formula CATEGORY_x(φ) for a formula φ and a variable x. (2.3) $\varphi[t]$ will be written "t is a triangle of φ_x " in case φ_x is a category.

In Chapter 3, we describe our category theory \mathcal{I}_{Cat} . \mathcal{I}_{Cat} is an equalitarian theory with ordered pair which has a new predicate symbol \triangleleft . We interprete $CATEGORY_x(x \triangleleft C)$ as "C is a category." And we require an axiom $\forall C$ [CATEGORY_x(x \neq C)], which is called AXIOM OF PAN-CATEGORISM. Then, to realize [C3], we state category-theoretical version of axioms and a scheme which are similar to those in Bourbaki's set theory.

Finally, in Chapter 4, we prove that \mathcal{I}_{Cat} is consistent if \mathcal{I}_{Set} (a set theory which is equivalent to Bourbaki's set theory) is consistent.

- Remark: 1) We can also prove that Tset is consistent if Tcat is consistent.
 - 2) Our method of realizing PAN-CATEGORISM is applicable to any other first order theory.

1. Equalitarian theories with ordered pair

1.1. Equalitarian theories

Throughout this paper we assume the contents of Chapter 1 of [1]. The notions of signs (logical signs, letters, and specific signs (substantific signs, relational signs)), assemblies, mathematical theories, terms, relations, implicit and explicit axioms, schemes, theorems are all due to Chapter 1 of [1].

We use "variable" instead of "letter", "operation symbol (function symbol)" instead of "substantific sign", "predicate symbol" instead of "relational sign", "formula" instead of "relation". We write $\mathbf{R}[x_1,\dots,x_n]$ instead of $\mathbf{R}\{x_1,\dots,x_n\}$. Variables are denoted by $x,y,z,\dots,x_1,x_2,\dots$, etc. Formulas are denoted by $\varphi, \varphi, \theta, \lambda, \dots$, etc.

Recall that an equalitarian theory is a theory with a binary predicate symbol = and with Scheme $1\sim$ Scheme 7 below:

- 1.1.1. SCHEME 1. If φ is a formula, $(\varphi \lor \varphi) \Longrightarrow \varphi$ is an axiom.
- 1.1.2. Scheme 2. If φ and ψ are formulas, $\varphi \Longrightarrow (\varphi \lor \psi)$ is an axiom.
- 1.1.3. Scheme 3. If φ and ψ are formulas, $(\varphi \lor \psi) \Longrightarrow (\psi \lor \varphi)$ is an axiom.
- 1.1.4. Scheme 4. If φ , ψ and θ are formulas, $(\varphi \Longrightarrow \psi) \Longrightarrow ((\theta \lor \varphi) \Longrightarrow (\theta \lor \psi))$ is an axiom.
- 1.1.5. Scheme 5. If φ is a formula, t a term, and x a variable, $(t \mid x)\varphi \Longrightarrow (\exists x)\varphi$ is an axiom.
- 1.1.6. Scheme 6. Let x be a variable, t and s terms, and $\varphi[x]$ a formula. Then $(t=s) \Longrightarrow (\varphi[t] \Longleftrightarrow \varphi[s])$ is an axiom.
- 1.1.7. SCHEME 7. Let φ and ψ be formulas and x a variable. Then $((\forall x)(\varphi \Longleftrightarrow \psi)) \Longrightarrow (\tau_x(\varphi) = \tau_x(\psi))$ is an axiom.

1.2. Equalitarian theories with ordered pair

An equalitarian theory with ordered pair is an equalitarian theory in Bourbaki's sense, with an additional binary operation symbol O and an additional axiom; Axiom 1.

1.2.1. Axiom 1. $(\forall x)(\forall y)(\forall z)(\forall w)((\exists xy)=(\exists zw)\Longrightarrow (x=z\land y=w))$.

Remark: If t and s are terms, the notation (t, s) is used instead of $\Im ts$.

1.2.2. Definition. The formula $(\exists x)(\exists y)(t=(x,y))$ is written "t is an (ordered) pair.' When t is a pair,

$$\tau_x((\exists y) (t = (x, y))), \ \tau_y((\exists x) (t = (x, y)))$$

are denoted by \mathbf{pr}_1t , \mathbf{pr}_2t , respectively.

The term (x, (y, z)) is also denoted by (x, y, z). The formula $(\exists x)(\exists y)(\exists z)$ (t=(x, y, z)) is written "t is a triple." When t is a triple,

$$\tau_x((\exists y)(\exists z)(t=(x,y,z))), \ \tau_y((\exists x)(\exists z)(t=(x,y,z))), \ \tau_z((\exists x)(\exists y)(t=x,y,z)))$$

are denoted by \mathbf{pr}_1t , \mathbf{pr}_2t , \mathbf{pr}_3t , respectively.

2. Category

Throughout this chapter, $\mathcal T$ denotes a theory stronger than an equalitarian theory with ordered pair. In this chapter, we prepare some notions which is used in next chapter for the description of the theory $\mathcal T_{\text{cat}}$. They are the notion that a formula in $\mathcal T$ is a category, the notions of opposite category, the category of all units,..., etc. Notice that we define the formula CATEGORY $_x(\varphi)$, for a formula φ and a variable x. (2.3) And CATEGORY $_x(\varphi)$ is written " φ is a category with respect to x." We cannot define the formula CATEGORY (C) for a term C, because we have no means to talk about morphism or element or triangle of C.

2.1. Composition

2.1.1. DEFINITION. Let $\varphi[x]$ be a formula in \mathcal{I} , and let y, u, v, w_1, w_2 be variables not appearing in φ . The formula

$$\forall y (\varphi[y] \Longrightarrow y \text{ is a triple})$$

 $\land \forall u \forall v \forall w_1 \forall w_2 [(\varphi[(u, v, w_1)] \land \varphi[(u, v, w_2)]) \Longrightarrow w_1 = w_2]$

is written $COMP_x(\varphi)$, or " φ_x is a composition," or " φ is a composition with respect to x."

2.1.2. Definition. When $COMP_x(\varphi)$, $\exists w \varphi[(u, v, w)]$ is written "(u, v) is a composable pair of φ_x ," or "(u, v) is composable in φ_x ."

If (u, v) is a composable pair of φ_x , the term $\tau_w(\varphi[(u, v, w)])$ is denoted by $u \nleq v$, and is called the composite of v and u by φ_x .

- 2.1.3. Example. Let p, q, r be three terms.
 - 1) The formula x = (p, q, r) is a composition with respect to x.
 - 2) The formula $x=(p,p,p) \lor x=(p,q,p) \lor x=(q,p,p) \lor x=(q,q,q)$ is a composition with respect to x.
- 2.1.4. Definition. Let $\varphi[x]$ be a formula in \mathcal{I} . The formula

$$\varphi[(t, t, t)]$$

$$\wedge \forall u \forall v [\{\exists w \varphi[(u, t, w)] \Longrightarrow \tau_w(\varphi[(u, t, w)]) = u\}$$

$$\wedge \{\exists w \varphi[(t, v, w)] \Longrightarrow \tau_w(\varphi[(t, v, w)]) = v\}],$$

where u, v, w are variables not appearing in φ , is written $t \odot \varphi$. If $COMP_x(\varphi)$, $t \odot \varphi$ is also written "t is a unit of φ_x ."

Remark: If $COMP_x(\varphi)$, $t \odot \varphi$ is identical with the formula

 $\varphi[(t, t, t)]$

 $\wedge \forall u \forall v [\{(u, t) \text{ is composable in } \varphi_x \Longrightarrow u \ngeq t = u\}$ $\land \{(u, t) \text{ is composable in } \varphi_x \Longrightarrow t \ngeq v = v\}$].

2.1.5. Example. The composition in 2.1.3. 2) has q as unit, i.e.

$$q \odot (x=(p,p,p) \lor x=(p,q,p) \lor x=(q,p,p) \lor x=(q,q,q))$$

is a theorem.

- Associative composition
- 2.2.1. Definition. Let $\varphi[x]$ be a formula in \mathcal{I} . Let $\mathrm{ASS}_x(\varphi)$ be the formula

 $COMP_x(\varphi)$

 $\wedge \forall p \forall q \forall r \forall u [\exists s (\varphi[(p, q, s)] \land \varphi[(s, r, u)])$

$$\iff \exists t (\varphi[(q, r, t)] \land \varphi[(p, t, u)])$$

 $\wedge \forall p \forall q \forall r [(p,q) \text{ and } (q,r) \text{ are composable pairs of } \varphi_x$

$$\Longrightarrow \exists u (\varphi[(p \underset{\varphi_x}{\rightleftarrows} q, r, u)] \land \varphi[(p, q \underset{\varphi_x}{\rightleftarrows} r, u)])],$$

where p, q, r, s, t, u do not appear in φ .

 $ASS_x(\varphi)$ is also written " φ_x is an associative composition," or " φ is an associative composition with respect to x."

2.2.2. Definition. When $ASS_x(\varphi)$,

(p,q) and (q,r) are composable pairs of $\varphi_x \Longrightarrow (p \underset{\varphi_x}{\rightleftharpoons} q) \underset{\varphi_x}{\rightleftharpoons} r = p \underset{\varphi_x}{\rightleftharpoons} (q \underset{\varphi_x}{\rightleftharpoons} r)$. The term $\tau_u(\varphi[(p \underset{\varphi_x}{\rightleftharpoons} q, r, u)] \land \varphi[(p, q \underset{\varphi_x}{\rightleftharpoons} r, u)])$ is denoted by $p \underset{\varphi_x}{\rightleftharpoons} q \underset{\varphi_x}{\rightleftharpoons} r$.

2.3. Category

Now, we come to the definition of category. We first define the notion **CATEGORY**_{x, y}(φ, φ).

2.3.1. Definition. Let $\varphi[x]$, $\psi[y]$ be two formulas in \mathcal{I} , where x does not appear in ψ and y does not appear in φ , and let u, v, w, e be variables which do not appear in φ nor ϕ . The formula

$$ASS_x(\varphi)$$

 $\wedge \forall u \forall v \forall w [\varphi[(u, v, w)]$

$$\Longrightarrow \{\exists e(\psi[e] \land \varphi[(e, u, u)]) \land \exists e(\psi[e] \land \varphi[(u, e, u)]) \land \exists e(\psi[e] \land \varphi[(v, e, v)]) \land \exists e(\psi[e] \land \varphi[(v, e, v)])\}\}$$

$$\land \forall e[\phi[e] \Longleftrightarrow e \circledcirc \varphi]$$

is written **CATEGORY**_{x,y}(φ , ϕ), or "(φ _x, ϕ _y) is a category," or "(φ , ϕ) is a category with respect to x, y."

2.3.2. Example. Let $\varphi[x]$ be the formula

$$x = (X, X, X) \lor x = (Y, Y, Y) \lor x = (f, X, f) \lor x = (Y, f, f),$$

and let $\phi[y]$ be the formula

$$y = X \lor y = Y$$
.

Then $X \neq Y \neq f \neq X \Longrightarrow \mathbf{CATEGORY}_{x,y}(\varphi, \varphi)$.

$$\bigcap_{X} \xrightarrow{f} \bigcap_{Y}$$

2.3.3. Definition. Let $\varphi[x]$ be a formula in \mathcal{I} . The formula

$$\mathbf{CATEGORY}_{x,\;y}(\varphi,\,y\;\textcircled{\textcircled{o}}\;\varphi)$$

is written CATEGORY_x(φ), or " φ _x is a category," or " φ is a category with respect to x."

Remark: This definition makes sense because two formulas: $CATEGORY_{x,y}$ $(\varphi, y \odot \varphi)$ and $CATEGORY_{x,y}$ $(\varphi, y' \odot \varphi)$ are identical.

As $\operatorname{CATEGORY}_{x,\,y}(\varphi,\,\phi) \Longleftrightarrow (\operatorname{CATEGORY}_x(\varphi) \wedge \forall y [\varphi[y] \Longleftrightarrow y \circledcirc \varphi])$ is a theorem in $\mathcal I$, it does not lose generality to use only the categories of the form $(\varphi,\,y \circledcirc_x \varphi)$. So, we use the notation $\operatorname{CATEGORY}_x(\varphi)$ mainly. But, sometimes it is essentially convenient to use the notation $\operatorname{CATEGORY}_{x,\,y}(\varphi,\,\phi)$. (c. f. Scheme 8 (3.4))

2.3.4. Example. Let $\phi_{7}[X, Y, Z, E, f, g, h, x]$ denote the formula in $\mathcal I$ below:

$$x = (X, X, X) \lor x = (Y, Y, Y) \lor x = (Z, Z, Z) \lor x = (E, E, E)$$

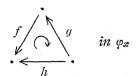
 $\lor x = (f, Y, f) \lor x = (Z, f, f) \lor x = (g, X, g) \lor x = (Y, g, g)$
 $\lor x = (h, X, h) \lor x = (Z, h, h) \lor x = (f, g, h).$

Then $\forall X \forall Y \forall Z \forall E \forall f \forall g \forall h(X, Y, Z, f, g, h)$ are pairwise non-equal \Longrightarrow CATE-GORY_x(ϕ_{r})) is a theorem.

Remark: $X = Y = Z = f = g \neq h \Longrightarrow \neg CATEGORY_x(\phi_7)$.

If $CATEGORY_x(\phi_7)$, ϕ_7 is called "the septet category (7-morphism-category) made of X, Y, Z, E, f, g, h."

2.3.5. DEFINITION. Let φ_x be a category in \mathcal{I} . $\varphi[t]$ is written "t is a triangle of φ_x ." $\varphi[(f, g, h)]$ is also denoted by the diagram below:



The formula

 $\exists v\exists w\varphi[(t,v,w)] \vee \exists u\exists w\varphi[(u,t,w)] \vee \exists u\exists v\varphi[(u,v,t)] \vee t \textcircled{\bullet}_x \varphi$ is written $t \nvDash \varphi$ or "t is a morphism of φ_x ."

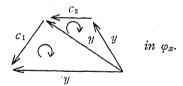
2.3.6. Criterion. Let φ_x be a category in \mathcal{I} . Then

$$\forall y \forall c_1 \forall c_2 [(c_1 \underbrace{\circ}_x \varphi \land c_2 \underbrace{\circ}_x \varphi \land \varphi[(c_1, y, y)] \land \varphi[(c_2, y, y)]) \Longrightarrow c_1 = c_2],$$

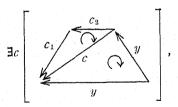
$$\forall y \forall d_1 \forall d_2 [(d_1 \underbrace{\circ}_x \varphi \land d_2 \underbrace{\circ}_x \varphi \land \varphi[(y, d_1, y)] \land \varphi[(y, d_2, y)]) \Longrightarrow d_1 = d_2],$$

are theorems.

Proof: Suppose $c_1 \odot \varphi \wedge c_2 \odot \varphi \wedge \varphi[(c_1, y, y)] \wedge \varphi[(c_2, y, y)]$, then



By the associativity of φ_x ,



therefore $c_1=c_1 \not \succsim c_2=c_2$. This proves the first half of the criterion. The proof of the second half is similar.

2.3.7. Definition. Let φ_x be a category in \mathcal{I} , and let $y \not \sqsubseteq \varphi$. Then

 $\tau_c(c \underbrace{\odot}_x \varphi \wedge \varphi[(c, y, y)])$ is denoted by $\operatorname{cod}_{v_x}(y)$, $\tau_d(d \underbrace{\odot}_x \varphi \wedge \varphi[(y, d, y)])$ is denoted by $\operatorname{dom}_{\varphi_x}(y)$.

2.3.8. Definition. The formula $c = \operatorname{cod}_{\varphi_x}(y) \wedge d = \operatorname{dom}_{\varphi_x}(y)$ is denoted by

$$c \leftarrow y \qquad in \varphi_x$$
.

2.3.9. Criterion. Let φ_x be a category in \mathcal{I} . Then

 $\forall u \forall v [(u, v) \text{ is composable in } \varphi_x \iff \operatorname{cod}_{\varphi_x}(u) = \operatorname{dom}_{\varphi_x}(v)],$

$$\forall y [y \odot \varphi \Longleftrightarrow y = \operatorname{cod}_{\varphi_x}(y) = \operatorname{dom}_{\varphi_x}(y)],$$

 $\forall u \forall v \forall w [\varphi[(u, v, w)] \Longrightarrow \{ \mathbf{cod}_{\varphi_x}(w) = \mathbf{cod}_{\varphi_x}(u) \land \mathbf{dom}_{\varphi_x}(w) = \mathbf{dom}_{\varphi_x}(v) \}],$

are theorems.

2.3.10. Definition. Let φ_x be a category in \mathcal{I} . The formula

$$\forall x (x \underset{x}{\not\in} \varphi \Longrightarrow x \underbrace{\circ}_{x} \varphi)$$

is written $\operatorname{Disc}_x(\varphi)$, or " φ_x is discrete."

2.3.11. Criterion. Let φ_x be a category in \mathcal{I} . Then

 $\mathbf{Disc}_x(\varphi) \Longrightarrow \forall u \forall v [(u \underset{x}{\nvDash} \varphi \land v \underset{x}{\vDash} \varphi \land u \neq v) \Longrightarrow (u,v) \text{ is not composable in } \varphi_x]$ is a theorem.

Proof: Suppose $\operatorname{Disc}_x(\varphi)$, and suppose $u \not\sqsubseteq \varphi \land v \not\sqsubseteq \varphi$. Then $u \not\odot \varphi \land v \not\odot \varphi$, so (u,v) is composable in $\varphi_x \Longrightarrow u = u \not\hookrightarrow v = v \Longrightarrow u = v$.

This proves the criterion.

2.3.12. Criterion. Let φ_x be a category in \mathcal{I} . Then

$$\operatorname{Disc}_{x}(\varphi) \Longrightarrow \forall u \forall v \forall w [\varphi[(u,v,w)] \Longrightarrow w \underset{x}{\textcircled{\bullet}} \varphi \land u = v = w]$$

is a theorem.

2.3.13. Criterion. Let $\phi[e]$ be a formula in \mathcal{I} , and let x be a variable not appearing in ϕ . Then $(\exists e(x=(e,e,e) \land \phi[e]))_x$ is a category, and

$$\operatorname{Disc}_{x}(\exists e(x=(e,e,e) \land \phi[e]))$$

is a theorem.

2.3.14. Definition. Let φ_x, ψ_x be two categories in \mathfrak{I} . The formula

$$\forall y (\varphi[y] \Longrightarrow \varphi[y]) \land \forall z (z \ \underline{\bullet} \ \varphi \Longrightarrow z \ \underline{\bullet} \ \phi)$$

is written $\varphi \subset \varphi$, or " φ_x is a subcategory of φ_x ."

2.3.15. Criterion. Let $\varphi_x, \psi_x, \theta_x$ be three categories in \mathcal{I} , then

$$\varphi \subseteq \varphi,$$

$$(\varphi \subseteq \psi \land \psi \subseteq \theta) \Longrightarrow \varphi \subseteq \theta,$$

are theorems.

Now, we shall define the opposite category, the category of all units, the discretization category, the category of all morphisms from X into Y, the product category, the category of all composable pairs. And finally, we shall define functor, the composition of functors.

2.3.16. Definition. Let φ_x be a category in \mathcal{I} . The formula

$$x$$
 is a triple $\Lambda \varphi[(\mathbf{pr}_2x, \mathbf{pr}_1x, \mathbf{pr}_3x)]$

is a category with respect to x in \mathcal{I} and is denoted by φ_x^{op} . φ_x^{op} is called *the opposite* (dual) category of φ_x .

2.3.17. Criterion. Let φ_x be a category in \mathcal{I} , then

$$\forall y[(\varphi_x^{\text{op}})_x^{\text{op}}[y] \iff \varphi_x[y]],$$

$$\mathbf{Disc}_x(\varphi) \Longrightarrow \forall y[\varphi[y] \iff \varphi_x^{\text{op}}[y]],$$

$$\forall y[y \textcircled{\textcircled{o}} \varphi \iff y \textcircled{\textcircled{o}} \varphi_x^{\text{op}}],$$

are theorems.

2.3.18. Definition. Let φ_x be a category in \mathcal{I} . The formula

$$\exists e(x=(e,e,e) \land e \underbrace{\circ}_{x} \varphi)$$

is a discrete category with respect to x in \mathcal{T} (2.3.13), and is denoted by $|\varphi|_x$. $|\varphi|_x$ is called the category of all units in φ_x .

2.3.19. Criterion. Let φ_x be a category in \mathcal{I} , then

$$|\varphi| \subseteq \varphi$$

is a theorem.

2.3.20. Definition. Let φ_x be a category in \mathfrak{T} . The formula

$$\exists e(x=(e,e,e) \land e \not \sqsubseteq \varphi)$$

is a discrete category with respect to x in \mathcal{I} (2.3.13), and is denoted by $\tilde{\varphi}_x$. $\tilde{\varphi}_x$ is called *the discretization of* φ_x .

2.3.21. Criterion. Let φ_x be a category in \mathcal{I} , then

$$\forall y[y \not \in \varphi \Longleftrightarrow y \not \in \breve{\varphi}_x]$$

is a theorem.

2.3.22. Definition. Let φ_x be a category in \mathcal{I} , and let X, Y be units of φ_x . The formula

$$\exists e[x=(e,e,e) \land \mathbf{dom}_{\varphi_x}(e) = X \land \mathbf{cod}_{\varphi_x}(e) = Y]$$

is a discrete category with respect to x in \mathcal{I} (2.3.13), and is denoted by $\varphi_x(X, Y)$, or $\operatorname{Hom}_{\varphi_x}(X, Y)$, etc.

2.3.23. Criterion. Let φ_x be a category in \mathcal{I} , then

$$\varphi_x(X, Y) \subset \varphi_x$$

is a theorem.

2.3.24. Definition. Let φ_x , ϕ_x be two categories in \mathcal{I} . The formula $\exists y \exists z [x = ((\mathbf{pr}_1 y, \mathbf{pr}_1 z), (\mathbf{pr}_2 y, \mathbf{pr}_2 z), (\mathbf{pr}_3 y, \mathbf{pr}_3 z)) \land \varphi[y] \land \psi[z]]$

is a category with respect to x in \mathcal{I} , and is denoted by $\varphi \underset{x}{\times} \psi$, which is called the product of φ_x and ψ_x .

2.3.25. Criterion. Let φ_x, ϕ_x be two categories in \mathcal{I} , then

$$\forall y[y \underbrace{\bullet}_{x} (\varphi \underset{x}{\times} \phi) \Longleftrightarrow (\mathbf{pr}_{1} y \underbrace{\bullet}_{x} \varphi \wedge \mathbf{pr}_{2} y \underbrace{\bullet}_{x} \phi)]$$

is a theorem.

2.3.26. Definition. Let φ_x be a category in \mathcal{I} . The formula

$$\exists u_1 \exists u_2 \exists v_1 \exists v_2 \exists w_1 \exists w_2$$

$$[x=((u_1, u_2), (v_1, v_2), (w_1, w_2)) \land (\varphi \times \varphi)[x]$$

 $\Lambda(u_1, u_2)$, (v_1, v_2) , (w_1, w_2) are composable pairs of φ_x .

is a category with respect to x in \mathcal{I} , and is denoted by $\varphi \not \bigstar \varphi$.

2.3.27. Definition. Let $\varphi_x, \psi_x, \alpha_x$ be three categories in \mathcal{G} . The formula

$$\begin{split} &\alpha \underset{x}{\longleftarrow} (\varphi \underset{x}{\times} \psi) \\ & \wedge \ \forall z (z \underset{x}{\sqsubseteq} \varphi \Longrightarrow \exists \,! \, w (w \underset{x}{\sqsubseteq} \psi \wedge (z, w) \underset{x}{\sqsubseteq} \alpha)) \\ & \wedge \ \forall f \ \forall g \forall h (\varphi[(f, g, h)] \Longrightarrow \psi[(\alpha(f), \alpha(g), \alpha(h))]) \\ & \wedge \ \forall e (e \underset{\alpha}{\textcircled{\textcircled{\textbf{o}}}} \varphi \Longrightarrow \alpha(e) \underset{\alpha}{\textcircled{\textcircled{\textbf{o}}}} \psi), \end{split}$$

where $\alpha(a)$ denotes $\tau_b((a,b) \not\sqsubseteq \alpha)$, is written,

" α_x is a functor from φ_x into ψ_x ."

We also write $\varphi_x \xrightarrow{\alpha_x} \psi_x$.

2.3.28. Example. Let φ_x be a category in \mathcal{I} . The formula

$$\exists u \exists v \exists w [x = ((u, u), (v, v), (w, w)) \land \varphi[(u, v, w)]]$$

is a category with respect to x in \mathcal{I} , which is called the diagonal category of φ_x . Furthermore, it is a functor from φ_x into φ_x . The above formula is also denoted by I_{φ_x} .

We have $\forall y[y \underset{x}{\bowtie} \varphi \Longrightarrow I_{\varphi_x}(y) = y]$, so the functor is also called *the identity* functor on φ_x .

2.3.29. Definition. Let $\varphi_x \xrightarrow{\alpha_x} \psi_x \xrightarrow{\beta_x} \theta_x$ be true. Then the formula $\exists u_1 \exists u_2 \exists u_3 \exists v_1 \exists v_2 \exists v_3 \exists w_1 \exists w_2 \exists w_3$

$$[x = ((u_1, w_1), (u_2, w_2), (u_3, w_3))$$
$$\wedge \alpha[((u_1, v_1), (u_2, v_2), (u_3, v_3))]$$

$$\land \beta[((v_1, w_1), (v_2, w_2), (v_3, w_3))]]$$

is a category with respect to x in \mathcal{I} . And furthermore, it is a functor from φ_x into θ_x .

This functor is denoted by $\beta \bigcirc \alpha$, which is called *the composite functor of* α and β .

2.3.30. Criterion. Let
$$\varphi_x \xrightarrow{\alpha_x} \psi_x$$
 be true. Then
$$\forall y[y \underset{x}{\sqsubseteq} \varphi \Longrightarrow (\alpha \underset{x}{\bigcirc} I_{\varphi})(y) = \alpha(y)],$$

$$\forall y[y \underset{x}{\sqsubseteq} \varphi \Longrightarrow (I_{\varphi} \underset{x}{\bigcirc} \alpha)(y) = \alpha(y)],$$

are theorems.

2.3.31. Definition. Let $\varphi_x \xrightarrow{\alpha_x} \phi_x$ be true. Then the formula

$$\forall y [y \underset{x}{\bowtie} \varphi \Longrightarrow (\beta \underset{x}{\bigcirc} \alpha) (y) = y] \land \forall y [y \underset{x}{\bowtie} \psi \Longrightarrow (\alpha \underset{x}{\bigcirc} \beta) (y) = y]$$

is written " φ_x and ψ_x are isomorphic with α_x and β_x as isomorphisms."

3. PAN-CATEGORISM~Category theory Tcat

 \mathcal{T}_{Cat} is an equalitarian theory with ordered pair, with an additional binary predicate symbol \triangleleft and additional axioms and a scheme: Axiom 2 \sim Axiom 6, Scheme 8.

If t, C are terms, the formula $\triangleleft tC$ is denoted by $t \triangleleft C$.

As the symbol \triangleleft is introduced, we can define the formula which is read as "C is a category," for a term C.

Using \triangleleft , we now state our Axiom of PAN-CATEGORISM.

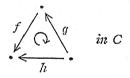
3.1. Axiom of PAN-CATEGORISM

3.1.1. Axiom 2. (Axiom of PAN-CATEGORISM)

 $\forall C[CATEGORY_x(x \triangleleft C)].$

Remark: If we read $CATEGORY_x(x \triangleleft C)$ (which does not contain the variable x) as "C is a category," Axiom 2 says that "all terms are categories," i. e. claims PAN-CATEGORISM. From now on, category also means term.

3.1.2. Definition. $t \triangleleft C$ is written "t is a triangle of C." $(f, g, h) \triangleleft C$ is also denoted by the diagram below:



 $f \underset{x \neq 0}{\not\sim} g$ is denoted by $f \underset{0}{\not\sim} g$ or "the composite of g and f in a category C."

 $f \underset{x \le G}{\wedge} g \underset{x \le G}{\wedge} h$ is denoted by $f \underset{G}{\wedge} g \underset{G}{\wedge} h$.

 $e \odot (x \triangleleft C)$ is written $e \odot C$ or "e is a unit of C."

 $y \underset{x}{\sqsubseteq} (x \triangleleft C)$ is written $y \sqsubseteq C$ or "y is a morphism of C."

 $\operatorname{cod}_{x \triangleleft C}(y)$ is denoted by $\operatorname{cod}_{C}(y)$.

 $\operatorname{dom}_{x \triangleleft C}(y)$ is denoted by $\operatorname{dom}_{C}(y)$.

The formula $c = \operatorname{cod}_{\mathcal{O}}(y) \wedge d = \operatorname{dom}_{\mathcal{O}}(y)$ is denoted by

$$c \leftarrow \frac{y}{d}$$
 in C.

 $\operatorname{Disc}_x(x \triangleleft C)$ is written $\operatorname{Disc}(C)$ or "C is a discrete category."

3.1.3. Definition. The formula $x \triangleleft C \subset x \triangleleft D$, (2.3.14) which does not contain the variable x, is written $C \subset D$, or "C is a subcategory of D."

3.1.4. Proposition.

 $\forall C(C \subset C).$

$$\forall C \forall D \forall E [(C \subset D \land D \subset E) \Longrightarrow C \subset E].$$

The proof is immediate from 2.3.15.

- 3.2. Axiom of extentionality
- 3.2.1. Axiom 3. (Axiom of extentionality)

$$\forall C \forall D \lceil (C \subset D \land D \subset C) \Longrightarrow C = D \rceil.$$

Remark: This axiom is equivalent to

 $\forall C \forall D [\{ \forall x (x \text{ is a triangle of } C \iff x \text{ is a triangle of } D)]$

$$\wedge \forall x (x \text{ is a unit of } C \Longleftrightarrow x \text{ is a unit of } D) \}$$

$$\Longrightarrow C=D$$

Therefore, the axiom above claims that a term is specified by the category structure it determines.

3.2.2. Criterion. Let (φ_x, ψ_x) be a category, and let C be a variable which do not appear in φ nor in ψ . Then the formula

$$\forall x \forall y [(x \triangleleft C \Longleftrightarrow \varphi[x]) \land (y \circledcirc C \Longleftrightarrow \varphi[y])]$$

is single-valued in C.

This is an immediate consequense of Axiom 3.

3.2.3. Definition. Let (φ_x, ϕ_x) be a category. The formula

$$\exists C \forall x \forall y [(x < C \iff \varphi[x]) \land (y \circledcirc C \iff \varphi[y])]$$

is written \mathbf{M} -ABLE $_{x,y}(\varphi, \phi)$, or " (φ_x, ϕ_y) is materializable," or " (φ, ϕ) is materializable with respect to x, y."

If \mathbf{M} -ABLE_{x,y}(φ , ϕ), the term

$$\tau_c(\forall x \forall y [(x \triangleleft C \iff \varphi[x]) \land (y \circledcirc C \iff \varphi[y])])$$

is written $MTRL_{x,y}(\varphi, \phi)$, or "the materialization of (φ_x, ψ_y) ," or "the materialization of (φ, ϕ) with respect to x, y."

Let φ_x be a category.

$$\mathbf{M}$$
- $\mathbf{ABLE}_{x}(\varphi)$ denotes \mathbf{M} - $\mathbf{ABLE}_{x,y}(\varphi, y \odot_{x} \varphi)$.

$$\mathbf{MTRL}_{x}(\varphi)$$
 denotes $\mathbf{MTRL}_{x, y}(\varphi, y \odot \varphi)$.

3.2.4. Proposition. Obviously,

$$\forall C[C = \mathbf{MTRL}_x(x \triangleleft C)].$$

- 3.3. Axiom of the existence of category containing arbitrary category as triangle and unit
- 3.3.1. Axiom 4. (Axiom of the existence of category containing arbitrary category as triangle and unit)

$$\forall x \forall y \exists L[(x \text{ is a triple} \Longrightarrow x \triangleleft L) \land y \circledcirc L].$$

3.3.2. Definition. The category

$$\tau_L((x \text{ is a triple} \Longrightarrow x \triangleleft L) \land y \odot L)$$

is denoted by $\mathbb{C}_{x,y}$.

- 3.3.3. Proposition. $\forall x[x \text{ is a triple} \Longrightarrow \exists L(x \triangleleft L)] \land \forall y[\exists L(y \odot L)].$
- 3.4. Bourbaki's scheme
- 3.4.1. SCHEME 8. (Bourbaki's scheme)

Let $\varphi[x]$, $\psi[y]$ be two formulas where x, y are distinct variables and x does not appear in ψ , y does not appear in φ . Let C, D, z, w be variables distinct from x, y where C, D, z do not appear in ψ , C, D, w do not appear in φ .

Then the formula

$$\forall z \forall w \exists C \forall x \forall y [(\varphi \Longrightarrow x \lhd C) \land (\psi \Longrightarrow y \circledcirc C)]$$

$$\Longrightarrow \forall D[CATEGORY_{x,y}(\varphi', \psi') \Longrightarrow M-ABLE_{x,y}(\varphi', \psi')]$$

is an axiom, where φ' denotes the formula $\exists z[z \triangleleft D \land \varphi]$, and ψ' denotes the formula $\exists w[w \odot D \land \psi]$.

Remark: Intuitively, Bourbaki's scheme asserts the following:

If for each z, w, there exists a category C such that each x satisfying the formula $\varphi[x,z]$ is a triangle of C and that each y satisfying the formula $\varphi[y,w]$ is a unit of C, then for any category D, all x satisfying $\varphi[x,z]$ where z is a triangle of D and all y satisfying $\psi[y,w]$ where w is a unit of D make a materializable category in case they make a category.

- 3.5. Applications of Bourbaki's scheme
- 3.5.1. Criterion of Subcategories.

Let K be a term, and let $\theta[x]$, $\lambda[y]$ be two formulas where x, y are distinct variables which do not appear in K. Then

$$\mathbf{CATEGORY}_{x,y}(\theta[x] \land x \triangleleft K, \lambda[y] \land y \circledcirc K)$$

$$\Longrightarrow \mathbf{M} - \mathbf{ABLE}_{x,y}(\theta[x] \land x \triangleleft K, \lambda[y] \land y \circledcirc K)$$

is a theorem.

Proof: Let $\varphi[x]$ be the formula $\theta[x] \wedge x$ is a triple $\wedge x = z$, and $\psi[y]$ be the formula $\lambda[y] \wedge y = w$, where z, w are distinct variables which are distinct from x, y and do not appear in θ, λ, K . By Axiom 4,

$$\forall x \forall y [(\varphi[x] \Longrightarrow x \lhd_{z,w}^{\mathbb{C}}) \land (\varphi[y] \Longrightarrow y \circledcirc_{z,w}^{\mathbb{C}})].$$

Let C be a variable distinct from x, y, z, w which does not appear in θ, λ . Then

$$\forall z \forall w \exists C \forall x \forall y [(\varphi[x] \Longrightarrow x \lhd C) \land (\varphi[y] \Longrightarrow y \circledcirc C)].$$

So, by Scheme 8,

$$CATEGORY_{x,y}(\varphi', \psi') \Longrightarrow M-ABLE_{x,y}(\varphi', \psi')$$

is true, where φ' denotes the formula $\exists z[z \triangleleft K \land \varphi]$, and ψ' denotes the formula $\exists w[w \odot K \land \psi]$.

We have
$$\varphi' \iff x \lhd K \land \theta[x]$$
, and $\varphi' \iff y \circledcirc K \land \lambda[y]$. Therefore $CATEGORY_{x,y}(x \lhd K \land \theta, y \circledcirc K \land \lambda) \Longrightarrow M-ABLE_{x,y}(x \lhd K \land \theta, y \circledcirc K \land \lambda)$.

3.5.2. Criterion of Substitution.

Let K, t, s be three terms, x, y, z, w, be distinct variables where x, y do not appear in K, and z, w do not appear in K nor in t, s. Then

$$\mathbf{CATEGORY}_{z,\,w}(\exists x(z\!=\!t \land x \mathrel{\triangleleft} K),\,\exists y(w\!=\!s \land y \circledcirc K))$$

$$\implies$$
 M-ABLE_{z,w}($\exists x(z=t \land x \triangleleft K), \exists y(w=s \land y \odot K)$)

is a theorem.

Proof: Suppose CATEGORY_{z,w}($\exists x(z=t \land x \lhd K), \exists y(w=s \land y \circledcirc K)$). Then t is a triple. So, $\forall z(z=t \Longrightarrow z \lhd \mathbb{C}), \forall w(w=s \Longrightarrow w \circledcirc \mathbb{C})$ are true. Therefore

$$\forall x \forall y \exists C \forall z \forall w [(z=t \Longrightarrow z \triangleleft C) \land (w=s \Longrightarrow w \circledcirc C)].$$

So, by Scheme 8,

$$CATEGORY_{z, w}(\varphi, \psi) \Longrightarrow M-ABLE_{z, w}(\varphi, \psi)$$

is true, where φ denotes the formula $\exists x[z=t \land x \triangleleft K]$, and ψ denotes the formula $\exists y[w=s \land y \odot K]$. Therefore

$$\mathbf{M}$$
- $\mathbf{ABLE}_{z,w}(\exists x(z=t \land x \triangleleft K), \exists y(w=s \land y \odot K)).$

3.5.3. DEFINITION. Let x be a triangle of D, and y be a unit of D. Let $\mathbb{C}_{q,D}^{\gamma}$ denote the subcategory

$$\mathbf{MTRL}_{z}(\psi_{7}[\mathbf{dom}_{D}(\mathbf{pr}_{3}x),\mathbf{cod}_{D}(\mathbf{pr}_{2}x),\mathbf{cod}_{D}(\mathbf{pr}_{1}x),y,\mathbf{pr}_{1}x,\mathbf{pr}_{2}x,\mathbf{pr}_{3}x,z])$$

of D.

This definition makes sense because of the criterion of subcategories. In paticular, if $D = \mathbb{C}$, \mathbb{C}_{7p} is denoted by \mathbb{C}_7 .

3.5.4. THEOREM.

 $\forall X \forall Y \forall Z \forall E \forall f \forall g \forall h$

[CATEGORY_x($\phi_{\tau}[X, Y, Z, E, f, g, h, x]$) \Longrightarrow M-ABLE_x($\phi_{\tau}[X, Y, Z, E, f, g, h, x]$)], where ϕ_{τ} is the septet category defined in 2.3.4.

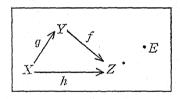
 $\begin{array}{ll} Proof: & \text{Suppose } \mathbf{CATEGORY}_x(\psi_{\tau}[X,\,Y,Z,E,\,f,\,g,\,h,\,x]). & \text{Let } t[z] \text{ denote the term } \tau_y((z\!=\!\mathbf{dom}_{\mathbb{C}^\tau_{\tau}}(h)\, \land y\!=\!X) \vee (z\!=\!\mathbf{cod}_{\mathbb{C}^\tau_{\tau}}(g)\, \lor y\!=\!Y) \vee (z\!=\!\mathbf{cod}_{\mathbb{C}^\tau_{\tau}}(f)\, \lor y\!=\!Z) \vee (z\!=\!y\!=\!E) \vee (z\!=\!y\!=\!g) \vee (z\!=\!y\!=\!h)). & \text{Then} \end{array}$

$$\psi_7[X, Y, Z, E, f, g, h] \iff \exists z[z \leqslant_{(f,g,h),E} \land x = (t[\mathbf{pr}_1z], t[\mathbf{pr}_2z], t[\mathbf{pr}_3z])].$$

Therefore, by the criterion of substitution, $M-ABLE_x(\phi_7[X, Y, Z, E, f, g, h])$. The theorem is proved.

3.5.5. DEFINITION. If CATEGORY_x($\phi_7[X, Y, Z, E, f, g, h, x]$), the term $\mathbf{MTRL}_x(\phi_7[X, Y, Z, E, f, g, h, x]),$

i. e. the corresponding materialization, is denoted by



or $\mathbb{C}_7[X, Y, Z, E, f, g, h]$, etc.

Now, we shall show that various categories defined in 2.3 are materializable if the original categories are materializable:

- 3.5.6. Criterion. Let φ_x , ψ_x be two categories, and let X, Y be units of φ_x . Then
 - 1) \mathbf{M} - $\mathbf{ABLE}_x(\varphi) \Longrightarrow \mathbf{M}$ - $\mathbf{ABLE}_x(\varphi_x^{\text{op}})$
 - 2) \mathbf{M} - $\mathbf{ABLE}_x(\varphi) \Longrightarrow \mathbf{M}$ - $\mathbf{ABLE}_x(|\varphi|_x)$
 - 3) \mathbf{M} - $\mathbf{ABLE}_x(\varphi) \Longrightarrow \mathbf{M}$ - $\mathbf{ABLE}_x(\check{\varphi})$
 - 4) \mathbf{M} -ABLE_x(φ) \Longrightarrow \mathbf{M} -ABLE_x($\varphi_x(X, Y)$)
 - 5) $\{\mathbf{M}-\mathbf{ABLE}_x(\varphi) \land \mathbf{M}-\mathbf{ABLE}_x(\phi)\} \Longrightarrow \mathbf{M}-\mathbf{ABLE}_x(\varphi \times \phi)$
 - 6) \mathbf{M} - $\mathbf{ABLE}_x(\varphi) \Longrightarrow \mathbf{M}$ - $\mathbf{AELE}_x(\varphi \bigstar \varphi)$

are theorems. (2.3.16, 2.3.18, 2.3.20, 2.3.22, 2.3.24, 3.3.26)

We can write above criterion in the form of theorem:

3.5.7. THEOREM.

- 1) $\forall C[\mathbf{M} \mathbf{ABLE}_x((x \triangleleft C)_x^{\mathrm{op}})]$
- 2) $\forall C[\mathbf{M}\text{-}\mathbf{ABLE}_x(|x \triangleleft C|_x)]$
- 3) $\forall C[\mathbf{M}\text{-}\mathbf{ABLE}_x(x \triangleleft C_x)]$
- 4) $\forall C \forall X \forall Y [X, Y \text{ are units of } C \Longrightarrow \mathbf{M} \mathbf{ABLE}_x((x \triangleleft C)_x(X, Y))]$
- 5) $\forall C \forall D [\mathbf{M} \mathbf{ABLE}_x(x \triangleleft C \underset{x}{\times} x \triangleleft D)]$
- 6) $\forall C[\mathbf{M}-\mathbf{ABLE}_x(x \triangleleft C \underset{x}{\bigstar} x \triangleleft C)]$

Proof: 1) Let $\varphi[x]$ denote the formula $\exists z(z \triangleleft C \land x = (\mathbf{pr}_2 z, \mathbf{pr}_1 z, \mathbf{pr}_3 z))$, and let $\psi[y]$ denote the formula $\exists w(w \odot C \land y = w)$. Then, by the criterion of substitution, $\mathbf{CATEGORY}_{x,y}(\varphi, \phi) \Longrightarrow \mathbf{M-ABLE}_{x,y}(\varphi, \phi)$. We have

$$\varphi[x] \iff (x \text{ is a triple } \wedge (\mathbf{pr}_2 x, \mathbf{pr}_1 x, \mathbf{pr}_3 x) \triangleleft C) \iff (x \triangleleft C)_x^{\mathrm{op}}[x]$$

 $\varphi[y] \iff y \circledcirc C \iff y \circledcirc (x \triangleleft C)_x^{\mathrm{op}}.$

Therefore, CATEGORY_{x,y}($(x \triangleleft C)_x^{\text{op}}$, $y \underbrace{\bullet}_x (x \triangleleft C)_x^{\text{op}}$) \Longrightarrow M-ABLE_{x,y}($(x \triangleleft C)_x^{\text{op}}$, $y \underbrace{\bullet}_x (x \triangleleft C)_x^{\text{op}}$).

By the fact CATEGORY_x($(x \triangleleft C)_x^{\text{op}}$), the theorem is proved.

3) By the criterion of substitution.

 $M-ABLE_x(\exists e(x=(pr_3e, pr_3e, pr_3e) \land e \triangleleft C)), i. e.$

M-ABLE_x($\exists e(x=(e,e,e) \land e \vDash C)$). This proves the theorem.

5) To prove this theorem, we prove first the lemma below:

Lemma: $\forall X_1 \forall Y_1 \forall Z_1 \forall E_1 \forall f_1 \forall g_1 \forall h_1 \forall X_2 \forall Y_2 \forall Z_2 \forall E_2 \forall f_2 \forall g_2 \forall h_2$

 $[\{CATEGORY_x(\phi_7[X_1, Y_1, Z_1, E_1, f_1, g_1, h_1, x])\}]$

 $\land \text{CATEGORY}_x(\phi_7[X_2, Y_2, Z_2, E_2, f_2, g_2, h_2, x])$

$$\Longrightarrow$$
 M-ABLE_x $(x \lhd \mathbb{C}_7[X_1, Y_1, Z_1, E_1, f_1, g_1, h_1] \underset{x}{\times} x \lhd \mathbb{C}_7[X_2, Y_2, Z_2, E_2, f_2, g_2, h_2])]$

Proof: The category $x \triangleleft \mathbb{C}_7[X_1, Y_1, Z_1, E_1, f_1, g_1, h_1] \underset{x}{\vee} x \triangleleft \mathbb{C}_7[X_2, Y_2, Z_2, E_2, f_2, g_2, h_2]$ is a category with 49 morphisms and 121 triangles. The materializability is proved by using Bourbaki's scheme and Axiom 4.

Using this lemma, we have

$$\forall C \forall D \forall x \forall y \forall z \forall w$$

$$[(x \triangleleft C \land y \circledcirc C \land z \triangleleft D \land w \circledcirc D) \Longrightarrow \mathbf{M}\text{-}\mathbf{ABLE}_p(p \triangleleft \underset{x,y}{\mathbb{C}_{7}} \underset{p}{\vee} p \triangleleft \underset{z,w}{\mathbb{C}_{7}} _p)].$$

The materialization with respect to p is denoted by $\mathbb{C}_{x,y}^{7} \subset \times \mathbb{C}_{x,w}^{7} \subset \times \mathbb{C}_{x,y}^{7} \subset \times \mathbb{C}_{x,y}^{7}$

Then let $x \triangleleft C$, $y \circledcirc C$,

$$\forall p \forall q [\{(\exists a (a \lhd \mathbb{C}_{x,y_C}^{7} \land$$

$$p = ((\mathbf{pr}_1 a, \mathbf{pr}_1 z), (\mathbf{pr}_2 a, \mathbf{pr}_2 z), (\mathbf{pr}_3 a, \mathbf{pr}_3 z))) \land z \triangleleft D) \Longrightarrow p \triangleleft \mathbb{C}_{x,y} \times \mathbb{C}_{z,w} D$$

$$\land \{ (\exists b (b \circledcirc \underset{x,y}{\mathbb{C}_{7_C}} \land q = (b,w)) \land w \circledcirc D$$

 $) \Longrightarrow q \odot \mathbb{C}_{x,y} \times \mathbb{C}_{z,w}$

Therefore, $\forall C \forall D \forall x \forall y [(x \triangleleft C \land y \odot C)] \Longrightarrow$

 $[\forall z \forall w \forall C' \forall p \forall q$

$$[\{(\exists a(a \lhd \bigcap_{x,y} \land p = ((\mathbf{pr}_1 a, \mathbf{pr}_1 z), (\mathbf{pr}_2 a, \mathbf{pr}_2 z), (\mathbf{pr}_3 a, \mathbf{pr}_3 z))) \land z \lhd D) \Longrightarrow p \lhd C'\}$$

$$\land \{(\exists b(b \circledcirc \bigcap_{x,y} \land q = (b, w)) \land w \circledcirc D) \Longrightarrow q \circledcirc C'\}]]].$$

So, by Bourbaki's scheme,

$$\forall C \forall D \forall x \forall y [(x \triangleleft C \land y \circledcirc C) \Longrightarrow$$

$$\mathbf{M}\text{-}\mathbf{A}\mathbf{B}\mathbf{L}\mathbf{E}_{p,q}(\exists z\exists a(a \triangleleft \underset{x,y_C}{\mathbb{C}_{q}} \land z \triangleleft D \land p = ((\mathbf{p}\mathbf{r}_1a, \mathbf{p}\mathbf{r}_1z), (\mathbf{p}\mathbf{r}_2a, \mathbf{p}\mathbf{r}_2z), (\mathbf{p}\mathbf{r}_3a, \mathbf{p}\mathbf{r}_3z))),$$
$$\exists w\exists b(b \circledcirc \underset{x,y_C}{\mathbb{C}_{q}} \land w \circledcirc D \land q = (b, w)))].$$

I. e. $\forall C \forall D \forall x \forall y [(x \lhd C \land y \odot C) \Longrightarrow \mathbf{M} - \mathbf{ABLE}_p(p \lhd \mathbb{C}_{x,yC}^7 \times p \lhd D)]$. The mater alization with respect to p is denoted by $\mathbb{C}_{x,yC} \times D$. Then

$$\forall p \forall q [\{(\exists z(z \triangleleft D \land$$

$$p = ((\mathbf{pr}_1 x, \mathbf{pr}_1 z), (\mathbf{pr}_2 x, \mathbf{pr}_2 z), (\mathbf{pr}_3 x, \mathbf{pr}_3 z))) \land x \triangleleft C) \Longrightarrow p \triangleleft \mathbb{C}_{x,y_C} \times D\}$$

$$\land \{ (\exists w (w \circledcirc D \land q = (y, w)) \land y \circledcirc C) \Longrightarrow q \circledcirc \mathbb{C}_{x,y_C}^{\eta} \times D\} \}.$$

Furthermore,

 $\forall C \forall D \forall x \forall y \exists C' \forall p \forall q$

$$[\{\exists z(z \triangleleft D \land p = ((\mathbf{pr}_1 x, \mathbf{pr}_1 z), (\mathbf{pr}_2 x, \mathbf{pr}_2 z), (\mathbf{pr}_3 x, \mathbf{pr}_3 z))) \land x \triangleleft C) \Longrightarrow p \triangleleft C'\}$$

$$\land \{(\exists w(w \circledcirc D \land q = (y, w)) \land y \circledcirc C \}$$

So, by Bourbaki's scheme,

$$\forall C \forall D[\mathbf{M}-\mathbf{ABLE}_p(p \triangleleft C \underset{n}{\times} p \triangleleft D)], \text{ q. e. d.}$$

2), 3), 6) are obvious because of the facts

$$|x \triangleleft C|_x \subset x \triangleleft C$$
,

$$(x \triangleleft C)_x(X, Y) \subset (x \triangleleft C)_x,$$

$$x \triangleleft C \underset{x}{\bigstar} x \triangleleft C \underset{x}{\longleftarrow} x \triangleleft C \underset{x}{\searrow} x \triangleleft C.$$

(2.3.19, 2.3.23)

3.5.8. Definition.

- 1) $MTRL_x((x \triangleleft C)_x^{op})$ is written C^{op} or "the opposite (dual) of C."
- 2) $MTRL_x(|x \triangleleft C|_x)$ is written |C| or "the category of all units in C."
- 3) $MTRL_x(x \triangleleft C_x)$ is written \check{C} or "the discretization of C."
- 4) $\mathbf{MTRL}_x((x \triangleleft C)_x(X, Y))$ is denoted by C(X, Y) or $\mathbf{Hom}_{\mathcal{C}}(X, Y)$, etc.
- 5) $\mathbf{MTRL}_x(x \triangleleft C \times x \triangleleft D)$ is written $C \times D$ or "the product of C and D."
- 6) $\mathbf{MTRL}_x(x \triangleleft C \bigstar x \triangleleft C)$ is denoted by $C \bigstar C$.
- 3.5.9. Definition. $\mathbb{IC}_{7}[X, Y, Z, E, f, g, h]$ is denoted by $\{X, Y, Z, E\}$.
- 3.5.10. Proposition. $\forall X \forall Y \forall Z \forall E [\forall x (x \bowtie \{X, Y, Z, E\} \iff (x = X \lor x = Y \lor x = Z \lor x = E))].$
 - 3.5.11. Definition. $\{X, X, Y, E\}$ is also denoted by $\{X, Y, E\}$. $\{X, X, E\}$ is also denoted by $\{X, E\}$. $\{X, X\}$ is also denoted by $\{X\}$.
 - 3.5.12. Criterion. Let $\varphi_x, \psi_x, \alpha_x$ be three categories. Then

$$(\varphi_x \xrightarrow{\quad \alpha_x \quad} \psi_x \land \mathbf{M} - \mathbf{ABLE}_x(\varphi) \land \mathbf{M} - \mathbf{ABLE}_x(\phi)) \Longrightarrow \mathbf{M} - \mathbf{ABLE}_x(\alpha)$$

is a theorem.

The proof is straightforward by the fact $\alpha \subset (\varphi X \psi)$.

3.5.13. Definition. The formula $(x \triangleleft C)_x \xrightarrow{(x \triangleleft F)_x} (x \triangleleft D)_x$ (c. f. 2.3.27) is also denoted by

$$C \xrightarrow{F} D$$

which is written "F is a functor from C into D."

If $C \xrightarrow{F} D \xrightarrow{G} E$, the materialization of the composite functor of F and G (2.3.29) is denoted by $G \bigcirc F$, which is also called *the composite functor of* F and G.

The materialization of the identity functor (2.3.28) on $(x \triangleleft C)_x$ is denoted by I_C , which is also called the identity functor on C.

3.5.14. Definition. Let Ism(C, D) be the formula below:

$$\exists F \exists G [C \xleftarrow{F} D \land G \bigcirc F = I_C \land F \bigcirc G = I_D],$$

which is also written "C and D are isomorphic."

3.5.15. Definition. The term $\tau_z(\mathbf{Ism}(Z,C))$ is denoted by $\mathbf{Type}(C)$. The discretization of $\tau_z(\mathbf{Ism}(Z,\check{C}))$ is denoted by $\mathbf{Card}(C)$.

3.5.16. Theorem. The formula $\forall x (\exists x \in X)$ is functional in X.

Proof: Suppose $\forall x (\exists x \in X_1), \forall x (\exists x \in X_2).$ Then $\forall x (\exists x \triangleleft X_1), \forall x (\exists x \triangleleft X_2), \forall x (\exists x \circledcirc X_1), \forall x (\exists x \circledcirc X_2).$ Therefore

$$\forall x(x \triangleleft X_1 \Longleftrightarrow x \triangleleft X_2) \land \forall x(x \circledcirc X_1 \Longleftrightarrow x \circledcirc X_2),$$

so $X_1 = X_2$. I.e. the formula $\forall x (\exists x \in X)$ is single-valued in X. We have, $\mathbf{MTRL}_x(X \neq X)$ satisfies the formula.

- 3.5.17. Definition. $\tau_x(\forall x(\exists x \succeq X))$ is denoted by ϕ or 0. ϕ is written "empty category."
- 3.6. Axiom of power category
- 3.6.1. Axiom 5. (Axiom of power category)

$$\forall C \exists D [\forall x (x \bowtie D \Longleftrightarrow x \subset C)].$$

- 3.6.2. Definition. The discretization of $\tau_D(\forall x (x \not\in D \iff x \subset C))$ is written $\mathcal{Q}(C)$ or "the power category of C.
 - 3.6.3. Example. $\mathcal{P}(\phi) = {\phi},$ $\mathcal{P}({\phi}) = {\phi}, {\phi}$
 - 3.7. Axiom of infinity
 - 3.7.1. Axiom 6. (Axiom of infinity)

$$\exists X [\exists x (x \vDash X) \land \forall x (x \vDash X \Longrightarrow \exists y (y \vDash X \land x \subset y \land x \neq y))].$$

-[Axioms and scheme of $\mathcal{I}_{\mathtt{Cat}}]-$

- 3.1.1. Axiom 2. $\forall C[\text{CATEGORY}_x(x \triangleleft C)].$
- 3.2.1. Axiom 3. $\forall C \forall D[(C \subset D \land D \subset C) \Longrightarrow C = D]$.
- 3.3.1. Axiom 4. $\forall x \forall y \exists L[(x \text{ is a triple} \Longrightarrow x \lhd L) \land y \circledcirc L].$
- 3.4.1. Scheme 8. Let $\varphi[x]$, $\psi[y]$ be two formulas where x, y are distinct variables and x does not appear in ψ , y does not appear in φ . Let C, D, z, w be variables distinct from x, y where C, D, z do not appear in ψ , C, D, w do not appear in φ . Then

$$\forall z \forall w \exists C \forall x \forall y [(\varphi \Longrightarrow x \lhd C) \land (\psi \Longrightarrow y \circledcirc C)]$$

$$\Longrightarrow \forall D[\text{CATEGORY}_{x,y}(\varphi', \psi') \Longrightarrow \text{M-ABLE}_{x,y}(\varphi', \psi')],$$

where φ' denotes $\exists z[z \triangleleft D \land \varphi], \ \varphi'$ denotes $\exists w[w \odot D \land \varphi], \ is an axiom.$

- 3.6.1. Axiom 5. $\forall C \exists D [\forall x (x \not\in D \iff x \subset C)].$
- 3.7.1. Axiom 6. $\exists X [\exists x (x \bowtie X) \land \forall x (x \bowtie X \Longrightarrow \exists y (y \bowtie X \land x \subset y \land x \neq y))].$

4. Consistency of Tcat relative to Bourbaki's set theory

4.1. Preliminaries in Iset

Throughout this chapter, \mathcal{I}_{Set} denotes a set theory, which is an equalitarian theory with ordered pair, with an additional binary predicate symbol \in and additional axioms and a scheme: Axiom 3' \sim Axiom 6', Scheme 8' below.

- 4.1.1. Axiom 3'. $\forall x \forall y [(x \subset y \land y \subset x) \Longrightarrow x = y].$
- 4.1.2. Axiom 4'. $\forall x \exists L[x \in L]$.
- 4.1.3. Axiom 5'. $\forall X[\text{Coll}_{v}(Y \subset X)].$
- 4.1.4. AXIOM 6'. $\exists X [\exists x (x \in X) \land \forall x (x \in X \Longrightarrow \exists y (y \in X \land x \frown y \land x \neq y))].$
- 4.1.5. Scheme 8'. Let $\varphi[x]$ be a formula, x, y be distinct variables. Let X, Y be variables distinct from x, y which do not appear in φ . Then $\forall y \exists X \forall x [\varphi \Longrightarrow x \in X] \Longrightarrow \forall Y [\operatorname{Coll}_x(\exists y (y \in Y \land \varphi))]$ is an axiom.

Remark: The definition of $x \subset y$, $\operatorname{Coll}_x(\varphi)$ is those used in [1] Chapter 2. $\mathcal{I}_{\operatorname{Set}}$ and Bourbaki's set theory are equivalent.

4.1.6. Definition in \mathcal{I}_{Set} . We denote by CATEGORY(C), the formula

C is a pair
$$\land \mathbf{pr}_2C \in \mathcal{P}(\mathbf{pr}_1C \times \mathbf{pr}_1C \times \mathbf{pr}_1C) \land \mathbf{pr}_1C = \mathbf{pr}_1 \langle \mathbf{pr}_2C \rangle$$

 $\land \mathbf{CATEGORY}_x(x \in \mathbf{pr}_2C) \land \mathbf{pr}_2C \neq \mathcal{A}_{\mathbf{pr}_1C \times \mathbf{pr}_1C \times \mathbf{pr}_1C}$

where $\Delta_{x \times x \times x} = \{(z, z, z) | z \in x\}.$

4.1.7. Proposition in \mathcal{I}_{Set} . The formula

$$(CATEGORY(C) \land x = pr_2C) \lor (\neg CATEGORY(C) \land x = \Delta_{C \times C \times C})$$

is single-valued in x for all C.

Proof: Suppose that

(CATEGORY(C)
$$\land x_1 = \mathbf{pr}_2 C) \lor (\neg CATEGORY(C) \land x_1 = \mathcal{A}_{C \times C \times C}),$$

(CATEGORY(C) $\land x_2 = \mathbf{pr}_2 C) \lor (\neg CATEGORY(C) \land x_2 = \mathcal{A}_{C \times C \times C}).$

Then $(CATEGORY(C) \land x_1 = x_2 = \mathbf{pr}_2C) \lor (\neg CATEGORY(C) \land x_1 = x_2 = \Delta_{C \times C \times C})$, i. e. $x_1 = x_2$.

4.1.8. Definition in \mathcal{I}_{Set} . The set

$$\tau_x((CATEGORT(C) \land x = pr_2C) \lor (\neg CATEGORY(C) \land x = \Delta_{G \times G \times G}))$$

is written Tr C, or "the set of all triangles of C."

4.1.9. Proposition in Tset.

$$\forall C[(CATEGORY(C) \Longrightarrow Tr C = pr_2C) \land (\neg CATEGORY(C) \Longrightarrow Tr C = \Delta_{C \times C \times C})]. \blacksquare$$

- 4.1.10. Proposition in \mathcal{I}_{Set} . $\forall C[\text{CATEGORY}_x(x \in \mathcal{I}_{C \times C \times C})]$.
- 4.1.11. COROLLARY. $\forall C[\text{CATEGORY}_x(x \in \text{Tr } C)].$
- 4.1.12. Definition in \mathcal{I}_{Set} .
 - $y \odot (x \in \operatorname{Tr} C)$ is denoted by $y \square C$.

 $\exists u \exists v [(y, u, v) \in \operatorname{Tr} C] \lor \exists u \exists v [(u, y, v) \in \operatorname{Tr} C] \lor \exists u \exists v [(u, v, y) \in \operatorname{Tr} C] \lor y \square C$ is denoted by $y \in C$.

4.1.13. Proposition in Tset.

$$\forall C \forall D [\text{Tr } C = \text{Tr } D \Longrightarrow \{\text{CATEGORY}(C) \Longleftrightarrow \text{CATEGORY}(D)\}].$$

Proof: Suppose $\operatorname{Tr} C = \operatorname{Tr} D$, and suppose $\operatorname{CATEGORY}(C) \land \neg \operatorname{CATEGORY}(D)$. Then $\operatorname{pr}_2 C = \mathcal{A}_{D \times D \times D}$, therefore $\operatorname{pr}_1 \langle \operatorname{pr}_2 C \rangle = \operatorname{pr}_1 \langle \mathcal{A}_{D \times D \times D} \rangle$, $\operatorname{pr}_1 C = D$. So, $\operatorname{pr}_2 C = \mathcal{A}_{\operatorname{pr}_1 C \times \operatorname{pr}_1 C}$. This contradicts $\operatorname{CATEGORY}(C)$, i. e. $\operatorname{CATEGORY}(C) \Longrightarrow \operatorname{CATEGORY}(C)$. Similarly $\operatorname{CATEGORY}(D) \Longrightarrow \operatorname{CATEGORY}(C)$.

4.1.14. Proposition in \mathcal{I}_{Set} . $\forall C \forall D [\text{Tr } C = \text{Tr } D \Longrightarrow \{C = D\}].$

Proof: Suppose $\operatorname{Tr} C = \operatorname{Tr} D$. *First* suppose $\operatorname{CATEGORY}(C)$. By the proposition 4.1.13, $\operatorname{CATEGORY}(D)$, therefore $\operatorname{pr}_2 C = \operatorname{pr}_2 D$, $\operatorname{pr}_1 C = \operatorname{pr}_1 \langle \operatorname{pr}_2 C \rangle = \operatorname{pr}_1 \langle \operatorname{pr}_2 D \rangle$ = $\operatorname{pr}_1 D$, so C = D. *Next*, suppose $\operatorname{\neg CATEGORY}(C)$. By the proposition 4.1.13, $\operatorname{\neg CATEGORY}(D)$, therefore $\Delta_{C \times C \times C} = \Delta_{D \times D \times D}$, so C = D.

- 4.1.15. Definition in \mathcal{I}_{Set} . $\forall x[x \in \operatorname{Tr} C \Longrightarrow x \in \operatorname{Tr} D] \land \forall x[x \square C \Longrightarrow x \square D]$ is denoted by $C \subset D$.
 - 4.2. Main theorem

We interprete $x \triangleleft C$ in \mathcal{I}_{Cat} as $x \in Tr C$ in \mathcal{I}_{Set} .

4.2.1. MAIN THEOREM. By the interpretation above, all axioms (implicit and explicit) of \mathcal{I}_{Cat} become theorems in \mathcal{I}_{Set} .

Before proving the main theorem above, we prove some lemmas.

Lemma: The formula $y \odot C$ is interpreted as $y \square C$. The formula $y \not \sqsubseteq C$ is interpreted as $y \not \sqsubseteq C$. The formula $C \subset D$ is interpreted as $C \subset D$.

The proof is straightforward.

Proof of main theorem:

I) Explicit axioms.

Axiom 2 is interpreted as $\forall C[\text{CATEGORY}_x(x \in \text{Tr } C)]$, which is a theorem in \mathcal{I}_{Set} (4.1.11).

Axiom 3 is interpreted as

$$\forall C \forall D[[\forall x (x \in \operatorname{Tr} C \Longleftrightarrow x \in \operatorname{Tr} D) \land \forall x (x \square C \Longleftrightarrow x \square D)] \Longrightarrow C = D].$$

Equivalently, $\forall C \forall D[\text{Tr } C = \text{Tr } D \Longrightarrow C = D]$, which is a theorem in \mathcal{T}_{Set} (4.1.13). *Axiom* 4 is interpreted as

$$\forall x \forall y \exists L[(x \text{ is a triple} \Longrightarrow x \in \text{Tr } L) \land y \sqsubseteq L].$$

This is a theorem in \mathcal{I}_{Set} . In fact, when x is a triple, we can take as L, $\{z|\phi_{\tau}[X,Y,Z,y,f,g,h,z]\}$, where $f=\mathbf{pr}_{1}x,g=\mathbf{pr}_{2}x,h=\mathbf{pr}_{3}x,X=\tau_{A}((f\neq g\wedge A=(h,g))\vee (f=g\wedge A=(f,g))),\ Y=(f,g),\ Z=\tau_{B}((f\neq g\wedge B=(f,h))\vee (f=g\wedge B=(f,g)))$, and when x is not a triple, we can take as L, $\{(y,y,y)\}$.

Axiom 5 is interpreted as $\forall C\exists D\forall x[x \in D \iff x \subset C]$. It is enough to prove $\forall C\exists D'\forall x[x \in D' \iff x \subset C]$, i. e. $\forall C[\operatorname{Coll}_x(x \subset C)]$. This is clearly a theorem.

Axiom 6 is interpreted as

$$\exists X [\exists x (x \in X) \land \forall x (x \in X \Longrightarrow \exists y (y \in X \land x \underset{\text{Cat}}{\longleftarrow} y \land x \neq y))].$$

It is enough to prove

$$\exists X [\exists x (x \in X) \land \forall x (x \in X \Longrightarrow \exists y (y \in X \land x \underset{\text{Cat}}{\longleftarrow} y \land x \neq y))].$$

Furthermore it is enough to prove

$$\exists X [\exists x (x \in X) \land \forall x (x \in X \Longrightarrow \exists y (y \in X \land x \subset y \land x \neq y))],$$

which is exactly axiom of infinity in \mathcal{I}_{set} (4.1.4).

II) Implicit axioms.

Implicit axiom provided by Scheme 8 is interpreted as

$$\forall z \forall w \exists C \forall x \forall y [(\varphi \Longrightarrow x \in \operatorname{Tr} C) \land (\psi \Longrightarrow y \square C)]$$

$$\Longrightarrow \forall D[\operatorname{CATEGORY}_{x,y}(\varphi', \psi') \Longrightarrow \exists X \forall x \forall y ((x \in \operatorname{Tr} X \Longleftrightarrow \varphi') \land (y \square X \Longleftrightarrow \psi'))],$$

where φ , ψ are formulas which are the interpretation of certain formulas in \mathcal{L}_{Cat} , and φ' denotes $\exists z (z \in \text{Tr } D \land \varphi)$, ψ' denotes $\exists w (w \square D \land \varphi)$. Suppose that $\forall z \forall w \exists C \forall x \forall y [(\varphi \Longrightarrow x \in \text{Tr } C) \land (\psi \Longrightarrow y \square C)]$. Then $\forall z \exists C \forall x [\varphi \Longrightarrow x \in \text{Tr } C]$, $\forall w \exists C \forall y [\psi \Longrightarrow y \square C]$, therefore, $\forall D[\text{Coll}_x(\varphi'')]$, $\forall D[\text{Coll}_y(\psi'')]$, where φ'' denotes $\exists z (z \in D \land \varphi)$, ψ'' denotes $\exists w (w \in D \land \psi)$. Let $Z = \{x | \varphi'\}$, $W = \{y | \psi'\}$, then

$$\mathbf{CATEGORY}_{x,y}(\varphi', \psi') \Longrightarrow \exists X \exists x \forall y [(x \in \mathbf{Tr} \ X \Longleftrightarrow x \in Z) \land (y \sqsubseteq X \Longleftrightarrow y \in W)]$$

is obviously a theorem.

Remark: This theorem 4.2.1 claims that \mathcal{I}_{Cat} is consistent if \mathcal{I}_{Set} is consistent. On the other hand, we can construct a model of \mathcal{I}_{Set} in the category theory \mathcal{I}_{Cat} .

References

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- [3] Lawvere, F.W., The Category of Categories as a Foundation for Mathematics, Conference on Categorical Algebra, La Jolla 1965, Springer-Verlag (1966).