

Murase's Quasi-Matrix Rings and Generalizations

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In a series of papers in this journal Ichiro Murase introduced the notion of a quasi-matrix ring over a division ring [7]. He showed that under rather general conditions an Artinian, generalized uniserial ring is a quasi-matrix ring, and conversely.

In an attempt to obtain a more natural representation of a quasi-matrix ring an interesting class of prime, Noetherian rings was encountered. We call these rings infinite quasi-matrix rings. Every quasi-matrix ring is a homomorphic image of an infinite quasi-matrix ring.

Infinite quasi-matrix rings are in a sense generalizations of polynomial rings. In fact, these two classes of rings share many properties: As already mentioned, they are prime and (left and right) Noetherian. Further, they are Jacobson semisimple; every proper homomorphic image is Artinian and generalized uniserial; and every two-sided ideal is principal.

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1. Preliminaries.

Let K be a ring with identity and let S be a semigroup denoted multiplicatively. The *semigroup ring* $K(S)$ of S over K is the ring whose underlying group is the free K -module with basis S ; multiplication is defined by the rule

$$\left(\sum_s f(s)s\right)\left(\sum_s g(s)s\right) = \sum_t \left(\sum_{rs=t} f(r)g(s)\right)t$$

where $f, g: S \rightarrow K$ are finitely non-zero.

A larger class of rings may be obtained if we consider semigroups with zeros: Let z be a multiplicative zero for S . Clearly then Kz is an ideal of $K(S)$. The *contracted semigroup ring* $K[S]$ of S over K is the quotient ring of $K(S)$ modulo Kz . One easily sees that $K[S]$ may be constructed as the free K -module with basis $S - \{z\}$ in which multiplication is given by the rule above, subject to the identification of the zero, 0 , of the module and the zero, z , of S .



Of course, every ring R may be thought of as either the semigroup ring of the one element semigroup $S=\{1\}$ over itself or as the contracted semigroup ring of $\{1, 0\}$ over itself. And, in general, any semigroup ring over a ring K may be thought of as a contracted semigroup ring over the same ring K : one simply adjoins an external zero to the original semigroup. The converse, however, is false; e. g., the ring K_n of $n \times n$ matrices over a division ring K is the contracted semi-group ring of the semigroup $\{e_{i,j}\} \cup \{0\}$ of matrix units over K . On the other hand, if a semigroup S contains more than one element, then the semigroup ring $K(S)$ contains the non-trivial ideal of elements $\sum f(s)s$ where $\sum f(s)=0$. Hence, if $n > 1$ we cannot have $K(S)$ isomorphic to K_n , [5].

In the theory of semigroups, terms such as subsemigroup, ideal, direct product, etc., usually have the same meaning as the corresponding terms: subring, ideal, direct sum, etc., in ring theory, if one forgets about the additive structure of a ring. The quotient of a semigroup by an ideal, however, requires a separate treatment:

Let I be an ideal of a semigroup S . Let S/I be the set consisting of those elements s in S which are not in I together with an element 0 which is assumed to be not an element of S . S/I becomes a semigroup with zero, 0 , if we define the product of two elements s, t to be their product st in S if $s, t \in S$ and $st \notin I$, and to be 0 in all other cases. S/I is called the *Rees factor semigroup of S modulo I* . Clearly S/I is a homomorphic image of S .

One easily verifies that the canonical homomorphism of S onto S/I may be extended to a ring homomorphism from the contracted semigroup ring $K[S]$ onto the contracted semigroup ring $K[S/I]$. The kernel of the latter homomorphism is easily seen to be $K[I]$, and so we have

$$K[S]/K[I] \cong K[S/I]$$

whenever I is an ideal of S .

Remark. Because of the above comment concerning semigroup rings, we cannot hope that all ideals of a semigroup ring $K(S)$ be of the form $K(I)$ for some ideal I of S . On the other hand, for certain semigroups with zeros it is the case that every ideal of $K[S]$ has the form $K[I]$ for some ideal I of the semigroup S . E. g., any subsemigroup S of the semigroup of ordinary $n \times n$ matrix units satisfies this property, if $e_{11}, \dots, e_{nn} \in S$ and if K is a division ring. Murase's quasi-matrix units semigroups also satisfy this property. It should, however, be noted that the infinite quasi-matrix semigroups introduced below do not have this property.

2. Quasi-Matrix Units Semigroup Rings.

Let $MU(n)$ denote the usual semigroup of all $n \times n$ matrix units

$$\begin{matrix}
 e_{11} & e_{12} & \cdots & e_{1n} \\
 e_{21} & e_{22} & \cdots & e_{2n} \\
 \dots\dots\dots \\
 e_{n1} & e_{n2} & \cdots & e_{nn}
 \end{matrix}$$

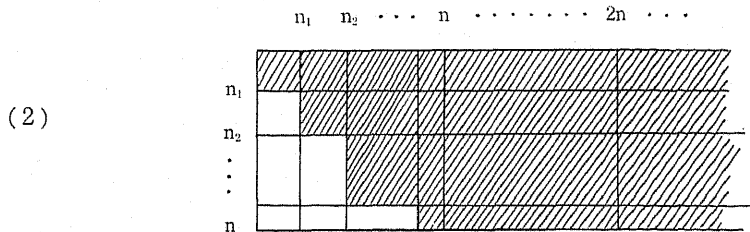
together with 0. This semigroup may be enlarged by extending each row infinitely to the right. More precisely, let $S(n)$ denote the semigroup with elements

$$(1) \quad \begin{matrix}
 e_{11} & e_{12} & \cdots & e_{1n} & e_{1\ n+1} & \cdots \\
 e_{21} & e_{22} & \cdots & e_{2n} & e_{2\ n+1} & \cdots \\
 \dots\dots\dots \\
 e_{n1} & e_{n2} & \cdots & e_{nn} & e_{n\ n+1} & \cdots
 \end{matrix}$$

together with a symbol 0. Define products as follows:

$$e_{hi}e_{jk} = \begin{cases} e_{n, i-j+k} & \text{if } i \equiv j \pmod{n} \\ 0 & \text{otherwise.} \end{cases}$$

By a *complete, block infinite quasi-matrix semigroup* (of degree n) we mean a subsemigroup of $S(n)$ which contains all the elements in an area such as that represented by the shaded portion of the following figure:



where the integers n_i are fixed but arbitrary subject to the condition $0 < n_1 < n_2 < \dots < n$. Note that in a sense this is analogous to a complete, block triangular matrix ring.

The simplest case is that in which $n_i = i$, i.e., each diagonal block is 1×1 . In this case the semigroup consists of elements

$$(3) \quad \begin{matrix}
 e_{11} & e_{12} & \cdots & e_{1n} & e_{1\ n+1} & \cdots \\
 & e_{22} & \cdots & e_{2n} & e_{2\ n+1} & \cdots \\
 \dots\dots\dots \\
 & & & e_{nn} & e_{n\ n+1} & \cdots
 \end{matrix}$$

Then we have $y^k = \sum_{i=1}^n e_{i, i+kn}$, and so

$$y^k e_{i,j} = e_{i,j} y^k = e_{i, j+kn},$$

as can be readily seen by the multiplication rule of $S(n)$. Hence the elements of $QM(n)$ can be written as follows.

$$\begin{matrix} e_{11} & e_{12} & \cdots & e_{1n} & ye_{11} & ye_{12} & \cdots & ye_{1n} & y^2e_{11} & \cdots \\ e_{22} & \cdots & e_{2n} & ye_{21} & ye_{22} & \cdots & ye_{2n} & y^2e_{21} & \cdots \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ e_{nn} & ye_{n1} & ye_{n2} & \cdots & ye_{nn} & y^2e_{n1} & \cdots \end{matrix}$$

Since the elements e_{ij} ($1 \leq i \leq n, 1 \leq j \leq n$) are essentially the usual matrix units, it is clear that $K[QM(n)]$ is isomorphic to A defined by (4). Let E_{ij} ($1 \leq i \leq n, 1 \leq j \leq n$) be the matrix units for A . Then the above isomorphism is given by

$$(5) \quad x^k E_{ij} \rightarrow y^k e_{i,j} = e_{i, j+kn}.$$

Theorem 2 enables us to handle an infinite quasi-matrix ring with almost the same facility as the full matrix ring. One would conjecture that many properties of $K[x]$ which are inherited by the full matrix ring $(K[x])_n$ would also be inherited by $K[QM(n)]$. This is indeed true. In fact, we have the following theorem.

THEOREM 3. *Every infinite quasi-matrix ring over a division ring satisfies the following properties:*

- (i) *The Jacobson radical is zero.*
- (ii) *Every proper homomorphic image is Artinian and generalized uniserial.*
- (iii) *Every two-sided ideal is principal.*
- (iv) *It is prime, and left and right Noetherian.*

This theorem will be established in the next two sections in some cases as corollaries to more general propositions.

3. Pattern Rings and Generalizations.

In this section R will always denote a ring with identity and R_n will be the ring of all $n \times n$ matrices over R . We shall denote the matrix units of R_n conventionally by e_{ij} ($1 \leq i \leq n, 1 \leq j \leq n$). Although these notations originally meant elements of $S(n)$, there will be no fear of confusion.

Let \leq be any quasi-ordering, i.e., transitive, reflexive relation on the set $\{1, 2, \dots, n\}$. Let I be any ideal of R . Then $A = (I, R, \leq, n)$ will denote the subring of R_n consisting of all matrices $\sum r_{ij} e_{ij}$ where $r_{ij} \in I$ if $i \not\leq j$. If, for

example, \leq is the usual ordering of the integers, then A will be the ring of matrices indicated by:

$$(6) \quad \begin{pmatrix} R & R & \cdots & R \\ I & R & \cdots & R \\ \cdots & \cdots & \cdots & \cdots \\ I & I & \cdots & R \end{pmatrix}$$

If $I=0$, this is just the ring of all upper triangular matrices over R .

Another example is the ring corresponding to the quasi-ordering \leq on $1, 2, 3, 4$, defined by $4 \leq 2 \leq 1$, $4 \leq 3 \leq 1$ and $i \leq i$ for $i=1, 2, 3, 4$. This yields the ring of all 4×4 matrices of the form:

$$\begin{pmatrix} R & I & I & I \\ R & R & I & I \\ R & I & R & I \\ R & R & R & R \end{pmatrix}$$

In case $I=0$, the rings obtained by this process are the same as the pattern rings considered by Mitchell [6]. The case in which $I=0$ and R is a field or division ring was investigated by Clark [2], [3], and [4].

Our immediate motivation for considering these rings is that if we specialize (6) by taking $R=K[x]$ and $I=(x)$, then we obtain the infinite quasi-matrix ring (4).

One easily verifies that the ring $A=(I, R, \leq, n)$ is a subring of R_n which contains all of the matrix units $e_i=e_{ii}$. Furthermore, if $i \leq j$, then $e_{ij} \in A$. If $i \not\leq j$, then we can only say that $re_{ij} \in A$ for $r \in I$.

In the remainder of this section we adhere to the following notation: I is an ideal of R ; \leq is a quasi-ordering of $1, 2, \dots, n$, and $r(I)$ (resp. $l(I)$) denotes the right (resp. left) annihilators of I in R .

THEOREM 4. *If $r(I)=0$ or $l(I)=0$, and if R is (Jacobson) semisimple, then $A=(I, R, \leq, n)$ is also.*

Proof. Let J denote the radical of A . Then, as is well known, since e_i is idempotent, the radical of $e_i A e_i$ is $e_i J e_i$. Since $R \cong e_i A e_i$ and R is semisimple, we have $e_i J e_i = 0$.

Suppose that re_{pq} is a non-zero element of $e_p J e_q$. Now, if $r(I)=0$, $Ir \neq 0$ and so there is an element $x \in I$ such that $xr \neq 0$. Since $x \in I$, $xe_{qp} \in A$, and so $xre_{qq} = (xe_{qp})(re_{pq})$ is a non-zero element of $e_q J e_q$. This contradiction shows that $e_p J e_q = 0$ for all p, q . Hence $J=0$. A similar argument applies if $l(I)=0$.

COROLLARY. Let R be a semisimple ring containing no zero divisors. Then (I, R, \leq, n) is semisimple for all non-zero ideals I of R .

Example. To see that the annihilator condition in the above theorem is necessary, consider the ring $R=K\oplus K$ where K is a field and let $I=K\oplus(0)$. Then A may be represented as the ring of all matrices

$$\begin{pmatrix} K & 0 & K & 0 \\ 0 & K & 0 & K \\ K & 0 & K & 0 \\ 0 & 0 & 0 & K \end{pmatrix},$$

since R is isomorphic to the ring

$$\begin{pmatrix} K & 0 \\ 0 & K \end{pmatrix}$$

One easily shows that A is not semisimple.

THEOREM 5. If R is a principal ideal ring, then so is any subring A of R_n which contains Re_{ii} for all $i=1, 2, \dots, n$.

Proof. (We call R a principal ideal ring if every two-sided ideal is generated by a single element.)

Let B be an ideal of A . Then the sets

$$(7) \quad B_{i,j} = \{r \in R \mid re_{i,j} \in B\}$$

are ideals of R . Let $B_{i,j} = Rb_{i,j}R$. Then B is generated by $\sum b_{i,j}e_{i,j}$.

THEOREM 6. If R is Artinian (Noetherian), then so is any subring A of R_n which contains R .

Proof. As usual, we identify R with the R -multiples of the identity in R_n . Consider R_n as a left R -module as usual. Then R_n is finitely generated as an R -module and A is a submodule. If R is Artinian (Noetherian), then so is any finitely generated R -module. A submodule of an Artinian (Noetherian) module is again Artinian (Noetherian). Thus, since a left ideal of A is a left R -module and also a submodule of R_n , the conclusion is clear.

THEOREM 7. Let R be a ring such that every proper homomorphic image is Artinian, then $A=(I, R, \leq, n)$ has this property also if $r(I)=l(I)=0$.

Proof. Let B be a non-zero ideal of A and let $B_{i,j}$ be as in (7). Suppose $B_{p,q} \neq 0$ for some p, q . Let b be a non-zero element of $B_{p,q}$. By the annihilator hypothesis we can find x, y in I such that $xb y$ is not zero. Since x and y are in I , $xe_{i,p}$ and $ye_{q,j}$ are in A for any i and j . Hence $(xb y)e_{i,j} = (xe_{i,p})(be_{p,q})(ye_{q,j})$ is

To prove (a) note that

$$xf_{in}e_{ij}=(f_{in}e_{in})(xe_{nj})\in I.$$

It follows that $xf_{in}\in(f_{ij})$. If $j\leq h$ we have $e_{jh}\in A$ and hence

$$f_{ij}e_{in}=(f_{ij}e_{ij})e_{jn}\in I.$$

This implies that $f_{ij}\in(f_{in})$. Thus we have $xf_{in}\in(f_{ij})$ and $f_{ij}\in(f_{in})$, and so (a) holds by the above comment.

A similar argument establishes (b).

Now from (a) it is clear that

$$(9) \quad (f_{i1})\subseteq(f_{i2})\subseteq \dots \subseteq(f_{in})$$

for each i , and

$$(10) \quad (f_{1j})\supseteq(f_{2j})\supseteq \dots \supseteq(f_{nj})$$

for each j . It is also clear that each f_{ij} is either equal to f_{in} or xf_{in} . In particular, for all p, q , $x(f_{iq})\subseteq(f_{ip})$. Similarly, each f_{ij} is equal to f_{1j} or xf_{1j} and $x(f_{qj})\subseteq(f_{pj})$ for all p, q .

Let us prove that a subgroup of A^+ of the form (8), which satisfies (a) and (b), is an ideal. Let $\sum g_{ij}e_{i,j}\in A$. To show that (8) is a left ideal it suffices to show that for each r, s , f_{rs} divides $\sum_k g_{rk}f_{ks}$. To see this, note that if $k\geq r$, then $(f_{ks})\subseteq(f_{rs})$; so we need only consider those $k<r$. But if $k<r$, then $g_{rk}\in(x)$ and therefore xf_{ks} divides $g_{rk}f_{ks}$. Thus, $g_{rk}f_{ks}\in(xf_{ks})$, which by the above comment is contained in (f_{rs}) .

A similar argument shows that (8) is a right ideal.

Definition. We will say that an ideal H having the form (8) is *homogeneous* if each f_{ij} is a power of x or equal to 1.

LEMMA 2. *Let A be as in Lemma 1 and let I be an ideal of A . Then $I=Hf$ where H is homogeneous and f is a monic polynomial in $C[x]$ such that $(x, f)=1$.*

Proof. Write I in the form (8), and let $f_{1n}=x^s f$ where $(f, x)=1$. From Lemma 1 each f_{ij} is equal to $x^s f$, $x^{s+1} f$ or $x^{s+2} f$. For $j<i$, since $f_{ij}\in(x)$ it is clear that in case $s=0$, f_{ij} must be equal to one of xf or $x^2 f$. The point is that after dividing out f what is left is a homogeneous ideal in A . That it is an ideal follows from the converse of Lemma 1.

LEMMA 3. *Let A and I be as in Lemma 2. Then,*

$$A/I\cong A/H\oplus A/Af_1\oplus A/Af_2\oplus \dots \oplus A/Af_r,$$

where H is homogeneous and the f_i are pairwise relatively prime monic polynomials in $C[x]$.

Proof. As in Lemma 2, let $I=Hf$ where f is not divisible by x . Now, to show that $A/I \cong A/H \oplus A/Af$ it suffices to show that $Hf=H \cap Af$ and that $A=H+Af$. The first part is clear since a matrix is in $H \cap Af$ if and only if its (i, j) -th entry is in an intersection $(x^i) \cap (xf) = (x^i f)$ or $(x^i) \cap (f) = (x^i f)$, where (x^i) means the (i, j) -th entry in H . To show the second part it suffices to show that $e_i = e_{ii} \in H + Af$ for each i . Note that for some $j \geq 0$, $x^j e_i \in H$. In any case, $f e_i \in Af$. Since $(f, x) = 1$, it is clear that $e_i \in H + Af$.

To complete the proof it suffices to show that if $f=f_1 f_2$ where $(f_1, f_2) = 1$ in $C[x]$, then $A/Af \cong A/Af_1 \oplus A/Af_2$. This follows by essentially the same argument used in the previous paragraph.

THEOREM 9. *Let $A = ((x), K[x], \leq, n)$ where \leq is the usual order of the integers. Then every proper homomorphic image of A is Artinian and generalized uniserial.*

Proof. It suffices by Lemma 3 to prove that A/H and A/Af are generalized uniserial, where H is a homogeneous ideal in A and f is a power of an irreducible monic polynomial in $C[x]$. That they are Artinian follows from Theorem 7.

We first consider the isomorphism (5) between $A = ((x), K[x], \leq, n)$ and $K[QM(n)]$. Under this isomorphism H corresponds to an ideal of $K[QM(n)]$, which has a basis (over K) a semigroup ideal of $QM(n)$. Thus, A/H has the form $K(S)$ where S is as in Theorem 1, and hence it is a quasi-matrix ring over K . Therefore A/H is generalized uniserial by Murase [7].

Let $f = g^m$ where g is an irreducible monic polynomial in $C[x]$. Then the ideal (g) is maximal in $K[x]$, and so from Lemma 1 it is clear that Ag is a maximal two-sided ideal of A . Hence A/Ag is simple. Since A/Ag is the quotient of $A' = A/Af$ by the nilpotent ideal Ag/Af , it follows that A' is a primary ring. Since obviously every two-sided ideal of A' is a principal ideal, A' is uniserial by a theorem of Asano [1].

Finally, we conclude Theorem 3.

Proof of Theorem 3. It is well known that $K[x]$ has no zero divisors and satisfies the properties (i)–(iv). It follows from Theorem 2 that an infinite quasi-matrix ring A is isomorphic to a ring $((x), K[x], \leq, n)$ where \leq is the usual order of $1, 2, \dots, n$. Thus, from Theorems 4–9, we conclude immediately that the properties (i)–(iv) hold for A .

Remark. Every complete, block, infinite quasi-matrix ring (2) may be represented as the ring of all block matrices over $K[x]$ of the form

$$\begin{pmatrix} B_{11} & B_{12} & \cdots & B_{1k} \\ B_{21} & B_{22} & \cdots & B_{2k} \\ \dots\dots\dots & & & \\ B_{k1} & B_{k2} & \cdots & B_{kk} \end{pmatrix}$$

where B_{ij} is an $n_i \times n_j$ matrix over $K[x]$ such that every entry of B_{ij} is divisible by x if $i > j$. This is clearly of the form $((x), K[x], \leq, n)$ if one defines the quasi-order \leq appropriately. One may establish fairly easily that Theorem 3 also holds for this class of rings. However, the details of the analogue of Theorem 9 are somewhat more cumbersome.

Question. To what extent do the four properties in Theorem 3 characterize such rings? It is clear that rings of the form $((p), R, \leq, n)$ where (p) is a prime ideal of a principal ideal domain R share all these properties with the possible exception of the latter part of (ii).

Rings satisfying the condition that all proper homomorphic images are Artinian are investigated by Ornstein [8]. However, Ornstein's work concerns mostly non-prime rings which, except in trivial cases, have non-zero Jacobson radicals.

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