

学位論文（要約）

Evolution and diversity of biomineralogical nature in  
ectocochleate cephalopod septa

(外殻性有殻頭足類の隔壁における結晶学的性質の進化と多様性)

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## **Abstract**

Septa, characteristic structures of ectocochleate cephalopods, enable ammonoids and nautiloids to gain buoyancy and swimming ability by restoring low-pressure gas in the phragmocone. The macro-morphological differences in septa between ammonoids and nautiloids have been intensively examined, and their functionality and morphogenetic mechanisms have been well discussed. However, little attention has been paid to their biomineralogical nature; the septa in these two groups are regarded to be biomineralogically homologous. Determination of biomineralogical differences is essential for recognizing the functional morphology and morphogenesis of the septa and their major evolutionary events such as extension of their habitat by buoyancy and swimming ability, ammonoid extinction and nautiloid survival in the K/Pg boundary, and biomineralization process of structures unique to cephalopods.

This study conducted comparative crystallographic analyses on the nacreous structure of ectocochleate cephalopod septa. The crystallographic orientation pattern, (crystallographic texture) and microstructure (morphology and mode of layering of the constituent polycrystalline materials) of the septa were analyzed and compared with a focus on the effects by phylogeny and habitat environments.

In Chapter 1, as an introduction, macro-morphological diversity of ectocochleate cephalopod septa and its functional morphology are reviewed. Then, the significance of biomineralogical properties for the elucidation of functional morphology of septa is mentioned.

In the study described in Chapter 2, the septa of extant nautiloid individuals such as *Nautilus pompilius*, *N. belauensis*, *N. macromphalus*, and *Allonautilus scrobiculatus* from various geographic localities including the Philippines, Fiji, Palau, New

Caledonia, and Indonesia in addition to those raised in an aquarium were analyzed by using X-ray diffraction (XRD) to determine their crystallographic textures. The {002}, {012}, {102}, {112} pole figures generated from the diffracted pattern reveals that, in general, the septa are composed of aragonitic polycrystals with their *c*-, *a*-, and *b*-axes perpendicular to the septal surface, parallel to the dorsoventral direction, and parallel to the left-right direction, respectively. These characteristics indicate an ordered texture. However, deviations from the ordered texture also exist in the *a*- and *b*-axes directions, showing a slightly disordered texture. The degree of deviation was measured on the basis of the full width half maximum (FWHM) values calculated from the {012} pole figures. Combining the *c*-axis orientation indicated by {002} pole figures, two FWHM values in the {012} pole figures were utilized as indices of *a*- and *b*-axes misalignment. As a result, variability in *a*- and *b*-axes misalignment in septal aragonitic polycrystals among individuals was revealed. They were constant in each septum and submature-matured septa in each individual. Moreover, individuals from the same phylogeographic group shared similar FWHM values. In this study, the relationship of larger FWHM values with a markedly disordered crystallographic texture and a shallower-habitat phylogenetic group is reported for the first time. The investigation on aquarium-raised individuals confirmed that sea water pressure does not abiotically affect the crystallographic texture. These results suggest that the crystallographic texture of the recent nautilid septa are influenced genetically and reflect certain adaptive significance toward their habitat water depth. Moreover, the crystallographic texture analysis of the septa is relevant to the elucidation of phylogeographic relationships of recent nautilids.

In the study described in Chapter 3, the microstructural analyses on recent nautilid septa were conducted qualitatively and quantitatively. The nautilid septa are known to

be composed entirely of nacreous structures. In this study, the morphology and mode of layering of the tablets that construct the nacreous structure were examined and measured by using scanning electron microscopy and synchrotron X-ray nano computed tomography. As a result, the recent nautilid septal nacre is characterized by a laterally long, thin, and elongated hexagonal shape. These tablets are stacked in a columnar fashion in a broad view as reported in previous studies. However, the columns are not continuous throughout the septa; rather, they are often separated in two columns that in some cases fuse into one column. Observations of the concave septal surface revealed that such a mode of layering is achieved by tablets growing on the margins of the underlying tablets. In addition, the elongated hexagonal tablets are well aligned with each other, and “twinning” is often present. Along with the crystallographic texture investigated in Chapter 1, the  $a$ -axis of the nacreous tablet is parallel to the elongated direction of the tablets. The nautilus septal nacre is similar to that of pinnid and nuculid bivalves, which has been previously described as a row-stack nacreous structure. Because the nautilus septal nacre is regarded as having a columnar nacreous structure, which is also observed in gastropod nacre, this finding is important in comparative studies of molluscan nacreous structures. The measurements of nacreous tablets revealed no distinct variation in the septal nacre of recent nautilus belonging to different phylogeographic groups.

In Chapter 4, fossil ectocochleate cephalopod septa were compared with those of recent nautilids examined in the previous chapters. Co-occurring species of ammonoids and nautiloids with similar habitat depth were utilized to exclude the influence of habitat environment on the septal biomineralogical properties. The examined specimens include the Upper Cretaceous nautiloid *Eutrephoceras*, scaphitid ammonoid

*Hoploscaphites nodosus*, and *H. brevis* from the western interior province of North America in addition to the Lower Cretaceous nautiloid *Cymatoceras* sp. and douvilleiceratid *Douvilleiceras* sp. from Madagascar. The fossil nautiloids show crystallographic textures and microstructures similar to those in recent samples, i.e., *a*-axis parallel to the vertical direction and *b*-axis parallel to the left-right direction, although the degree of misalignment was greater in the fossils. The sizes of the tablets in the fossil nautiloids are within the same range as those of the recent specimens. However, the tablet stacks clearly show a columnar fashion. The examined fossil species are considered to have had shallower habitats. Considering the fossil record of nautiloids, it is suggested from a biomineralogical perspective that the septa and their formation process have been conservative since the early Mesozoic; however, the crystallographic misalignment and mode of layering of the nacreous tablets might reflect their variable habitat depth. In contrast, the ammonoid septal nacre shows polygonal tablets with thicknesses similar to those of the nautiloids. Moreover, although their crystallographic texture shows a *c*-axis perpendicular to the septal surface, as in the nautiloids, it is completely disordered in *a*- and *b*-axes, which are represented by ring-like pole figures in the {012}, {102}, and {112} planes. This result suggests that the crystallographic properties of ectocochleate septa, including their crystallographic orientation and nacreous structure, are conservative at the subclass level. However, they might vary considerably between the Ammonoidea and the Nautiloidea, which developed different septal morphologies.

In Chapter 5, these new insights are discussed for understanding the evolution of ectocochleate septa, particularly from aspects of morphogenesis and functional morphology. The similarity of the crystallographic properties of nautiloid septa to the

bivalves suggests a terrace-like formation of nacre. The alignment of tablet elongation and crystallographic ordering in bivalves are explained by geometric selection based on the faster growth along in the *b*-axis of aragonite than that along in the *a*-axis. Such crystal growth due to the geometric selection might occur in nautiloid septal nacre, because their nacreous tablets are also elongated. However, the elongation direction and crystallographic orientation is inconsistent with those of bivalves. The mechanisms for the vertical stacking of the nautiloid septal nacreous tablet through the interlamellar membranes would be similar to those of bivalves because the similar mode of tablet layering indicates the existence of mineral bridges produced by rupture owing to permeability pressure.

Contrary to the bivalve-like nautiloid nacre, the ammonoid columnar nacreous structure, which is similar to the “stack of coins” structure in gastropods, can be considered to have formed by mineral bridges located in the central area of the tablets. The completely disordered crystallographic orientation pattern of the ammonoid septal nacre is consistent with such a gastropod-like nacre growth mechanism, suggesting that weak or no competition/interaction with lateral adjacent tablets/columns. These differences in formation mechanisms for nautiloid and ammonoid septa indicate that different morphogenetic constraints might exist in their septal formations, which affect the macro-morphological difference of septa, based on the different properties and dynamics of the septal mantle and the septal mantle epithelium.

The nacreous structure is known to be mechanically excellent among molluscan shell microstructures. Therefore, the development of the nacreous structure in septa would be reasonable to resist high pressure in the ambient surrounding water because low-pressure gas fills the phragmocone. However, whether or not the nacreous structure

is mechanically optimized and performs best depends on the constituent tablet size and its mode of layering. In this respect, the mechanical performances and optimization of both nacreous tablets, particularly the lateral and overlap length, were compared by using a multi-objective optimization model. The results indicate that the tablet and overlap aspect ratios of the nautiloids are close to the optimal values to perform high tensile strength, stiffness, and toughness. On the contrary, the septal nacreous tablets of the ammonoids are short in lateral and overlap lengths toward the thickness, suggesting that they are not optimized in these mechanical properties. This thesis revealed not only the difference in the mechanical properties of septa, but also the anisotropic characteristics of septa, especially in nautiloids. Such anisotropy of the septa necessitates reconsideration of its functional macro-morphology, because previous numerical analyses on the septal macro-morphology have been assumed the isotropy of the septal material. This study demonstrates for the first time, that the biomimetic studies on ectocochleate cephalopod septa is important not only for biomineral research itself, but also important to understand the evolution and ecology of macro-morphology of septa.

This thesis suggests that the gastropod- and bivalve-type nacre formation mechanisms themselves are not different because the both types are present in the ectocochleate cephalopod septa. The septa are flexible and continuous according to their habitat environments, although the genetic and evolutionary origin might not be homologous among mollusks. Moreover, it is suggested that extremely subtle differences in the crystallographic structure in genus, species, and individual levels should be considered.



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## Chapter 1: General introduction

The appearance of marine organisms has radiated in various environments including differences in water depth, temperature, and bottom sediments through the Phanerozoic (e.g., Bush and Bambach, 2011). Such diversification and evolutionary trends are important topics in evolutionary paleobiology. Hard tissues of organisms, such as molluscan shells, are clues to elucidate the trends from past to present.

Mollusks in oceans have greatly diversified since the Paleozoic. Most are benthic and have adapted to various sea bottom conditions. However, the cephalopod has a developed swimming ability, and their presence has radiated horizontally and vertically in oceans. Among them, externally shelled (ectocochleate) and internally shelled (endocochleate) cephalopods acquired chambered shells as a hydrostatic apparatus. Inside the shells of ectocochleate cephalopods, the septa separate the body chamber and the phragmocone, which is a space filled with low-pressure gas. Studies have revealed that modern chambered cephalopods *Nautilus*, *Sepia*, and *Spirula* maintain neutral buoyancy with the aid of low-pressure gas within the phragmocone (Denton and Gilpin-Brown, 1966, 1973; Gilpin-Brown, 1972).

On the basis of essential similarity in overall shell shape, ammonoids, an extinct group of ectocochleate cephalopods, are considered to have maintained neutral buoyancy in the same manner as that of the modern *Nautilus* (Trueman, 1940; Denton and Gilpin-Brown, 1966; Gilpin-Brown, 1972; but see Shigeta (1993) for the negative buoyancy hypothesis). However, the morphology of the septa differs significantly between nautiloids and ammonoids. In general, nautiloids have synclastically corrugated septa showing an adapically convexed bowl-like shape, whereas ammonoids have anticlastically corrugated septa that are frequently folded to form complex suture

lines at their connection to the inner surface of the shell wall (e.g., Klug et al., 2015; Inoue and Kondo, 2016). During the evolution of ammonoid phylogeny from the Early Devonian to the end of the Cretaceous, the complicated septal morphology evolved repeatedly (Klug et al., 2015, and references therein).

Although effective macro-morphological studies of ectocochleate cephalopod septa exist, our knowledge of their micro-scale architecture and its evolutionary significance is considerably insufficient, preventing the elucidation of the septal evolution from the aspect of morphogenesis and functional morphology. For example, several studies attempted to explain the formation of the complex morphology of the septa from the perspective of deformation and dynamics of the septal mantle (e.g., Seilacher, 1973, 1975; García-Ruiz et al., 1990; García-Ruiz and Checa, 1993; Checa and Garcia-Ruiz, 1996; Klug and Hoffmann, 2015; Inoue and Kondo, 2016) and morphogen diffusion (Hammer, 1999). However, the mechanism for carbonate crystals growth and stacking to form septal shell material remains unknown as are variation in crystallographic characteristics. Moreover, considering the main function of the septa as a hydrostatic organ and the presence of low-pressure gas in the phragmocone, the ammonoid septa must have possessed sufficient mechanical strength to withstand ambient high water pressure such as that demonstrated by the recent *Nautilus*. On the basis of this hypothesis, several attempts have been made to estimate the mechanical advantage of ammonoid septa by using numerical analysis (i.e., finite element analysis; Hewitt and Westermann, 1987; Hewitt et al., 1989, 1993; Daniel et al., 1997; Hassan et al., 2002; Lemanis et al., 2016). Although such attempts are helpful for understanding the three-dimensional complex septal morphology, data of the physical properties of the examined material is necessary to conduct such analysis. Previous studies examining

ammonoid septal strength have assumed that the mechanical properties of the septa are identical to that of recent molluscan shells and they are isotropic (Daniel et al., 1997; Hassan et al., 2002; Lemanis et al., 2016). However, the physical properties are highly variable among molluscan shells depending on the microstructural architecture (e.g., Currey, 1988; Vincent, 2001; Sun and Bhushan, 2012). Moreover, the previous studies treating the functional macro-morphology of septa ignored the anisotropy of the shell material, although it might influence on their physico-mathematical analyses. Therefore, detailed analysis and comparison of the crystallographic properties and anisotropy of cephalopod septa are required to address their mechanical advantage.

The purpose of this thesis is to discuss the diversity and evolution of the septa of ectocochleate cephalopods from the aspect of their crystallographic properties. Molluscan shells are composed mainly of calcium carbonate polycrystalline materials of calcite or aragonite. This thesis focuses on two biomineralogical properties, crystallographic texture and shell microstructure, both of which can be analyzed even in fossils. The former represents the three-dimensional distribution of the crystallographic axes. It is compared and analyzed as pole figures, which represents the distribution of crystals projected in two-dimensional plots in the equatorial plane (Fig. 1.1). The latter represents the shape, size and mode of layering of biomineralogical materials (Fig. 2.2). Previously, both of the biomineralogical properties have provided various insight in the evolution and ecology of molluscan shells including phylogenetic relationships (e.g., MacClintock 1967; Taylor et al., 1969; Hedegaard and Wenk, 1998; Chateigner et al., 2000, 2010; Frýda et al., 2009, 2010; Génio et al., 2012; Sato et al., 2013; Sato and Sasaki, 2015), crystal growth and shell formation (e.g., Ubukata, 1994; Checa, 2000; Checa and Navarro, 2001, 2005; Checa et al., 2005, 2006; Nudelman et al., 2006;

Fig. 1.1

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Nudelman, 2015; Gilbert et al., 2008, 2012; Olson et al., 2013), and ecology and functional significance (e.g., Wada, 1972; Currey, 1988; Vincent, 1999; Nishida et al., 2012, 2015; Olson et al., 2012; Olson and Gilbert, 2012; Rousseau and Rollion-Bard, 2012).

In this thesis, at first, the crystallographic textures of recent nautilid septa are examined to estimate their variation and genetic relationships in Chapter 2. In Chapter 3, the shell microstructure of the recent nautilid septa and the polycrystalline shape are described to reveal the mode of layering in comparison with the variation in crystallographic texture. In Chapter 4, the crystallographic textures and the shell microstructures of fossil nautiloids and ammonoids are compared to reveal the differences in crystallographic properties among these two different subclasses. Finally, in Chapter 5, the significance of diversity regarding the crystallography and microstructural morphology of the septa among ectocochleate cephalopods with various forms of septate shells is discussed on a macroscopic scale.

Fig. 1.1 (page 5). Examples of crystallographic textures of recent bivalve and gastropod nacreous structure. (A) Some crystal planes of aragonite and the stereographic projection of a crystal plane onto the equatorial plane ((011) in the example). (B–E) Pole figures based on EBSD analyses (B–D) and XRD analysis (E). For all pole figures, the shell surfaces are parallel to the equatorial planes and the growth directions are left to right. (B) Pteriid bivalve *Pteria hirundo*. The analysis was demonstrated on the nacreous structure near the outer prismatic structure. (C) Mytilid bivalve *Modiolus barbatus*. (D) Haliotid gastropod *Haliotis asinina*. (E) Turbinid gastropod *Bolma rugosa*. These pole figures suggest that, in these species, the *c*-axis of aragnitic nacreous polycrystals are aligned perpendicular to the shell surface, but the alignment pattern in *a-b* planes is variable among species. Modified from: (B) Checa et al. (2006, fig. 2b); (C) Frýda et al. (2010, fig. 6A–C); (D) Frýda et al. (2009, fig. 4D–F); (E) Checa et al. (2009a, Fig. S2b).

Fig. 1.2

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Fig. 1.2. Examples of nacreous structures of recent bivalves and gastropods. (A, B) Sheet nacreous structure. (C) Lenticular (sheet) nacreous structure. (D) Row-stack (sheet) nacreous structure. (E, F) Columnar nacreous structure. (A) Apertural inner shell surface of pteriid bivalve *Pinctada radiata*. (B) Radial section of inner layer of nuculid bivalve *Acila mirabilis*. Arrow indicates the growth direction. (C) Fractured cross section of unionid bivalve *Leptodea fragilis*. (D) Depositional surface of pinnid bivalve *Atrina rigida*. (E) Apertural inner shell surface of pleurotomariid gastropod *Perotrochus caledonicus*. (F) Fractured cross section of trochid gastropod *Trochus niloticus*. Modified from: (A) Wise (1970b, Plate 1, Fig. 1); (B) Sato and Sasaki (2015, Fig. 39); (C) Frýda et al. (2010, Fig. 3B); (D) Carter et al. (2012, Fig. 267); (E) Checa et al. (2009, Fig. 1); (F) Bruet et al. (2005, Fig. 4).

## **Chapter 2: Variability in the crystallographic texture of recent ectocochleate cephalopod septa**

### 第 2 章

本章については、5年以内に雑誌などで刊行予定のため、非公開。



### **Chapter 3: Nacreous structure of recent ectocochleate cephalopod septa and variability in nacreous tablet morphology**

#### 第3章

本章については、5年以内に雑誌などで刊行予定のため、非公開。

**Chapter 4: Microstructural and crystallographic properties of fossil nautiloids and ammonoids: case studies from the Upper Cretaceous of U.S. Western Interior and the Lower Cretaceous of Madagascar**

第 4 章

本章については、5 年以内に雑誌などで刊行予定のため、非公開。

## Chapter 5: General discussion

### 第 5 章

本章については、5年以内に雑誌などで刊行予定のため、非公開。

## Summary

This thesis attempted comparative crystallographic analysis on the septal nacreous structures of ectocochleate cephalopods including nautiloids and ammonoids, which were treated as structurally homologous microstructures in previous research. The nautiloid septal nacre is characterized by strong anisotropy, which is laterally long, thin tablets stacked in a row-stack manner. The crystallographic texture of this nacre shows also anisotropy. It has an ordered pattern, such that the  $a$ -axis is parallel to the vertical direction, the  $b$ -axis is parallel to the horizontal direction, and the  $c$ -axis is perpendicular to the septal surface. The degree of disorientation in the  $a$ - and  $b$ -axes reflects the phylogeographically separated genetic populations of recent species, which might be applicable to the fossil lineages. The ammonoid septal nacre is characterized by laterally short tablets stacked in columns. The crystallographic texture of this nacre shows an unordered pattern such that the  $a$ - and  $b$ -axes are random and the  $c$ -axis is perpendicular to the septal surface.

The mode of layering of the nacreous tablets, crystallographic texture, and inferred nacre-formation mechanisms suggest similarities in nautiloid and bivalve nacles and in ammonoid and gastropod nacles. The coexistence of both bivalve-type row-stack nacre and gastropod-type columnar nacre in ectocochleate cephalopod lineages suggests variability and diversity in the crystallographic properties of septal nacre and in the morphogenetic constraints. From the functional morphological aspect of septa as a hydrostatic organ, the nautiloid nacreous tablets are much more mechanically optimized against the tensile strength than those of ammonoids.

The anisotropic biomineralogical properties of septal nacre is not negligible for the material properties. Moreover, functional macro-morphological septal analysis also must

consider such anisotropic material of the septa. Therefore, investigation of biomineralogical properties of ectocochleate cephalopod septa is necessary to understand the ecology, evolution, and functional morphology of septa, from both aspects of macroscopic and microscopic views. In addition, biomoineralogical diversity and evolution of septal nacre revealed in this thesis also suggest the importance of structural comparison of nacreous structures on lower taxonomic levels for a better understanding of their evolution, homology, and morphogenesis.

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Appendix Table

5年以内に雑誌などで刊行予定のため、非公開。