

Water-repellent plant surface structure induced by gall-forming insects for waste management

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1	Water-repellent plant surface structure induced by gall-forming insects for waste
2	management
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12	

1 Abstract

 $\mathbf{2}$ Many animals and plants have evolved elaborate water-repellent microstructures on their surface, 3 which often play important roles in their ecological adaptation. Here we report a unique type of 4 water-repellent structure on plant surface, which develops as an insect-induced plant morphology $\mathbf{5}$ in a social context. Some social aphids form galls on their host plant, in which they produce large 6 amount of hydrophobic wax. Excreted honeydew is coated by the powdery wax to form 7"honeydew balls", which are actively disposed by soldier nymphs through an opening on their 8 gall. These activities are enabled by a highly water-repellent inner gall surface, and we discovered 9 that this surface is covered with dense trichomes that are not found on normal plant surface. The 10 trichomes are coated by fine particles of the insect-produced wax, thereby realizing a high water 11 repellency with a cooperative interaction between aphids and plants. The plant leaves on which 12the gall is formed often exhibit patchy areas with dense trichomes, representing an ectopic 13expression of the insect-induced plant morphology. In the pouch-shaped closed galls of a related 14social aphid species, by contrast, the inner surface was not covered with trichomes. Our findings 15provide a convincing example of how the extended phenotype of an animal, expressed in a plant, 16plays a pivotal role in maintaining sociality.

17

19

¹⁸ Keywords: gall, aphid, animal-plant interaction, water repellency, hierarchical structure

1 Introduction

 $\mathbf{2}$ The diversity of surface structures in plants and animals often reflects their adaptation to the 3 environment. Water repellency is one of the well-understood adaptive features of biological 4 surfaces. The water-repellent surfaces tend to exhibit microscopic and hierarchical roughness $\mathbf{5}$ [1,2]. Such hierarchical structures are exemplified by self-cleaning lotus leaves covered by 6 papillose epidermal cells with submicrometre-sized epicuticular waxes [3], floating legs of water 7 striders covered by numerous needle-shaped setae with nanoscale groove structure [4], and others. 8 Liquid waste management is of critical importance for plant-sucking insects. The water 9 problem is particularly serious for gall-inhabiting species, because they potentially suffer 10 contamination or even drowning with their own liquid waste, which can destroy the colony if 11 experimentally forced to accumulate inside their gall [5,6]. Probably for that reason, most gall-12forming aphids produce a large amount of powdery hydrophobic wax from specialized epidermal 13glands, thereby forming wax-coated "honeydew balls" to protect colony members from wetting 14[6-8]. In some social aphids, soldier nymphs actively dispose of the wax-coated honeydew balls 15and other wastes through openings on their gall to keep their habitat clean [6,9,10]. In several 16social aphids that form completely closed galls, the gall inner wall is specialized for absorption 17and removal of honeydew, which is regarded as a physiological manipulation of the plant tissue 18 by the gall-forming aphids [5].

Here we report a previously unrecognized type of hierarchical microstructure, which confers
hydrophobicity to a specific plant surface, the gall inner wall, induced by gall-forming aphids.

21

22 Materials and methods

23 Field observation and sampling

The woolly aphid *Colophina clematis* forms pouch-shaped galls with an opening on the tree *Zelkova serrata*, in which young nymphs exhibit defensive behaviours against intruders [9]. Galls
of *C. clematis* were observed and collected at Okutama, Tokyo and Shomaru, Saitama, Japan. In

1 the field, aphids around the gall opening were observed using a magnifying glass. Some twigs $\mathbf{2}$ with a gall-harbouring leaf were brought to the laboratory and put into water, and aphids around 3 the gall opening were video-recorded. Honeydew balls were collected from four galls on two trees 4 using a fine brush and photographed, and from the photographs 100 balls were randomly chosen $\mathbf{5}$ for size measurement. Nine gall-harbouring leaves collected from five trees were fixed in FAA 6 (formaldehyde 3.7% and acetic acid 5% in 50% ethanol), dehydrated through an ethanol series 7and dried. Most of the aphid-derived wax on the gall inner surface was removed during this 8 procedure. The dried samples were observed by a scanning electron microscope and 9 photographed. Density and length of trichomes in a 0.5×0.5 mm square area of the sample surface 10 were measured based on the photographs using ImageJ (https://imagej.nih.gov/ij/). Several 11 unfixed galls were examined for distribution of wax particles on the trichomes. Other gall-forming 12aphids, Colophina arma, Hemipodaphis persimilis and Paracolopha morrisoni, are listed in table 13S1.

14

15 Hydrophobicity measurement

16 We compared the following three areas: (i) thin-sliced gall inner surface areas (n = 17, from 11 17leaves on four trees); (ii) hairy leaf underside areas (n = 17, from 10 leaves on four trees); (iii) 18 normal leaf underside areas (n = 13, from eight leaves on three trees). Each sample was affixed 19to an experimental table by double-sided adhesive tape to ensure an even surface. For contact 20angle measurement, $1.6 - 1.8 \mu$ l of distilled water was placed on the sample and photographed 21using a digital camera attached to a horizontally-mounted dissection microscope. The 22photographs were converted into grey scale and subjected to contact angle measurement using 23the Low-bond axisymmetric drop shape analysis plugin [11] implemented for ImageJ.

24

25 Gall surface manipulation

A total of 18 mature galls of *C. clematis* were collected from four trees and cut in half with a knife.

1 From one half, aphid-derived wax was collected into a plastic tube using a fine brush. The other $\mathbf{2}$ half was further cut into an approximately 5 mm x 5 mm square. To remove aphid wax, the gall 3 slice was soaked in 1 ml hexane for 1 min, taken out and left until residual hexane completely 4 evaporated. Then, $1.6 - 1.8 \,\mu$ l of distilled water was placed on the sample and photographed. $\mathbf{5}$ After removing the distilled water, the aphid wax collected in the plastic tube was spread onto the 6 sample surface. Again, the same amount of distilled water was placed on the same location of the $\overline{7}$ sample and photographed. The photographs were subjected to contact angle measurement as 8 described above.

9

10 Water absorption by galls of P. morrisoni

In the field, on each of six galls of *P. morrisoni* formed on leaves of *Z. serrata*, a 1 x 1 mm square
hole was bored using a fine edge of chisel. Then, 3 µl of food dye water (0.2% Food Red No. 102,
Kyoritsu Foods) was injected into each gall using a micropipette. The hole was immediately filled
with an adhesive [5]. After 15 h, the galls were brought to the laboratory and inspected for the
injected solution.

16

17 Results and Discussion

18 Housekeeping behaviour of young nymphs in C. clematis galls

In 5 of 8 galls (63%) of *C. clematis* examined in the field (figure 1*a*), honeydew balls came out through a slit-like opening during 30 min observation (figure 1*b*), where first and second instar nymphs actively pushed honeydew balls out of the galls (figure 1*c* and movie S1). These observations indicate that young nymphs of *C. clematis* perform not only defense against enemies but also housekeeping by disposing of colony wastes, as previously reported in other social aphids [6,9].

²⁶ Inner surface structure of C. clematis galls

1 Microscopic observations revealed that the inner surface of the galls of C. clematis was covered $\mathbf{2}$ with minute trichomes (figure 1*d*). The trichome density was 221.7 ± 61.3 / mm² (n = 36), which 3 was 30 times higher than the trichome density on the opposite underside of the same leaf (7.2 ± 5.9) 4 $/ \text{mm}^2$) (n = 36, table 1). The average pairwise distance between two neighbouring trichomes was $\mathbf{5}$ 42.1 \pm 14.3 µm (n = 149, table 1), which was far smaller than the diameter of honeydew balls 6 $(405.3\pm176.8 \,\mu\text{m}, n = 100)$. Hence, a honeydew ball is expected to sit on several tens or hundreds 7of trichomes in the gall of C. clematis. In mature galls, the trichomes were coated with fine wax 8 particles, which were obviously aphid-derived, thereby forming a unique hierarchical 9 microstructure (figure 1e, f). Notably, we found that 14 of 31 galled leaves (45%) exhibited a 10 patchy hairy area outside the gall, where the trichome density was as high as 203.1 ± 35.9 / mm² 11 (n = 36, table 1 and figure S2a), whereas none of the ungalled leaves we observed contained such 12hairy area. The hairy region may represent a remote effect of the galling activity by C. clematis, 13as observed in some insects whose galls are induced at a plant part distant from their infesting site 14[12].

15

Comparison of inner gall structure between galls formed by different aphid species on the same
plant

18 Not only C. clematis but also closely related aphids, including C. arma, H. persimilis and P. 19*morrisoni*, form galls on leaves of the same plant Z. serrata (figure S3a, d and g). In the pouch-20shaped open galls of C. arma and also in the leaf-roll open galls of H. persimilis, the inner surface 21was covered with dense trichomes (table 2 and figure S3b and e). The trichomes were significantly 22denser and longer in C. clematis and C. arma than in H. persimilis (table 2). In the pouch-shaped 23closed galls of *P. morrisoni*, by contrast, the inner surface was not covered with trichomes (table 242 and figure S3h). The different surface structures of the galls on the same plant strongly suggest 25that these morphological traits of the plant are controlled by the insects and regarded as their 26extended phenotypes, consistent with the previous phylogenetic study that demonstrates that

- 1 aphids determine the gall morphology [13].
- $\mathbf{2}$

3 Functional difference between hairy inner wall of open galls and smooth inner wall of closed
4 galls

 $\mathbf{5}$ When food dye solution was introduced into open galls of C. clematis and H. persimilis, the 6 solution was repelled by the waxy and trichome-covered inner surface, thereby forming round 7droplets (figure S3c and f). By contrast, the dye solution introduced into closed galls of P. 8 *morrisoni* was not repelled by the inner surface (figure S3*i*). Notably, when 3 μ l of the dye 9 solution was injected into 6 galls of *P. morrisoni*, the solution was completely absorbed in 5 galls 10 within 15 h, whereas the solution was covered with aphid-derived wax and remained as a 11 honeydew ball in 1 gall after 15 h. These observations suggest that gall openness, surface 12trichomes, and waste managing strategies are ecologically interconnected to each other in these 13gall-forming aphids: namely, the aphids forming open galls induce water-repelling inner surface 14covered with dense trichomes and facilitate disposal of honeydew droplets from the opening [8], 15whereas the aphids forming closed galls induce water-absorbing inner surface with few trichomes 16and remove honeydew through the plant vascular system [5].

17

18 Trichomes and wax jointly contribute to water-repellent inner surface of C. clematis galls

19By using contact angle measurements, we quantitatively evaluated the water-repelling properties 20of the inner surface of the galls of C. clematis in comparison with other plant parts of Z. serrata. 21The gall inner surface (with both trichomes and wax) was highly water-repellent with contact 22angles of $149.5\pm3.5^{\circ}$ (n = 17); the hairy underside area of the leaf (with trichomes but no wax) 23was also water-repellent with slightly lower contact angles of $127.6\pm10.6^{\circ}$ (n = 17), and the 24normal underside area of the leaf (with neither trichomes nor wax) showed remarkably smaller 25contact angles of $81.5\pm11.1^{\circ}$ (n =13) (figure 2*a*). The differences between these three areas were 26all statistically significant (Tukey's HSD test, P < 0.001), indicating that both factors, mainly trichomes and additionally wax, contribute to the water repellency. The hierarchically rough surface consisting of trichomes and wax reduces contact area of a liquid drop with the surface, thereby attaining higher contact angle and increased water repellency than smoothed surface [2,8]. Wax removal and re-addition experiments reproduced the significant shift of contact angles between 131.1±9.8° and 145.3±8.6° (n = 18, paired t-test, t_{17} = -6.28, *P* < 0.001), confirming the cooperative contribution of trichomes and wax to the water repellency of the gall inner surface (figure 2*b*).

8

9 Conclusion

10 In conclusion, C. clematis and closely related aphids induce dense trichomes on the inner surface 11 of their galls, and by adding the aphid-derived fine wax particles, the trichome-wax complex 12constitutes a highly water-repellent surface, thereby facilitating the waste management in 13combination with the behavioral honeydew disposal by soldier nymphs. Our finding highlights 14the ecological relevance of gall openness, the inner surface structure, and the waste management 15strategies, in which the intricate manipulation of plant morphology plays a pivotal role in the 16 aphid social system. A larger comparative study across aphids and host plants will clarify the 17general applicability of this unrecognized animal-plant interaction.

18

19 Ethics

We followed the Association for the Study of Animal Behaviour Guidelines for the Use ofAnimals in Research.

22

23 Data accessibility

Additional data, details of statistical analyses and a movie are available as electronic
supplementary material and in the Dryad Digital Repository
(https://doi.org/10.5061/dryad.q9p2q59) [14].

2	Auth	ors' contributions
3	All a	thors designed the study. K.U. and M. K. collected data and performed analysis. K. U. and
4	T. F. v	wrote the manuscript. All authors revised the manuscript, gave their final approval and agree
5	to be	held accountable for the content therein.
6		
7	Fund	ling
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10		
11	Com	peting interests
12	We ha	ave no competing interests.
13		
14	Ackn	owledgements
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17		
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 $\mathbf{2}$

1 Figure legends

Figure 1. Gall of *C. clematis.* (*a*) A mature gall on a leaf of *Z. serrata.* (*b*) A slit-like gall opening (arrow). (*c*) Young nymphs and honeydew balls in a mature gall. The gall inner cavity is full of aphid-derived powdery wax. (*d*) A scanning electron micrograph of trichomes on the gall inner surface. Note that aphid-derived wax is removed during fixation. (*e*) A fresh cross section image of the gall inner surface. Note that trichomes are coated with aphid-derived white wax. (*f*) A scanning electron micrograph of the wax-coated trichomes.

8

9 Figure 2. Hydrophobic effects of trichomes wax on the galls and gall-harbouring leaves of C. 10 *clematis.* (a) Contact angles of water droplets measured on normal underside areas (left, n = 13), 11 hairy underside areas (middle, n = 17), and gall inner surface areas (right, n = 17). (b) Contact 12angles of water droplets measured on normal underside areas (left, n = 18), gall inner surface 13areas from which aphid-derived wax was removed by hexane (middle, n = 18), and gall inner 14surface areas to which the wax was removed and re-added (right, n = 18). Lines indicate the 15changes of contact angle values measured on the same gall inner surface. The box plots depict median, quartiles, and minimum and maximum values. Corresponding water droplet images are 1617shown below (bars 1 mm).

18

Area	Trichome density (No. of trichomes / mm ²)	Trichome length (µm)	Distance between trichomes (µm)
Gall inner surface	$221.7^{a}\pm 61.3$	$104.9^{a}\pm 35.5$	$42.1^{a}\pm14.3$
	(N = 36)	(N = 160)	(N = 149)
Trichome-dense area on the underside	$203.1^{a}\pm 35.9$	$197.4^{b}\pm70.0$	$37.9^{a}\pm11.8$
	(N = 36)	(N = 180)	(N = 180)
On the underside	$7.2^{b}\pm 5.9$	$111.1^{a}\pm 66.1$	$244.2^{b}\pm 176.9$
	(N = 36)	(N = 178)	(N = 175)
On the upperside	$8.7^{b}\pm 5.3$	$115.1^{a}\pm 68.4$	$286.7^{c} \pm 119.2$
	(N = 36)	(N = 126)	(N = 115)

Table 1. Trichomes on the different areas of *Z. serrata* leaves harbouring a *C. clematis* gall. Stastistical significance was analyzed using linear mixed model (*lmer* function in the *lme4* package) with gall identity treated as a random factor followed by Tukey's post-hoc test using *glht* function in the *multcomp* package. Values indicate mean±SD.

^{abc}Values within a column with different superscripts are significantly different (P < 0.01). Details of the statistical analyses are shown in electronic supplementary material, table S2.

Species	No. of galls	Gall morphology	Trichome density (trichomes / mm ²)	Trichome length (µm)
Colophina clematis	9	Onen neueh	$221.7^{a}\pm 61.3$ (N = 36)	$104.9^{a}\pm 35.5$ (N = 160)
Colophina arma	Colophina arma 3		$254.3^{a}\pm 66.2$ (N = 12)	$87.6^{a}\pm 18.4$ (N = 30)
Hemipodaphis persimilis	5	Open leaf-roll	114.1 ^b ±44.4 (N =19)	$37.8^{b}\pm 15.0$ (N = 48)
Paracolopha morrisoni	4	Closed pouch	0 (N = 16)	N/A

Table 2. Differences among the gall inner surfaces of Eriosomatini aphids on *Zelkova serrata*. Values indicate mean±SD.

^{abc}Values within a column with different superscripts are significantly different (P < 0.001). *P. morrisoni* was excluded from the statistical analyses. Details of the statistical analyses are shown in electronic supplementary material, table S2.

Figure 1.



Figure 2.



Supplementary figures

Water-repellent plant surface structure induced by gall-forming insects for waste management

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Figure S1.

Leaf areas and corresponding SEM figures on the underside of a *Z. serrata* leaf harbouring a *C. clematis* gall. Scale bars indicate 200 µm.



Figure S2.

Hairy areas on the *Z. serrata* leaf harbouring a *C. clematis* gall. (*a*) An underside area covered with dense trichomes (arrow). (*b*) A water droplet placed on the hairy area.



Figure S3.

Galls of Eriosomatini aphids on *Zelkova serrata*: *Colophina arma* (*a*,*b*), *Colophina clematis* (*c*), *Hemipodaphis persimilis* (*d*-*f*), and *Paracolopha morrisoni* (*g*-*i*). (*a*,*d*,*g*) Mature galls; (*b*,*e*,*h*) inner gall surfaces; (*c*,*f*,*i*) food-dye solution on gall inner surfaces.

Water-repellent plant surface structure induced by gall-forming insects for waste management

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Table S1. Galls of aphids of the tribe Eriosomatini (subfamily: Eriosomatinae) on *Zelkova serrata* examined in this study.

Species	Collection locality ¹	Collection date	
Colophina	Okutama, Tokyo	Jun 2016 Jun 2018	
clematis	Shomaru, Saitama	Juli 2010 - Juli 2018	
Colophina	Alzi Ota Hiroshima	22 Jul 2016	
arma	Aki-Ota, fillosiiillia	22 Jul, 2010	
Hemipodaphis	Sapporo, Hokkaido	Jul 2016 Jun 2018	
persimilis	Matsumoto, Nagano	Jul 2010 - Juli 2018	
Paracolopha	Tsukuba, Ibaraki	2 Jun 2016	
morrisoni	Shomaru, Saitama	2 Jun, 2010	

¹All localities are in Japan.

Table S2. Statistical information reported in this study.Stastistical significance was analyzed using linear mixed model (lmer function in the lme4 package) with gall identity treated as a random factor followed by Tukey's post-hoc test using *glht* function in the *multcomp* package in R version 3.4.3.

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19	a) I richome de	ngity among differen	t leat areas (no	of frichomes /	mm 1
	<i>i</i> i i i i i i i i i i i i i i i i i i	isity among uniteren	t icai aicas (iic).	. Of uncholines /	IIIIII /

	Mean difference	95 % CI of the difference		
	(left - right)	(lower limit, upper limit)	zscore	p-value
Inner gall vs. Hairy underside	18.6	-1.8, 38.9	2.34	0.089
Inner gall vs. Normal underside	214.4	194.1, 234.8	27.06	< 0.0001
Inner gall vs. Normal upperside	213	192.6, 233.4	26.88	< 0.0001
Hairy underside vs. Normal underside	195.9	175.5, 216.3	24.72	< 0.0001
Hairy underside vs. Normal upperside	194.4	174.1, 214.8	24.54	< 0.0001
Normal upperside vs. Normal underside	1.4	-18.9, 21.8	0.18	0.998

(b) Trichome length among different leaf areas (μm)

	Mean difference	95 % CI of the difference	7 60070	n valua
	(left - right)	(lower limit, upper limit)	z score	p-value
Inner gall vs. Hairy underside	-94.0	-110.4, -77.6	-14.69	< 0.0001
Inner gall vs. Normal underside	-2.8	-19.3, 13.7	-0.43	0.973
Inner gall vs. Normal upperside	-11.4	-29.5, 6.7	-1.61	0.370
Hairy underside vs. Normal underside	91.2	75.0, 107.4	14.46	< 0.0001
Hairy underside vs. Normal upperside	82.6	64.9, 100.4	11.96	< 0.0001
Normal upperside vs. Normal underside	8.6	-9.1, 26.2	1.25	0.597

(c) Distance between trichomes (µm)

	Mean difference	95 % CI of the difference	7 6 6 6 7 6	n valua
	(left - right)	(lower limit, upper limit)	z score	p-value
Inner gall vs. Hairy underside	4.2	-26.5, 34.9	-14.69	0.985
Inner gall vs. Normal underside	-201.8	-232.7, -171.0	-0.43	< 0.0001
Inner gall vs. Normal upperside	-244.6	-279.0, -210.1	-1.61	< 0.0001
Hairy underside vs. Normal underside	-206.0	-235.4, -176.6	14.46	< 0.0001
Hairy underside vs. Normal upperside	-248.7	-281.8, -215.7	11.96	< 0.0001
Normal upperside vs. Normal underside	42.7	9.5, 76.0	1.25	0.006

(d) Trichome length among the species (μ m)

	Mean difference	95 % CI of the difference	7 60050	n voluo
	(left - right)	(lower limit, upper limit)	Z Score	p-value
C. clematis vs. C. arma	16.9	-7.2, 41.0	1.637	0.227
H. persimilis vs. C. arma	-50.1	-77.0, -23.2	-4.35	< 0.0001
H. persimilis vs. C. clematis	-67.0	-87.1, -46.9	-7.778	< 0.0001

(e) Trichome density among the species (no. of trichomes / mm2)

	Mean difference (left - right)	95 % CI of the difference (lower limit, upper limit)	z score	p-value
C. clematis vs. C. arma	-31.4	-97.1, 34.4	-1.12	0.502
H. persimilis vs. C. arma	-138.7	-208.8, -68.6	-4.62	< 0.0001
H. persimilis vs. C. clematis	-107.3	-161.6, -53.0	-4.62	< 0.0001

(f) Contact angle among different plant surfaces

	Mean difference	95 % CI of the difference	z score	p-value
Normal and antida are Jun on call			21.59	< 0.0001
Normal underside vs. Inner gall	-08.0	-/0.1, -01.2	-21.58	< 0.0001
Hairy underside vs. Inner gall	-22.1	-29.0, -15.3	-7.577	< 0.0001
Hairy underside vs. Normal underside	46.5	39.1, 53.9	14.701	< 0.0001